

Syntax: Context-Free Grammars

LING 571 — Deep Processing Techniques for NLP

Oct 3, 2022

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Announcements

- Thanks for participation on Canvas!
- Cassie office hours update: T 9-10AM hybrid; Th 1-2PM virtual only
- Readme for HW1: upload a file with a very short statement of what you did and anything interesting you encountered. More detailed specs for readme in future assignments.
- Output format: try to copy *exactly*; your hw1 script run with the toy data should produce output that exactly matches toy_output.txt
 - Single space after the colon; truncate decimals to 3 places
- Python versions: use full paths to binaries; see ``ls /opt | grep python``
- File paths will be given as full paths, so your script should accept those

Roadmap

- **Constituency**
- Context-free grammars (CFGs)
- English Grammar Rules
- Grammars — Revisiting our Motivation
- Treebanks
- Speech and Text
- Parsing

Constituency

- Some examples of noun phrases (NPs):

Harry the Horse

the Broadway coppers

they

a high-class spot such as Mindy's

the reason he comes into the Hot Box

three parties from Brooklyn

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Harry the Horse	a high-class spot such as Mindy's
the Broadway coppers	the reason he comes into the Hot Box
they	three parties from Brooklyn

- How do we know that these are constituents?
 - We can perform constituent tests

Constituent Tests

- Many types of tests for constituency (see [Sag, Wasow, Bender \(2003\), pp. 29-33](#))
- One type (for English) is **clefting**
 - It is _____ that _____
 - Is the resulting sentence valid English?

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W What are some constituents in: "The students are currently responding to a PollEverywhere about constituency in natural language."?

Total Results: 0

What are some non-constituents in: "The students are currently responding to a PollEverywhere about constituency in natural language."?

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Representation: Context-free Grammars

- CFGs: 4-tuple
 - A set of **terminal** symbols: Σ
 - (think: words)
 - A set of **nonterminal** symbols: N
 - (*Think: phrase categories*)
 - A set of **productions** P :
 - of the form $A \rightarrow \alpha$
 - Where A is a non-terminal and $\alpha \in (\Sigma \cup N)^*$
 - A **start** symbol $S \in N$

CFG Components

- Productions:
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 - $Det \rightarrow \text{'the'}$

Grammar Rules

Examples

$S \rightarrow NP VP$

I + want a morning flight

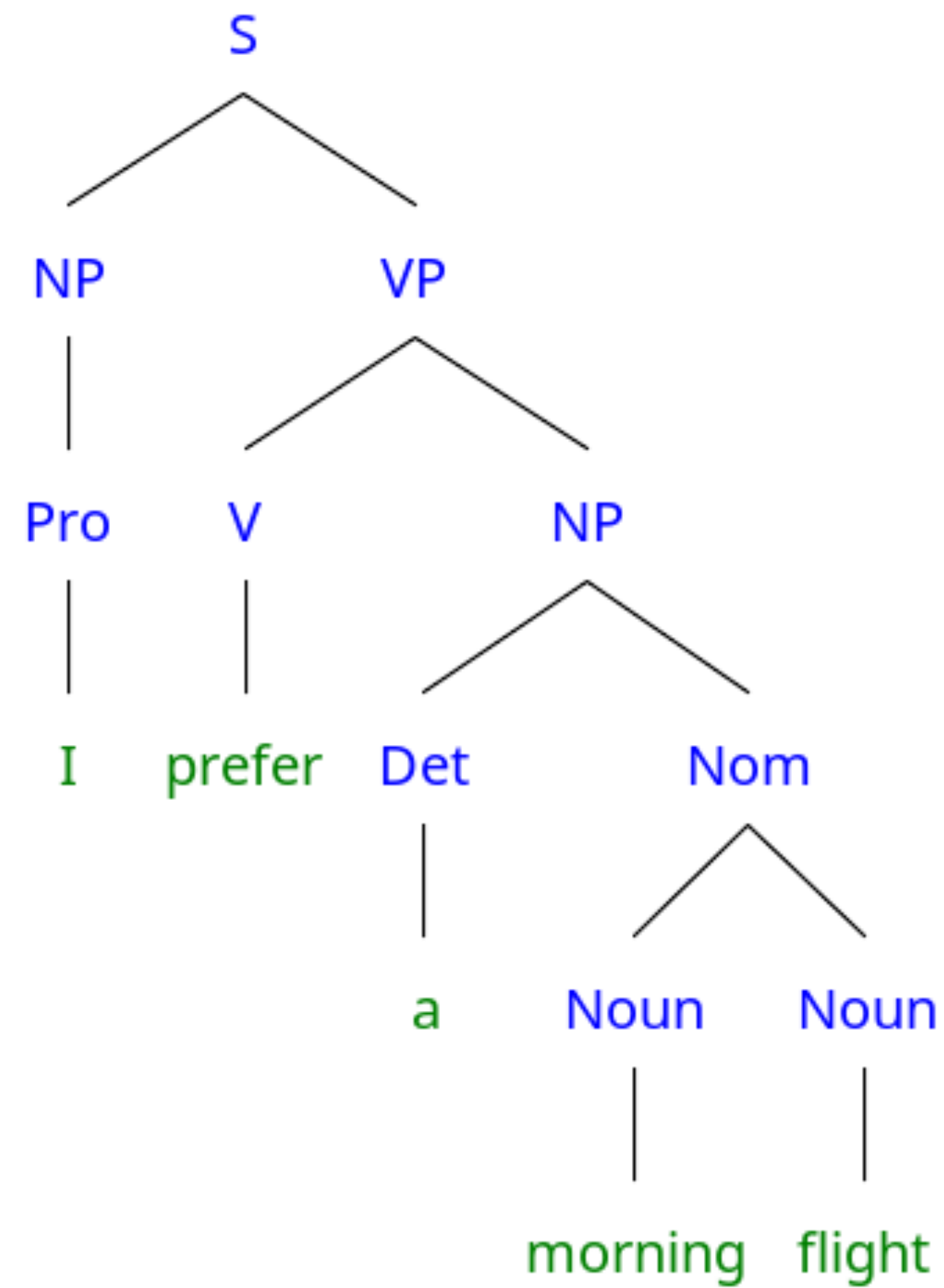
Grammar Rules			Examples
S	\rightarrow	$NP VP$	I + want a morning flight
NP	\rightarrow	$Pronoun$	I
		$Proper-Noun$	Los Angeles
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VP	\rightarrow	$Verb$	do
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		<i>Verb NP PP</i>	leave + Boston + in the morning
		<i>Verb PP</i>	leaving + on Thursday
<i>PP</i>	→	<i>Preposition NP</i>	from + Los Angeles

Parse Tree



Some English Grammar

- Sentences: Full sentence or clause; a complete thought
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- **Wh-non-subject question:** $S \rightarrow Wh-NP Aux NP VP$
 - (Wh-NP What flights) (Aux do) (NP you) (VP have from Seattle to Orlando?)

Visualizing Parse Trees

- ```
>>> tree = nltk.tree.Tree.fromstring("(S (NP (Pro I)) (VP (V prefer) (NP (Det a) (Nom (Noun flight) (Noun flight)))))")
```

```
>>> tree.draw()
```
- Web apps: <https://yohasebe.com/rsyntaxtree/>
- LaTeX: `qtree` (/ `tikz-qtree`) package

**RSyntaxTree**  
Yet another syntax tree generator made with Ruby and RMagick

Check Clear

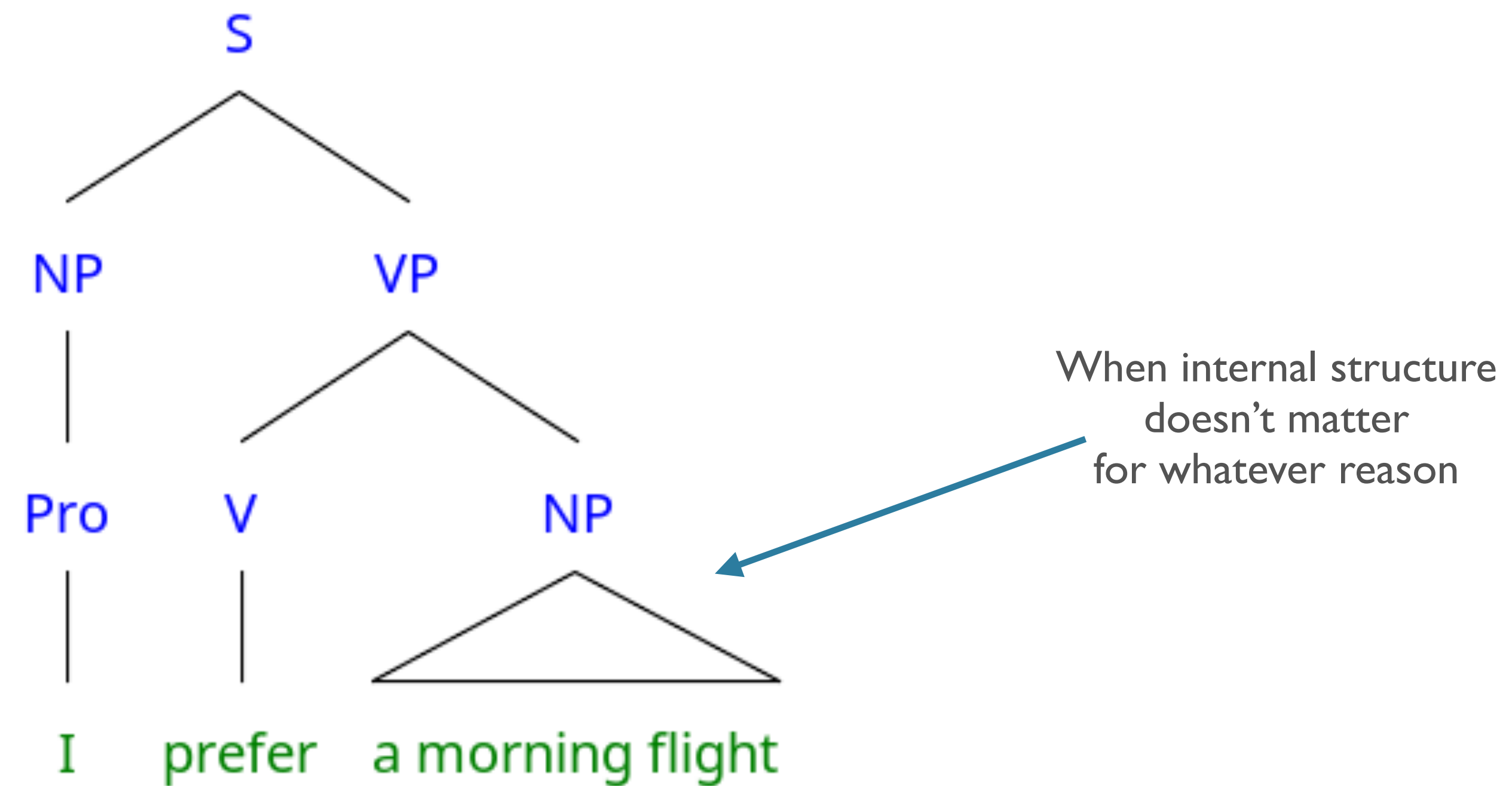
1 [S [NP [Pro I]] [VP [V prefer] [NP [Det a] [Nom [Noun flight] [Noun flight]]]]]

Textarea is vertically resizable

Connector shape: Auto  
Font style: Noto Sans  
Font size: 10  
Margin: 0  
Connector height: 1.0  
Color: On Off  
Symmetrize: On Off  
Auto-subscript: On Off

Draw PNG PDF SVG Upload to Gyazo

# Partial Parses



# The Noun Phrase

- Noun phrase constituents can take a range of different forms:

|                 |                                   |
|-----------------|-----------------------------------|
| Harry the Horse | a magazine                        |
| water           | twenty-three alligators           |
| Ram's homework  | the last page of Ram's homework's |

- We'll examine a few ways these differ



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- Can more explicitly introduce an entity as part of the specifier

United's flight  
United's pilot's union  
Denver's mayor's mother's canceled flight

# The Determiner

- *Det* → *DT*
  - ‘*the*’, ‘*this*’, ‘*a*’, ‘*those*’

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  - ‘the’, ‘this’, ‘a’, ‘those’
- *Det* → **NP**’s
  - “United’s flight”: (**Det** (**NP** United) ’s)
  - “the professor’s favorite brewery”: (**Det** (**NP** (**Det** the) (**NP** professor)) ’s)

# The Nominal

- Nominals contain pre- and post-head noun modifiers
  - Occurs after the determiner (in English)
- Can exist as just a bare noun:
  - *Nominal* → *Noun*
    - PTB POS: NN, NNS, NNP, NNPS
    - ‘flight’, ‘dinners’, ‘Chicago Midway’, ‘UW Libraries’



# Pre-nominal modifiers (*“Postdeterminers”*)

- Occur before the head noun in a nominal
- Can be any combination of:
  - Cardinal numbers (e.g. *one, fifteen*)
  - Ordinal numbers (e.g. *first, thirty-second*)
  - Quantifiers (e.g. *some, a few*)
  - Adjective phrases (e.g. *longest, non-stop*)

# Postmodifiers

- Occur after the head noun
- In English, most common are: *(a flight...)*
  - Prepositional phrase *(e.g. ... from Cleveland)*
  - non-finite clause *(e.g. ... arriving after eleven a.m.)*
  - relative clause *(e.g. ... that serves breakfast)*

# Combining Everything

- $NP \rightarrow (Det) Nom$
- $Nom \rightarrow (Card) (Ord) (Quant) (AP) Nom$
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- (Bonus: within the AP: *adjective ordering preferences* [Scontras et al '19])

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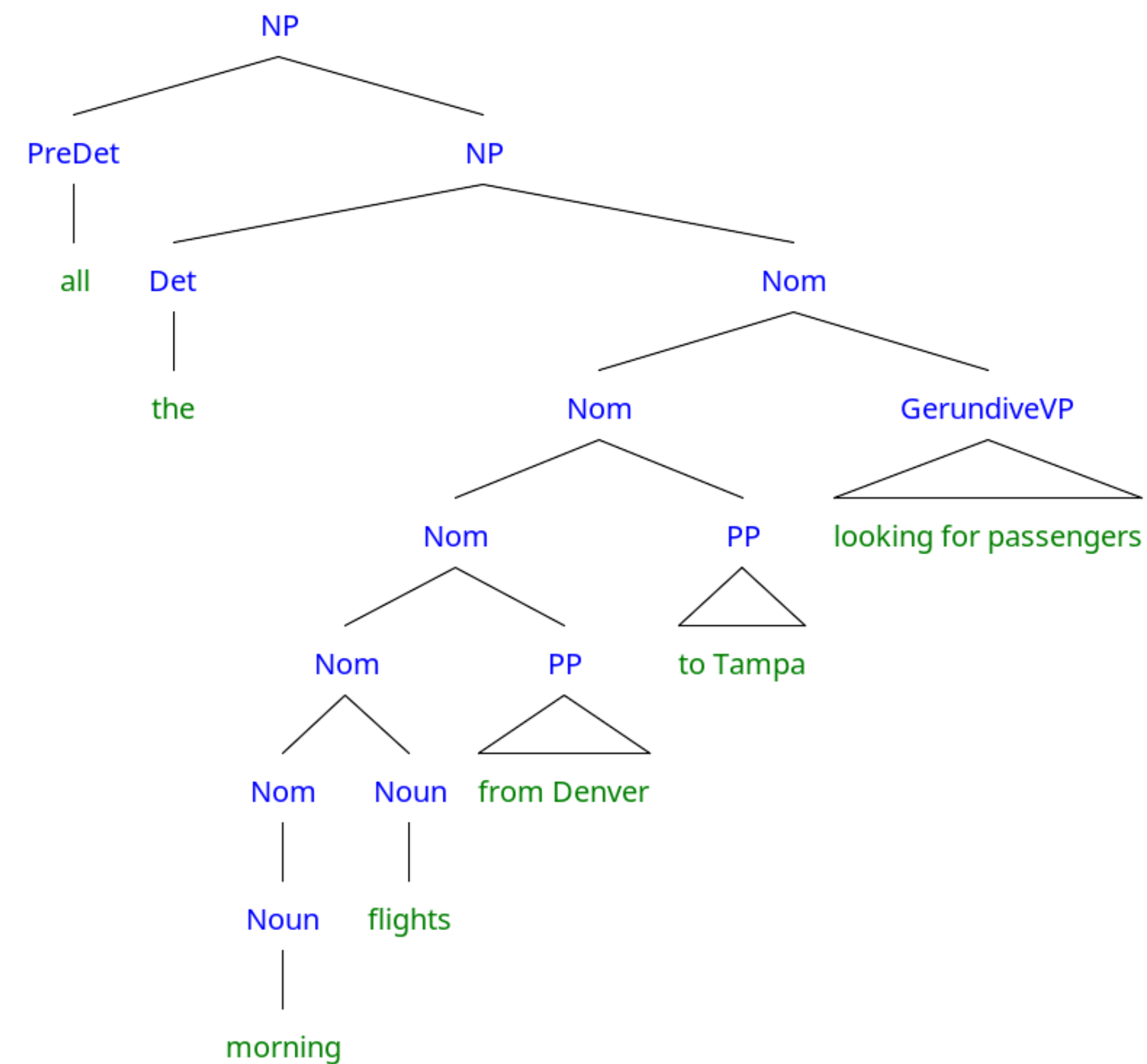
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- (Bonus: within the AP: *adjective ordering preferences* [Scontras et al '19])
    - e.g. The big red mug > the red big mug

# Before the Noun Phrase

- “Predeterminers” can “scope” noun phrases
  - e.g. ‘*all*,’
  - “*all the morning flights from Denver to Tampa*”

# A Complex Example

- “*all the morning flights from Denver to Tampa looking for passengers*”



# Verb Phrases and Subcategorization

- With this grammar:

|      |               |                |
|------|---------------|----------------|
| $VP$ | $\rightarrow$ | $Verb$         |
|      |               | $Verb\ NP$     |
|      |               | $Verb\ NP\ NP$ |

# Verb Phrases and Subcategorization

- With this grammar:



- This grammar licenses the following **correctly**:
  - *The teacher handed the student a book*

# Verb Phrases and Subcategorization

- With this grammar:



- This grammar licenses the following **correctly**:
  - *The teacher handed the student a book*
- And the following **incorrectly** (i.e. the grammar “overgenerates”):
  - *\*The teacher handed the student*
  - *\*The teacher handed a book*
  - *\*The teacher handed*

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  - \**The teacher handed a book the student*



# Verb Phrases and Subcategorization

- With this grammar:



- It also licenses
  - \**The teacher handed a book the student*
- This is problematic for semantic reasons, which we'll cover later.

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|                  |             |             |               |
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| $VP \rightarrow$ | <i>Verb</i> | <i>PP PP</i> | fly from Chicago to Seattle |

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| $VP \rightarrow \textit{Verb} \ \textit{S}$                | think I want that flight    |

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| $VP \rightarrow \textit{Verb} \ \textit{PP} \ \textit{PP}$ | fly from Chicago to Seattle   |
| $VP \rightarrow \textit{Verb} \ \textit{S}$                | think I want that flight      |
| $VP \rightarrow \textit{Verb} \ \textit{VP}$               | want to arrange three flights |



# CFGs and Subcategorization

- Issues?
  - “I know United has a flight.” (  $\rightarrow S$  )
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  - Is this a good solution?
    - No, explosive increase in number of rules
    - Similar problem with agreement (NN $\leftrightarrow$ ADJ $\leftrightarrow$ PRON $\leftrightarrow$ VB)

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  - Will get to this toward end of the month



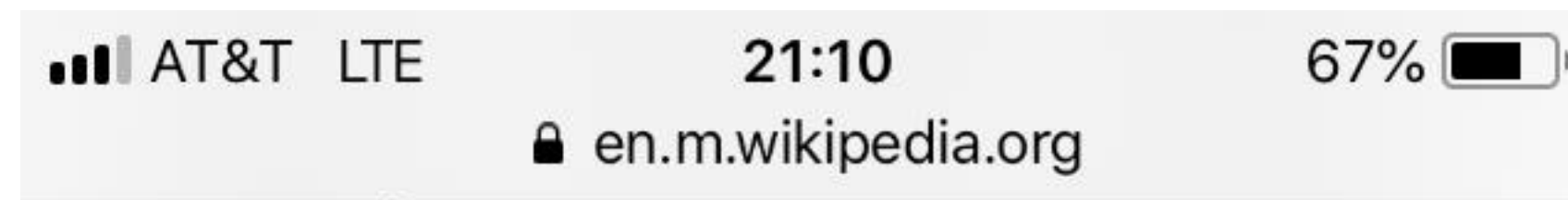
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# Grammars... So What?

