# PCFGs: Parsing & Evaluation

LING 571 — Deep Processing Techniques for NLP October 12, 2022 Shane Steinert-Threlkeld







### Announcements

- HW2 due Friday at 11:59pm
  - readme.{txtlpdf}
    - Separate upload to Canvas
    - NOT in hw2.tar.gz
  - Run check\_hw2.sh before submitting!
- Start symbol: either "%start S" or first nonterminal
  - NB: needs to be readable by nltk's grammar loading methods
- nltk.data.load: "file:path/to/grammar.cfg"
- Condor job: runs conversion, but not parsing before/after; that can be done separately





### Roadmap

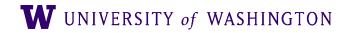
- CKY + back-pointers
- PCFGs
- PCFG Parsing (PCKY)
- Inducing a PCFG
- Evaluation
- [Earley parsing]
- HW3 + collaboration







### CKY Parsing: Backpointers





### Backpointers

- Instead of list of possible nonterminals for that node, each cell should have:
  - Nonterminal for the node
  - Pointer to left and right children cells
    - Either direct pointer to cell, or indices

- example:
- ackPointer()
- d = [X2, (1,4)]
- d = [PP, (4, 6)]









• Pair each nonterminal with back-pointer to cells from which it was derived

• Last step:

• construct trees from back-pointers in [0, n]

### CKY Parser







NP, Pronoun [0,1]

S		S		
[0,2]	[0,3]	[0,4]	[0,5]	
Verb, VP, S		VP, X2, S		VP
[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
	Det	NP		NP
	[2,3]	[2,4]	[2,5]	[2,6]
		Noun, Nom		Nom
		[3,4]	[3,5]	[3,6]
			Prep	PP
			[4,5]	[4,6]
				NNP, NP
				[5,6]







bp\_1 = BackPointer()  $bp_{1.l}child = [VP, (1,4)]$  $bp_1.r_child = [PP, (4, 6)]$ 

S		S		
[0,2]	[0,3]	[0,4]	[0,5]	
Verb, VP, S		<b>VP, X2, S</b>		VP
[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
	Det	NP		NP
	[2,3]	[2,4]	[2,5]	[2,6]
		Noun, Nom		Nom
		[3,4]	[3,5]	[3,6]
			Prep	PP
			[4,5]	[4,6]
				NNP, NP
				[5,6]





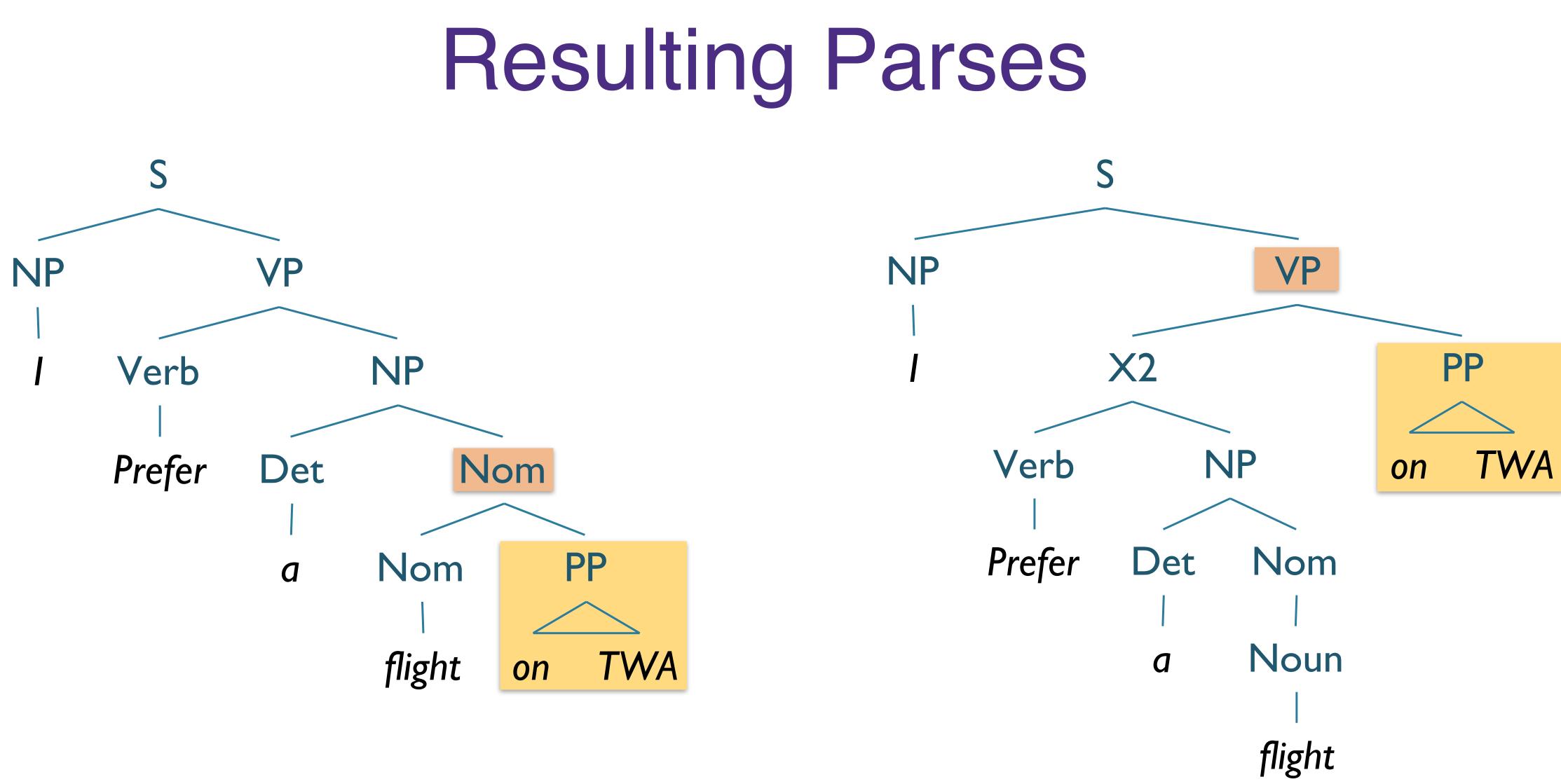


bp\_2 = BackPointer()  $bp_2.l_child = [X2, (1,4)]$  $bp_2.r_child = [PP, (4, 6)]$ 

S		S		
[0,2]	[0,3]	[0,4]	[0,5]	
Verb, VP, S		VP, X2, S		VP
[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
	Det	NP		NP
	[2,3]	[2,4]	[2,5]	[2,6]
		Noun, Nom		Nom
		[3,4]	[3,5]	[3,6]
			Prep	PP
			[4,5]	[4,6]
				NNP, NP
				[5,6]













## **CKY Discussion**

- Running time:

  - $O(n^3)$  where n is the length of the input string Inner loop grows as square of # of non-terminals
- Expressiveness:

  - As implemented, requires CNF Weak equivalence to original grammar
    - Doesn't capture full original structure
    - Back-conversion?
    - Can do binarization, terminal conversion • Unit productions requires change in CKY



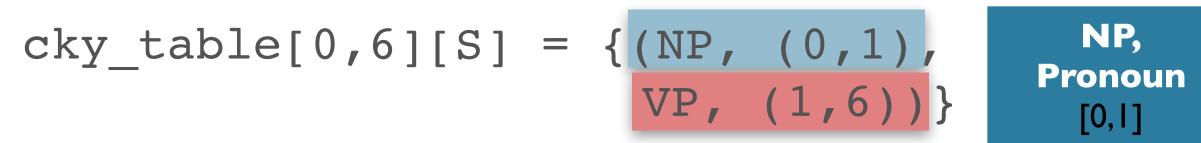


CKY + Back-pointers Example









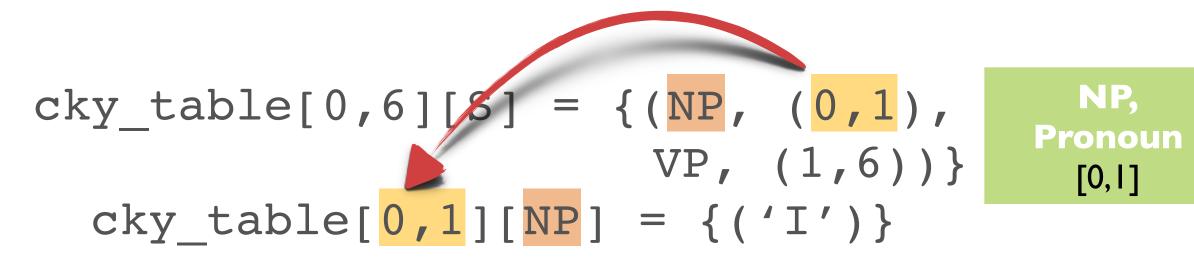
#### l prefer a

	S		S		S
n	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
	Verb, VP, S		VP, X2, S		VP, X2, S
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
		Det	NP		NP
	S	[2,3]	[2,4]	[2,5]	[2,6]
	$\sim$		Noun, Nom		Nom
			[3,4]	[3,5]	[3,6]
NP	<b>VP</b>			Prep	PP
				[4,5]	[4,6]
					NNP, NP
					[5,6]









prefer a

	S		S		S
	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
	Verb, VP, S		VP, X2, S		VP, X2, S
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
		Det	NP		NP
	S	[2,3]	[2,4]	[2,5]	[2,6]
			Noun, Nom		Nom
	VP		[3,4]	[3,5]	[3,6]
	VF			Prep	PP
				[4,5]	[4,6]
1					NNP, NP
					[5,6]





cky\_table[0,6][S] NP, (0,1),= . Pronoun **VP**, (1,6)) [0,1] cky\_table\_0,1][NP]  $= \{ ( ' I ' ) \}$ cky\_table[<mark>1,6</mark>][VP] {(Verb, (1,2), = NP, (2,6)), (X2, (1,4), PP, (4,6))}

þrefer a

oun	S		S		S
]	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
	Verb, VP, S		VP, X2, S		VP, X2, S
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
		Det	NP		NP
	S	[2,3]	[2,4]	[2,5]	[2,6]
			Noun, Nom		Nom
	VP		[3,4]	[3,5]	[3,6]
NP	۷۲			Prep	PP
				[4,5]	[4,6]
1	Verb NP				NNP, NP
					[5,6]

flight

TWA







NP,  $cky_table[0,6][S] = {(NP, (0,1),$ Pronoun VP, (1,6)) [0,1]  $cky_table[0,1][NP] = {('I')}$  $cky_table[1,6][VP] = {(Verb, (1,2),$ NP, (2,6)), (X2, (1,4),PP, (4,6))}  $cky_table[1,2][Verb] = {('prefer')}$ 

prefer a

, oun	S		S		S
]	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
	Verb, VP, S		<b>VP, X2, S</b>		VP, X2, S
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
		Det	NP		NP
	S	[2,3]	[2,4]	[2,5]	[2,6]
			Noun, Nom		Nom
NP	VP		[3,4]	[3,5]	[3,6]
	V I			Prep	PP
				[4,5]	[4,6]
Ι	Verb NP				NNP, NP
					[5,6]
	þrefer				

TWA







NP,  $cky_table[0,6][S] = {(NP, (0,1),$ Pronoun VP, (1,6)) [0,1]  $cky_table[0,1][NP] = {('I')}$  $cky_table[1,6][VP] = {(Verb, (1,2),$ NP, (2,6)), (X2, (1,4), PP, (4,6))} cky\_table[1,2][Verb] = {('prefer')}  $cky_table[2,6][NP] = {(Det, (2,3),$ NP Nom, (3,6)}

#### prefer a

S		S		S
[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
Verb, VP, S		VP, X2, S		VP, X2, S
[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
	Det	NP		NP
S	[2,3]	[2,4]	[2,5]	[2,6]
		Noun, Nom		Nom
		[3,4]	[3,5]	[3,6]
VP		[3,4]	[3,5] Prep	[3,6] PP
		[3,4]		
VP Verb	NP	[3,4]	Prep	PP
	NP	[3,4]	Prep	PP [4,6]

flight TWA on









NP,  $cky_table[0,6][S] = {(NP, (0,1),$ Pronoun VP, (1,6)) [0,1]  $cky_table[0,1][NP] = {('I')}$  $cky_table[1,6][VP] = {(Verb, (1,2),$ NP, (2,6)), (X2, (1,4),PP, (4,6))} cky\_table[1,2][Verb] = {('prefer')}  $cky_table[2,6][NP] = {(Det, (2,3),$ NP Nom, (3, 6) $cky_table[2,3][Det] = {('a')}$ 

	S		S		S
	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
	Verb, VP, S		VP, X2, S		VP, X2, S
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
		Det	NP		NP
	S	[2,3]	[2,4]	[2,5]	[2,6]
	<b>S</b>		Noun, Nom		Nom
			[3,4]	[3,5]	[3,6]
	VP			Prep	PP
_				[4,5]	[4,6]
	Verb	NP			NNP, NP
					[5,6]
Þ	orefer Det	Nom			
	а				

TWA

flight on









NP,  $cky_table[0,6][S] = {(NP, (0,1),$ Pronoun VP, (1,6)) [0,1]  $cky_table[0,1][NP] = {('I')}$  $cky_table[1,6][VP] = {(Verb, (1,2),$ NP, (2,6)), (X2, (1,4),PP, (4,6))} cky\_table[1,2][Verb] = {('prefer')}  $cky_table[2,6][NP] = {(Det, (2,3),$ NP Nom, (3, 6) $cky_table[2,3][Det] = {('a')}$ 

	S		S		S
	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
	Verb, VP, S		VP, X2, S		VP, X2, S
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
		Det	NP		NP
	S	[2,3]	[2,4]	[2,5]	[2,6]
	<b>S</b>		Noun, Nom		Nom
			[3,4]	[3,5]	[3,6]
	VP			Prep	PP
_				[4,5]	[4,6]
	Verb	NP			NNP, NP
					[5,6]
Þ	orefer Det	Nom			
	а				

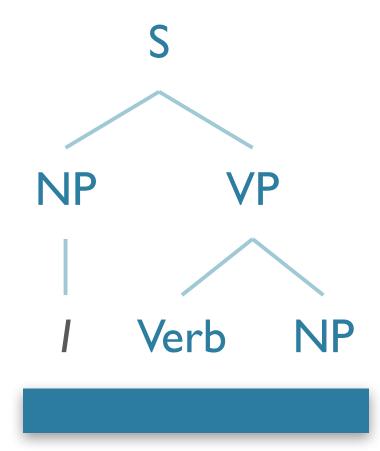
TWA

flight on









a

	S		S		S
un	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
	Verb, VP, S		VP, X2, S		VP, X2, S
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
		Det	NP		NP
		[2,3]	[2,4]	[2,5]	[2,6]
			Noun, Nom		Nom
			[3,4]	[3,5]	[3,6]
	C			Prep	PP
	5			[4,5]	[4,6]
					NNP, NP
NP	VP				[5,6]

flight

1

X2 PP

TWA







Probabilistic Context-Free Grammars





### **Probabilistic Context-free Grammars:** Roadmap

Motivation: Ambiguity

Approach:

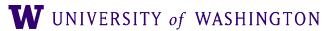
Definition

Disambiguation

Parsing

Evaluation

Enhancements







### Motivation

### What about ambiguity?

### Current algorithm can *represent* it

...can't resolve it.

 $\mathbf{W}$  university of washington





### Probabilistic Parsing

- Provides strategy for solving disambiguation problem
  - Compute the probability of all analyses • Select the most probable

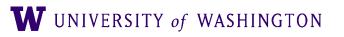
- Employed in language modeling for speech recognition
  - N-gram grammars predict words, constrain search
  - Also, constrain generation, translation





N

a set of **non-terminal symbols** (or **variables**)



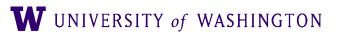






#### a set of **non-terminal symbols** (or **variables**)

#### a set of **terminal symbols** (disjoint from N)







a set of <b>non-ter</b>	N
a set of <b>termin</b>	$\sum$
a set of rules of productions, each of the $A$ is a non-terminal, $eta$ is a string of sy is a number between the set with the set of the set	R

#### **minal symbols** (or **variables**)

**nal symbols** (disjoint from N)

the form  $A \rightarrow \beta[p]$ , where A is a non-terminal where ymbols from the infinite set of strings  $(\Sigma \cup N)*$  and pween 0 and 1 expressing P(eta|A)







a set of <b>non-terr</b>	N
a set of <b>termin</b>	$\sum$
a set of rules of productions, each of the $A$ is a non-terminal, $eta$ is a string of sy is a number betw	R
a desig	S

#### **minal symbols** (or **variables**)

**nal symbols** (disjoint from N)

the form  $A \rightarrow \beta[p]$ , where A is a non-terminal where

ymbols from the infinite set of strings  $(\Sigma \cup N)*$  and p

ween 0 and 1 expressing P(eta|A)

a designated start symbol





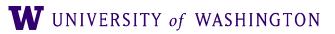


- will be expanded as RHS
  - $P(A \rightarrow \beta)$
  - $P(A \rightarrow \beta | A)$
  - $P(\boldsymbol{\beta}|A)$
  - $P(RHS \mid LHS)$
- really meant.