- Grammars propose a formal way to make distinctions in syntax
- Distinctions in syntax can help us get a hold on distinctions in meaning

# Syntax to the Rescue!

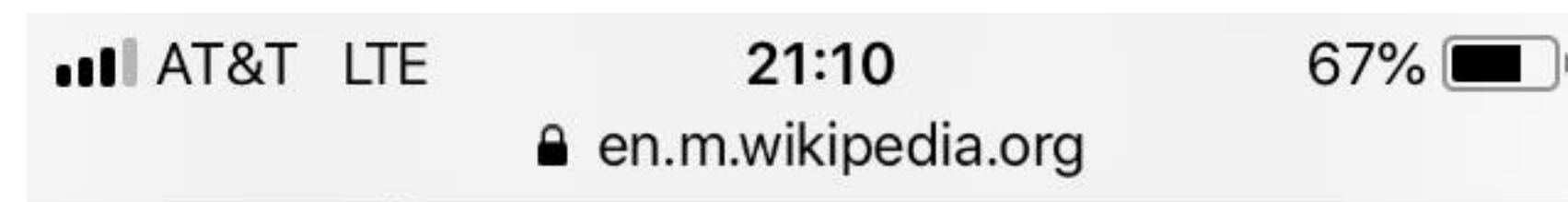


remains of victims.<sup>[62]</sup> On his late night talk show [David Letterman](#) questioned two of his audience members who were Canadian about the mystery.<sup>[63]</sup>

*h/t to Amandalynne Paullada*

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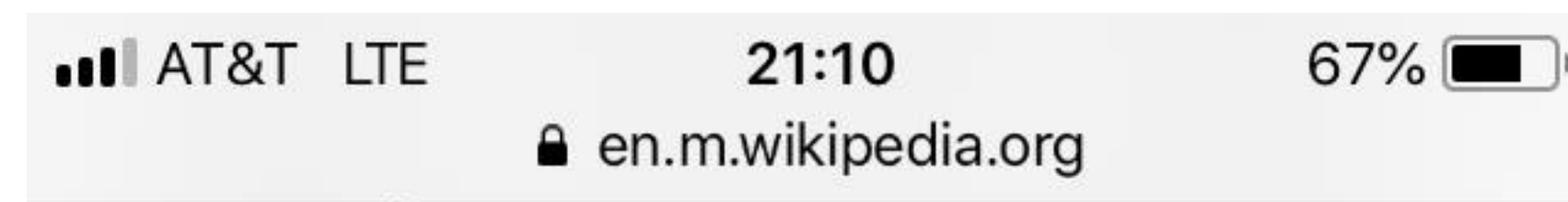
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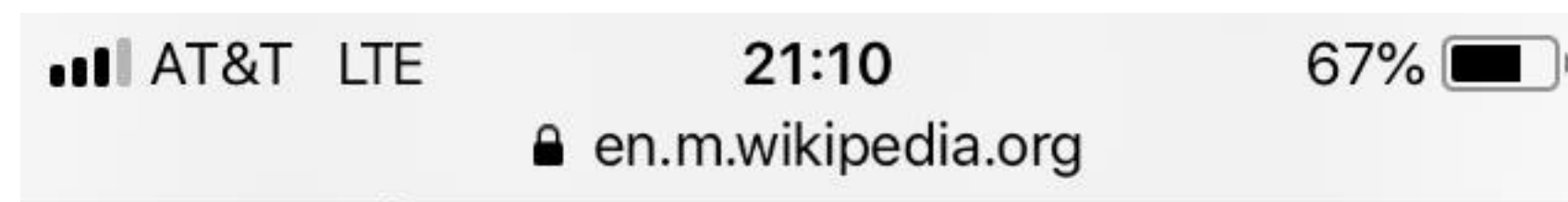
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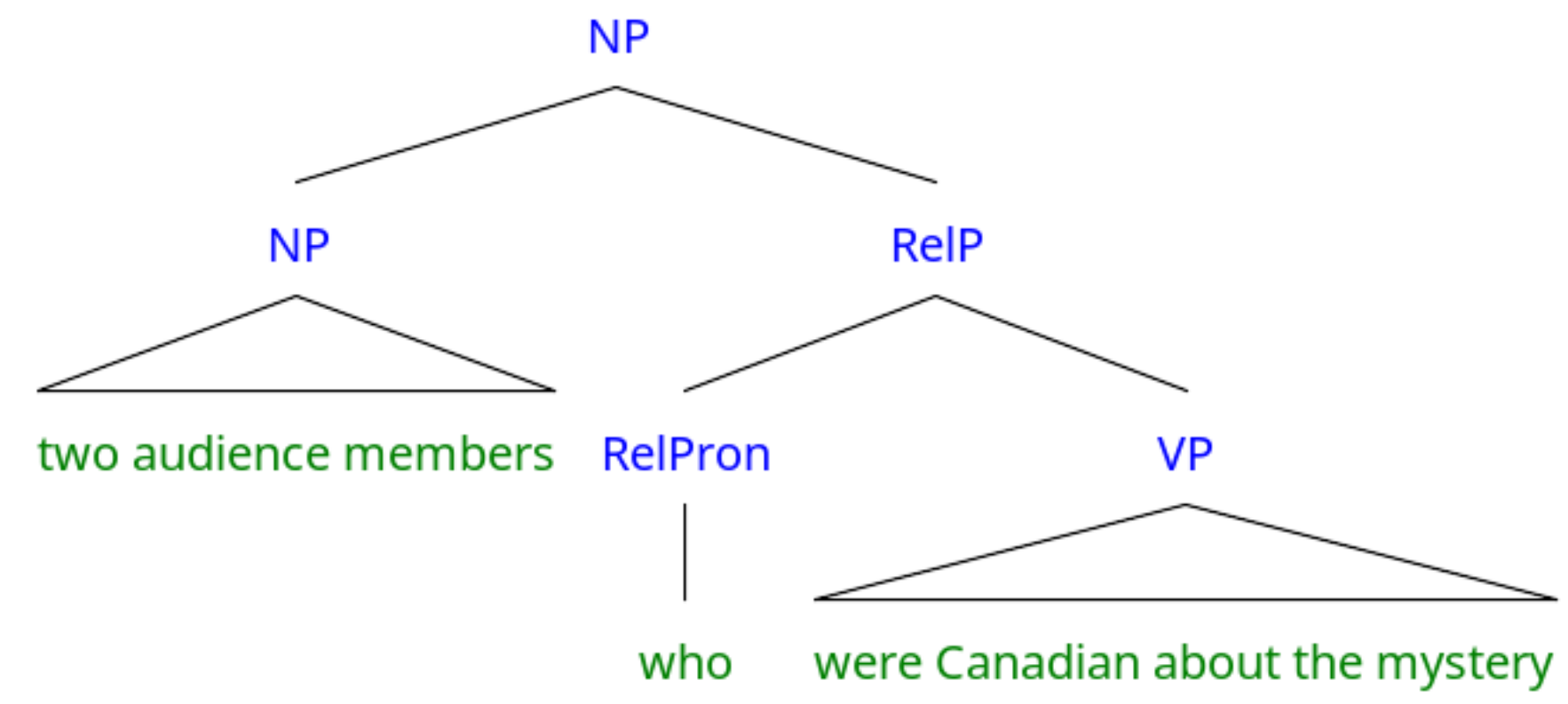
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Two audience members, who happened to be Canadian Citizens, were questioned

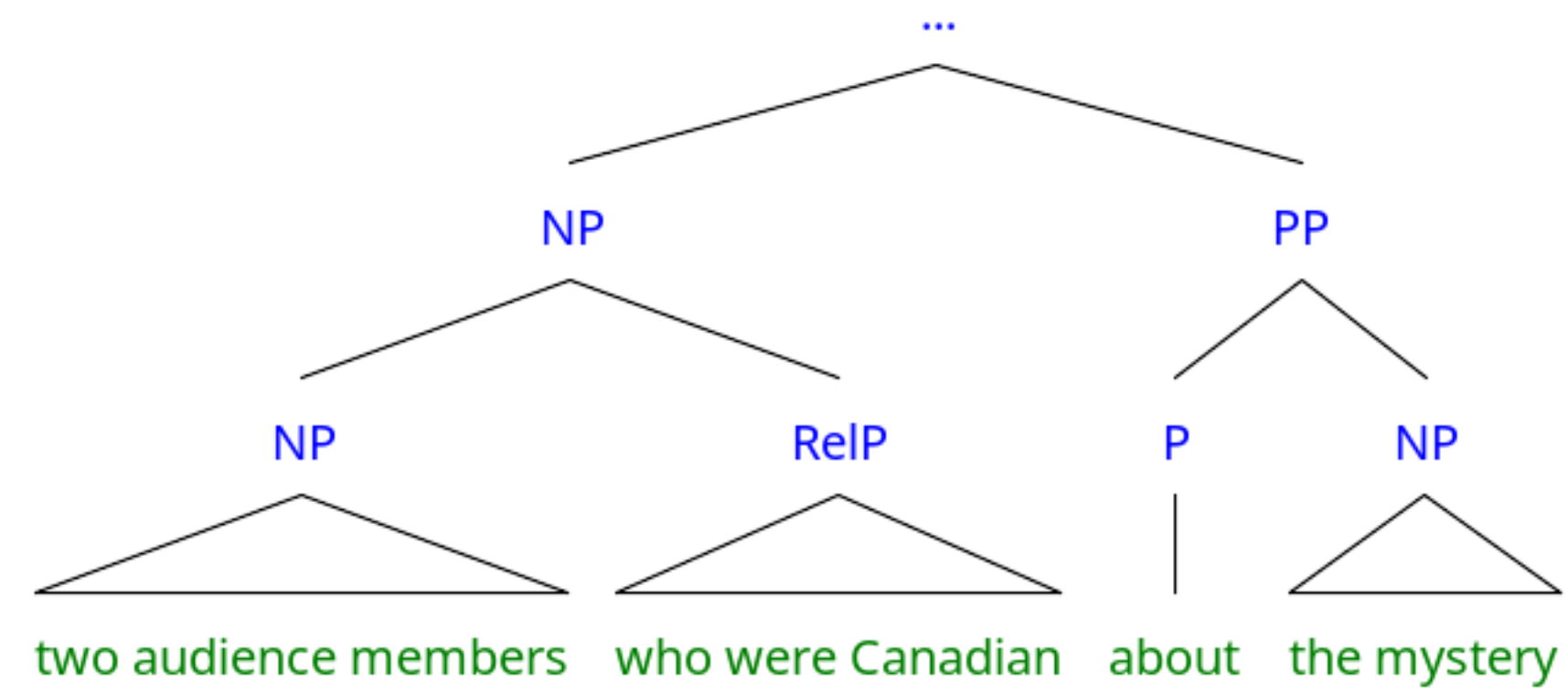
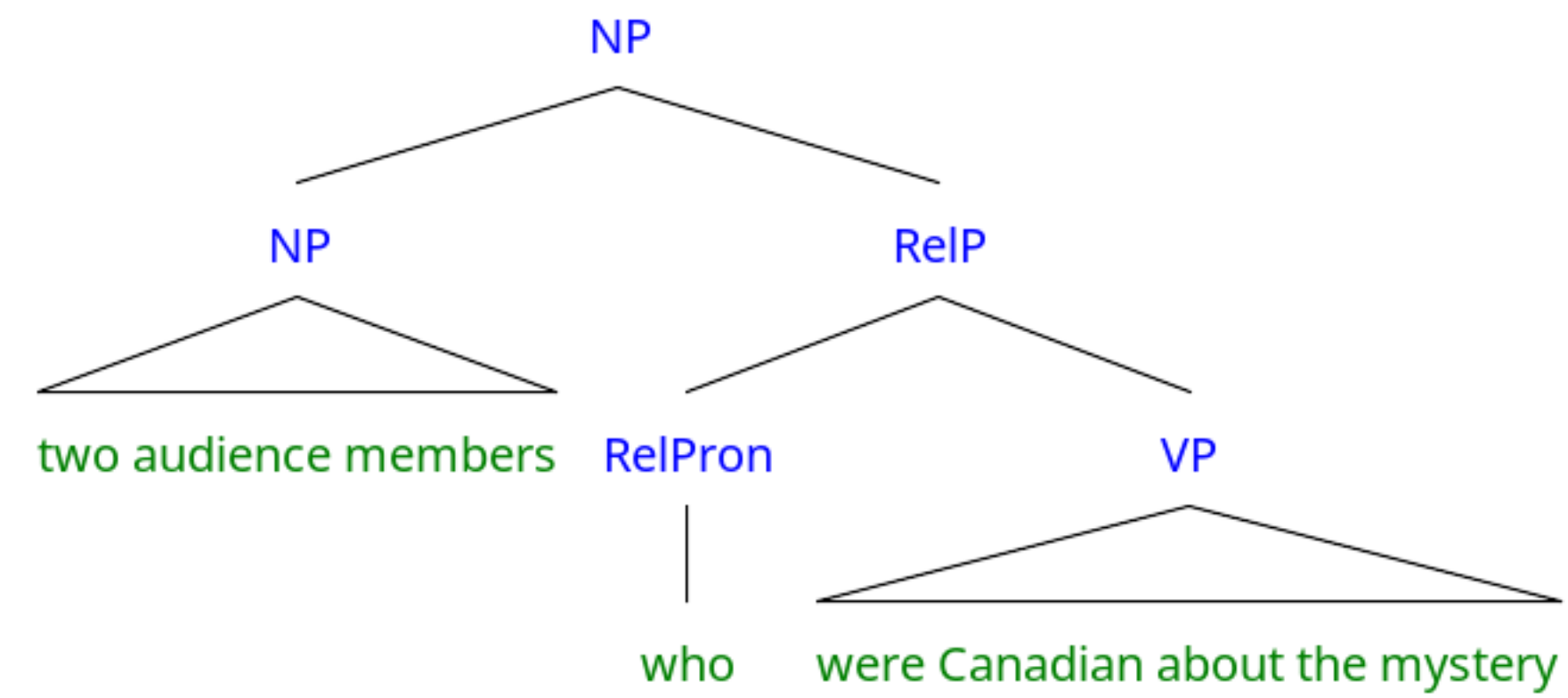


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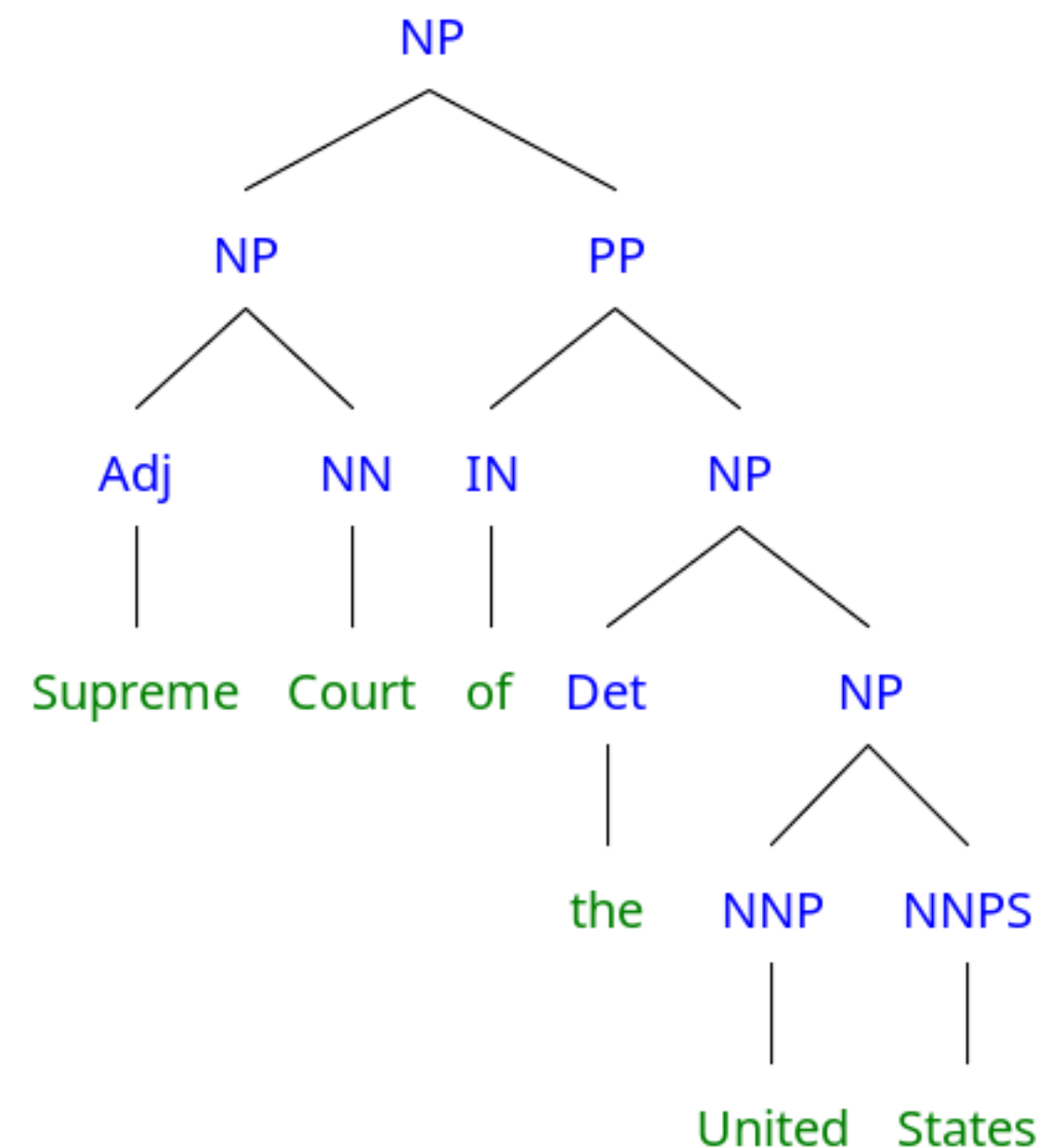
# Grammars Promote Deeper Analysis

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# Roadmap

- Constituency
- Context-free grammars (CFGs)
- English Grammar Rules
- Grammars — Revisiting our Motivation
- **Treebanks**
- Speech and Text
- Parsing

# Treebanks

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  - Automatically parsed, manually corrected

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  - Wall Street Journal
- Chinese:
  - Xinhua, Sinoarma (newswire)
- Arabic
  - Newswire, Broadcast News + Conversation, Web Text...

# Other Treebanks

- DeepBank (HPSG)
- Prague Dependency Treebank (Czech: Morphologically rich)
- Universal Dependency Treebank (many languages, reduced POS tags)
- CCGBank (Penn, but with CCG annotations)

# Treebanks

- Include wealth of language information
  - Traces (for movement analyses)
  - Grammatical function (subject, topic, etc)
  - Semantic function (temporal, location)



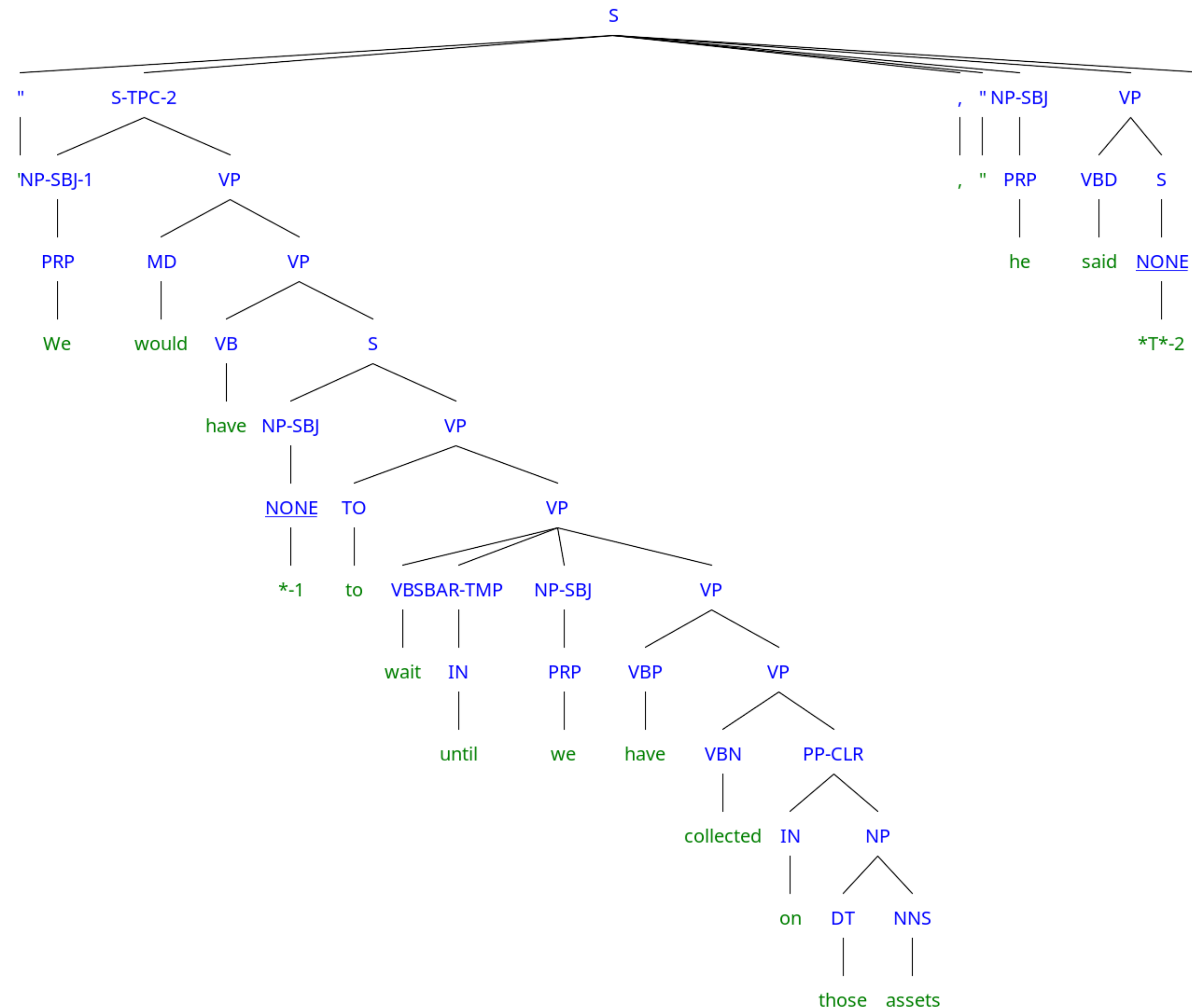
# Treebanks

- Include wealth of language information
  - Traces (for movement analyses)
  - Grammatical function (subject, topic, etc)
  - Semantic function (temporal, location)
- Implicitly constitute grammar of language
  - Can read off rewrite rules from bracketing
  - Not only presence of rules, but frequency counts
  - Will be crucial in building statistical parsers

# Treebank WSJ Example

```
(S (' ' ' ')
 (S-TPC-2
 (NP-SBJ-1 (PRP We))
 (VP (MD would)
 (VP (VB have)
 (S
 (NP-SBJ (-NONE- *-1))
 (VP (TO to)
 (VP (VB wait)
 (SBAR-TMP (IN until))
 (NP-SBJ (PRP we))
 (VP (VBP have)
 (VP (VBN collected)
 (PP-CLR (IN on)
 (NP (DT those) (NNS assets))))))))))
 (, ,) (' ' ' ')
 (NP-SBJ (PRP he))
 (VP (VBD said)
 (S (-NONE- *T*-2)))
 (. .)
)
)
)
)
```

# Treebank WSJ Example



# Treebanks & Corpora on Patas

```
patas$ ls /corpora
```

|                          |                |                |
|--------------------------|----------------|----------------|
| birkbeck                 | grammars       | opt            |
| coconut                  | HathiTrust     | private        |
| Communicator2000_Emotion | ICAME          | proj-gutenberg |
| ComParE                  | ICSI           | reuters        |
| Conll                    | JRC-Acquis.3.0 | scope          |
| delph-in                 | LDC            | tc-wikipedia   |
| DUC                      | LEAP           | TREC           |
| ELRA                     | lemur          | treebanks      |
| enron_email_dataset      | levow          | UIC            |
| europarl                 | mdsd-2.0       | UWCL           |
| europarl-old             | med-data       | UWCSE          |
| framenet                 | nltk           |                |
| freebase                 | OANC           |                |

# Treebanks & Corpora on Patas

- Many large corpora from LDC, such as the Penn Treebank v3:
  - `/corpora/LDC/LDC99T42/`
  - Find the full LDC corpora catalog online: [catalog.ldc.upenn.edu](http://catalog.ldc.upenn.edu)
- Web search interface: <https://cldb.ling.washington.edu/livesearch-corpus-form.php>
- Many corpus samples in NLTK
  - `/corpora/nltk/nltk-data`
- **NOTE:** do not move corpora, either *within* or *off of* patas!!

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- Enormous numbers of rules
  - **4,500** rules in PTB for VP alone
  - 1M rule tokens; 17,500 distinct types — and counting!

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    - Only 37% of Switchboard utterances > 2 words
  - More pronouns, ellipsis
    - *That one*



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# Computational Parsing

- Given a grammar, how can we derive the analysis of an input sentence?
  - Parsing as search
  - CKY parsing
- Given a body of (annotated) text, how can we derive the grammar rules of a language, and employ them in automatic parsing?
  - Treebanks & PCFGs

# What is Parsing?

- CFG parsing is the task of assigning trees to input strings
  - For any input  $A$  and grammar  $G$ 
    - ...assign  $\geq 0$  parse trees  $T$  that represent its syntactic structure, and...
    - Cover all and only the elements of  $A$
    - Have, as root, the start symbol  $S$  of  $G$
    - ...do not necessarily pick one single (or correct) analysis
- Subtask: Recognition
  - Given input  $A$ ,  $G$  – is  $A$  in language defined by  $G$  or not?

# Motivation

- Is this sentence in the language — i.e. is it “grammatical?”
  - *\* I prefer United has the earliest flight.*
  - FSAs accept regular languages defined by finite-state automata.
  - Parsers accept languages defined by CFG (equiv. pushdown automata).

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- Is this sentence in the language — i.e. is it “grammatical?”
  - *\* I prefer United has the earliest flight.*
  - FSAs accept regular languages defined by finite-state automata.
  - Parsers accept languages defined by CFG (equiv. pushdown automata).
- What is the syntactic structure of this sentence?
  - *What airline has the cheapest flight?*
  - *What airport does Southwest fly from near Boston?*
  - Syntactic parse provides framework for semantic analysis
    - What is the subject? Direct object?

# Parsing as Search

- Syntactic parsing searches through possible trees to find one or more trees that derive input

# Parsing as Search

- Syntactic parsing searches through possible trees to find one or more trees that derive input
- Formally, search problems are defined by:
  - Start state  $S$
  - Goal state  $G$  (with a test)
  - Set of actions that transition from one state to another
    - “Successor function”
  - A path cost function

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- Path cost:
  - ...ignored for now.

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  - Start symbol of CFG
- Goal node:
  - Full parse tree: covering all of, and only the input, rooted at  $S$

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- Depth First
  - Keep expanding nonterminals until they reach words
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  - Consider all parses that expand a single nonterminal...
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- Other alternatives, if have associated path costs.

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- Two constraints on parsing:
  - Must start with the start symbol
  - Must cover exactly the input string
- Correspond to main parsing search strategies
  - Top-down search (Goal-directed)
  - Bottom-up search (Data-driven search)

# A Grammar

| Grammar                   | Lexicon                                                  |
|---------------------------|----------------------------------------------------------|
| $S \rightarrow NP VP$     | $Det \rightarrow that \mid this \mid a$                  |
| $S \rightarrow Aux NP VP$ | $Noun \rightarrow book \mid flight \mid meal \mid money$ |
| $S \rightarrow VP$        | $Verb \rightarrow book \mid include \mid prefer$         |

*Jurafsky & Martin, Speech and Language Processing, p.390*

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| $NP \rightarrow Proper-Noun$ | $Proper-Noun \rightarrow Houston \mid NWA$                            |
| $NP \rightarrow Det Nominal$ | $Aux \rightarrow does$                                                |
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| $Nominal \rightarrow Nominal PP$   |                                                                       |
| $VP \rightarrow Verb$              |                                                                       |

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| $VP \rightarrow Verb NP$           |                                                                       |
| $VP \rightarrow Verb NP PP$        |                                                                       |
| $VP \rightarrow Verb PP$           |                                                                       |
| $VP \rightarrow VP PP$             |                                                                       |
| $PP \rightarrow Preposition NP$    |                                                                       |

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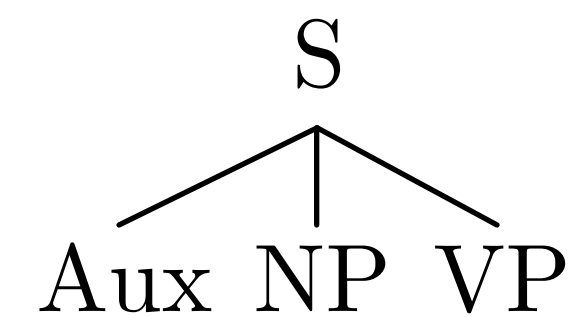
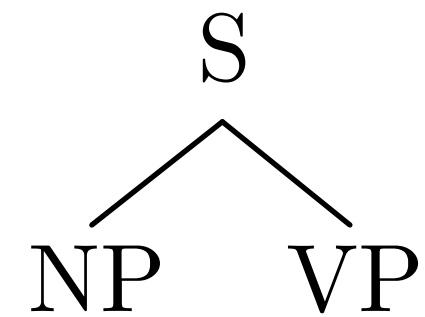
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- Terminate when all leaves are terminals

# Depth-First Search

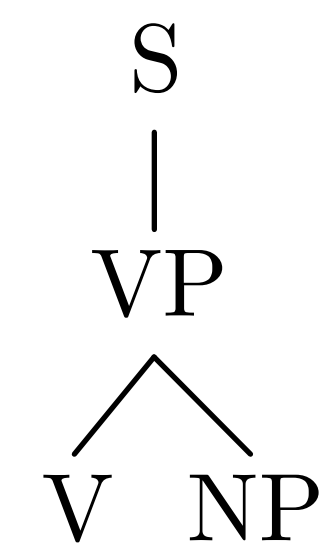
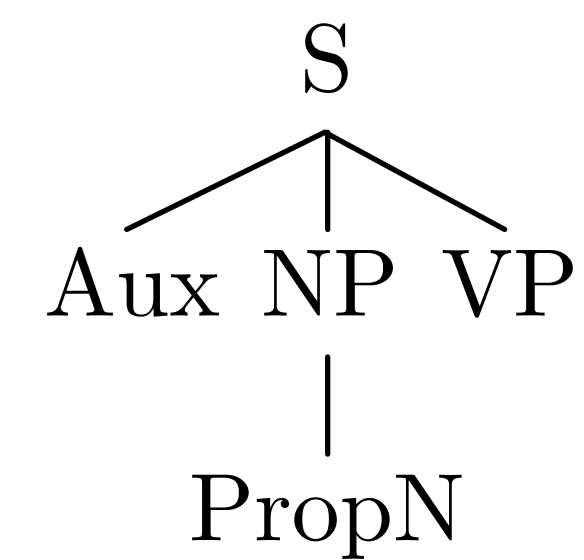
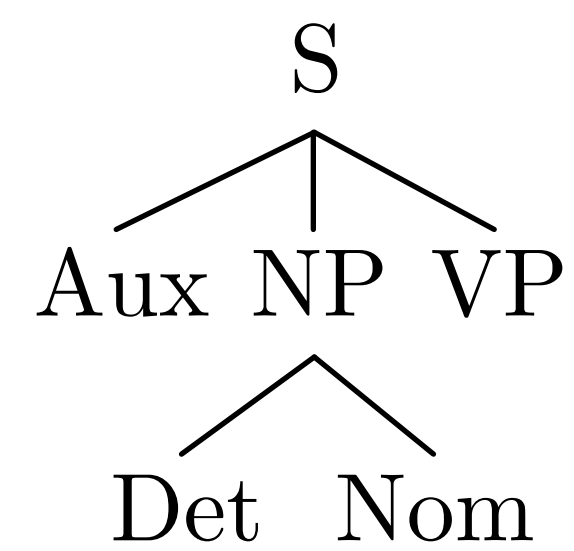
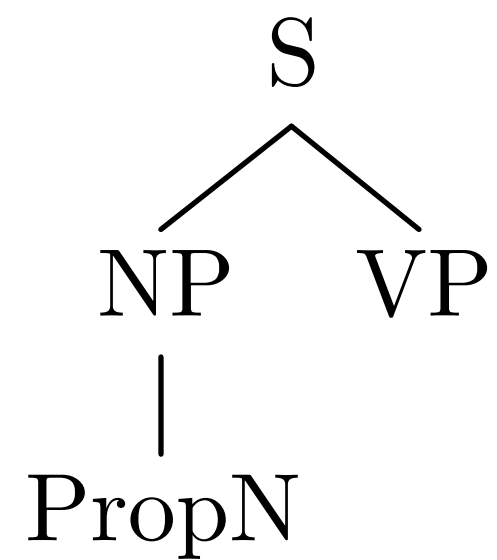
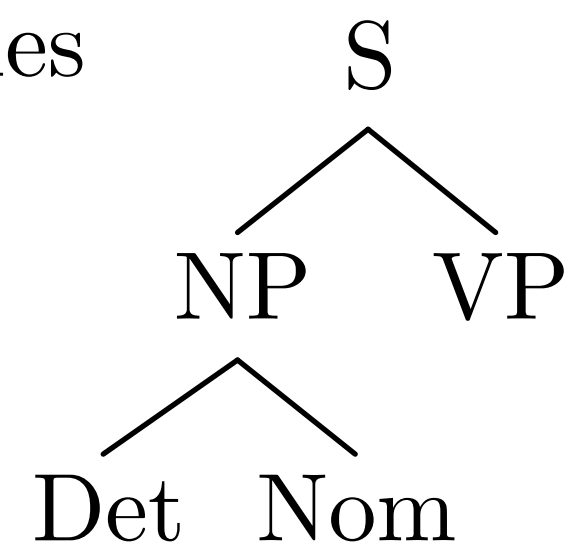
Start State