### PCFGs

## Augment each production with probability that LHS

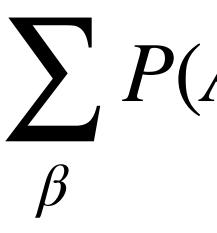
#### • NB: the first is often used; but the latter are what's







• Sum over all possible expansions is 1

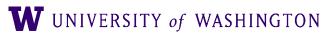


- is 1
  - Recursive rules often yield inconsistent grammars (Booth & Thompson, 1973)

# PCFGs

## $\sum_{\alpha} P(A \to \beta) = 1$

### • A PCFG is *consistent* if sum of probabilities of all sentences in language







### **Example PCFG: Augmented** $\mathcal{L}_1$

Grammar	
$S \rightarrow NP VP$	[.80]
$S \rightarrow Aux NP VP$	[.15]
$S \rightarrow VP$	[.05]
$NP \rightarrow Pronoun$	[.35]
$NP \rightarrow Proper-Noun$	[.30]
$NP \rightarrow Det Nominal$	[.20]
$NP \rightarrow Nominal$	[.15]
$Nominal \rightarrow Noun$	[.75]
$Nominal \rightarrow Nominal Noun$	[.20]
$Nominal \rightarrow Nominal PP$	[.05]
$VP \rightarrow Verb$	[.35]
$VP \rightarrow Verb NP$	[.20]
$VP \rightarrow Verb \ NP \ PP$	[.10]
$VP \rightarrow Verb PP$	[.15]
$VP \rightarrow Verb NP NP$	[.05]
$VP \rightarrow VP PP$	[.15]
$PP \rightarrow Preposition NP$	[1.0]

#### Lexicon

 $Det \rightarrow that [.10] \mid a [.30] \mid the [.60]$ Noun  $\rightarrow$  book [.10] | flight [.30] | meal [.15] | money [0.5] | *flights* [0.40] | *dinner* [.10]  $Verb \rightarrow book [.30] \mid include [.30] \mid prefer [.40]$  $Pronoun \rightarrow I[.40] \mid she \mid .05] \mid me \mid .15] \mid you \mid .40]$ Proper-Noun  $\rightarrow$  Houston [.60] | NWA [.40]  $Aux \rightarrow does [.60] \mid can [.40]$  $Preposition \rightarrow from [.30] \mid to [.30] \mid on [.20] \mid near [.15]$ through [.05]









### **Example PCFG: Augmented** $\mathcal{L}_1$

Grammar	
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$Nominal \rightarrow Nominal Noun$	[.20]
$Nominal \rightarrow Nominal PP$	[.05]
$VP \rightarrow Verb$	[.35]
$VP \rightarrow Verb NP$	[.20]
$VP \rightarrow Verb \ NP \ PP$	[.10]
$VP \rightarrow Verb PP$	[.15]
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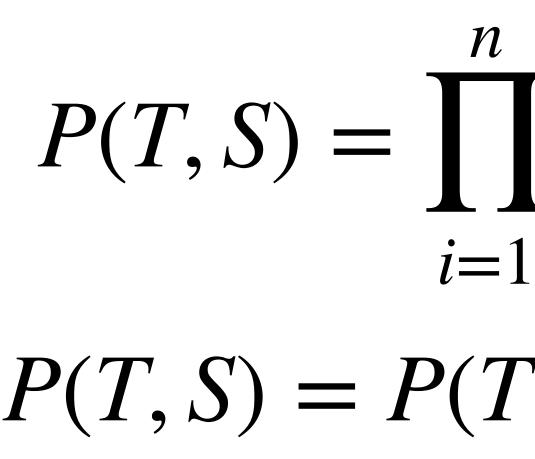




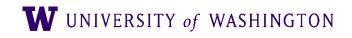


### Disambiguation

- A PCFG assigns probability to each parse tree T for input S
- Probability of T: product of all rules used to derive T

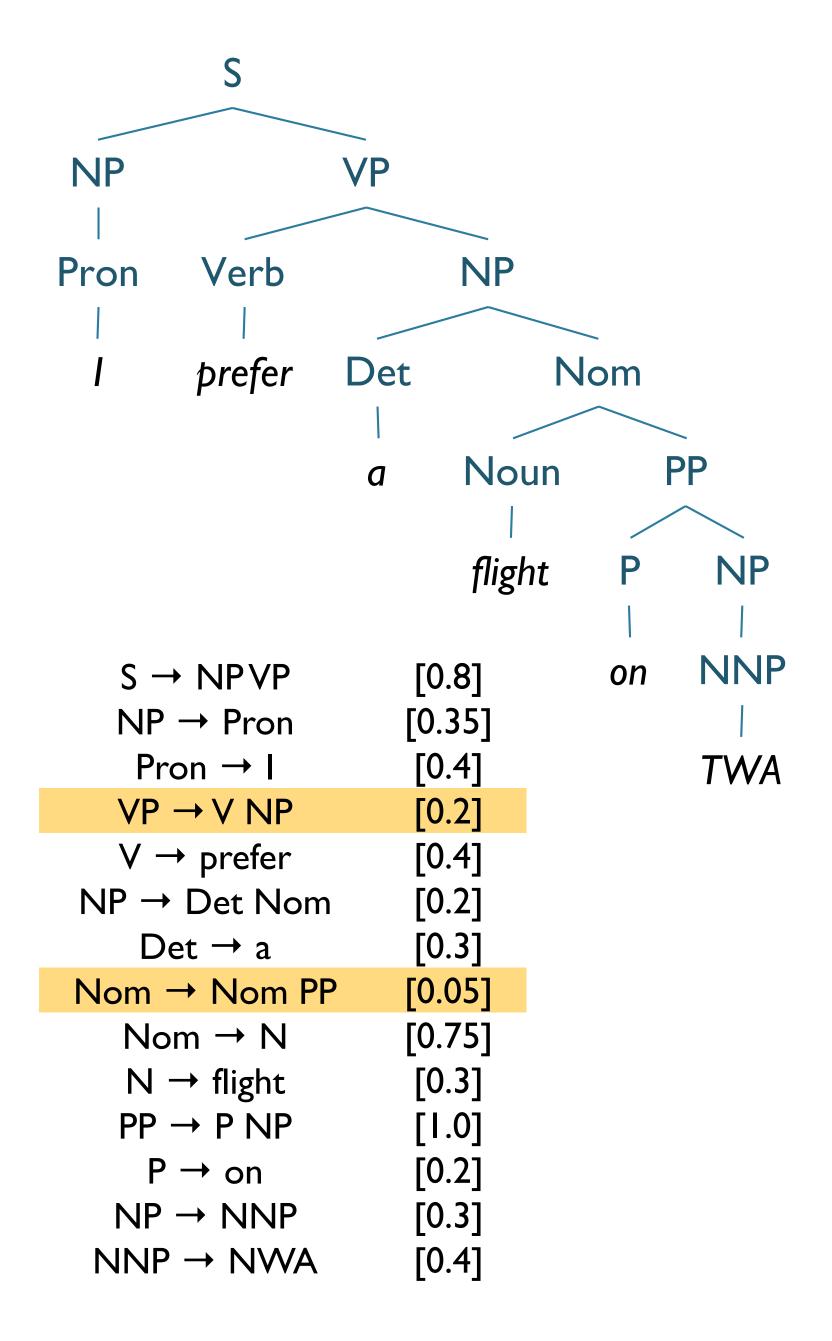


 $P(T,S) = \prod P(RHS_i | LHS_i)$  $P(T, S) = P(T)P(S \mid T) = P(T)$ 





	S				
NP			VP		
Pron	Verb	N	NP		PP
I	þrefer	Det	Nom	P	NP
		а	Noun	on	NNP
			flight		TWA
		S → N NP → Pron	Pron		[0.8] [0.35] [0.4]
		$VP \rightarrow V$	NP PP		[0.1]
		$\lor \rightarrow F$			[0.4]
	$NP \to Det  Nom$				[0.2]
	Det → a Nom → N				[0.3] [0.75]
		$N \rightarrow flight$			[0.3]
		PP →	•		[1.0]
		P →			[0.2]
					[0.3]
	NNP → NWA				[0.4]

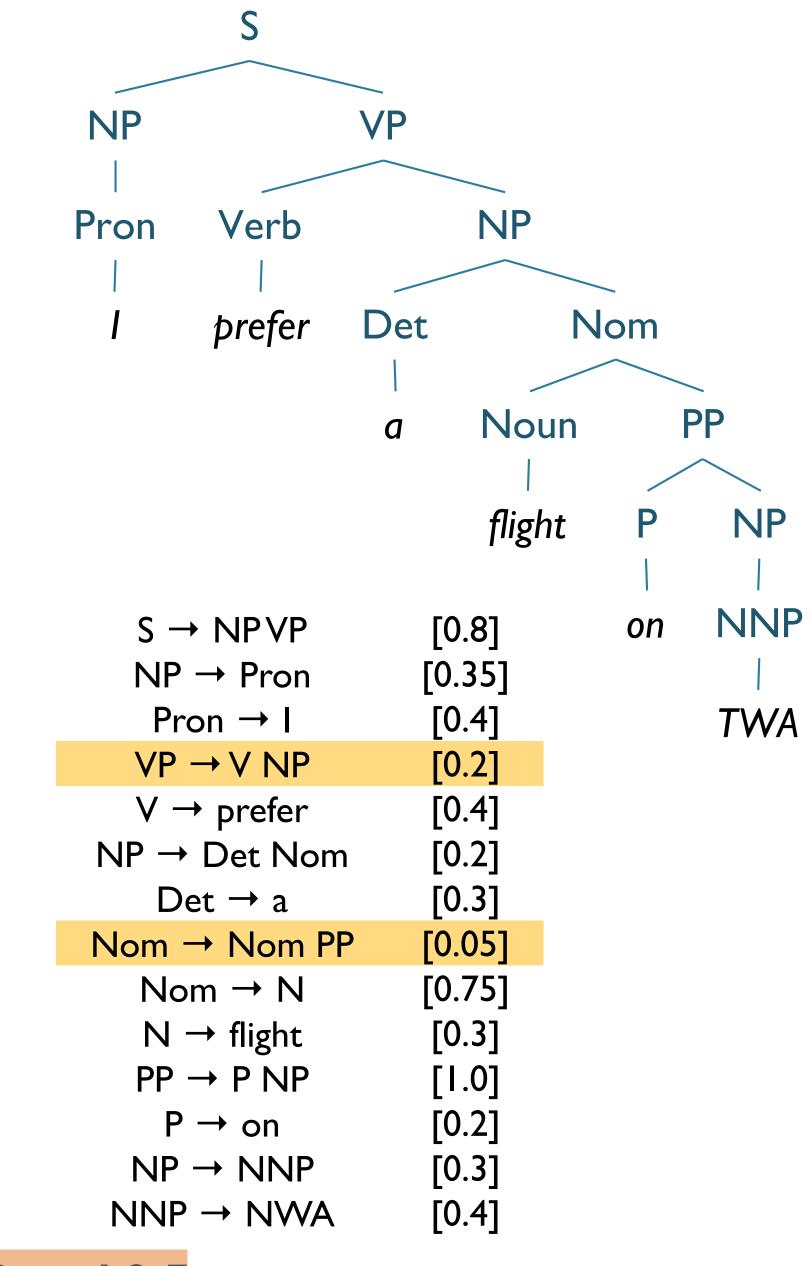






	S				
NP			VP		
Pron	Verb	NP			р Эр
I	þrefer	Det	Nom	<b>P</b>	NP
		а	Noun	on	NNP 
			flight		TWA
		S → N NP → Pron	Pron		[0.8] [0.35] [0.4]
		$VP \rightarrow V$	NP PP		[0.1]
		V → prefer			[0.4]
	$NP \to Det  Nom$				[0.2]
	Det → a Nom → N				[0.3] [0.75]
	$N \rightarrow flight$				[0.3]
		$PP \rightarrow P NP$			[1.0]
		$P \rightarrow on$			[0.2]
		NP →			[0.3]
	$NNP \to NWA \qquad [0.4]$				

#### ~1.452 × 10-6



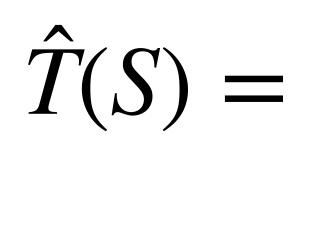






## Parsing Problem for PCFGs

• Select T such that (s.t.)



- String of words S is *yield* of parse tree
- Select the tree  $\overline{7}$  that maximizes the probability of the parse

 $\hat{T}(S) = \arg \max P(T)$ T s.t. S=yield(T)







• *n*-grams helpful for modeling the probability of a string







- *n*-grams helpful for modeling the probability of a string
- To model a whole sentence with *n-grams* either:







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  - Must use 10+-grams... too sparse







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  - Approximate using conditioning on limited context:

$$P(w_i | w_{i-1}) = \frac{P(w_{i-1}, w_i)}{P(w_{i-1})}$$







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  - Approximate using conditioning on limited context:  $P(w_i | w_{i-1}) = \frac{P(w_{i-1}, w_i)}{P(w_{i-1})}$
- PCFGs are able to give probability of entire string without as bad sparsity





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- PCFGs are able to give probability of entire string without as bad sparsity Model probability of syntactically valid sentences



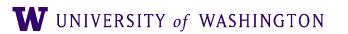


- *n*-grams helpful for modeling the probability of a string
- To model a whole sentence with *n-grams* either:
  - Must use 10+-grams... too sparse
  - Approximate using conditioning on limited context:  $P(w_i | w_{i-1}) = \frac{P(w_{i-1}, w_i)}{P(w_{i-1})}$
- PCFGs are able to give probability of entire string without as bad sparsity
- Model probability of syntactically valid sentences
  - Not just probability of sequence of words





PCFGs: Parsing







## Probabilistic CKY (PCKY)

- Like regular CKY

• 
$$A \rightarrow B C$$

•  $A \rightarrow W$ 

- Represent input with indices b/t words:
  - Book 1 that 2 flight 3 through 4 Houston 5

• Assumes grammar in Chomsky Normal Form (CNF)







## Probabilistic CKY (PCKY)

- For input string length n and non-terminals V
  - Cell [ i, j, A ] in  $(n+1) \times (n+1) \times V$  matrix
  - Contains probability that A spans [i, j]







for  $j \leftarrow from 1$  to LENGTH(*words*) do for all {  $A \mid A \rightarrow words[j] \in grammar$  }  $table[j-1, j, A] \leftarrow P(A \rightarrow words[j])$ for  $i \leftarrow$  from j-2 downto 0 do for  $k \leftarrow i + 1$  to j - 1 do for all  $\{A \mid A \rightarrow B \ C \in grammar, \}$ and table[i, k, B] > 0 and table[k, j, C] > 0 } if  $(table[i, j, A] < P(A \rightarrow BC) \times table[i, k, B] \times table[k, j, C])$  then  $table[i, j, A] \leftarrow P(A \rightarrow BC) \times table[i, k, B] \times table[k, j, C]$  $back[i, j, A] \leftarrow \{k, B, C\}$ return BUILD\_TREE(back[ 1, LENGTH(words), S]), table[ 1,LENGTH(words), S]

## PCKY Algorithm









for  $j \leftarrow from 1$  to LENGTH(*words*) do for all {  $A \mid A \rightarrow words[j] \in grammar$  }  $table[j-1, j, A] \leftarrow P(A \rightarrow words[j])$ for  $i \leftarrow$  from j-2 downto 0 do for  $k \leftarrow i + 1$  to j - 1 do for all  $\{A \mid A \rightarrow B \ C \in grammar, \}$ and table[i, k, B] > 0 and table[k, j, C] > 0 } if  $(table[i, j, A] < P(A \rightarrow BC) \times table[i, k, B] \times table[k, j, C])$  then  $table[i, j, A] \leftarrow P(A \rightarrow BC) \times table[i, k, B] \times table[k, j, C]$  $back[i, j, A] \leftarrow \{k, B, C\}$ return BUILD TREE(back 1, LENGTH(words), S]), table 1,LENGTH(words), S]

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## PCKY Algorithm







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## PCKY Algorithm







## PCKY Grammar Segment

 $S \rightarrow NP VP \quad [0.80]$  $NP \rightarrow Det N$  [0.30]  $VP \rightarrow VNP$  [0.20]

<i>Det</i> → the	[0.40]
$Det \rightarrow a$	[0.40]
$V \rightarrow includes$	[0.05]
$N \rightarrow meal$	[0.01]
$N \rightarrow flight$	[0.02]







# Det – 0.4 [0,1]

### $S \rightarrow NP VP [0.80]$ $NP \rightarrow Det N \quad [0.30]$ $VP \rightarrow V NP \quad [0.20]$

$\begin{array}{c} Det \rightarrow \text{the} \\ Det \rightarrow \text{a} \end{array}$	<b>[0.40] [0.40]</b>			
$V \rightarrow \text{includes}$	[0.05]			
$N \rightarrow \text{meal}$ $N \rightarrow \text{flight}$	[0.01] [0.02]		<b>he</b>	flight
		0		2