S

1 Rule

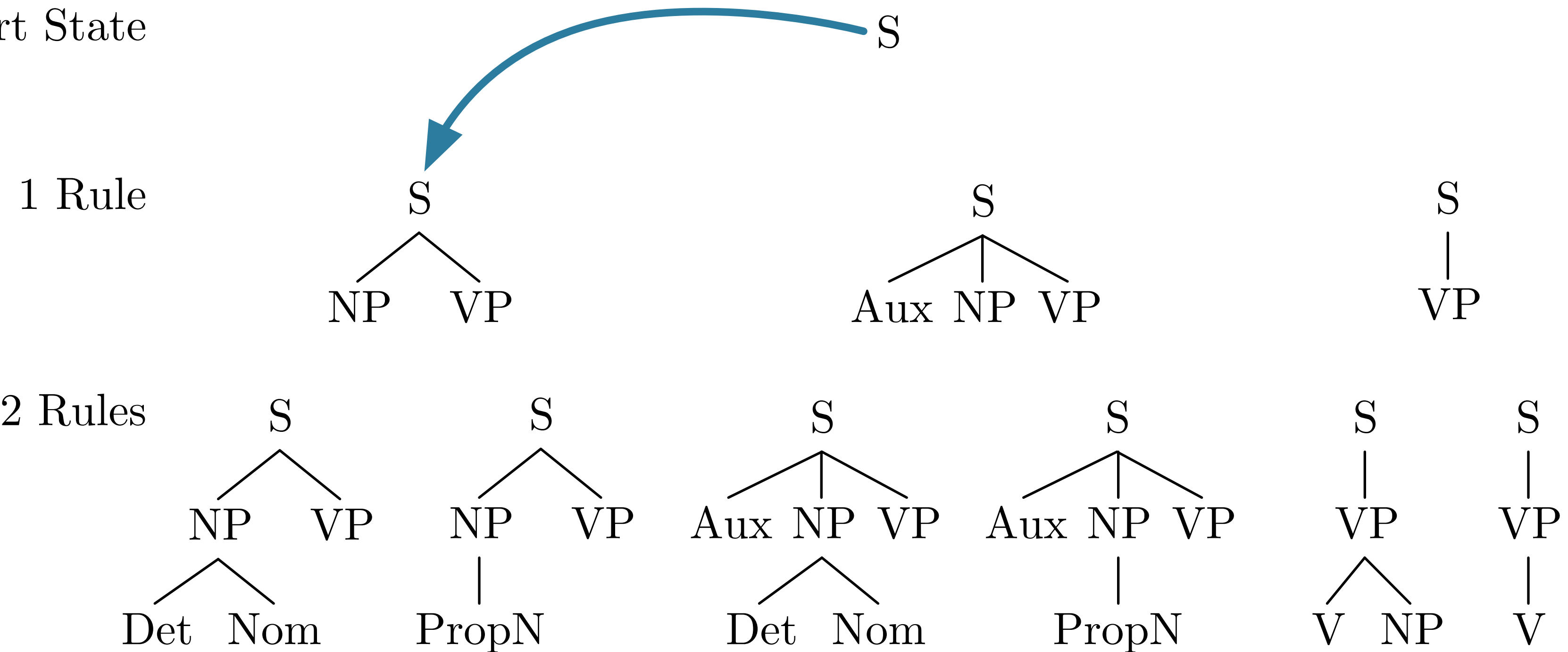


2 Rules



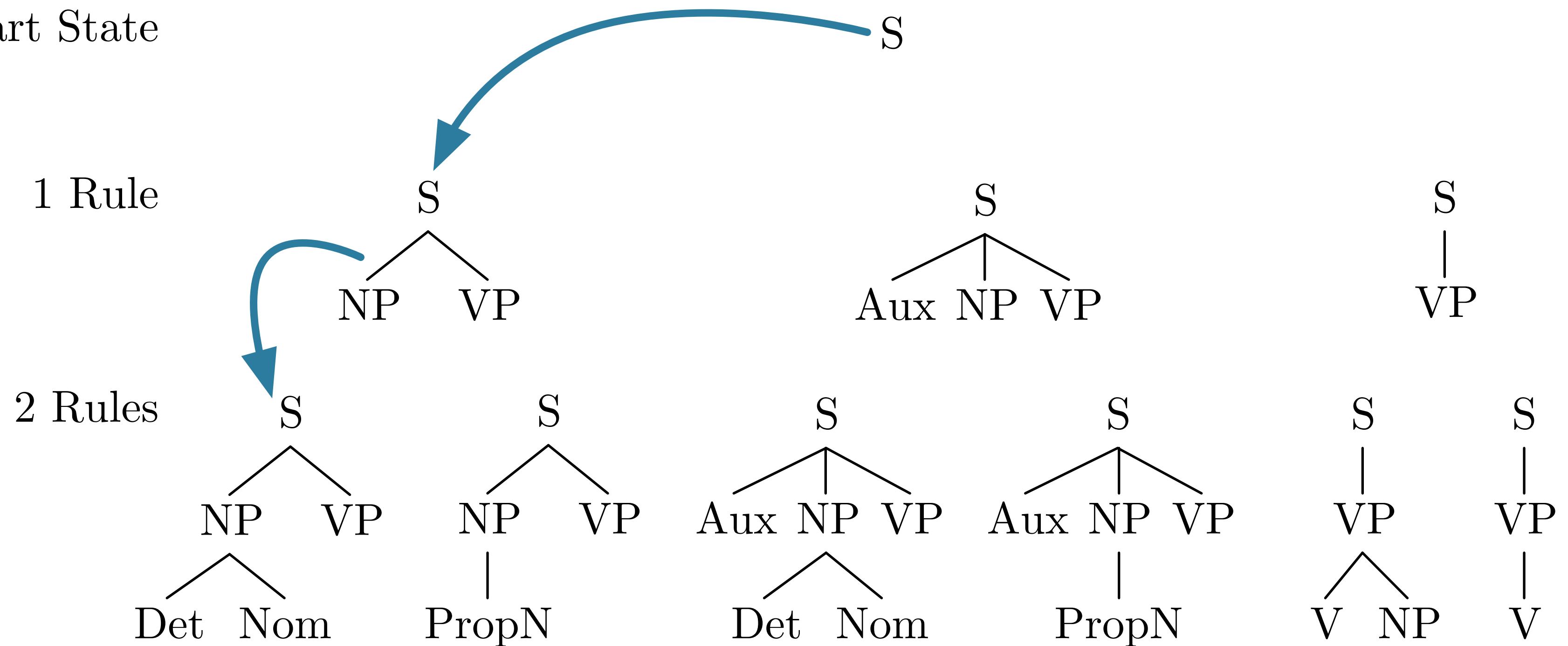
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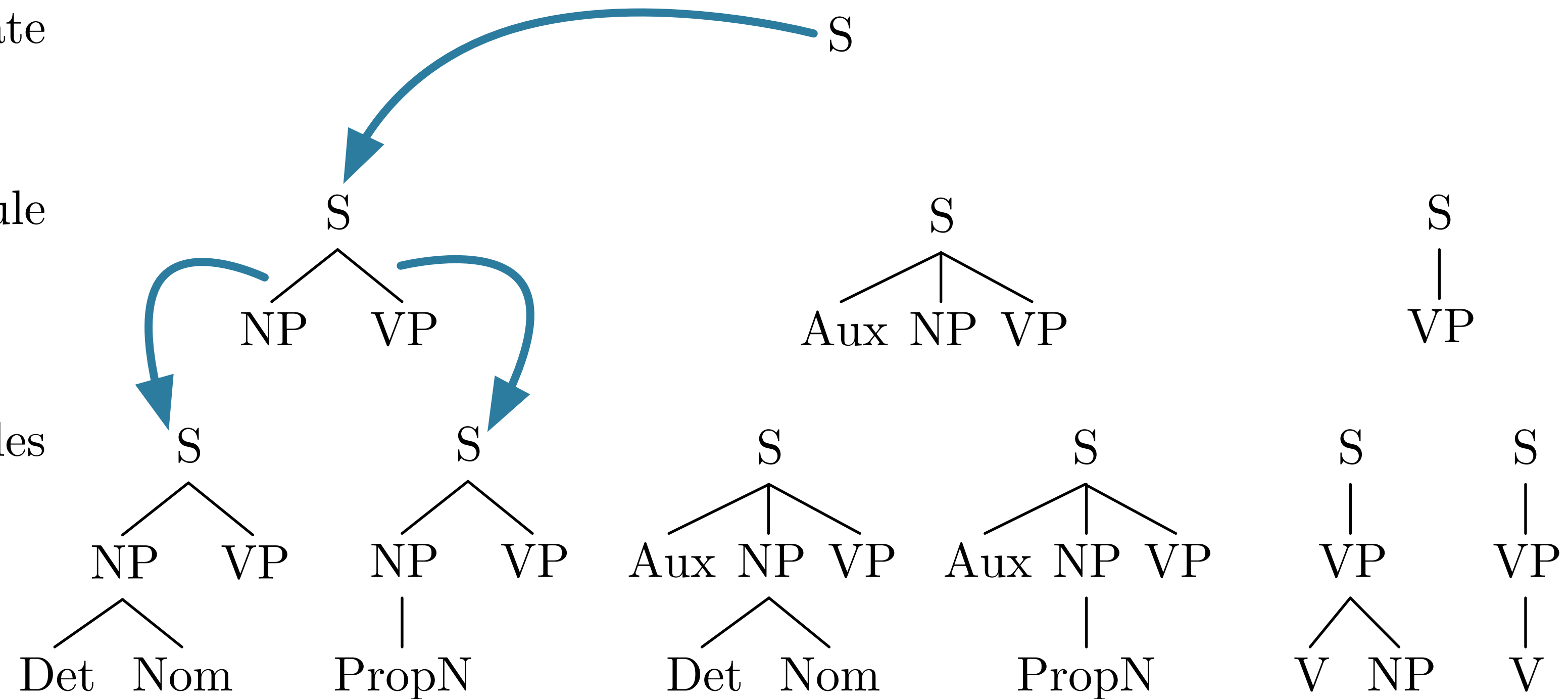


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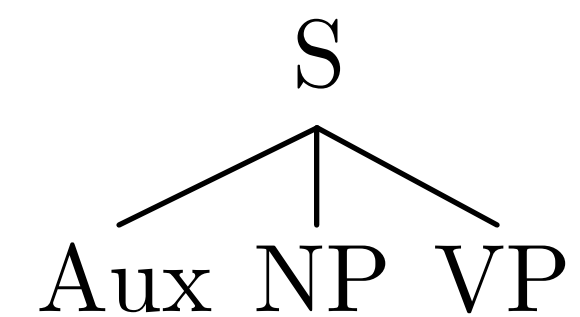
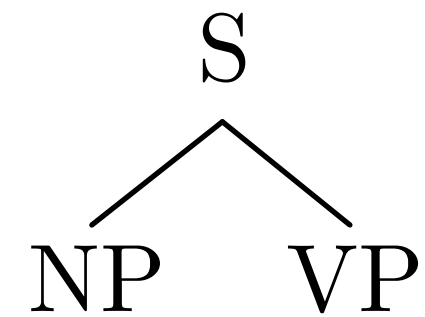


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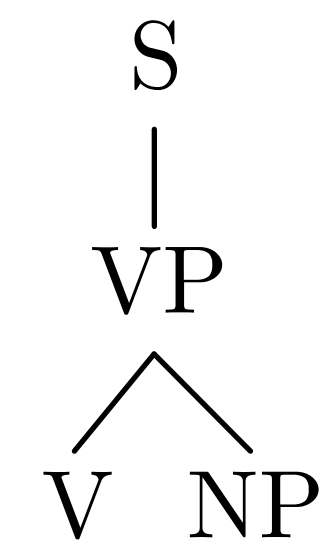
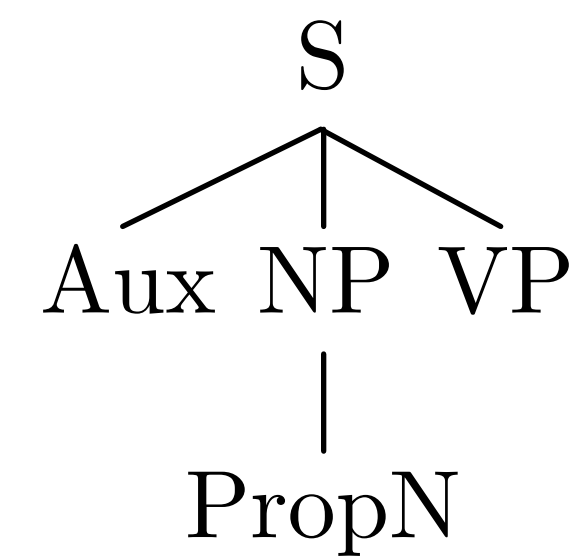
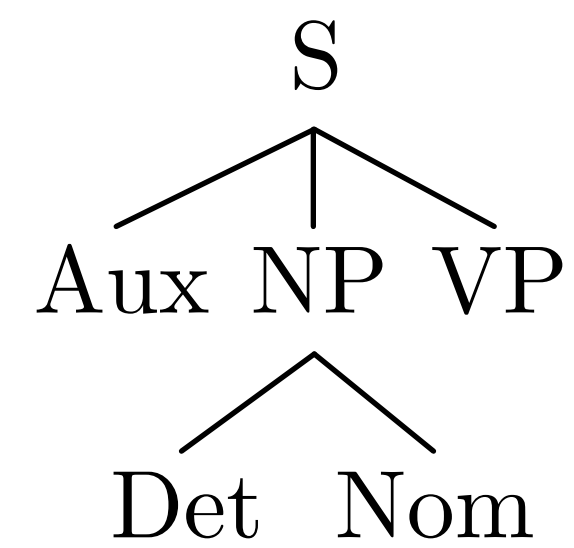
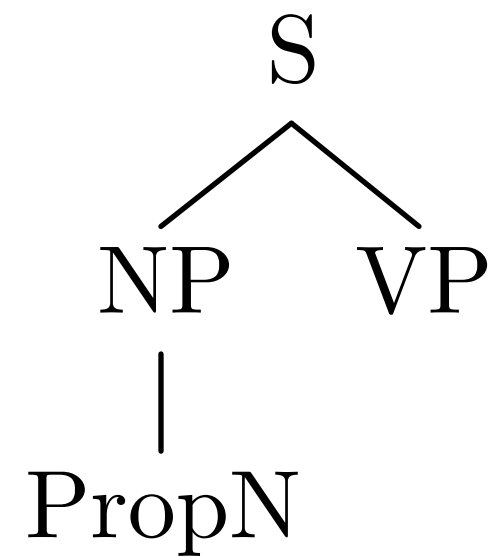
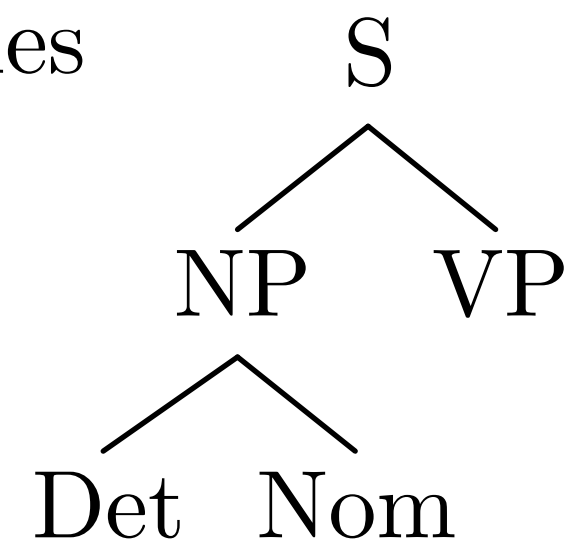
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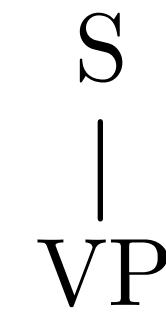
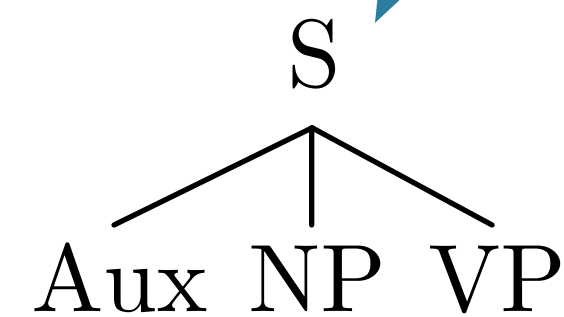
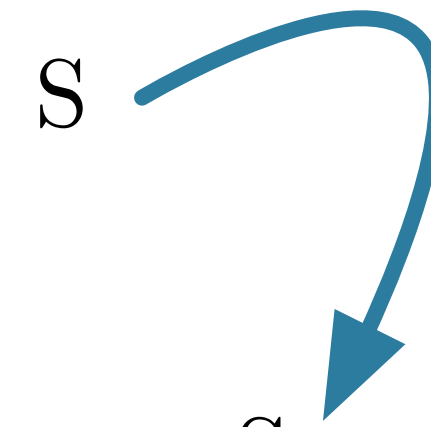
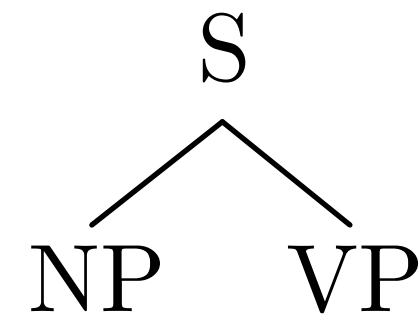




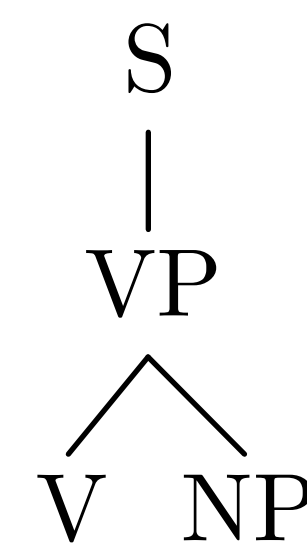
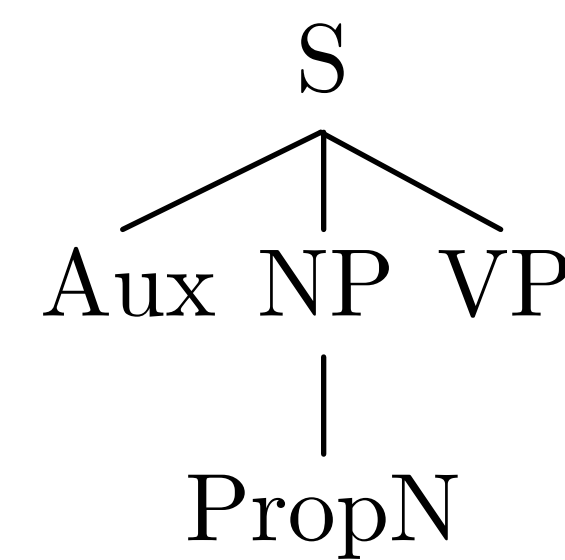
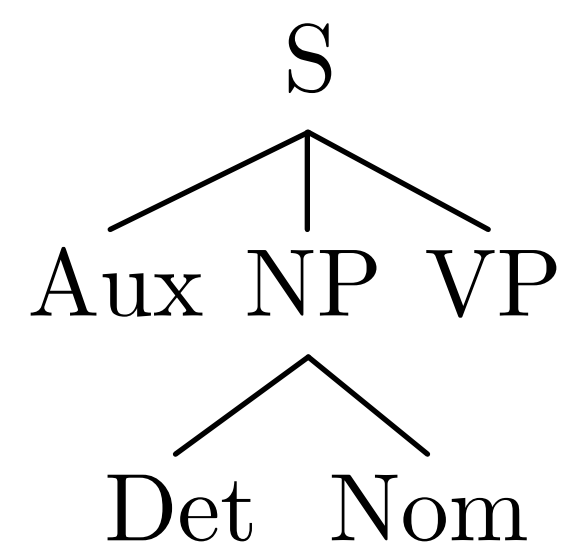
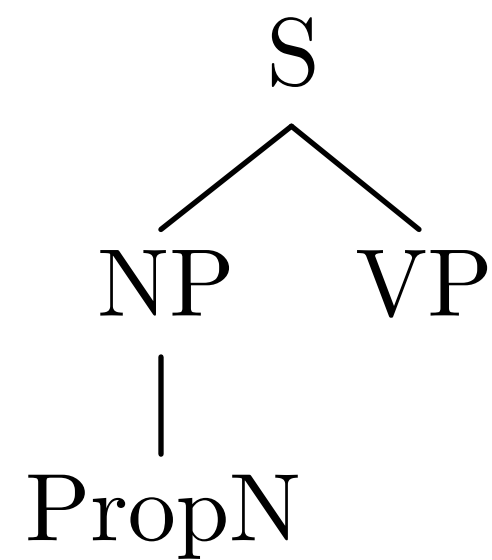
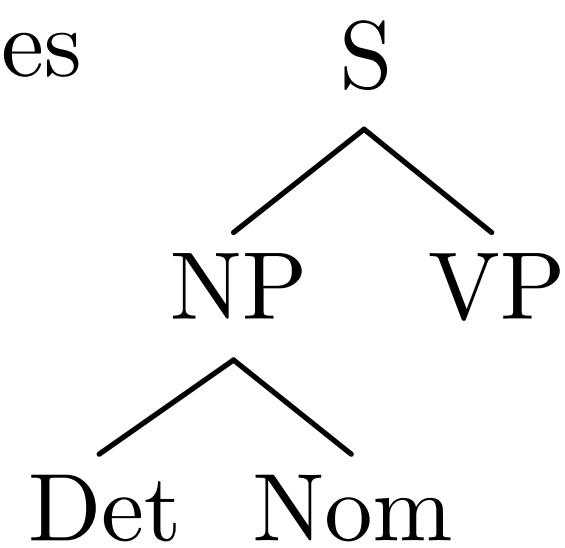
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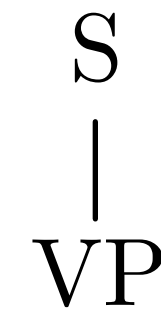
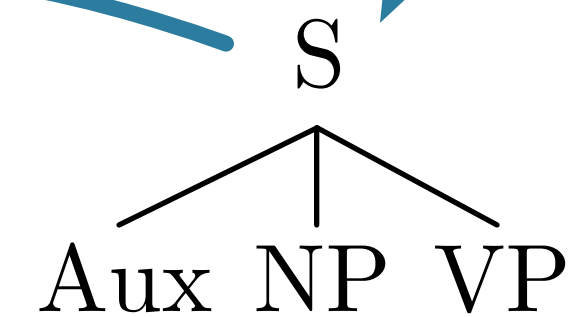
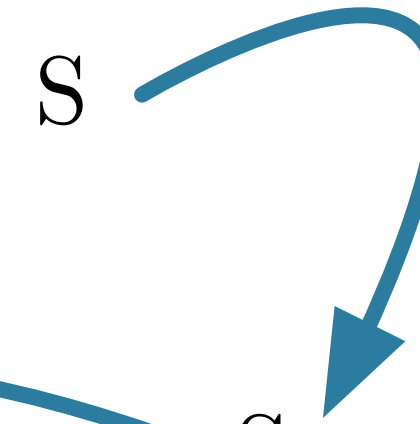
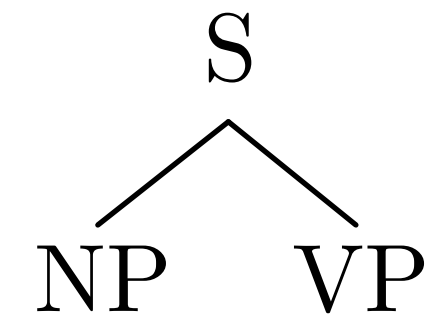
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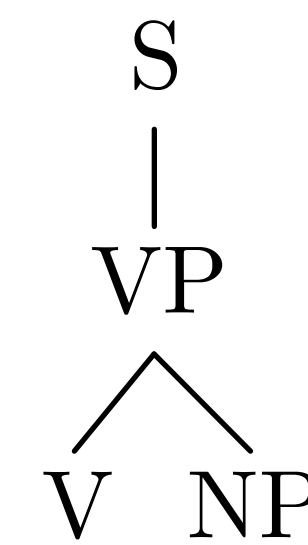
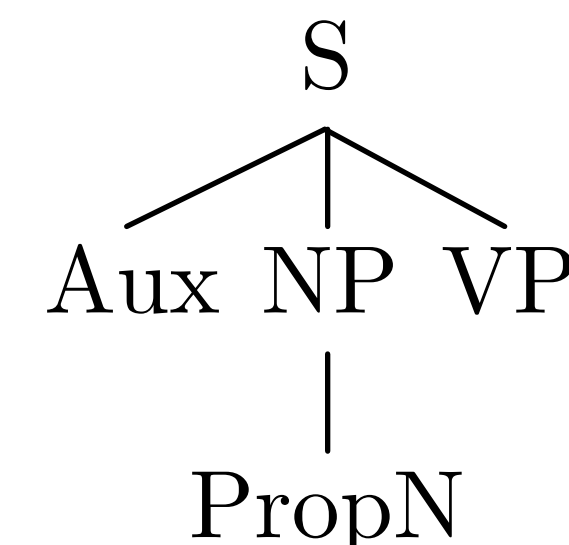
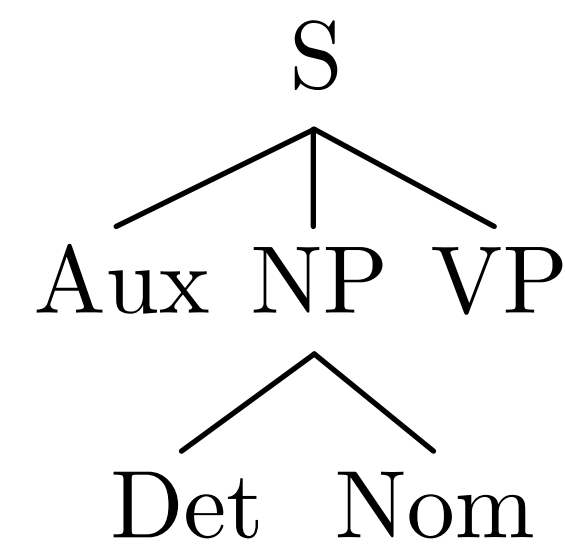
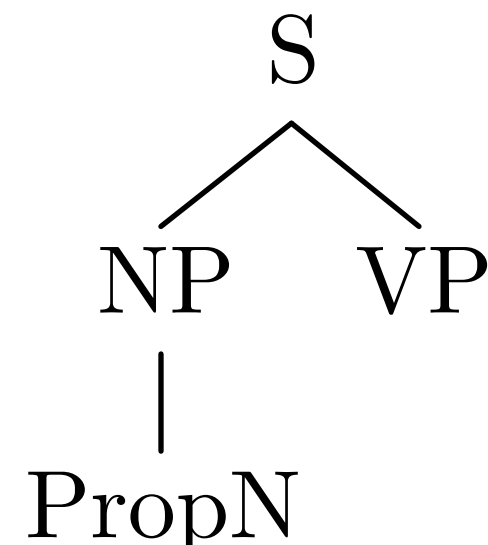
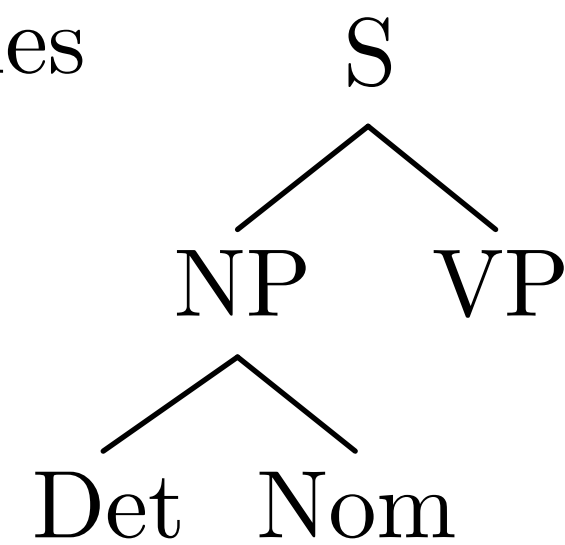
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Start State