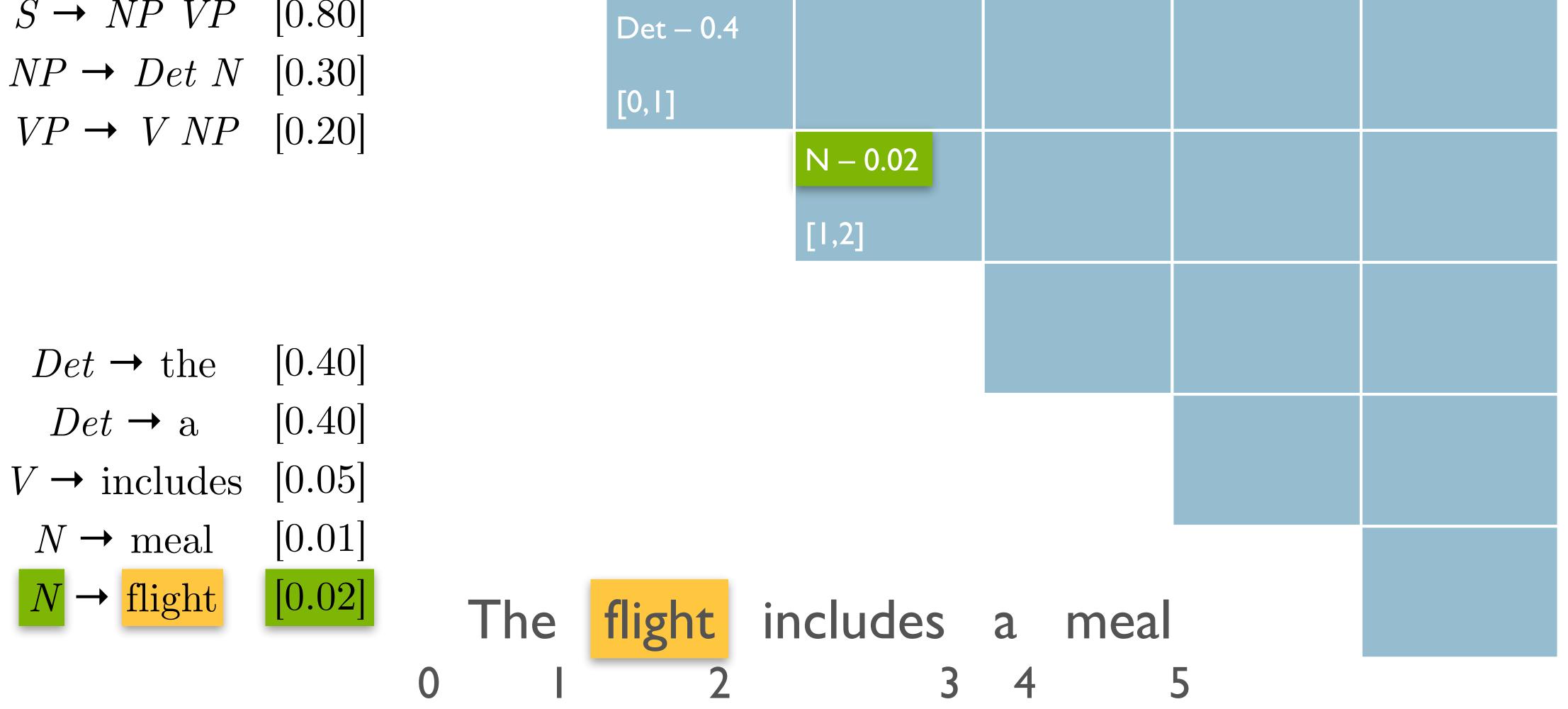
includes 3	5	





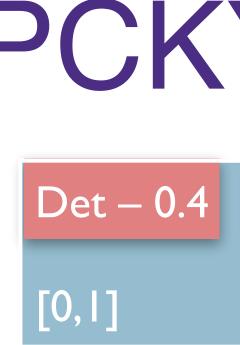
# Det – 0.4 [0,1]

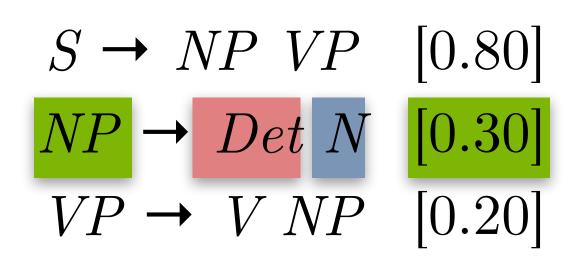
### $S \rightarrow NP VP [0.80]$ $VP \rightarrow V NP \quad [0.20]$



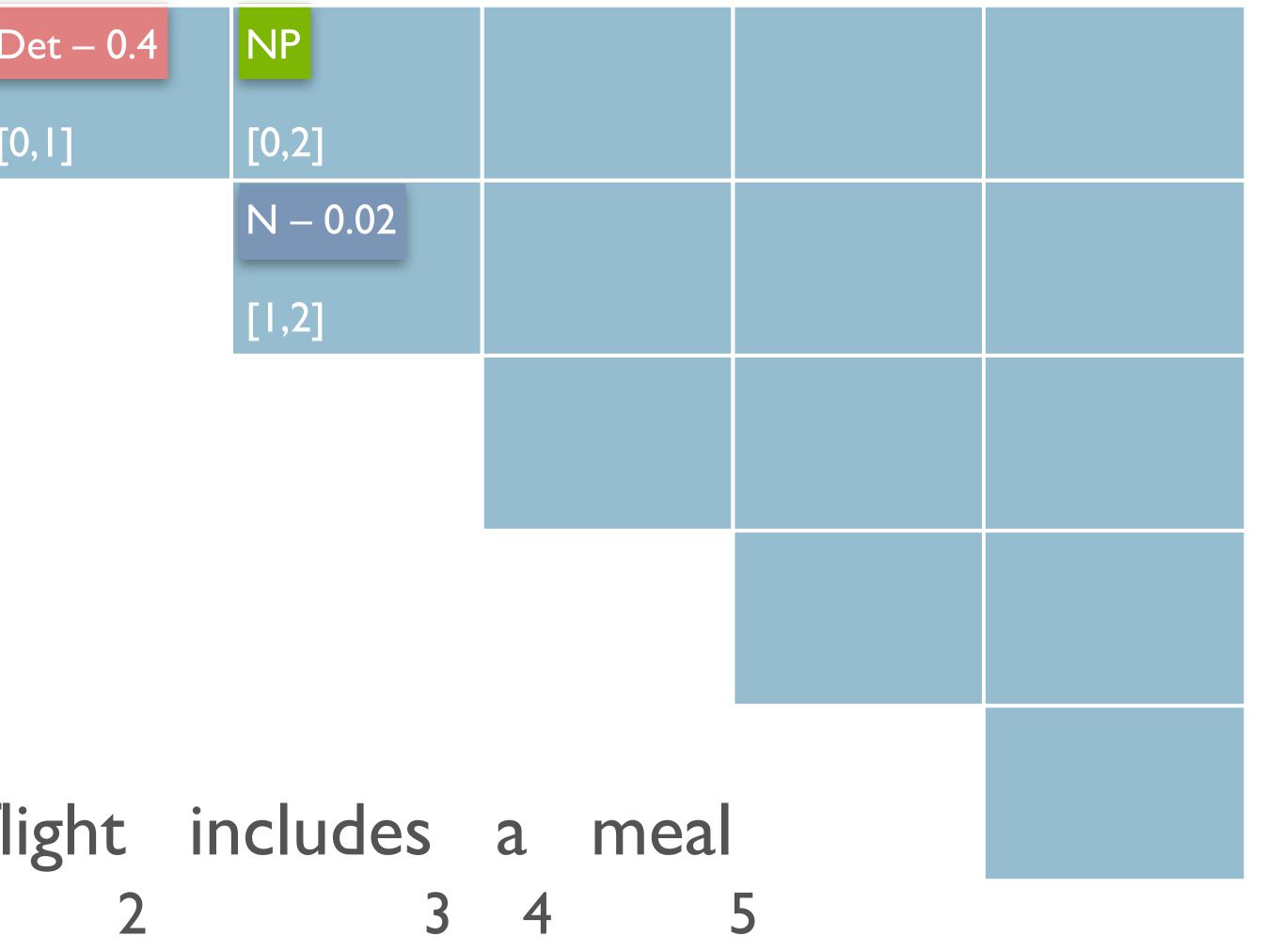








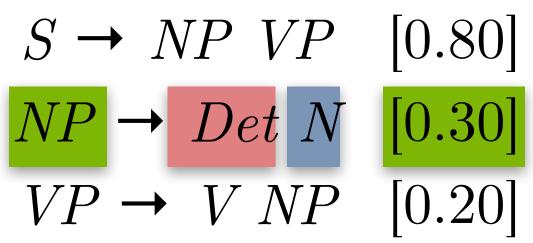
$Det \rightarrow \text{the}$	[0.40]			
$Det \rightarrow a$	[0.40]			
$V \rightarrow \text{includes}$	[0.05]			
$N \rightarrow \text{meal}$	[0.01]			
$N \rightarrow \text{flight}$	[0.02]		The	flight
		0		2







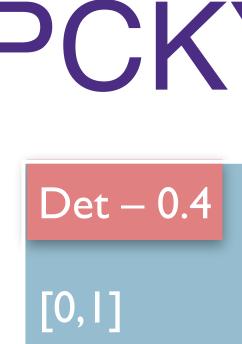


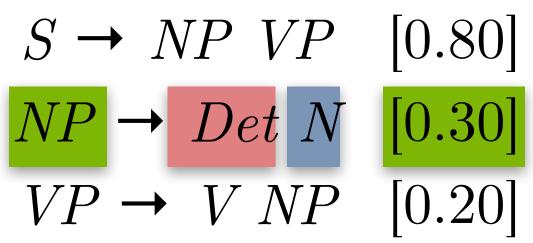


$S \rightarrow NP \ VP  [0.80]$ $NP \rightarrow Det \ N  [0.30]$ $VP \rightarrow V \ NP  [0.20]$	F0.11     F0.21	
$Det \rightarrow the  [0.40]$ $Det \rightarrow a  [0.40]$ $V \rightarrow includes  [0.05]$	$P(N \rightarrow flight)$	
$N \rightarrow \text{meal}  [0.01]$ $N \rightarrow \text{flight}  [0.02]$		







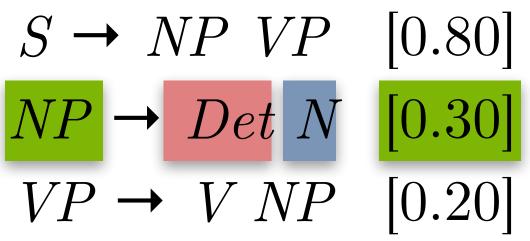


$S \rightarrow NP VP [0.80]$	
$NP \rightarrow Det N  [0.30]$ $VP \rightarrow V NP  [0.20]$	
	N – 0.02
	[1,2]
	$P = P(NP \rightarrow Det N)$
$Det \rightarrow \text{the}  [0.40]$	$P(Det \rightarrow the)$
$Det \rightarrow a \qquad [0.40]$	$P(N \rightarrow flight)$
$V \rightarrow \text{includes} [0.05]$	
$N \rightarrow \text{meal}  [0.01]$	
$N \rightarrow \text{flight} [0.02]$	] The flight includes a meal
	0 I 2 3 4 5









$S \rightarrow NP VP$		Det – 0.4	NP – 0.0024	
$NP \rightarrow Det N$	-	[0,1]	[0,2]	
$VP \rightarrow V NP$	[0.20]		N – 0.02	
			[1,2]	
$Det \rightarrow \text{the}$	[0.40]	$P = \frac{P(NP \rightarrow D)}{P(Det \rightarrow a)}$	et N)	
$Det \rightarrow a$	LJ	$P(Det \rightarrow a)$ $P(N \rightarrow flig)$		
$V \rightarrow \text{includes}$	[0.05]			
$N \rightarrow \text{meal}$	[0.01]	P = 0.3 · 0.4 · 0.02 =	= 0.00024	
$N \rightarrow \text{flight}$	[0.02]	The flight i	ncludes a me	al
		0 1 2	34	5





# Det – 0.4 [0,1]

2

### $S \rightarrow NP VP$ $\left[0.80\right]$ $NP \rightarrow Det N \quad [0.30]$ $VP \rightarrow V NP \quad [0.20]$

[0.40] $Det \rightarrow \text{the}$  $Det \rightarrow a \quad [0.40]$ [0.05] $V \rightarrow \text{includes}$ [0.01] $N \rightarrow \text{meal}$  $N \rightarrow \text{flight} [0.02]$ The flight ir

	NP – 0.0024			S – 2.304×10-8
	[0,2]	[0,3]	[0,4]	[0,5]
	N – 0.02			
	[1,2]	[1,3]	[1,4]	[1,5]
		V – 0.05		VP – 1.2×10-5
		[2,3]	[2,4]	[2,5]
			Det – 0.4	NP – 0.0012
			[3,4]	[3,5]
				N – 0.0 I
ir	cludes	a meal		[4,5]
	3	4	5	





Inducing a PCFG







• Use treebank of parsed sentences







- Use treebank of parsed sentences
- To compute probability of a rule, count:

### Learning Probabilities

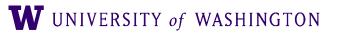




- Use treebank of parsed sentences
- To compute probability of a rule, count:
  - Number of times a nonterminal is expanded:

### Learning Probabilities

 $\Sigma_{\gamma} Count(\alpha \rightarrow \gamma)$ 

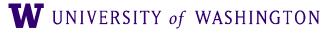






- Simplest way:
  - Use treebank of parsed sentences
  - To compute probability of a rule, count:
    - Number of times a nonterminal is expanded:
    - Number of times a nonterminal is expanded by a given rule:

 $\Sigma_{\gamma} Count(\alpha \rightarrow \gamma)$  $Count(\alpha \rightarrow \beta)$ 





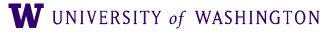


- Use treebank of parsed sentences
- To compute probability of a rule, count:
  - Number of times a nonterminal is expanded:
  - Number of times a nonterminal is expanded by a given rule:

$$P(\alpha \to \beta \,|\, \alpha) = \frac{Count(\alpha)}{\sum_{\gamma} Count(\alpha)}$$

 $\Sigma_{\gamma} Count(\alpha \rightarrow \gamma)$  $Count(\alpha \rightarrow \beta)$ 

 $\frac{(\alpha \to \beta)}{(\alpha \to \gamma)} = \frac{Count(\alpha \to \beta)}{Count(\alpha)}$ 







- Use treebank of parsed sentences
- To compute probability of a rule, count:
  - Number of times a nonterminal is expanded:
  - Number of times a nonterminal is expanded by a given rule:

$$P(\alpha \to \beta \,|\, \alpha) = \frac{Count(\alpha)}{\sum_{\gamma} Count(\alpha)}$$

• Alternative: Learn probabilities by re-estimating • (Later)

- $\Sigma_{\gamma} Count(\alpha \rightarrow \gamma)$  $Count(\alpha \rightarrow \beta)$
- $\frac{(\alpha \to \beta)}{(\alpha \to \gamma)} = \frac{Count(\alpha \to \beta)}{Count(\alpha)}$







### Probabilistic Parser Development Paradigm

Large

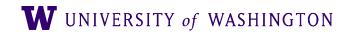
Train

(eg.WSJ 2–21 39,830 sentence Estimate rule probabilities

Usage

Size

	Dev	Test
	Small	Small/Med
l, es)	(e.g.WSJ 22)	(e.g.WSJ, 23, 2,416 sentences)
9	Tuning/Verification, Check for Overfit	Held Out, Final Evaluation











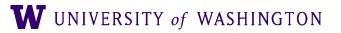
### • Assume a 'gold standard' set of parses for test set







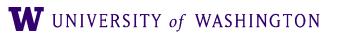
- Assume a 'gold standard' set of parses for test set
- How can we tell how good the parser is?







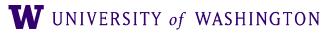
- Assume a 'gold standard' set of parses for test set
- How can we tell how good the parser is?
- How can we tell how good a parse is?







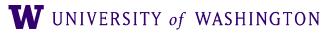
- Assume a 'gold standard' set of parses for test set
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- How can we tell how good a parse is?
  - Maximally strict: identical to 'gold standard'







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- How can we tell how good a parse is?
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  - Partial credit:







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- How can we tell how good the parser is?
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  - Partial credit:
    - Constituents in output match those in reference







- Assume a 'gold standard' set of parses for test set
- How can we tell how good the parser is?
- How can we tell how good a parse is?
  - Maximally strict: identical to 'gold standard'
  - Partial credit:
    - Constituents in output match those in reference
      - Same start point, end point, non-terminal symbol





• How can we compute parse score from constituents?