1 Rule



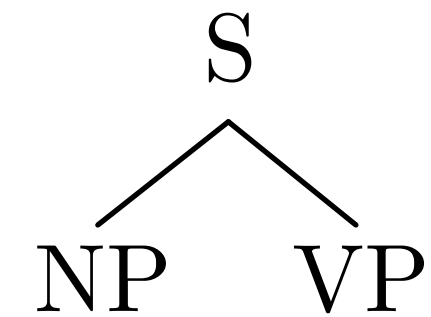
2 Rules



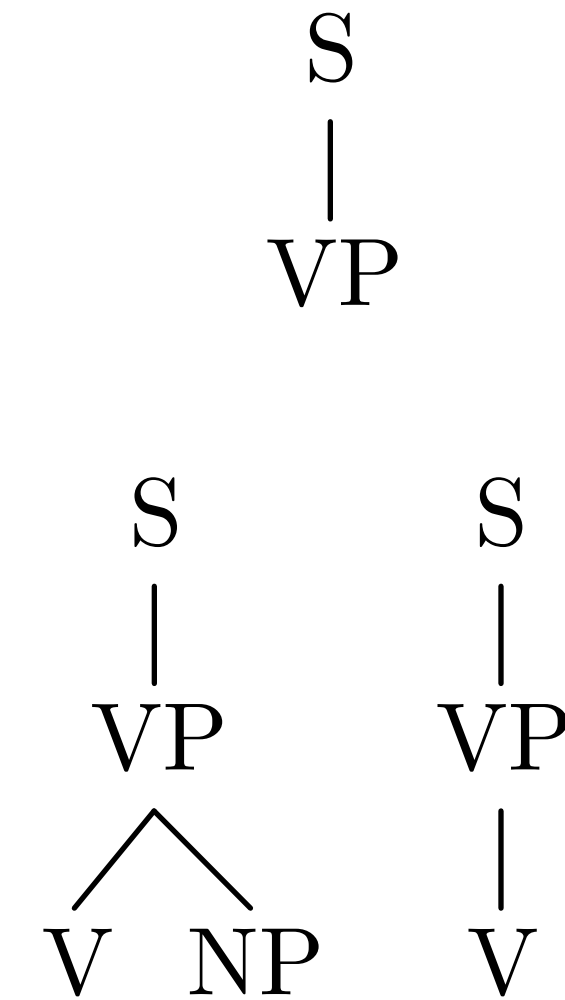
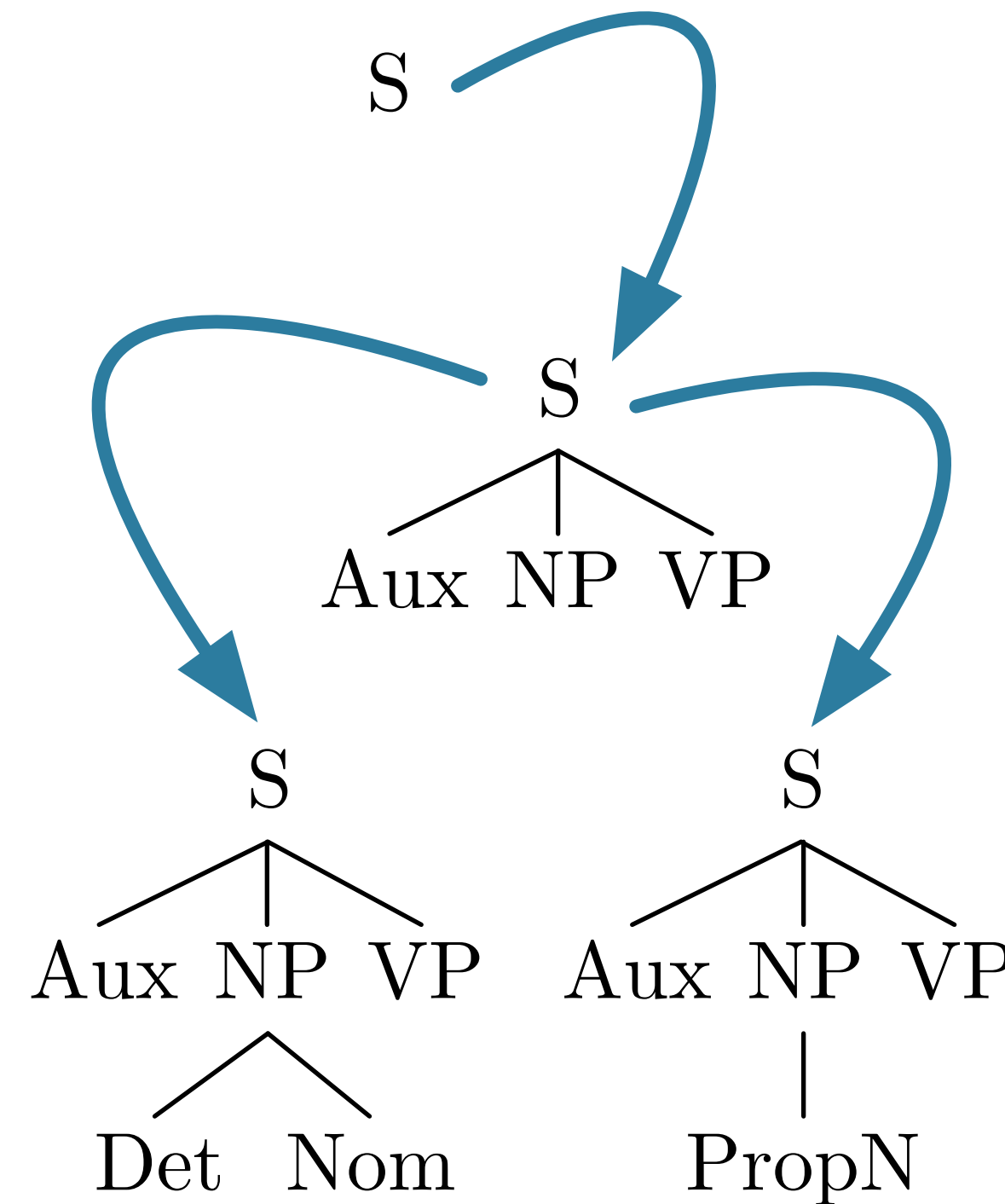
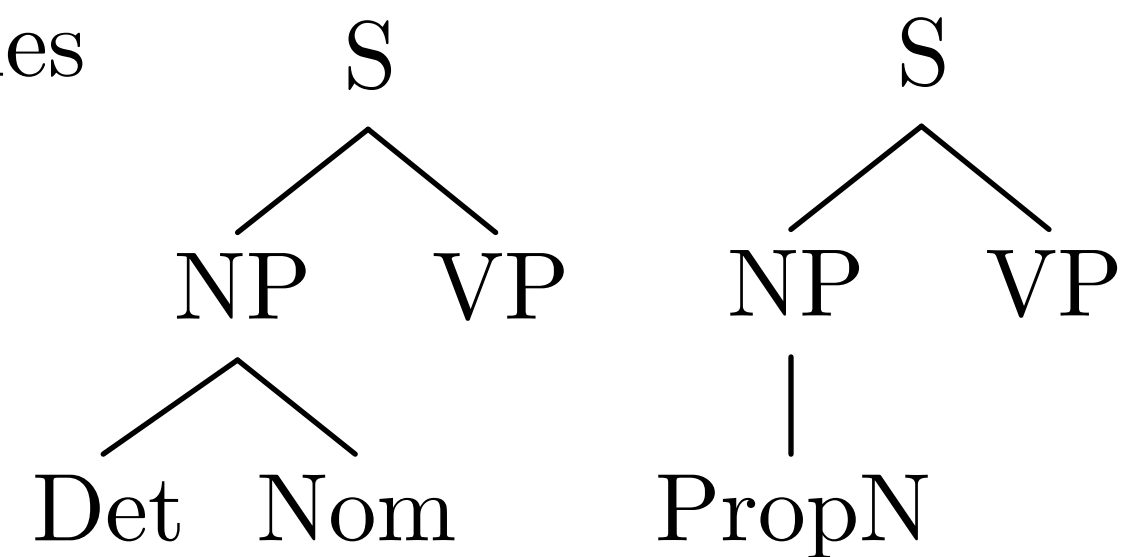
# Depth-First Search

Start State

## 1 Rule



## 2 Rules

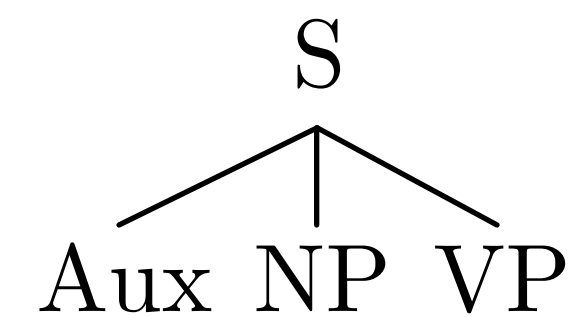
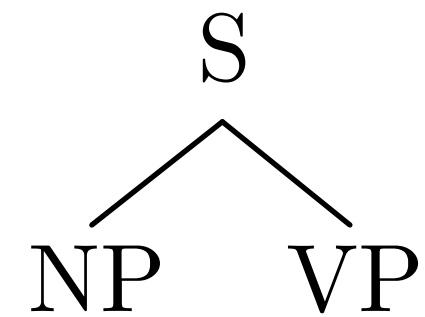


# Breadth-First Search

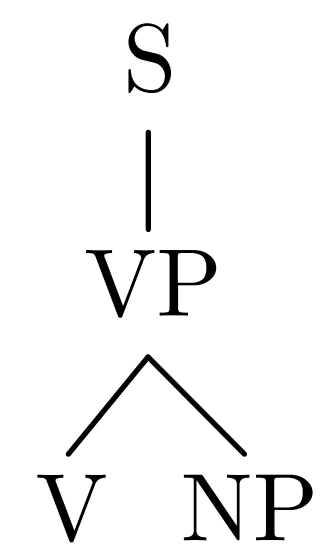
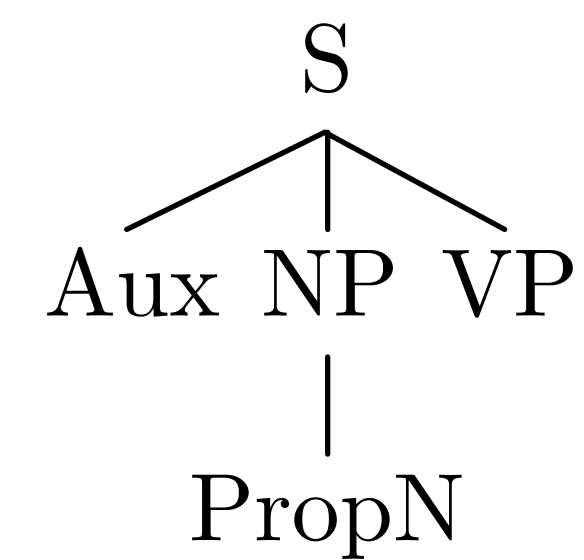
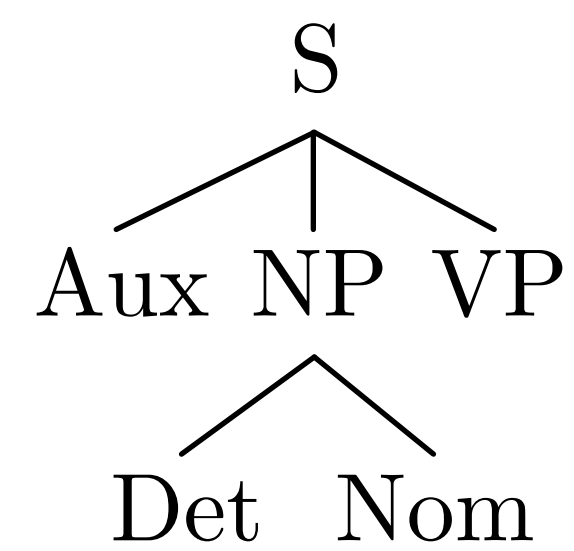
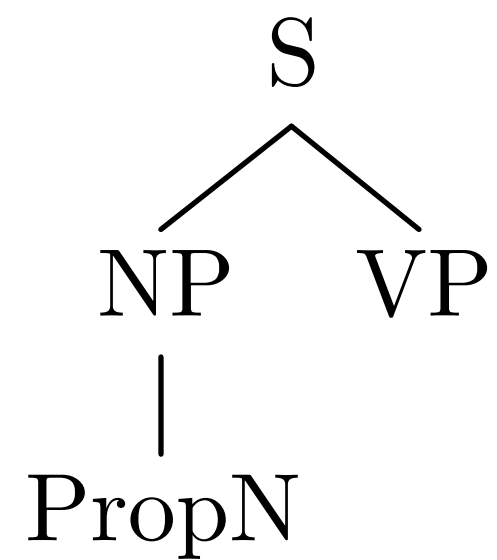
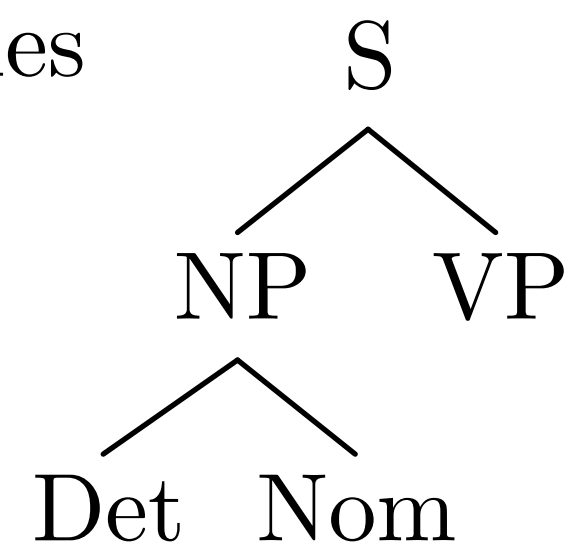
Start State

S

1 Rule



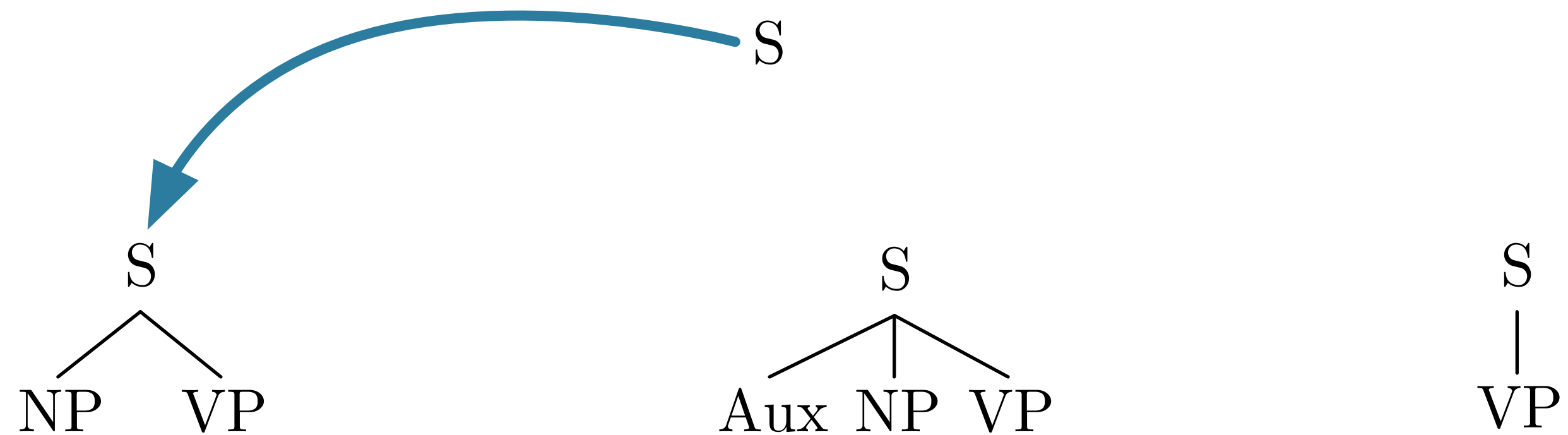
2 Rules



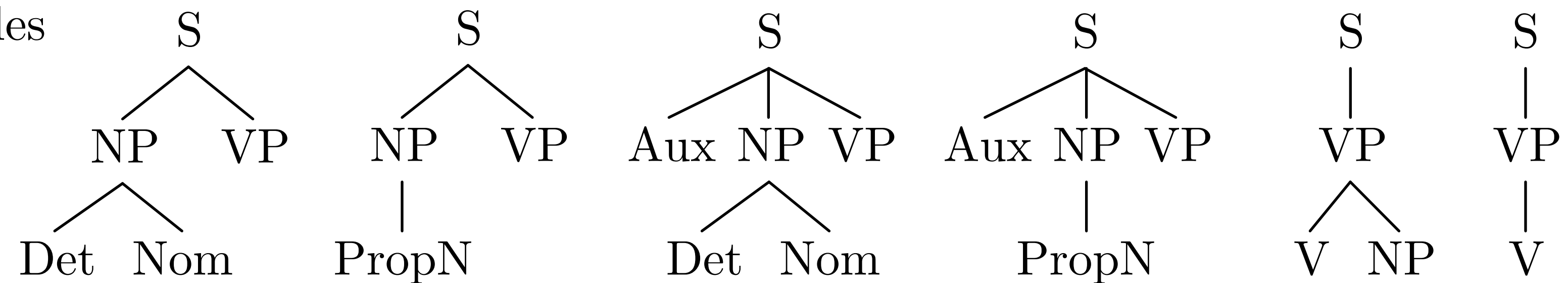
# Breadth-First Search

Start State

1 Rule



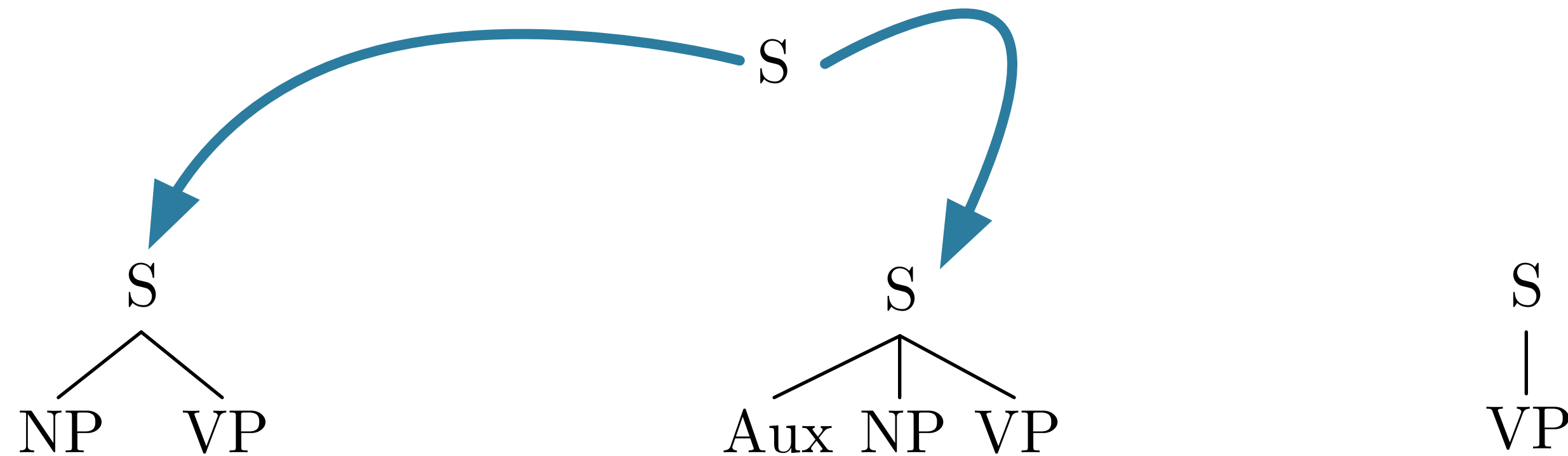
2 Rules



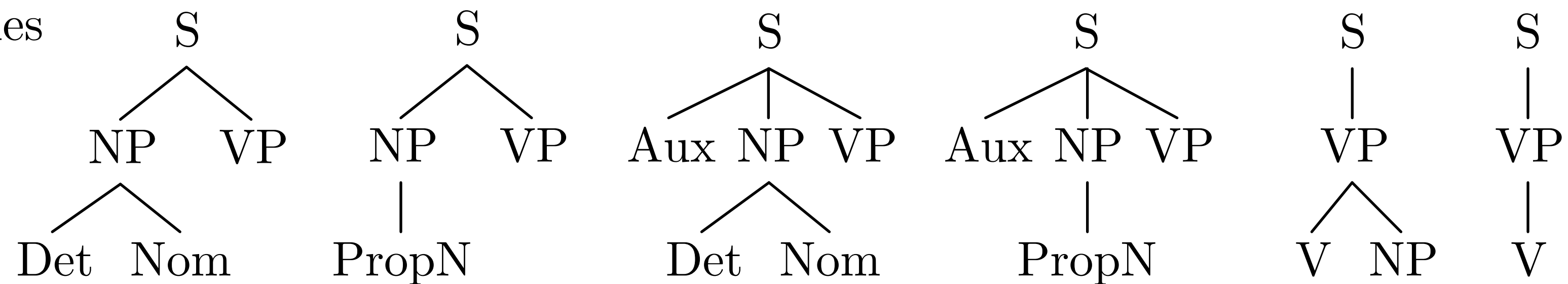
# Breadth-First Search

Start State

1 Rule



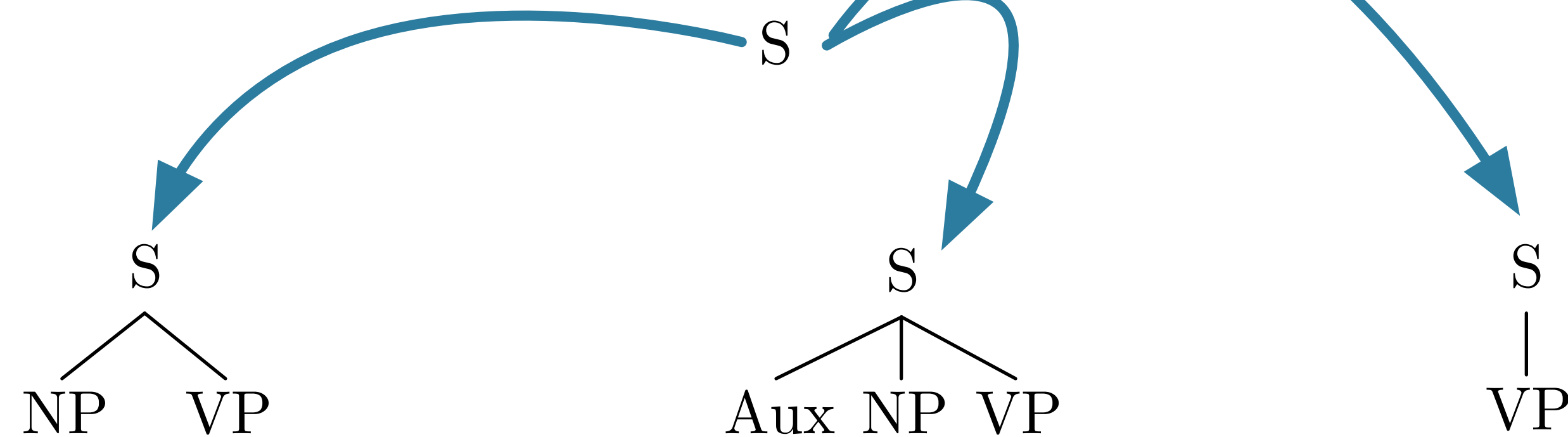
2 Rules



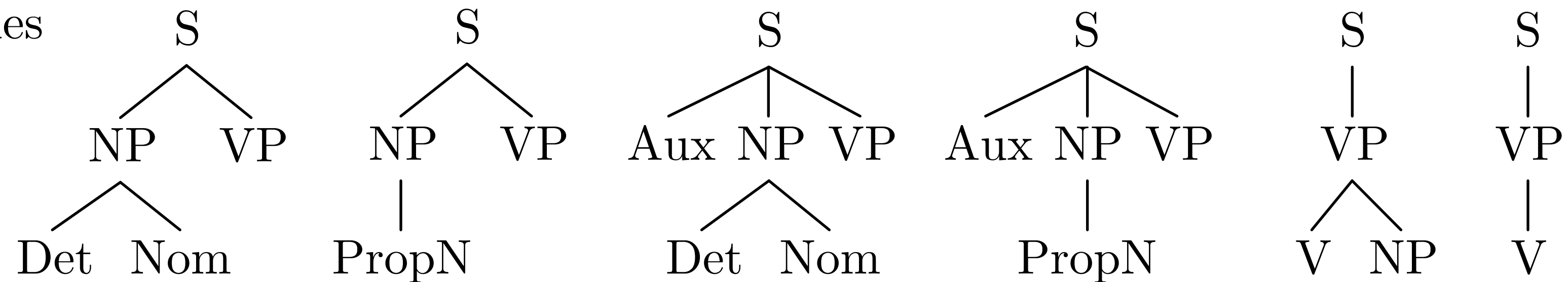
# Breadth-First Search

Start State

1 Rule



2 Rules

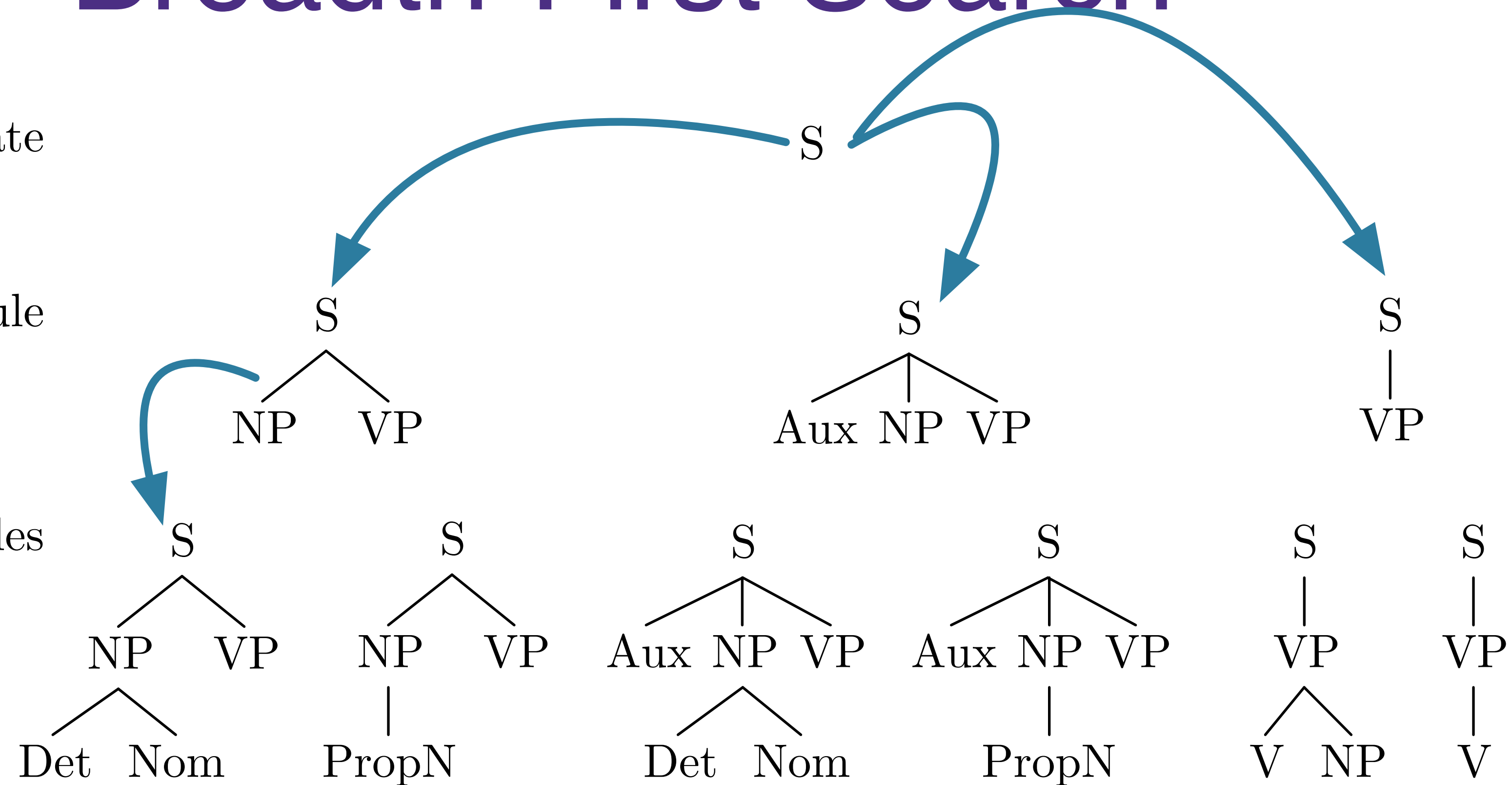


# Breadth-First Search

Start State

1 Rule

2 Rules



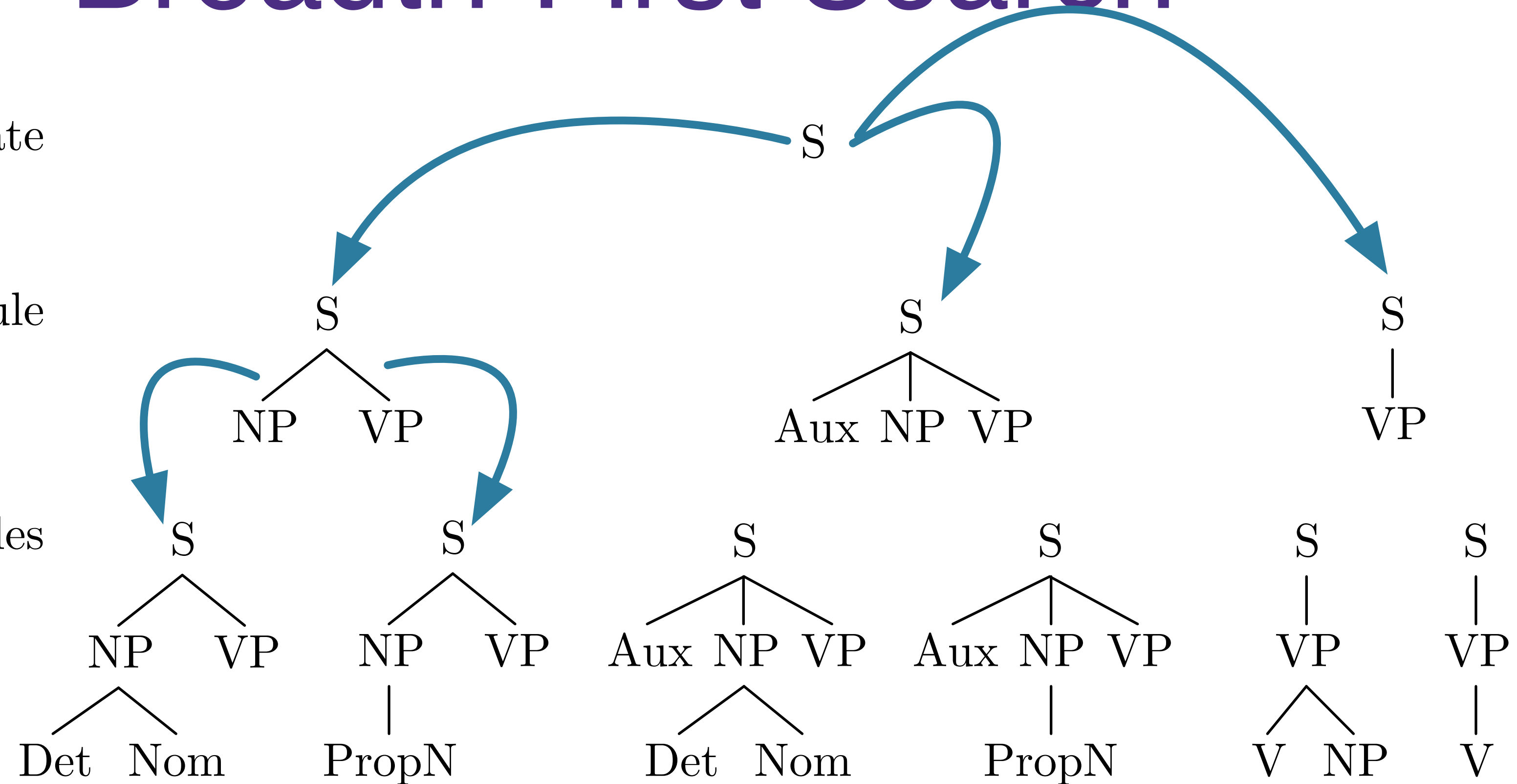


# Breadth-First Search

Start State

1 Rule

2 Rules

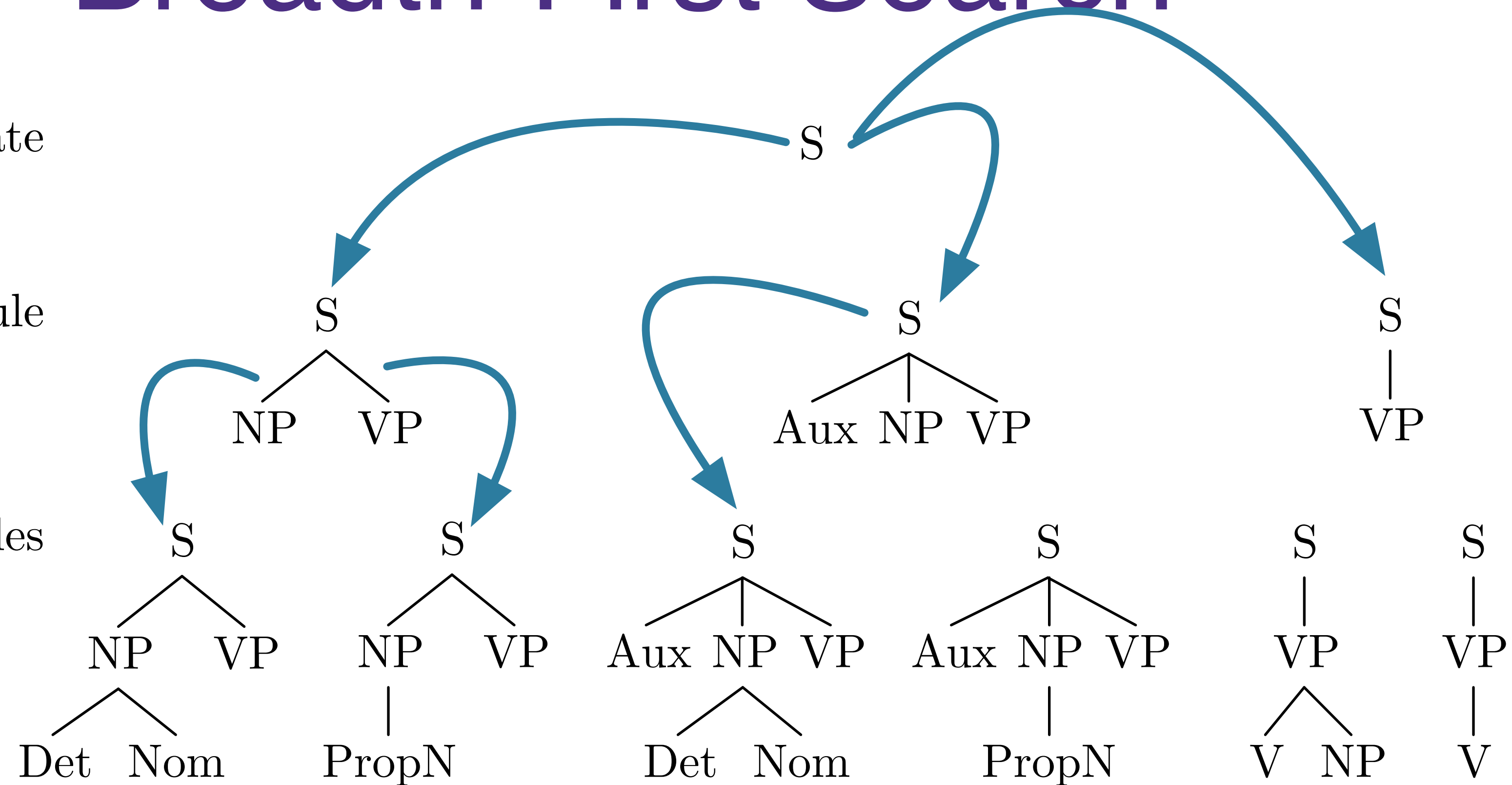


# Breadth-First Search

Start State

1 Rule

2 Rules

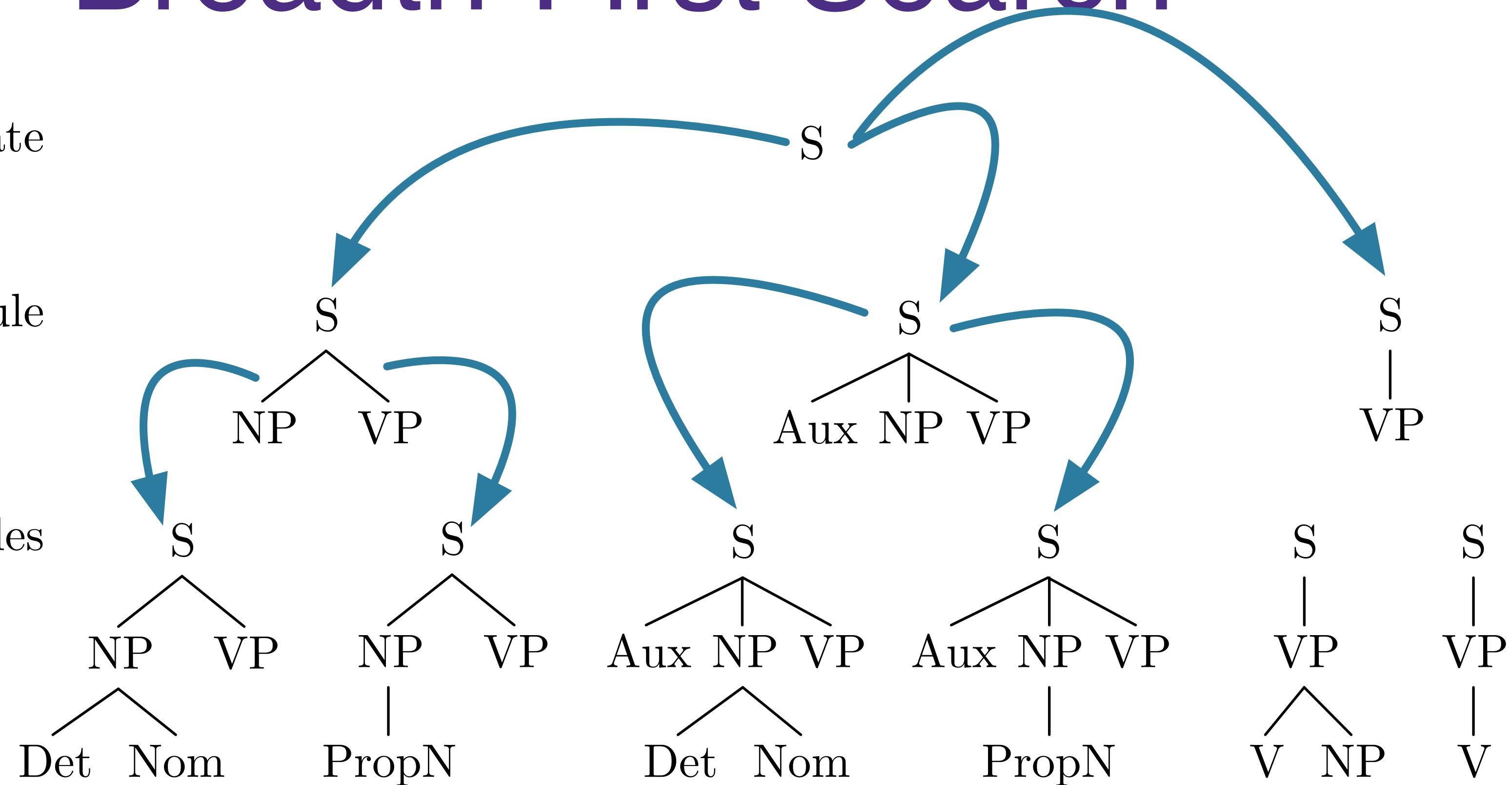


# Breadth-First Search

Start State