• Multiple Measures:

Labeled Recall (LR) =

Labeled Precision (LP) =

### Parseval

### # of correct constituents in hypothetical parse

### # of **total** constituents in **reference** parse

### # of correct constituents in hypothetical parse

### # of **total** consituents in **hypothetical** parse





### Parseval

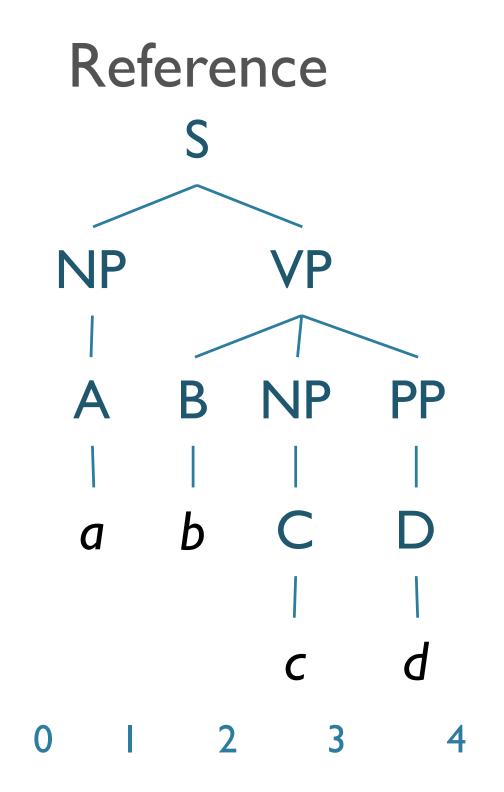
### • F-measure:

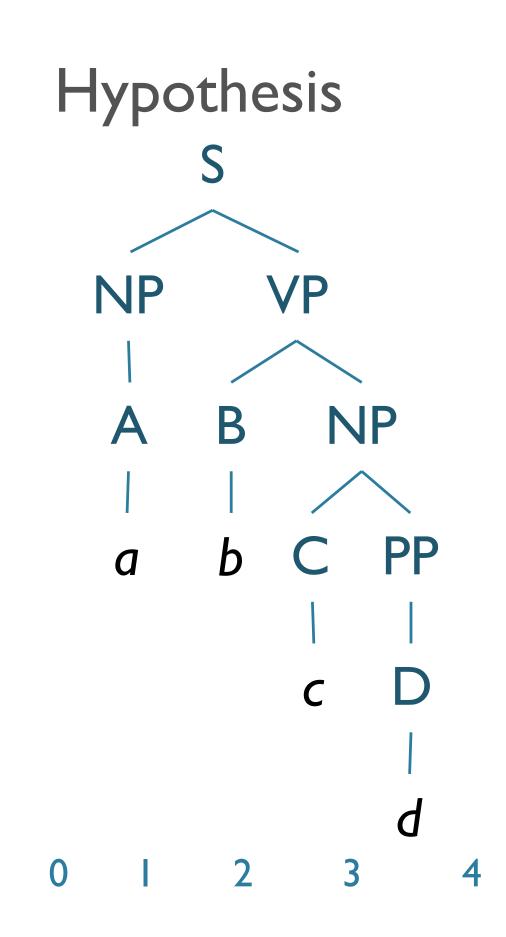
- Combines precision and recall

• Let  $\beta \in \mathbb{R}$ ,  $\beta > 0$  that adjusts *P* vs. *R* s.t.  $\beta \propto \frac{R}{p}$ •  $F_{\beta}$ -measure is then:  $F_{\beta} = (1 + \beta^2) \cdot \frac{P \cdot R}{\beta^2 \cdot P + R}$ • With F1-measure as  $F_1 = \frac{2PR}{P+R}$ 







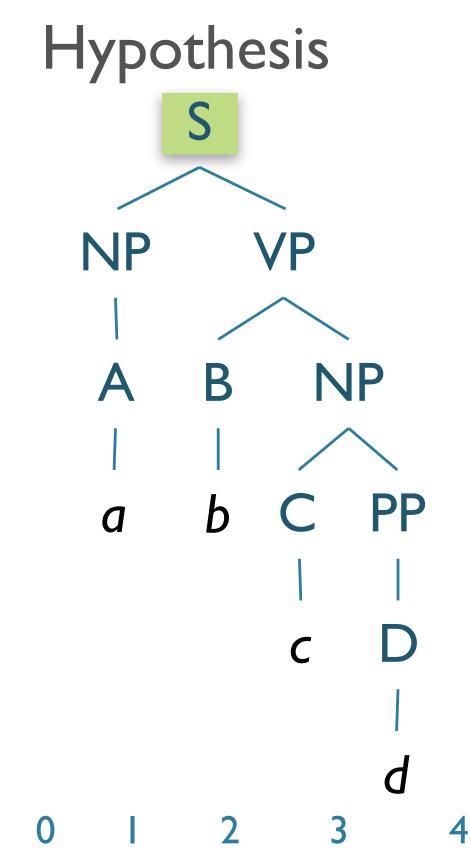


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### **Evaluation: Example** Reference S S NP VP NP S(0,4) NP PP A B A B b С D а b а d С 3 0 2 4



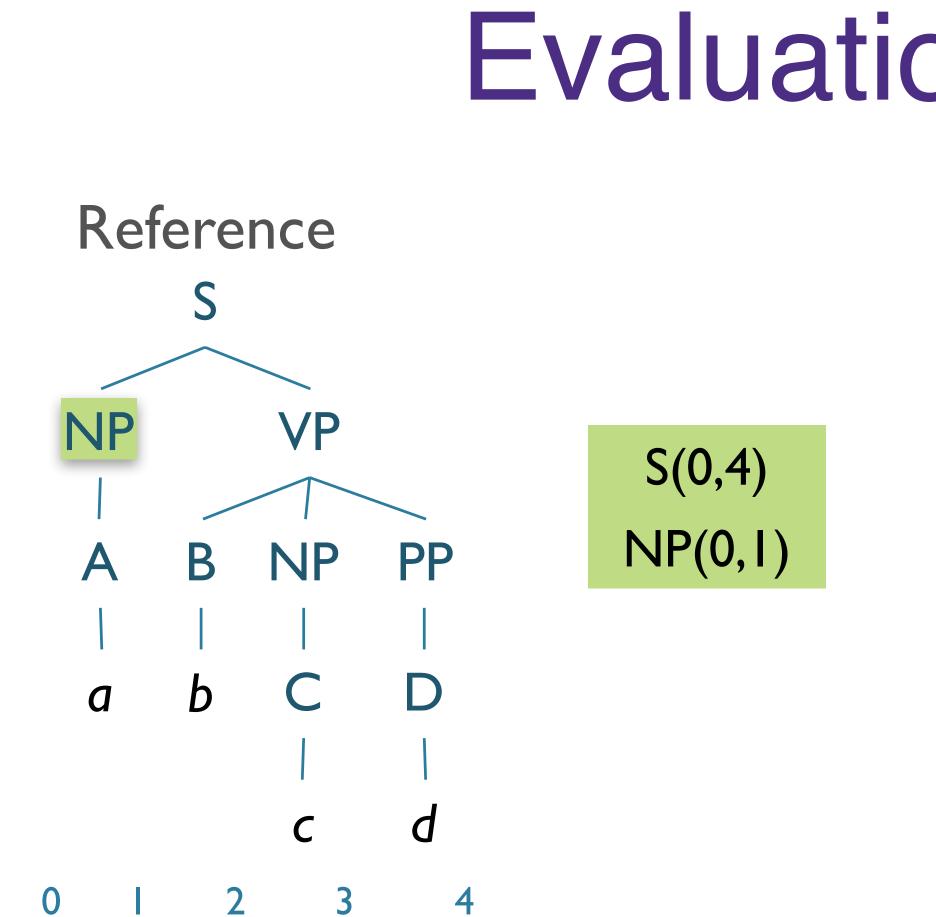
S(0,4)

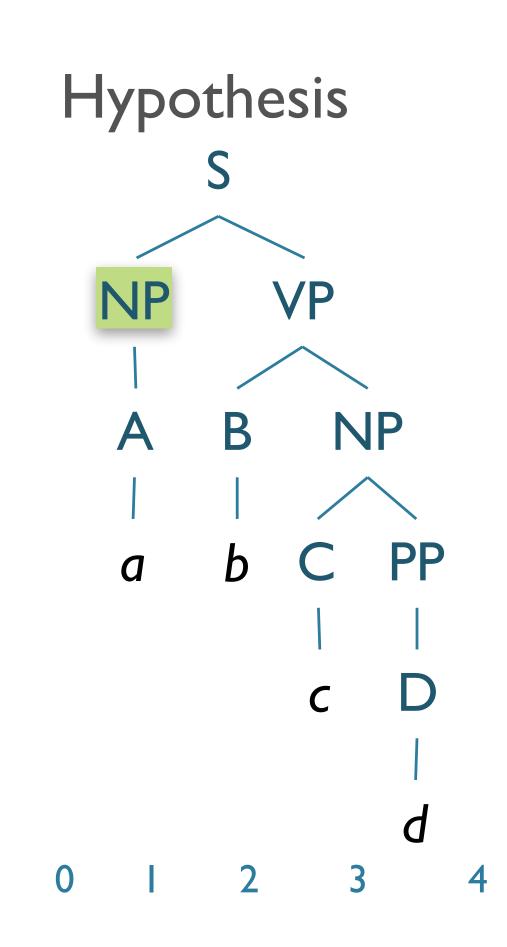
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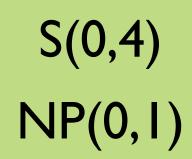


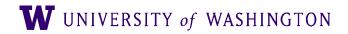






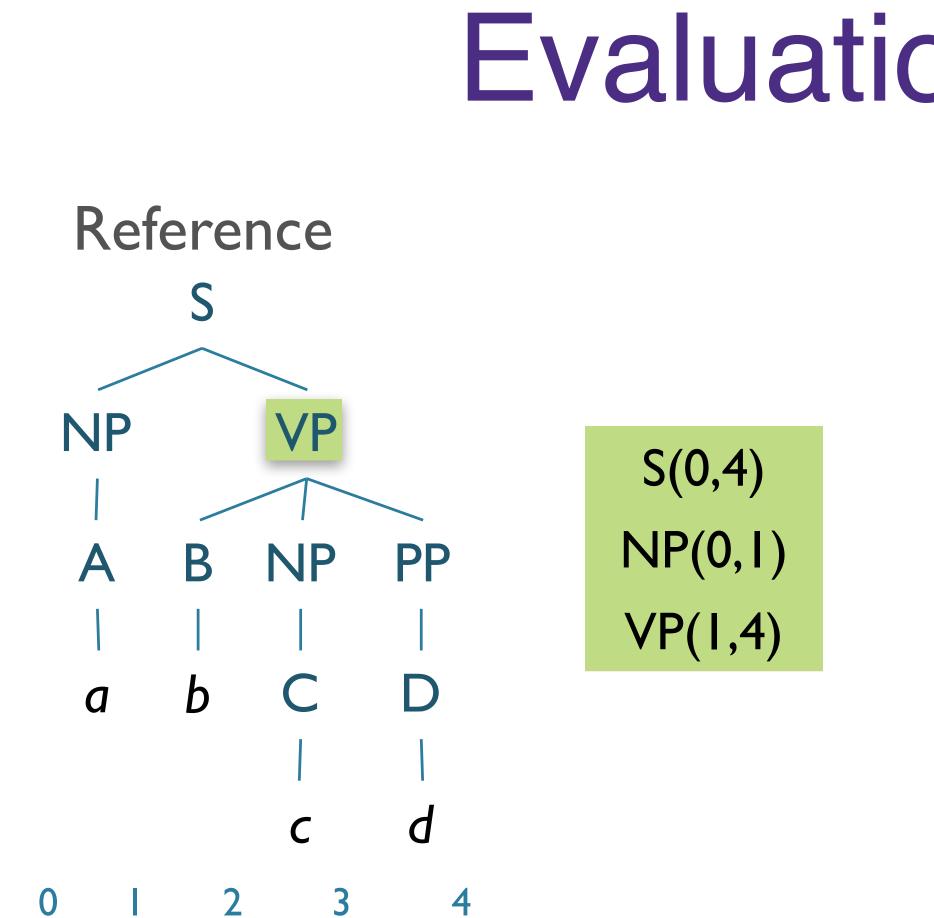


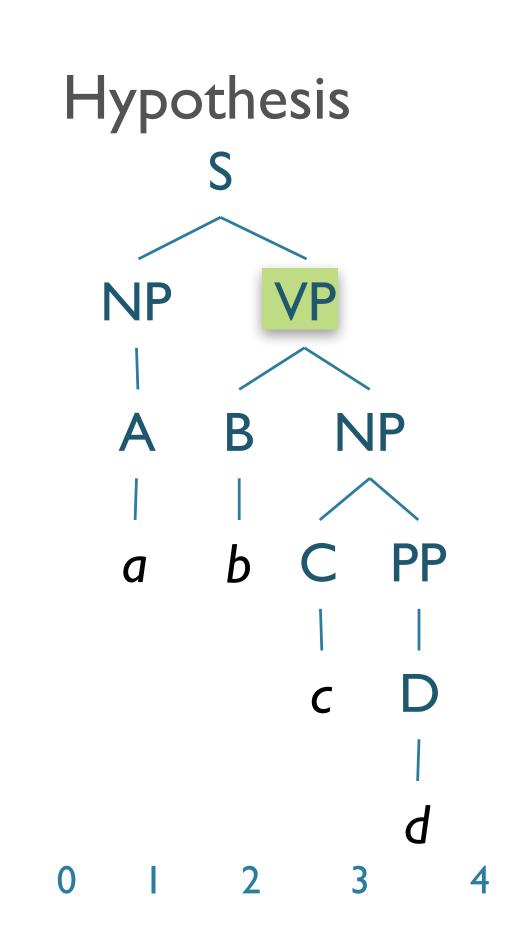










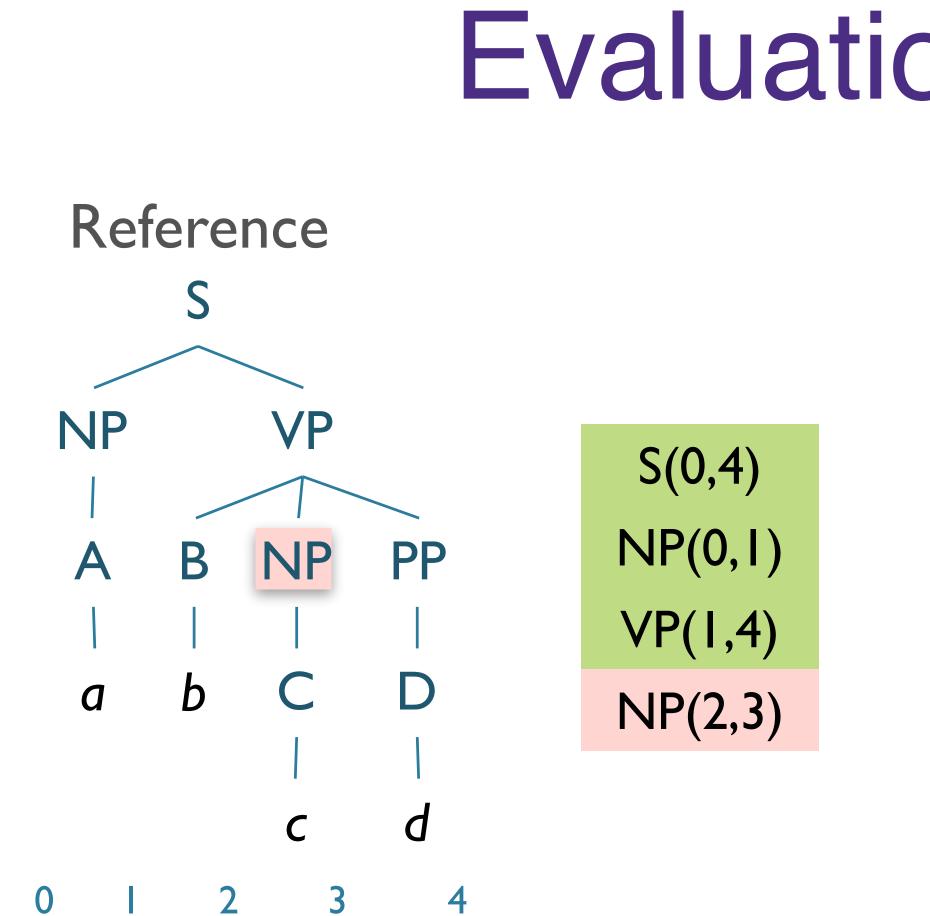


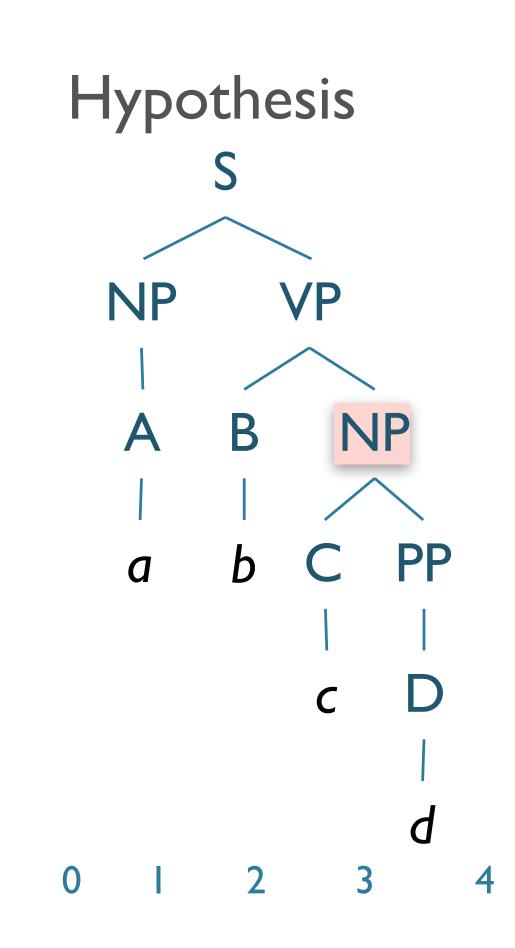
S(0,4) NP(0, I)VP(1,4)