1 Rule

2 Rules

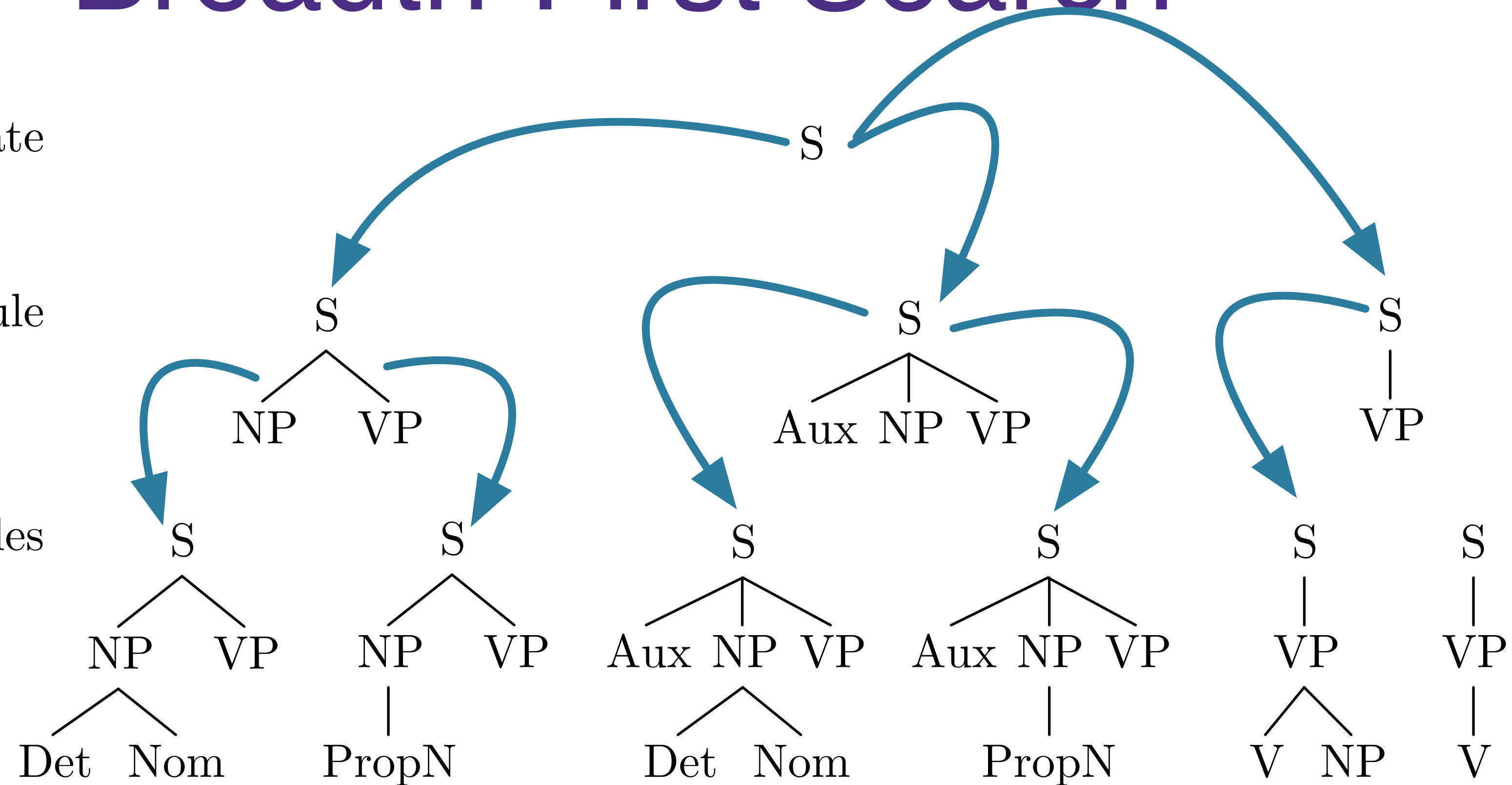


# Breadth-First Search

Start State

1 Rule

2 Rules

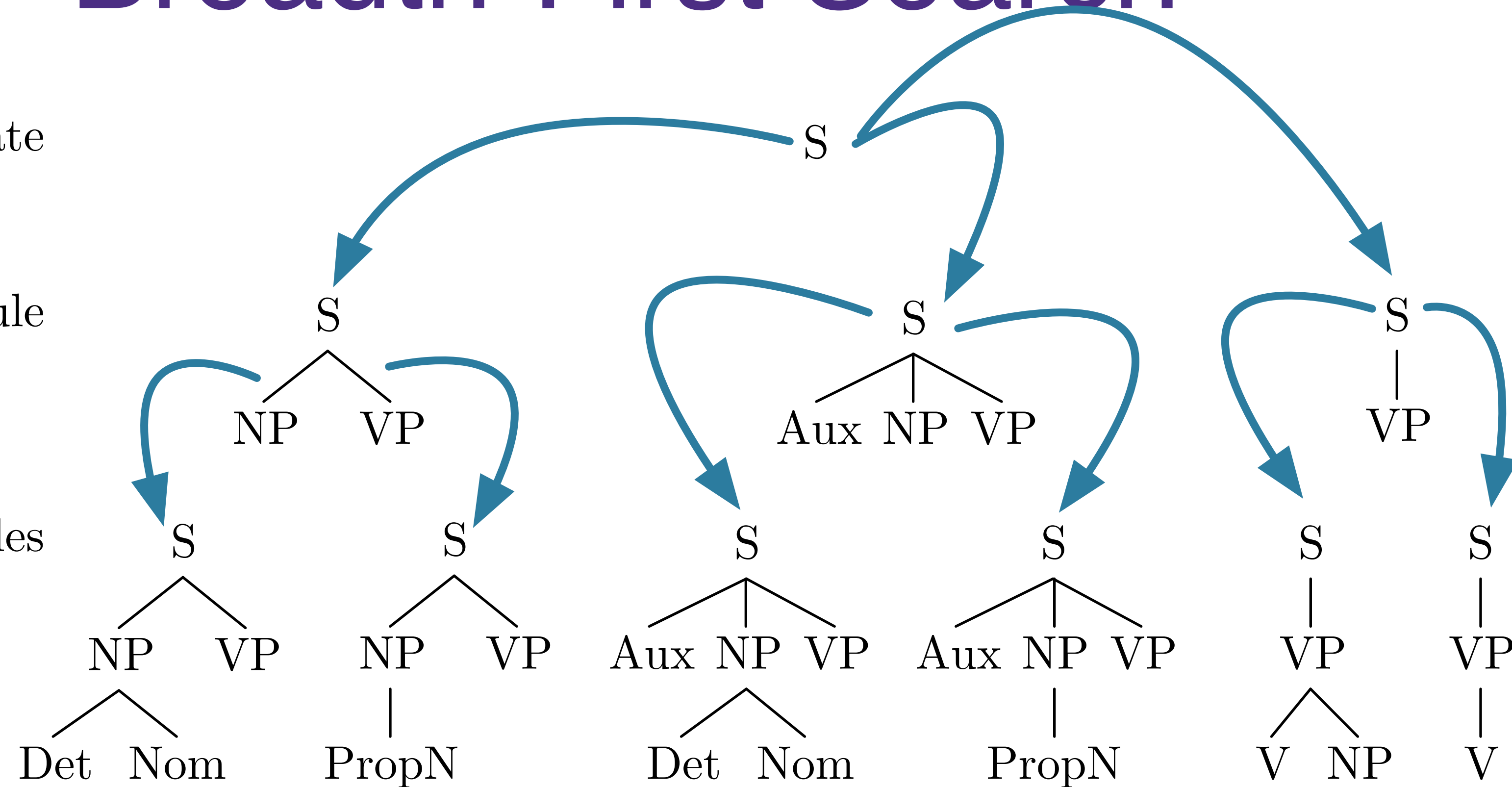


# Breadth-First Search

Start State

1 Rule

2 Rules



# Pros and Cons of Top-down Parsing

- Pros:
  - Doesn't explore trees not rooted at S
  - Doesn't explore subtrees that don't fit valid trees

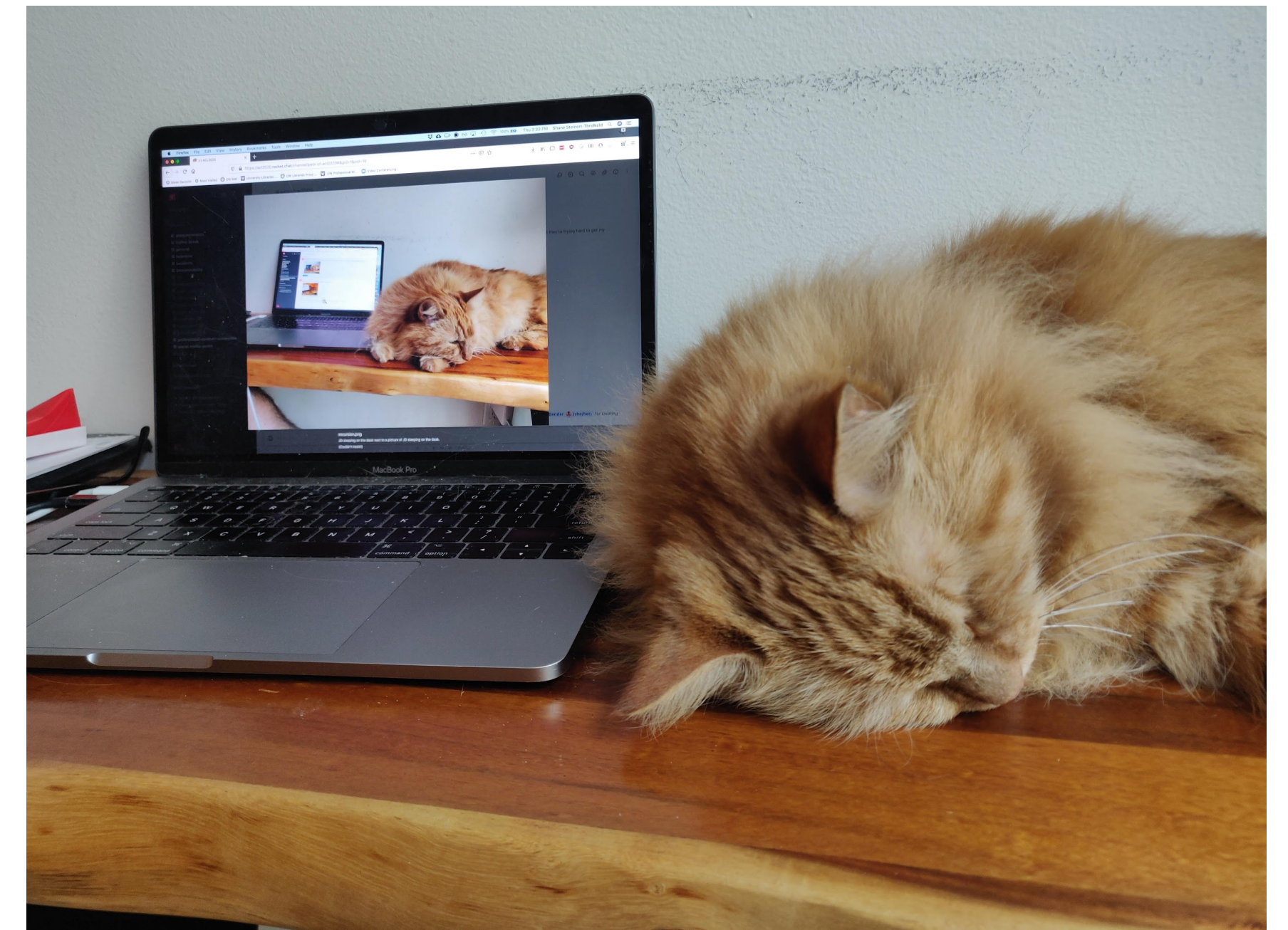
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  - Produces trees that may not match input
  - May not terminate in presence of recursive rules
  - May re-derive subtrees as part of search



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# Bottom-Up Parsing

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- Try to find all trees that span the input
  - Start with input string
    - Book that flight