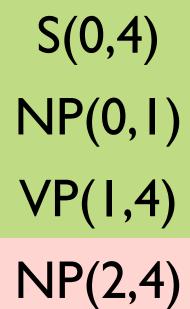










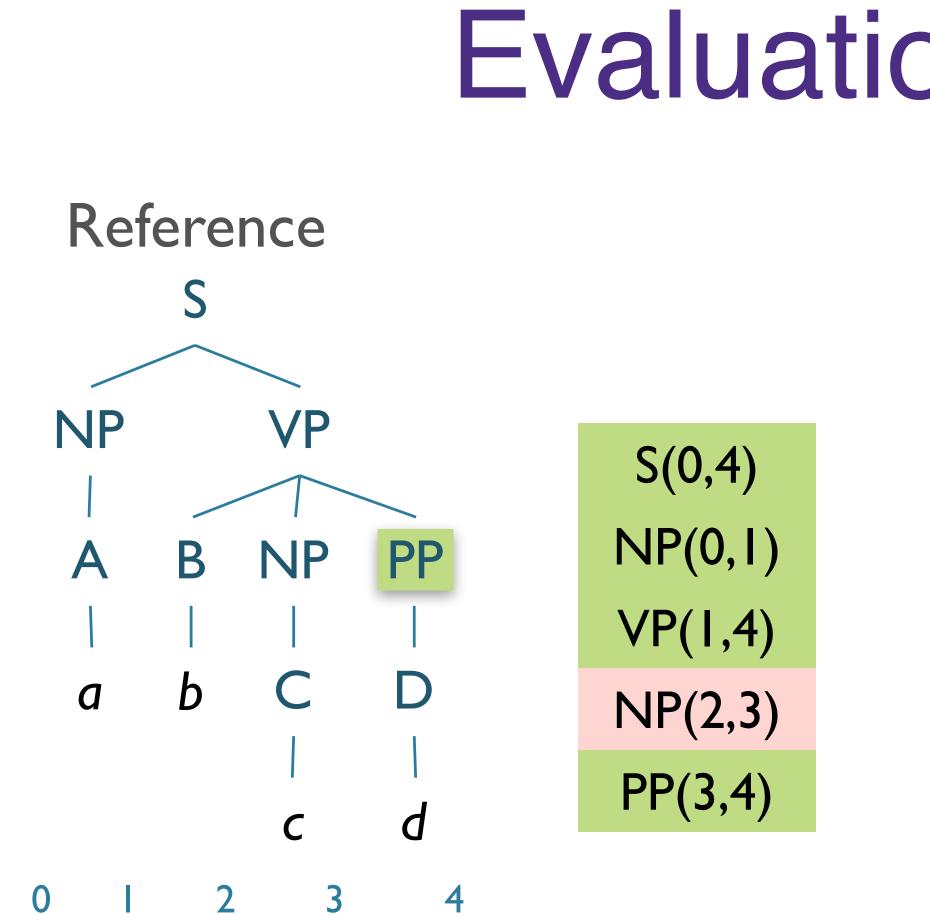


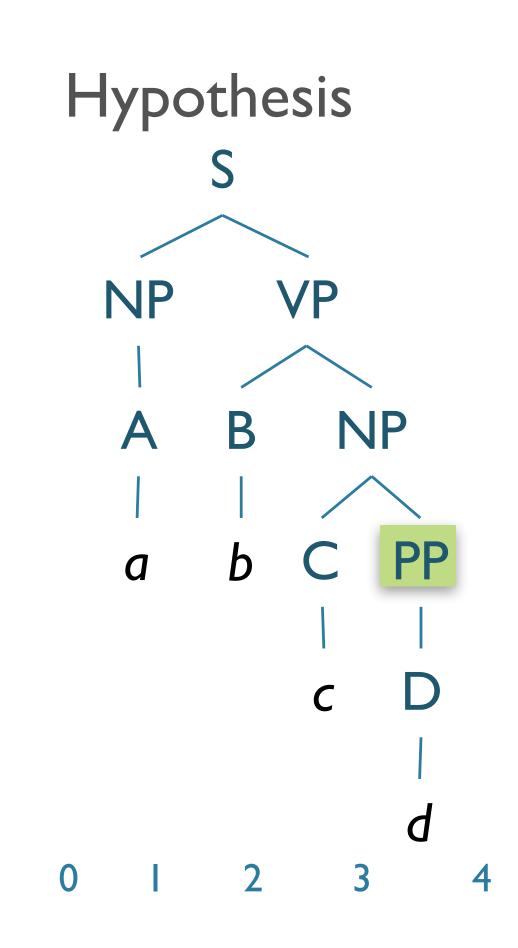
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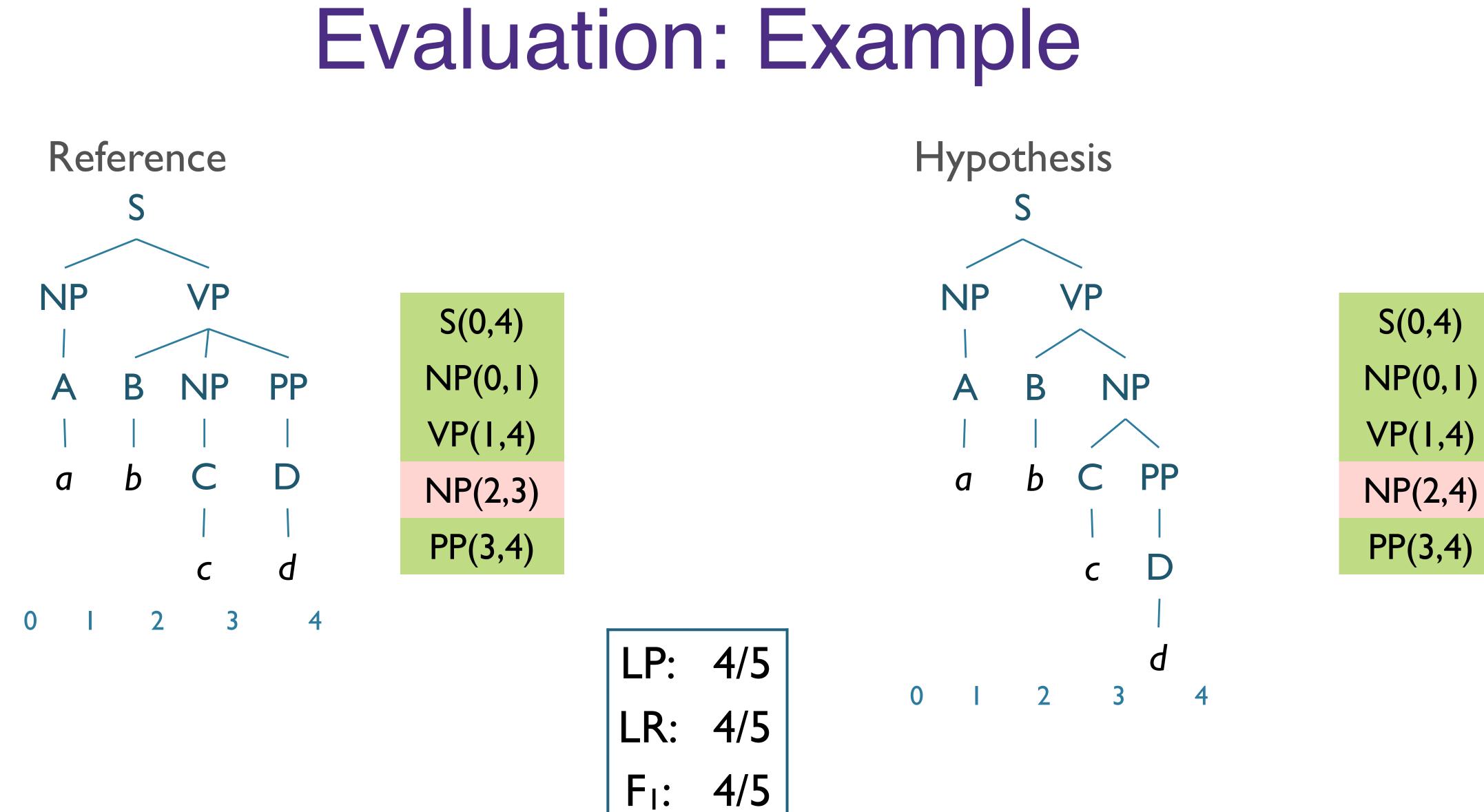
S(0,4) NP(0, I)VP(1,4)NP(2,4) PP(3,4)

















- Crossing Brackets:
  - siblings:
  - $((A B) C) \{ (0,2), (2,3) \}$ and hyp. has  $(A(BC)) - \{ (0,1), (1,3) \}$



TOP

B

### • # of constituents where produced parse has bracketings that overlap for the

```
/* crossing is counted based on the brackets */
/* in test rather than gold file (by Mike) */
for(j=0;j<bn2;j++){</pre>
 for(i=0;i<bn1;i++){</pre>
    if(bracket1[i].result != 5 &&
       bracket2[j].result != 5 &&
       ((bracket1[i].start < bracket2[j].start &&</pre>
         bracket1[i].end > bracket2[j].start &&
         bracket1[i].end < bracket2[j].end) ||</pre>
        (bracket1[i].start > bracket2[j].start &&
         bracket1[i].start < bracket2[j].end &&</pre>
         bracket1[i].end > bracket2[j].end))){
```

from evalb.c







# State-of-the-Art Parsing

- Parsers trained/tested on Wall Street Journal PTB
  - LR: 90%+;
  - LP: 90%+;
  - Crossing brackets: 1%

• Standard implementation of Parseval:

• evalb







## **Evaluation** Issues

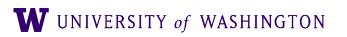
- Only evaluating constituency
- There are other grammar formalisms:
  - LFG (Constraint-based)
  - Dependency Structure
- Extrinsic evaluation
  - How well does getting the correct parse match the semantics, etc?







Earley Parsing







# Earley vs. CKY

- CKY doesn't capture full original structure
  - Can back-convert binarization, terminal conversion
  - Unit non-terminals require change in CKY







# Earley vs. CKY

- CKY doesn't capture full original structure
  - Can back-convert binarization, terminal conversion
  - Unit non-terminals require change in CKY
- Earley algorithm
  - Supports parsing efficiently with arbitrary grammars
  - Top-down search
  - Dynamic programming
    - Tabulated partial solutions
  - Some bottom-up constraints







- Another dynamic programming solution
  - Partial parses stored in "chart"
  - Compactly encodes ambiguity
  - $O(N^3)$
- Chart entries contain:
  - Subtree for a single grammar rule
  - Progress in completing subtree
  - Position of subtree w.r.t. input

# Earley Algorithm







- First, left-to-right pass fills out a chart with N+1 states
  - Chart entries sit between words in the input string
  - Keep track of states of the parse at those positions
  - For each word position, chart contains set of states representing all partial parse trees generated so far
    - e.g. chart[0] contains all partial parse trees generated at the beginning of sentence

# Earley Algorithm









### Chart Entries

- Three types of constituents:
  - Predicted constituents
  - In-progress constituents
  - Completed constituents







- Represented by Dotted Rules
  - Position of indicates type of constituent
- $_0$  Book  $_1$  that  $_2$  flight  $_3$ 
  - $S \rightarrow \cdot VP$ [0,0] (predicted)
  - $NP \rightarrow Det \cdot Nom$  [1,2] (in progress)
  - $VP \rightarrow VNP$  [0,3] (completed)
- [x,y] tells us what portion of the input is spanned so far by rule
- Each state s<sub>i</sub>: <dotted rule>, [<back pointer>, <current position>]

# Parse Progress







# 0 Book 1 that 2 flight 3

- $S \rightarrow VP$ , [0,0]
  - First 0 means S constituent begins at the start of input
  - Second 0 means the dot is here too
  - So, this is a top-down prediction







# 0 Book 1 that 2 flight 3

- $S \rightarrow VP$ , [0,0]
  - First 0 means S constituent begins at the start of input
  - Second 0 means the dot is here too
  - So, this is a top-down prediction
- $NP \rightarrow Det \cdot Nom$ , [1,2]
  - the NP begins at position 1
  - the dot is at position 2
  - so, Det has been successfully parsed
  - Nom predicted next



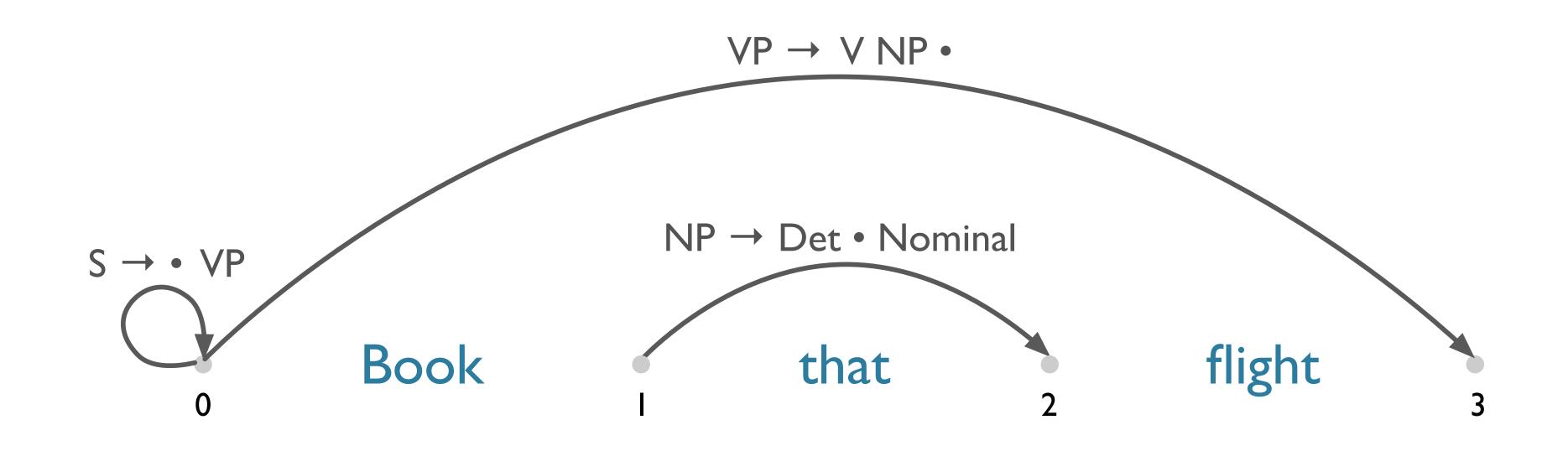


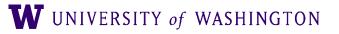


# 0 Book 1 that 2 flight 3 (continued)

### • $V \rightarrow V NP \cdot [0,3]$

• Successful VP parse of entire input









## Successful Parse

- Final answer found by looking at last entry in chart
- If entry resembles  $S \rightarrow \alpha \cdot [0,N]$  then input parsed successfully
- Chart will also contain record of all possible parses of input string, given the grammar







## Parsing Procedure for the Earley Algorithm

- Move through each set of states in order, applying one of three operations:
  - predictor: add predictions to the chart
  - scanner: read input and add corresponding state to chart
  - **completer**: move dot to right when new constituent found
- Results (new states) added to current or next set of states in chart
- No backtracking and no states removed: keep complete history of parse





function EARLEY-PARSE(words, grammar) returns chart ENQUEUE(( $\gamma \rightarrow \bullet S, [0,0]$ ), chart[ $\theta$ ]) for  $i \leftarrow \text{from 0 to LENGTH}(words)$  do for each state in chart[i] do if INCOMPLETE?(*state*) and NEXT-CAT(*state*) is **not** a part of speech **then PREDICTOR**(*state*) elseif INCOMPLETE?(*state*) and NEXT-CAT(*state*) is a part of speech **then SCANNER**(*state*) else **COMPLETER**(*state*) end end return(chart)

# Earley Algorithm







procedure **PREDICTOR** $((A \rightarrow a \bullet B \beta, [i,j]))$ for each  $(B \rightarrow \gamma)$  in GRAMMAR-RULES-FOR(B, grammar) do ENQUEUE( $(B \rightarrow \bullet \gamma, [j,j]), chart[j]$ ) end

procedure SCANNER( $(A \rightarrow a \bullet B \beta, [i, j])$ ) **if** B ⊂ PARTS-OF-SPEECH(*word*/*j*/) **then** ENQUEUE((B  $\rightarrow$  word[j]  $\bullet$ , [j,j+1]), chart[j+1])

procedure COMPLETER( $(B \rightarrow \gamma \bullet, [j,k])$ ) for each  $(A \rightarrow a \bullet B \beta, [i,j])$  in *chart*[j] do ENQUEUE( $(A \rightarrow a B \bullet \beta, [i,k]), chart[k]$ ) end

# Earley Algorithm







# **3 Main Subroutines of Earley**

- Predictor
  - Adds predictions into the chart
- Scanner
- Completer
  - Moves the dot to the right when new constituents are found

• Reads the input words and enters states representing those words into the chart







## Predictor

- Intuition:
  - Create new state for top-down prediction of new phrase
- Applied when non part-of-speech non-terminals are to the right of a dot:
  - $S \rightarrow \cdot VP[0,0]$
- Adds new states to current chart
  - One new state for each expansion of the non-terminal in the grammar  $VP \rightarrow \cdot V$  [0,0]  $VP \rightarrow VNP$  [0,0]









# Chart[0]

S0
$$\gamma \rightarrow \cdot S$$
S1 $S \rightarrow \cdot NP VP$ S2 $S \rightarrow \cdot Aux NP VP$ S3 $S \rightarrow \cdot VP$ S4 $NP \rightarrow \cdot Pronoun$ S5 $NP \rightarrow \cdot Proper-Noun$ S6 $NP \rightarrow \cdot Det Nominal$ S7 $VP \rightarrow \cdot Verb$ S8 $VP \rightarrow \cdot Verb NP$ S9 $VP \rightarrow \cdot Verb NP PP$ S10 $VP \rightarrow \cdot Verb PP$ S11 $VP \rightarrow \cdot VP PP$ 

- [0,0] Dummy start state
- [0.0] Predictor
- [0,0] Predictor
- [0,0] Predictor
- Predictor [0,0] [0,0] Predictor
- [0,0] Predictor
- Predictor [0,0] [0,0] Predictor Predictor [0,0] [0,0] Predictor [0,0] Predictor







# Chart[1]

- S12 Verb  $\rightarrow$  book  $\cdot$
- S13  $VP \rightarrow Verb \cdot$  $VP \rightarrow Verb \cdot NP$ S14 S15  $VP \rightarrow Verb \cdot NP PP$ S16  $VP \rightarrow Verb \cdot PP$
- S17  $S \rightarrow VP \cdot$

S20

S21

- S18  $VP \rightarrow VP \cdot PP$
- $NP \rightarrow \cdot Pronoun$ S19
  - $NP \rightarrow \cdot Proper-Noun$ 
    - *NP* → *Det Nominal*
- S22  $PP \rightarrow \cdot Prep NP$

- [0,1] Scanner
- [0,1] Completer
- [0,1] Completer [0,1] Completer
- [0,1] Completer
- [0,1] Completer
- [0,1] Completer
- [1,1] Predictor [1,1] Predictor [1,1] Predictor Predictor [1,1]





### S0: $\gamma \rightarrow \cdot S[0,0]$

## Book that flight $\gamma$ • S

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### SO: $\gamma \rightarrow \cdot S[0,0]$ S3: $S \rightarrow \cdot VP[0,0]$

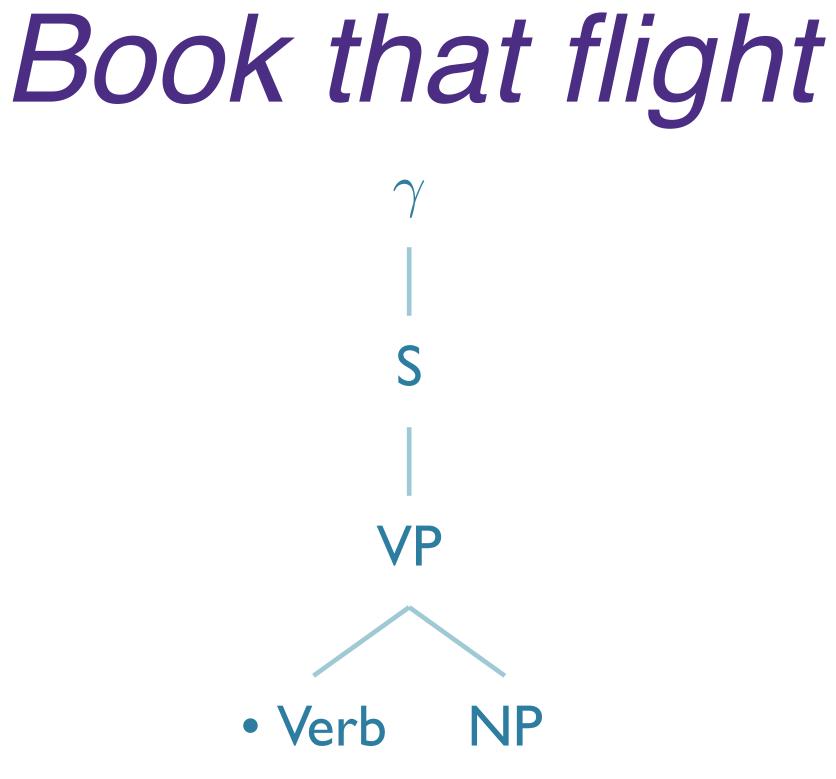
# Book that flight $\gamma$ S • VP

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### SO: $\gamma \rightarrow \cdot S[0,0]$ S3: $S \rightarrow \cdot VP[0,0]$ S8: $VP \rightarrow \cdot Verb NP[0,0]$

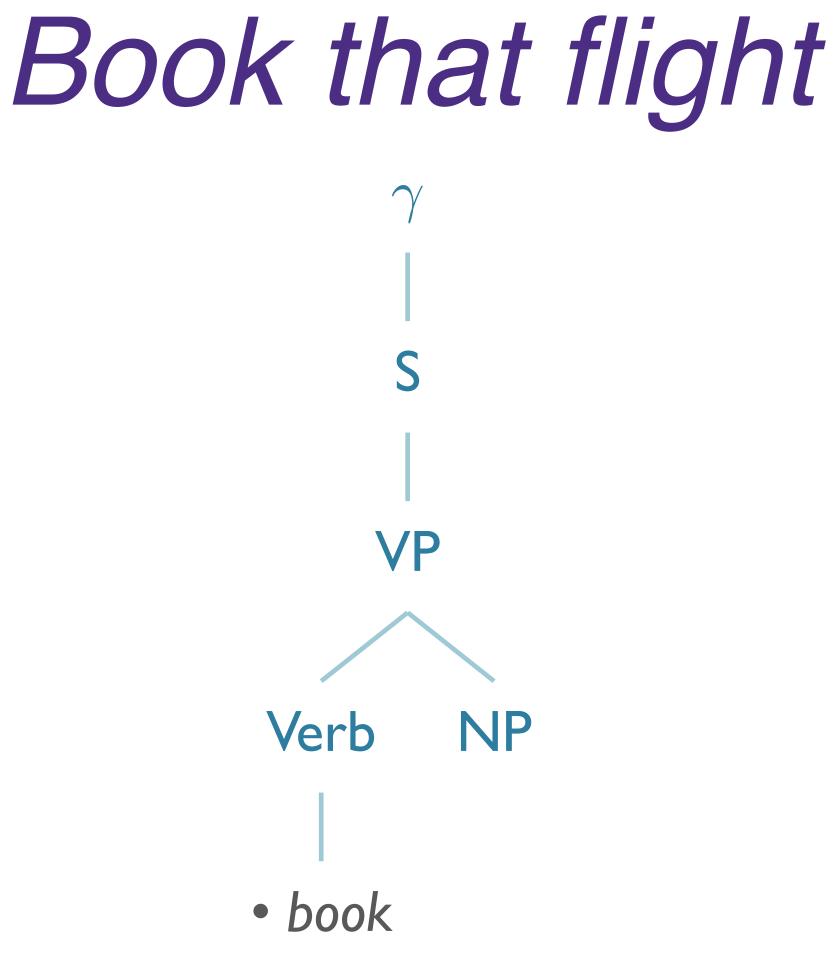


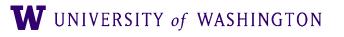






S0:  $\gamma \rightarrow \cdot S[0,0]$ S3:  $S \rightarrow \cdot VP[0,0]$ S8:  $VP \rightarrow \cdot Verb NP[0,0]$ S12: Verb  $\rightarrow \cdot book$  [0,0]



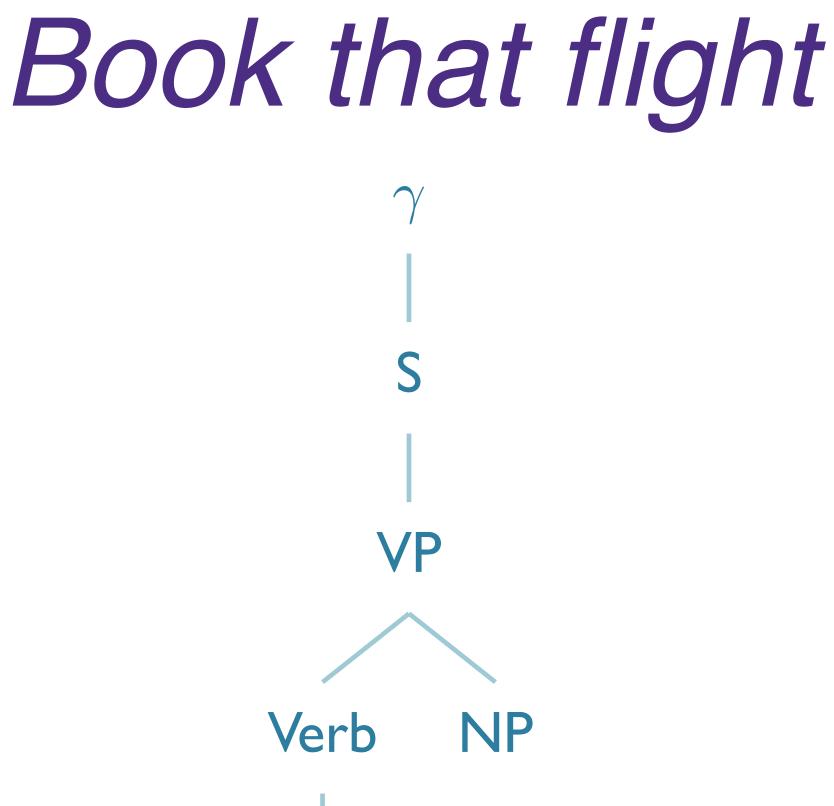






S0:  $\gamma \rightarrow \cdot S[0,0]$ S3:  $S \rightarrow \cdot VP[0,0]$ S8:  $VP \rightarrow \cdot Verb NP[0,0]$ S12: Verb  $\rightarrow$  book  $\cdot$  [0,1]

> Verb book •



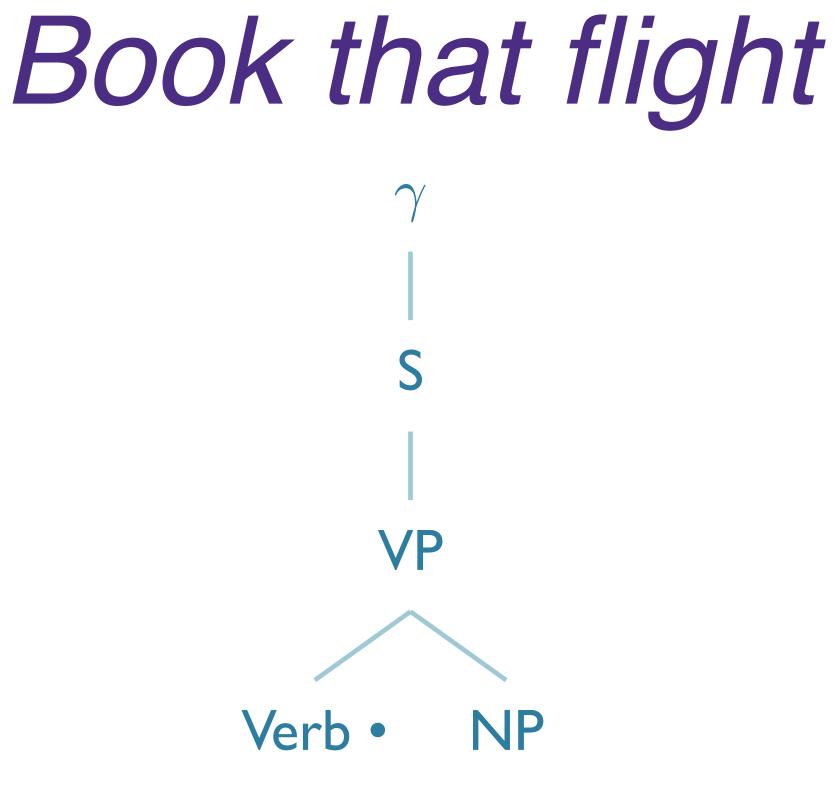






## SO: $\gamma \rightarrow \cdot S[0,0]$ S3: $S \rightarrow \cdot VP[0,0]$ S8: $VP \rightarrow Verb \cdot NP[0,1]$

Verb • book



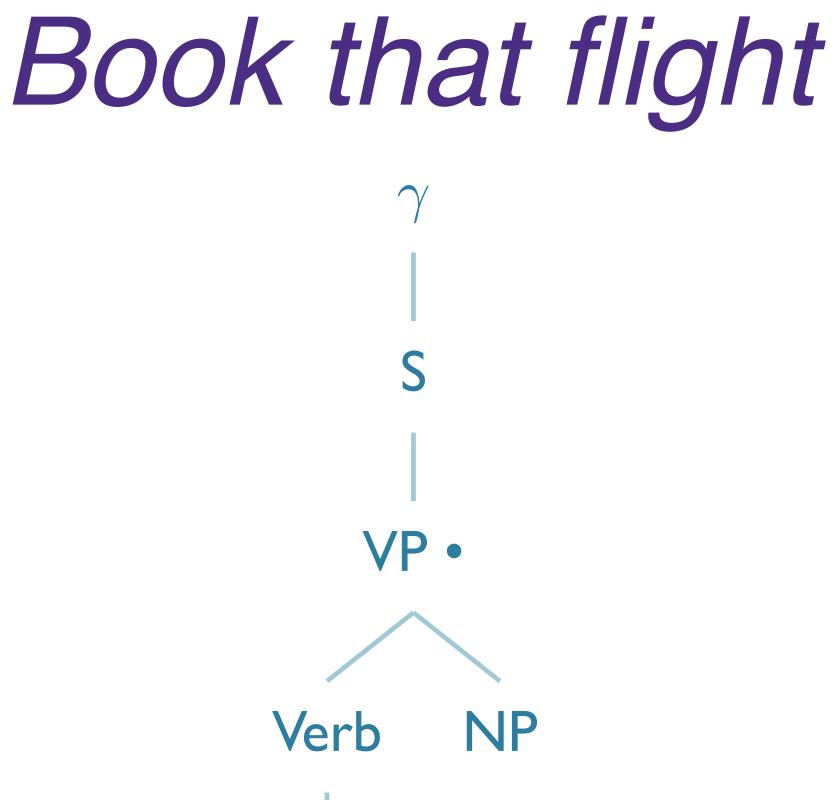


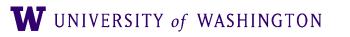




## S0: $\gamma \rightarrow \cdot S[0,0]$ S3: $S \rightarrow VP \cdot [0,1]$ S8: $VP \rightarrow Verb \cdot NP[0,1]$



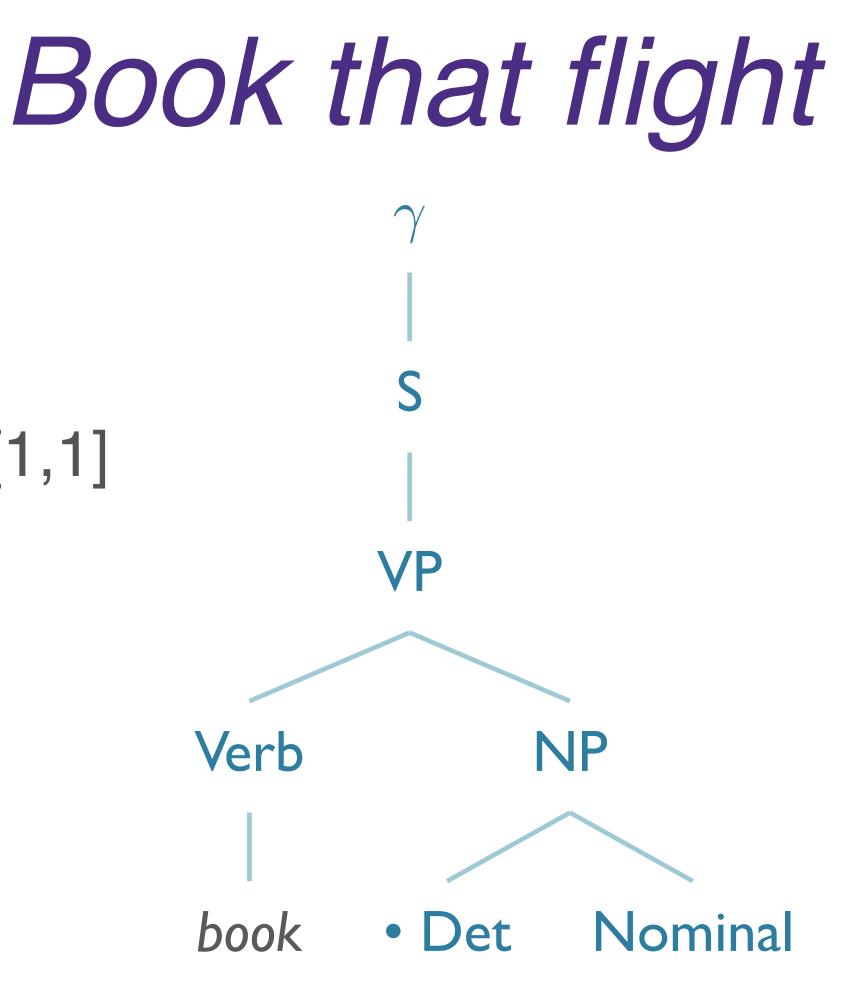








S0:  $\gamma \rightarrow \cdot S[0,0]$ S3:  $S \rightarrow VP \cdot [0,1]$ S8:  $VP \rightarrow Verb \cdot NP[0,1]$ S21:  $NP \rightarrow \cdot Det Nominal[1,1]$ 

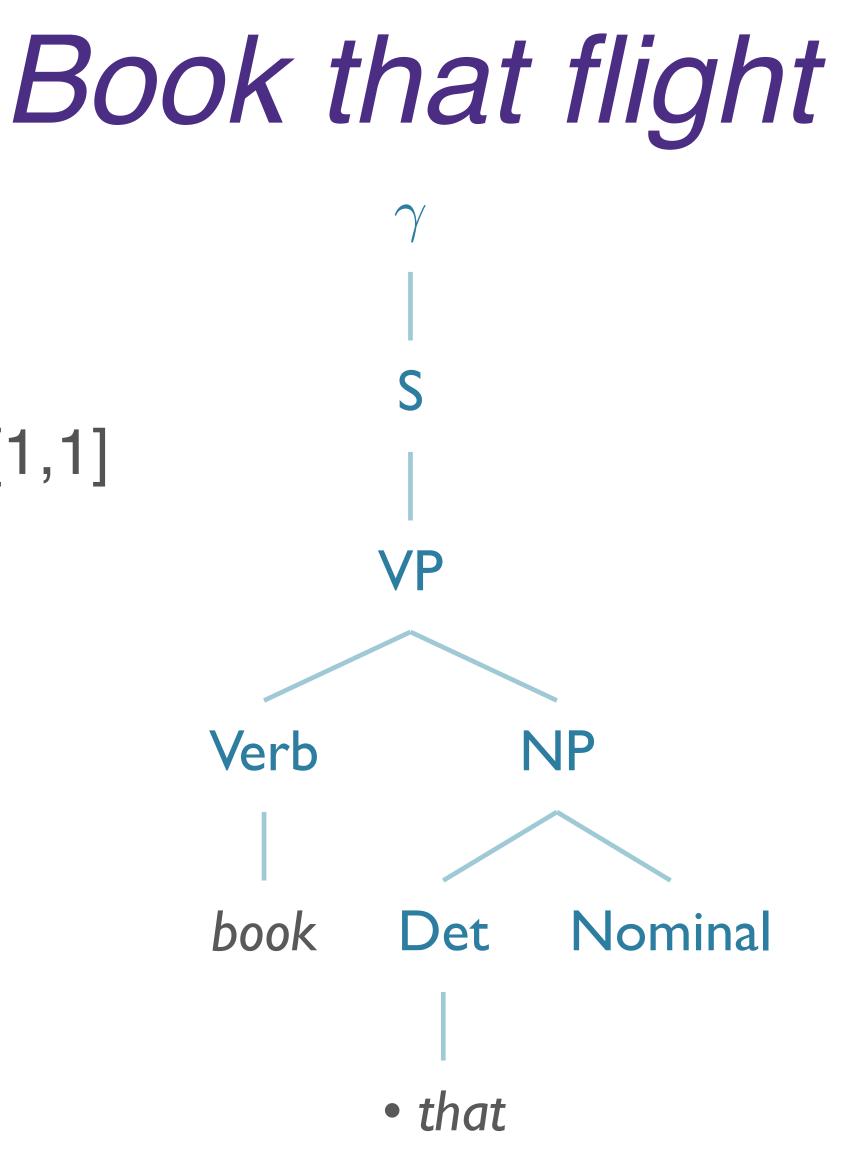


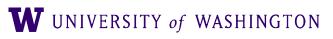






S0:  $\gamma \rightarrow \cdot S[0,0]$ S3:  $S \rightarrow VP \cdot [0,1]$ S8:  $VP \rightarrow Verb \cdot NP[0,1]$ S21:  $NP \rightarrow \cdot Det Nominal[1,1]$ S23: *Det* → • *"that"* [1,1]