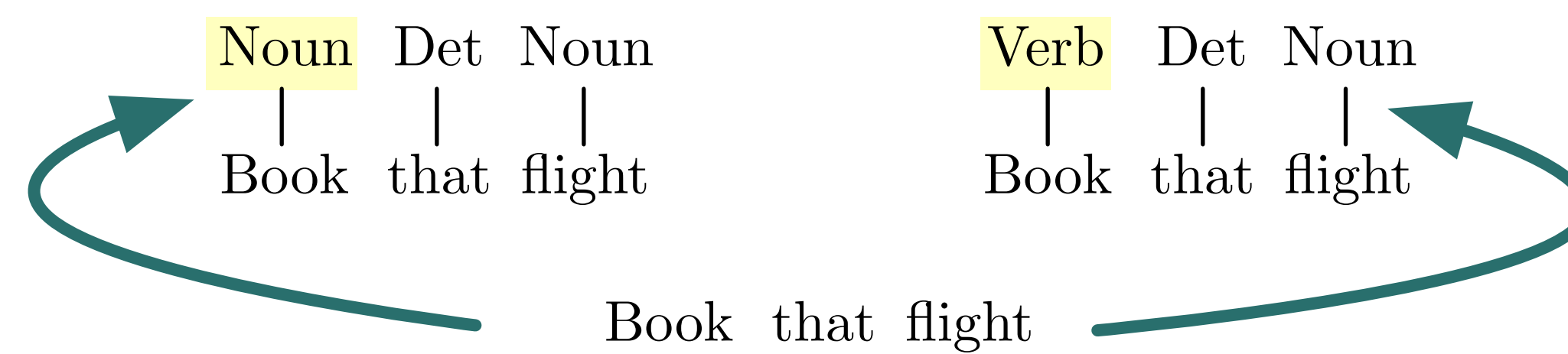
# Bottom-Up Parsing

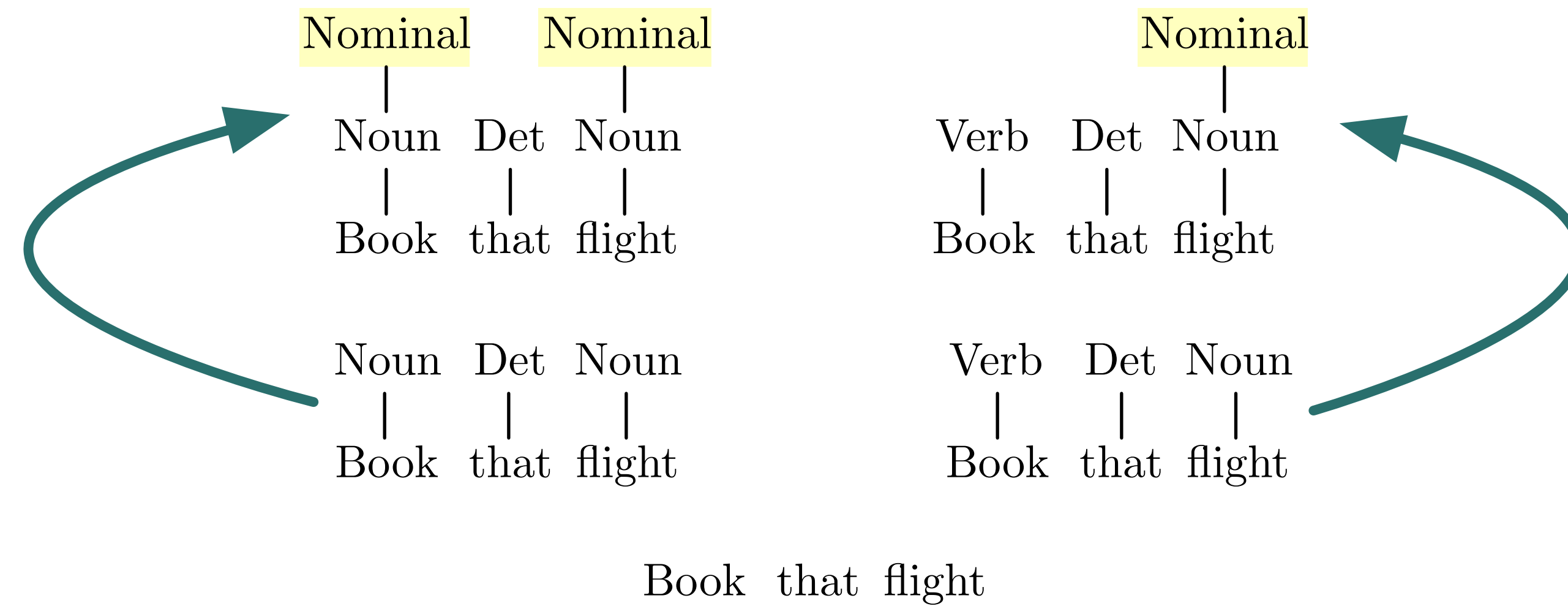
- Try to find all trees that span the input
  - Start with input string
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- Use all productions with current subtree(s) on RHS
  - e.g.  $N \rightarrow \text{Book}$ ;  $V \rightarrow \text{Book}$

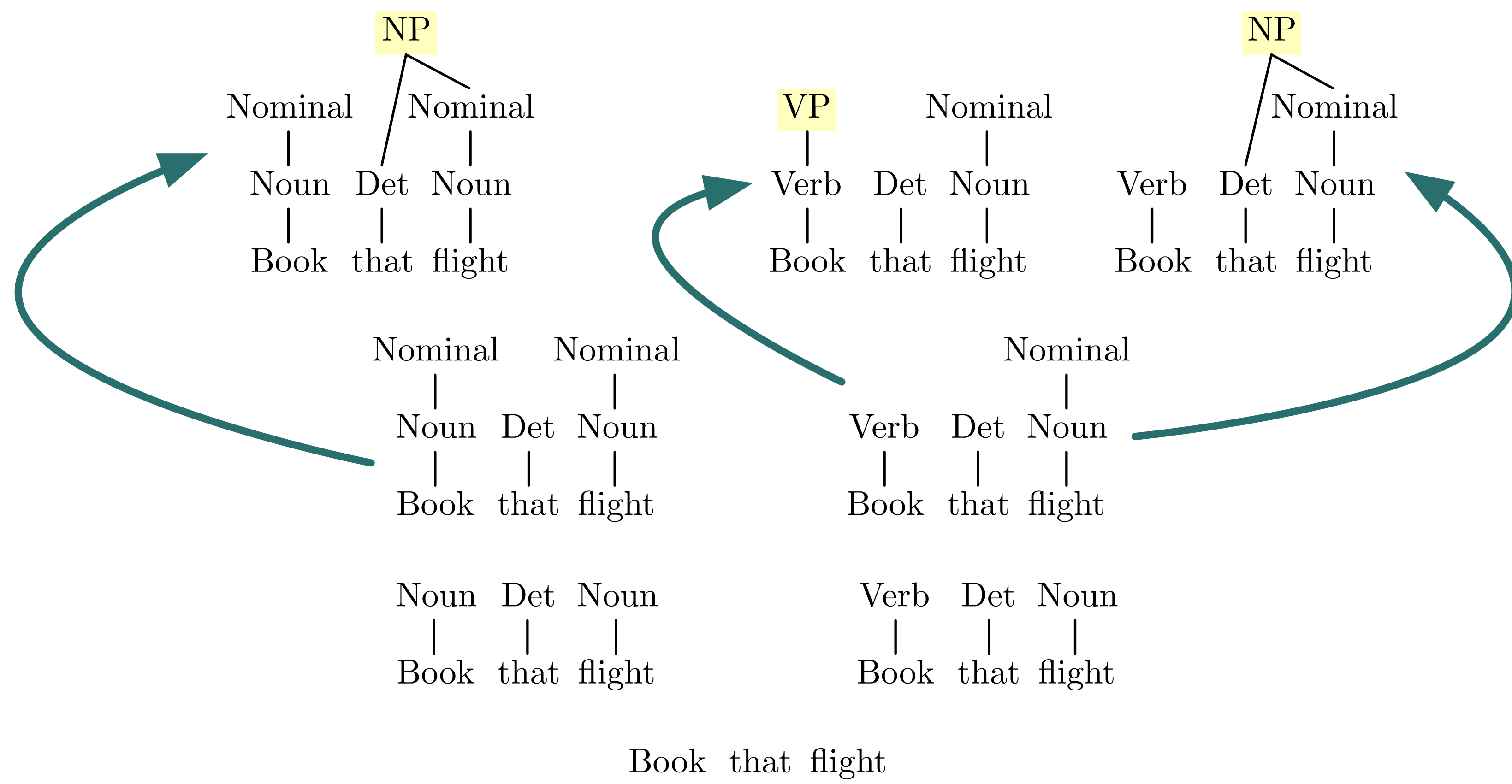
# Bottom-Up Parsing

- Try to find all trees that span the input
  - Start with input string
    - Book that flight
- Use all productions with current subtree(s) on RHS
  - e.g.  $N \rightarrow \text{Book}$ ;  $V \rightarrow \text{Book}$
- Stop when spanned by S, or no more rules apply

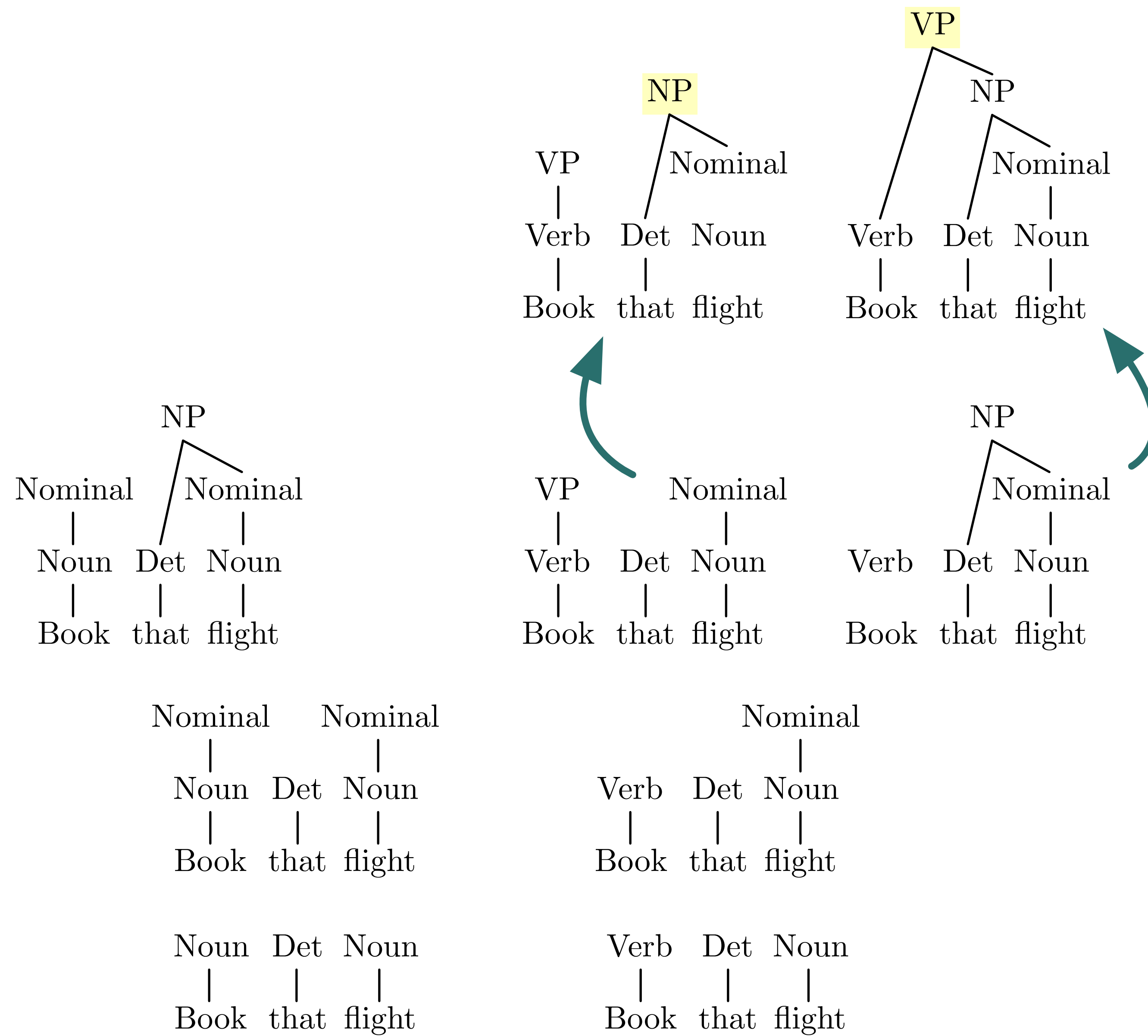
Book that flight











Book that flight

# Pros and Cons of Bottom-Up Search

- Pros:
  - Will not explore trees that don't match input
  - Recursive rules less problematic
  - Useful for incremental/fragment parsing

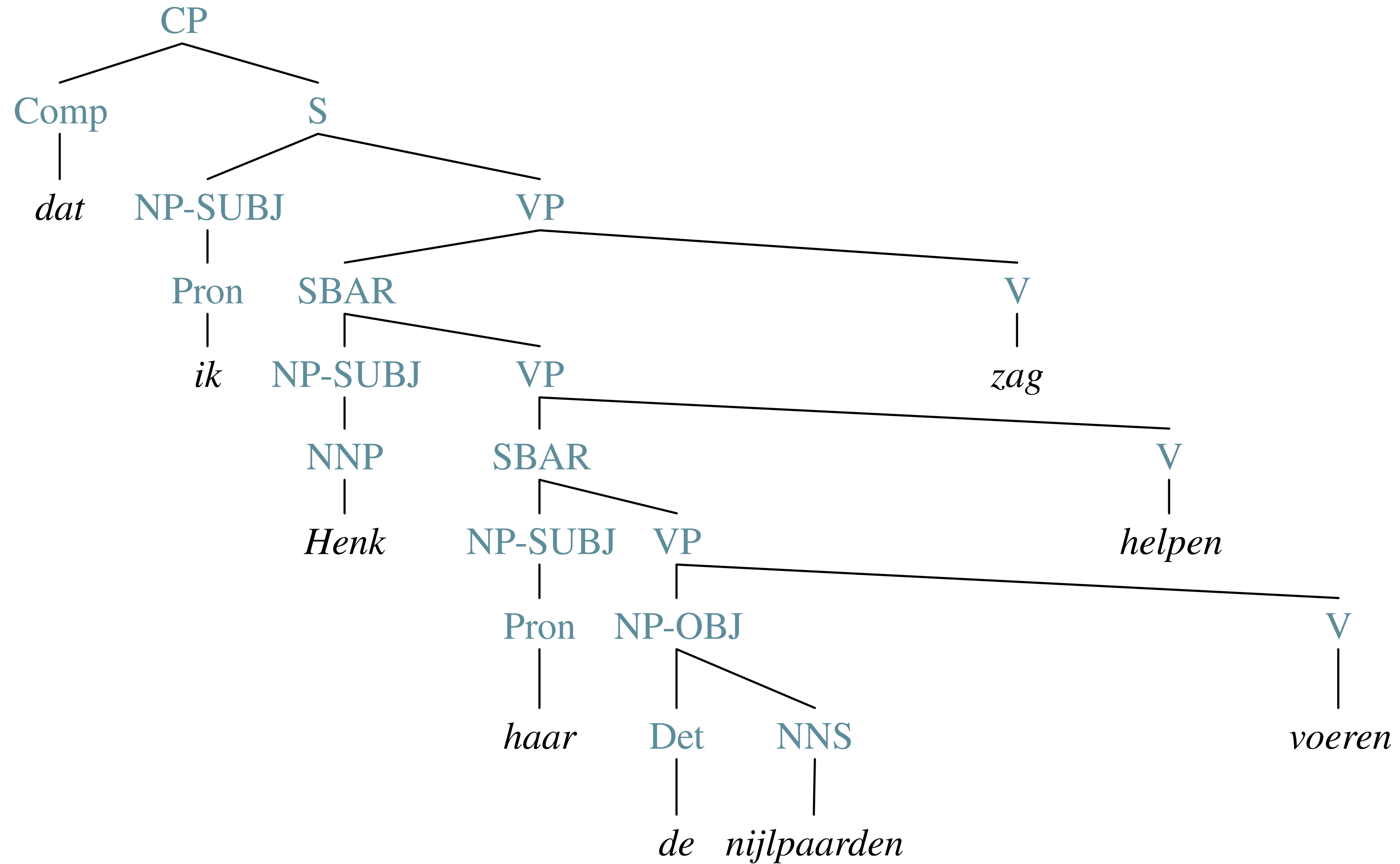
# Pros and Cons of Bottom-Up Search

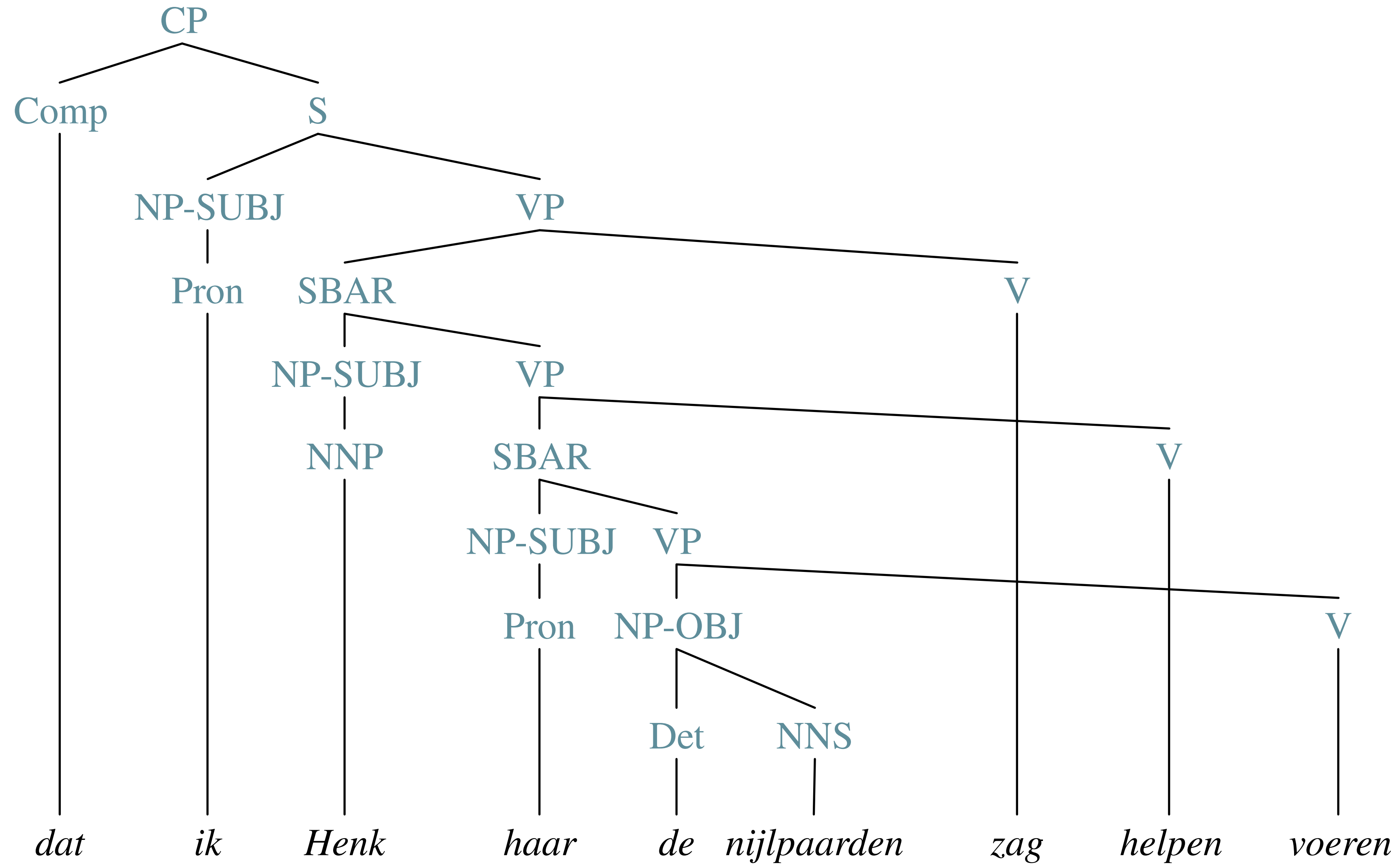
- Pros:
  - Will not explore trees that don't match input
  - Recursive rules less problematic
  - Useful for incremental/fragment parsing
- Cons:
  - Explore subtrees that will not fit full input

# Cross-Serial Dependencies, Revisited

$$L' = a^m b^n c^m d^n$$

|                 |                   |                   |                          |                  |                     |                     |
|-----------------|-------------------|-------------------|--------------------------|------------------|---------------------|---------------------|
| ik <sub>1</sub> | Henk <sub>2</sub> | haar <sub>3</sub> | nijlpaarden <sub>3</sub> | zag <sub>1</sub> | helpen <sub>2</sub> | voeren <sub>3</sub> |
| I <sub>1</sub>  | Henk <sub>2</sub> | her <sub>3</sub>  | hippos                   | saw <sub>1</sub> | help <sub>2</sub>   | feed <sub>3</sub>   |







# Next Time

- Beginning to implement CFG parsing algorithms
- Conversion to Chomsky Normal Form
  - Required for CKY algorithm
- HW2 out