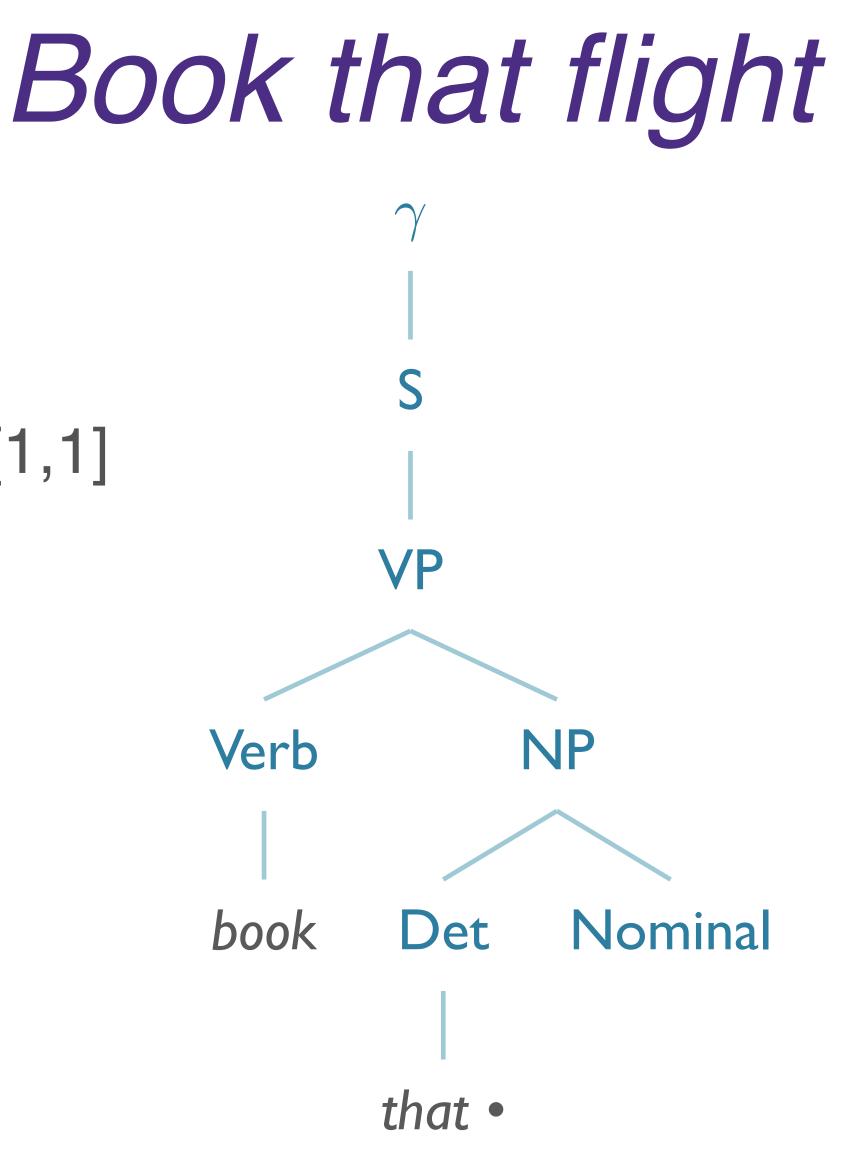








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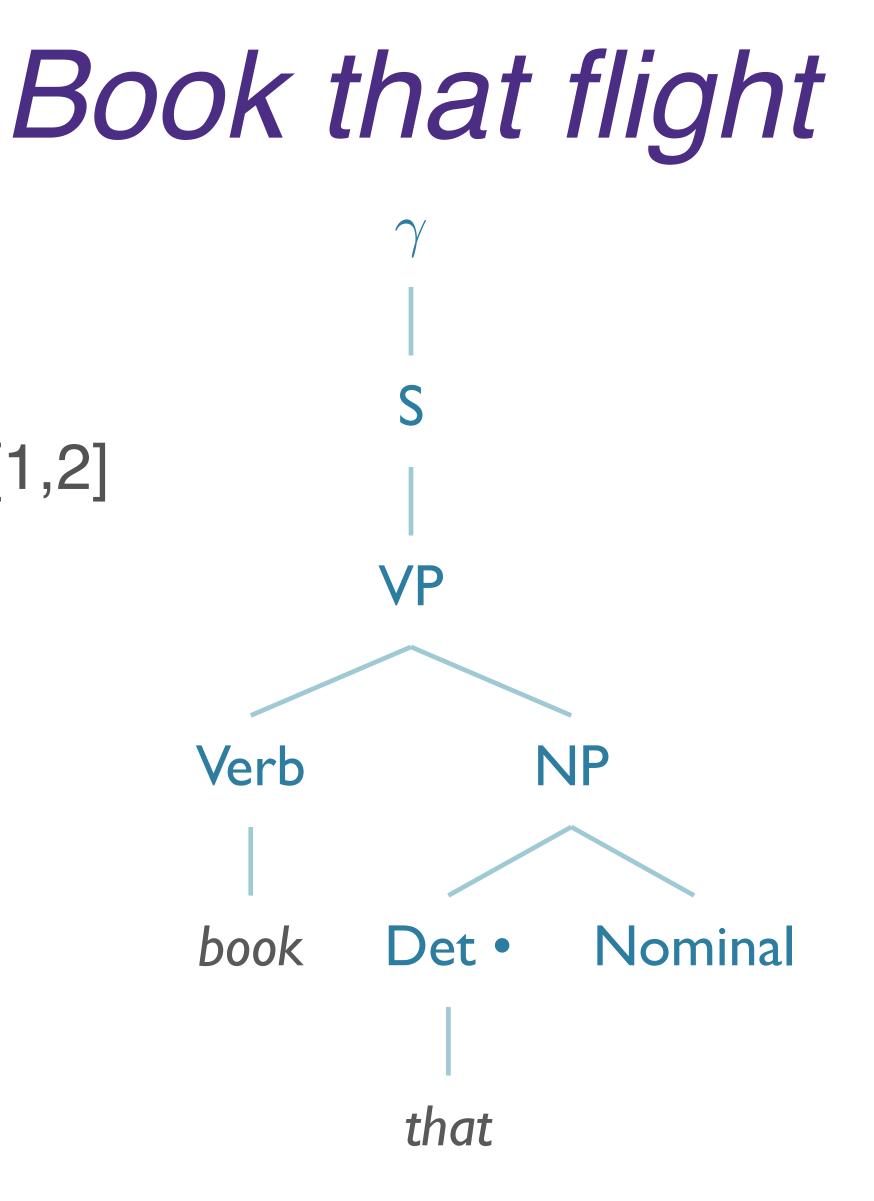








S0:  $\gamma \rightarrow \cdot S[0,0]$ S3:  $S \rightarrow VP \cdot [0,1]$ S8:  $VP \rightarrow Verb \cdot NP[0,1]$ S21:  $NP \rightarrow Det \cdot Nominal[1,2]$ 

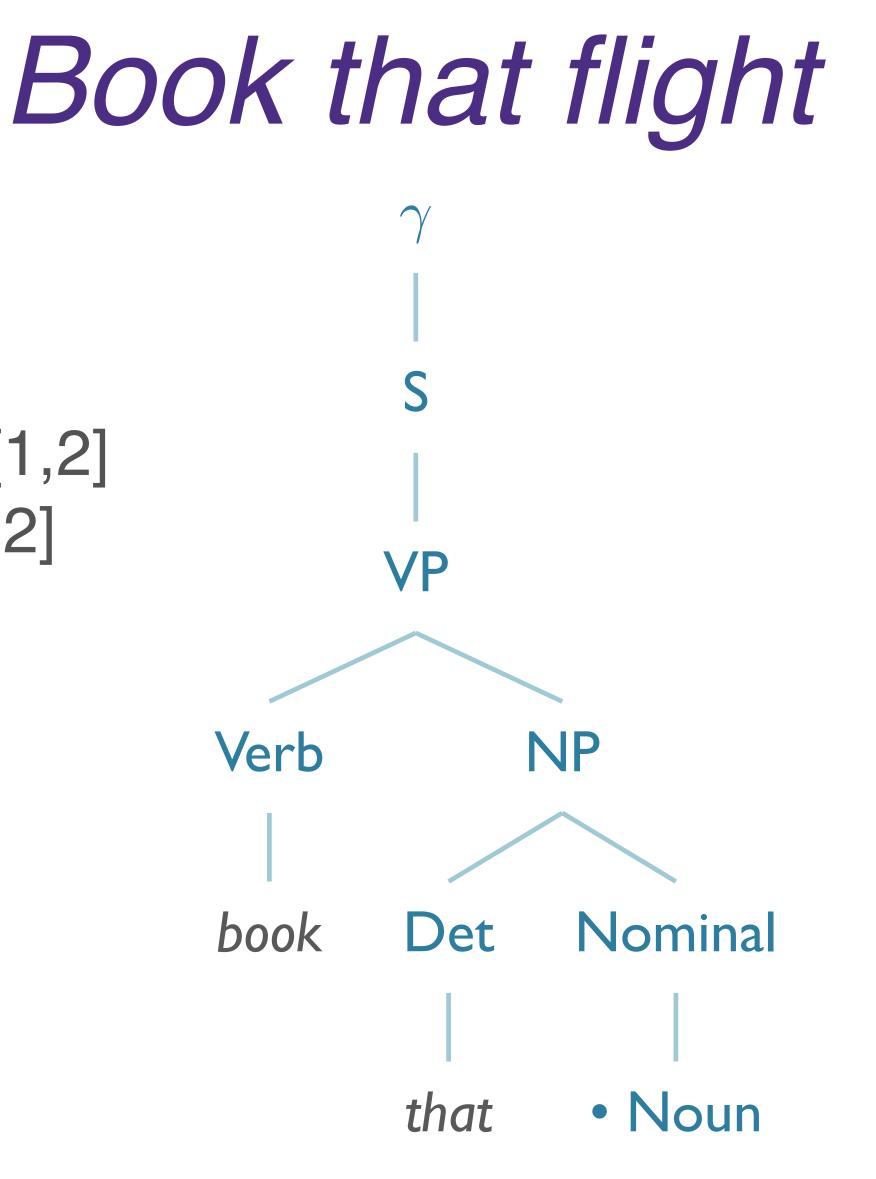








S0:  $\gamma \rightarrow \cdot S[0,0]$ S3:  $S \rightarrow VP \cdot [0,1]$ S8:  $VP \rightarrow Verb \cdot NP[0,1]$ S21:  $NP \rightarrow Det \cdot Nominal[1,2]$ S25: Nominal  $\rightarrow$  • Noun [2,2]

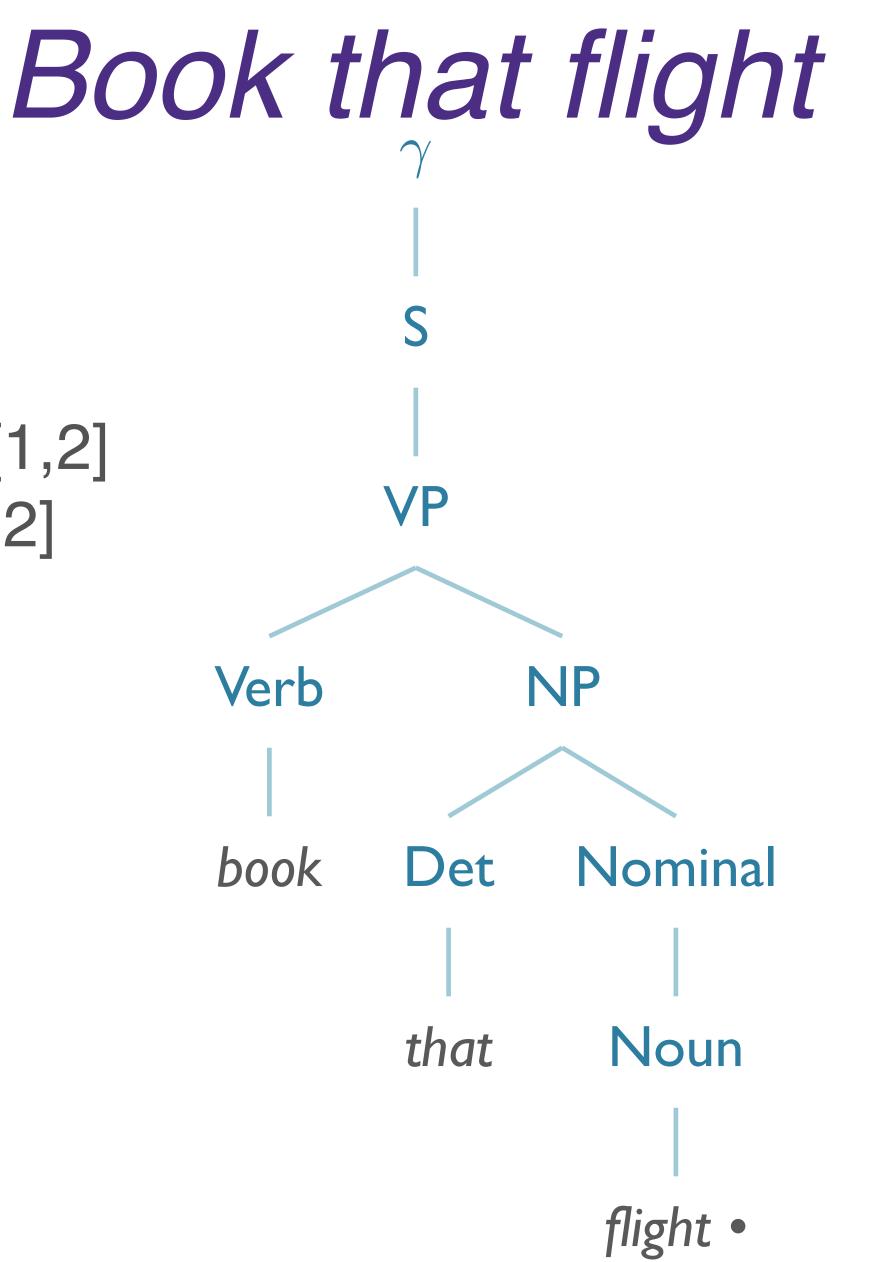






S0:  $\gamma \rightarrow \cdot S[0,0]$ S3:  $S \rightarrow VP \cdot [0,1]$ S8:  $VP \rightarrow Verb \cdot NP[0,1]$ S21:  $NP \rightarrow Det \cdot Nominal$  [1,2] S25: *Nominal* → • *Noun* [2,2] S28: Noun  $\rightarrow$  "flight" • [2,3]

> Verb book

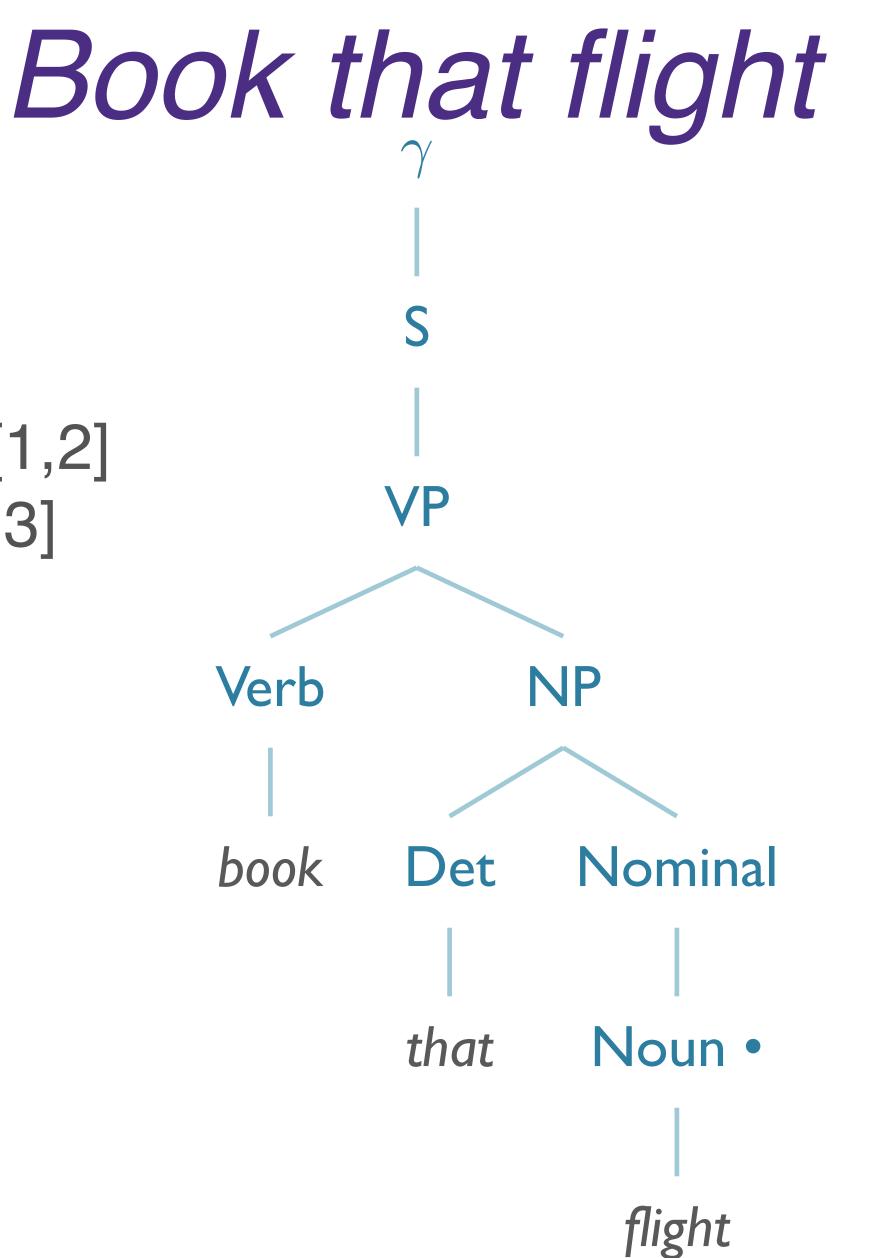






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> Verb book

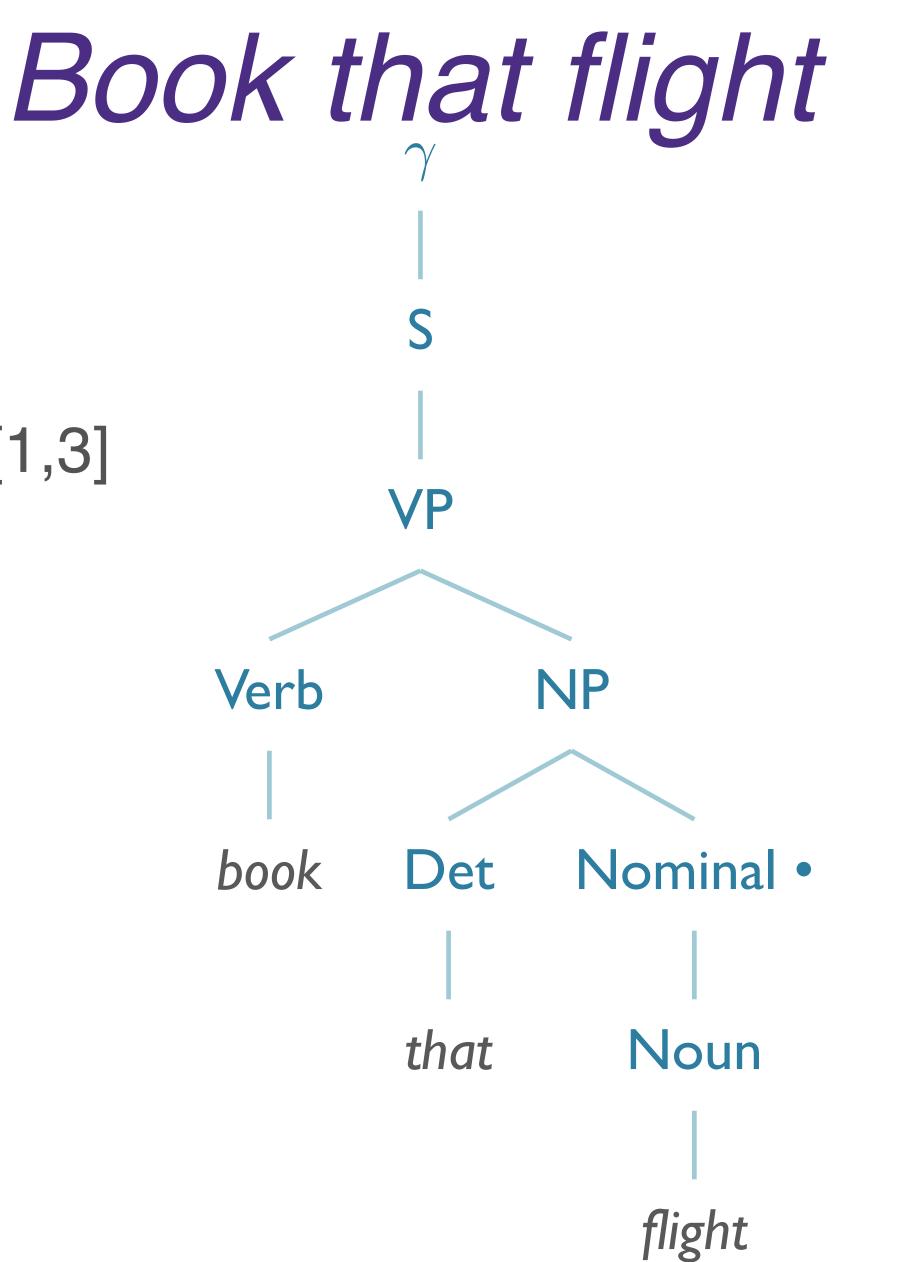






S0:  $\gamma \rightarrow \cdot S[0,0]$ S3:  $S \rightarrow VP \cdot [0,1]$ S8:  $VP \rightarrow Verb \cdot NP[0,1]$ S21:  $NP \rightarrow Det Nominal \cdot [1,3]$ 

> Verb book

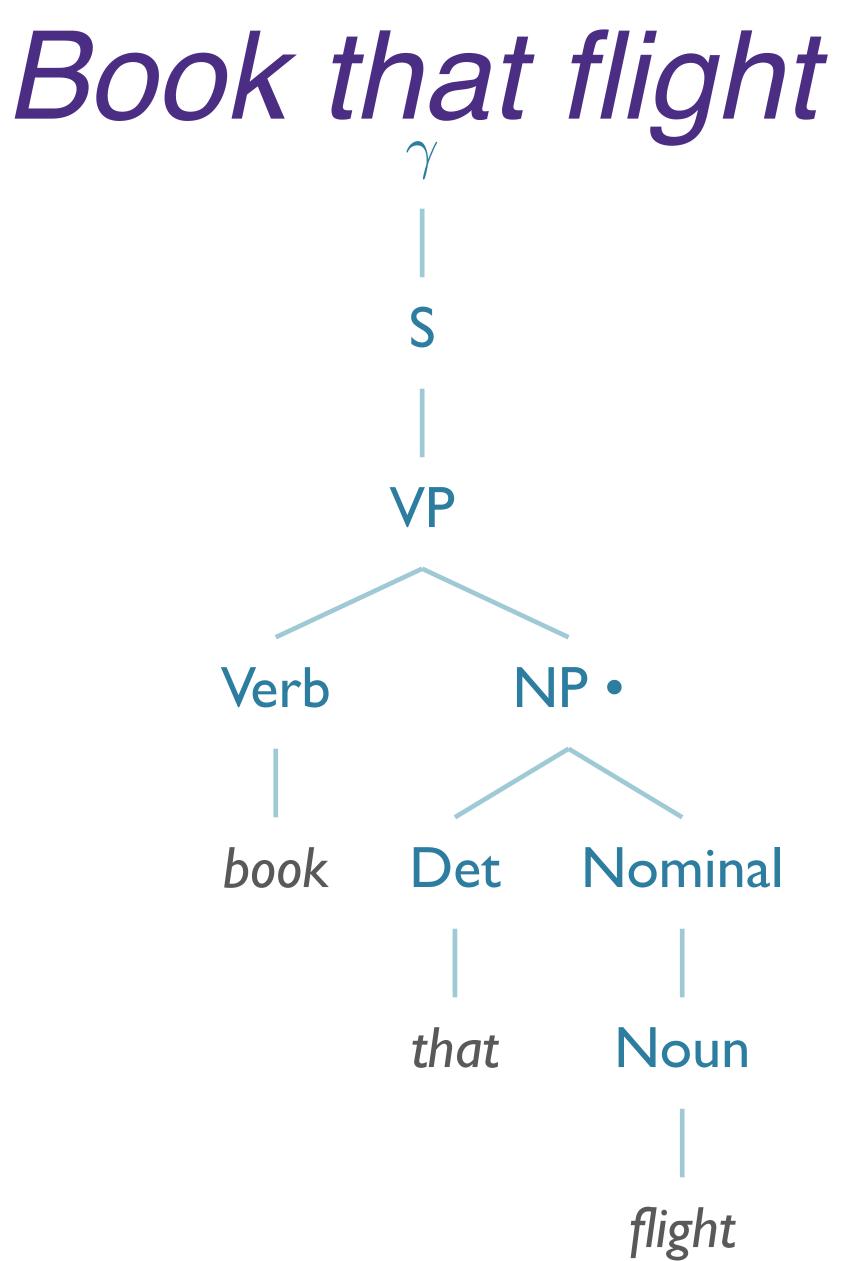






## S0: $\gamma \rightarrow \cdot S[0,0]$ S3: $S \rightarrow VP \cdot [0,1]$ S8: $VP \rightarrow Verb NP \cdot [0,3]$

Verb book

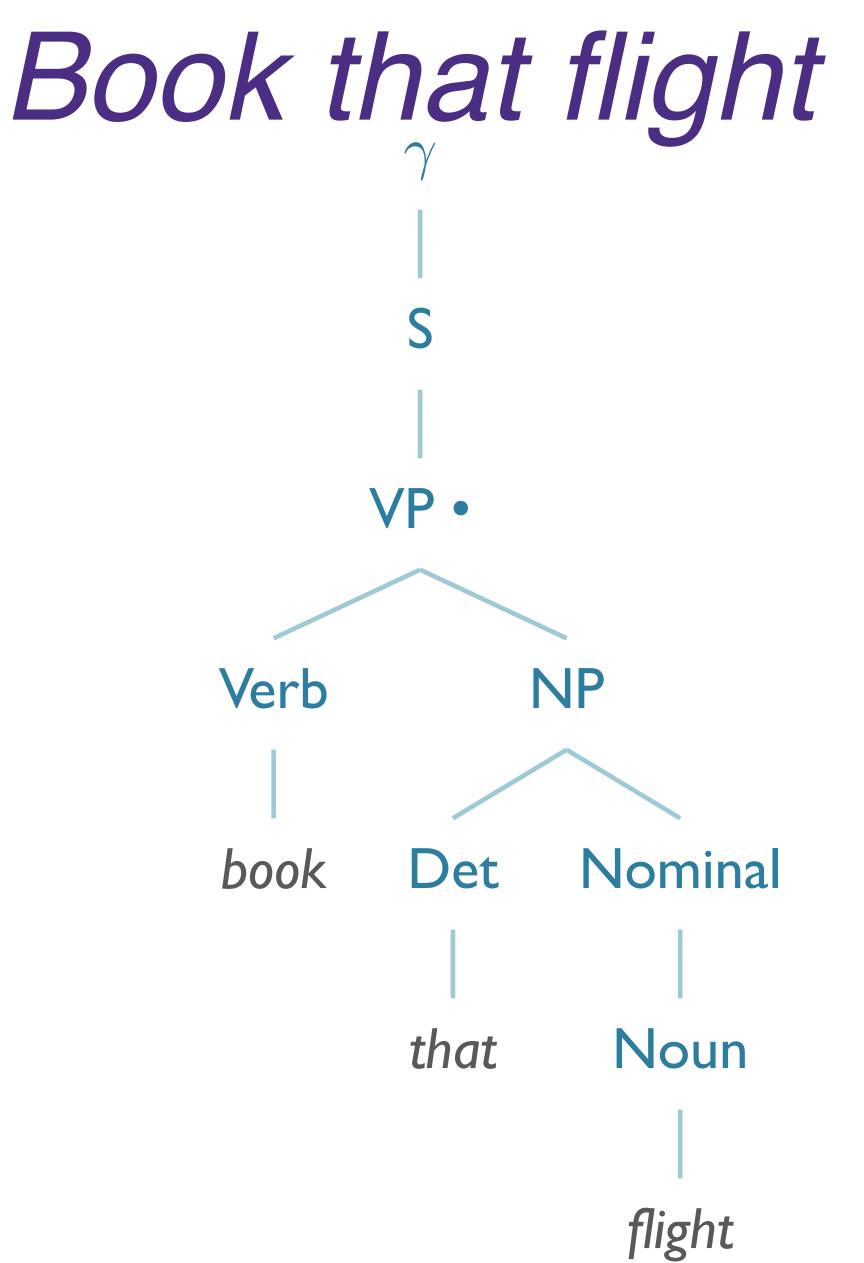






## S0: $\gamma \rightarrow \cdot S[0,0]$ S3: $S \rightarrow VP \cdot [0,3]$

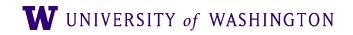
Verb book







What About Dead Ends?



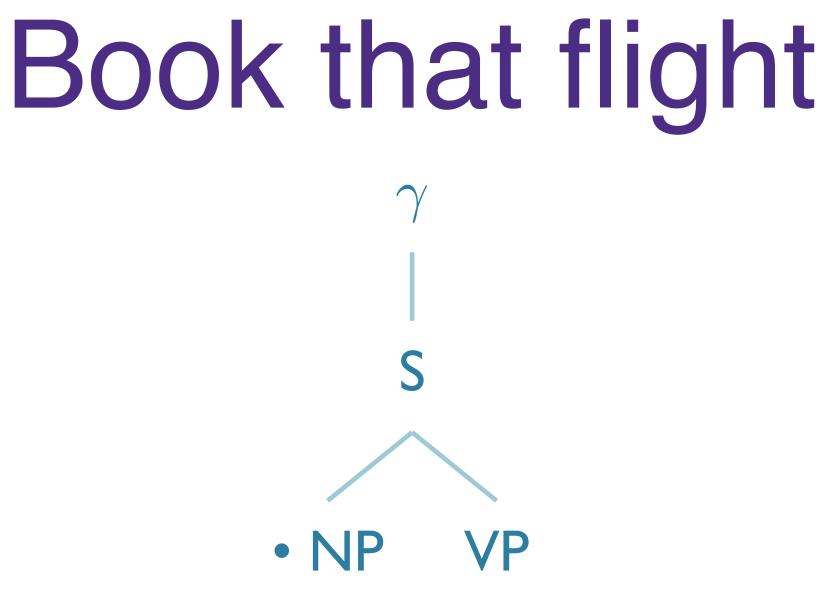


## S0: $\gamma \rightarrow \cdot S[0,0]$ S1: $S \rightarrow \cdot NP VP [0,0]$

 $NP \rightarrow \bullet Pronoun$  $NP \rightarrow \cdot Proper-Noun$ *NP* → • *Det Nominal* 

book

 $\bullet \bullet \bullet$ 







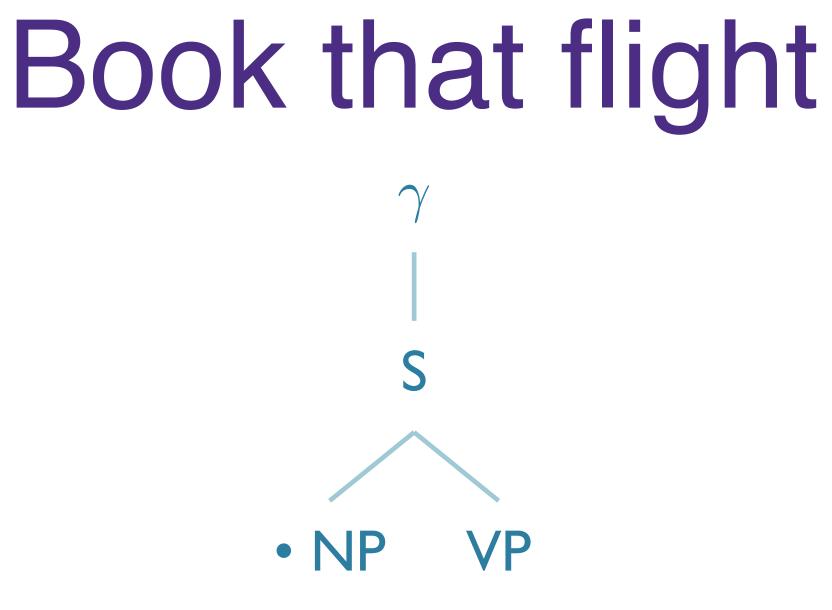


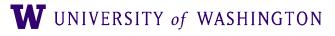
## S0: $\gamma \rightarrow \cdot S[0,0]$ S1: $S \rightarrow \cdot NP VP [0,0]$





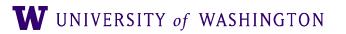
 $\bullet \bullet \bullet$ 



















• We now have a top-down parser in hand. Does it enter infinite loops on rules like S -> S 'and' S?







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• No!

**procedure ENQUEUE**(*state*, *chart-entry*) if *state* is not already in *chart-entry* then PUSH(*state*, *chart-entry*) end

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**Exercise**: parse 'table and chair' using the very simple grammar Nom -> Nom 'and' Nom | 'table' | 'chair'

## • We now have a top-down parser in hand. Does it enter infinite loops on





