

# Introduction

LING 571 — Deep Processing Techniques for NLP

September 28, 2022

Shane Steinert-Threlkeld

# Roadmap

- **Motivation**
- Language and Intelligence
- Knowledge of Language
- Course Overview
- Intro to Syntax and Parsing

W

How are you feeling about the start of the quarter and a new academic year generally?

Total Results: 0

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# Motivation: Applications

- Applications of Speech and Language Processing
  - Call Routing
  - Information Retrieval
  - Question Answering
  - Machine Translation
  - Dialog Systems
  - Spell– and Grammar– Checking
  - Sentiment Analysis
  - Information Extraction
  - ...

# Building on Many Fields

- **Linguistics:** *Morphology, phonology, syntax, semantics...*
- **Psychology:** *Reasoning, mental representations*
- **Formal Logic**
- **Philosophy (of Language)**
- **Theory of Computation:** *Automata theory*
- **Artificial Intelligence:** *Search, Reasoning, Knowledge Representation, Machine Learning, Pattern Matching*
- **Probability**

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# Operationalizing Intelligence: The Turing Test (1950)

- Two contestants: Human vs. Computer
  - Judge: human
  - Test: interact via text questions
  - Question: Can judge tell which contestant is human?

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  - **Judge**: human
  - **Test**: interact via text questions
  - **Question**: Can judge tell which contestant is human?
- *Crucially*:
  - Posits that passing requires language use and understanding



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- ELIZA ([Weizenbaum, 1966](#)) [[Try it Online](#)]

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- Simple pattern matching technique

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“On the web, no one knows you’re a...”

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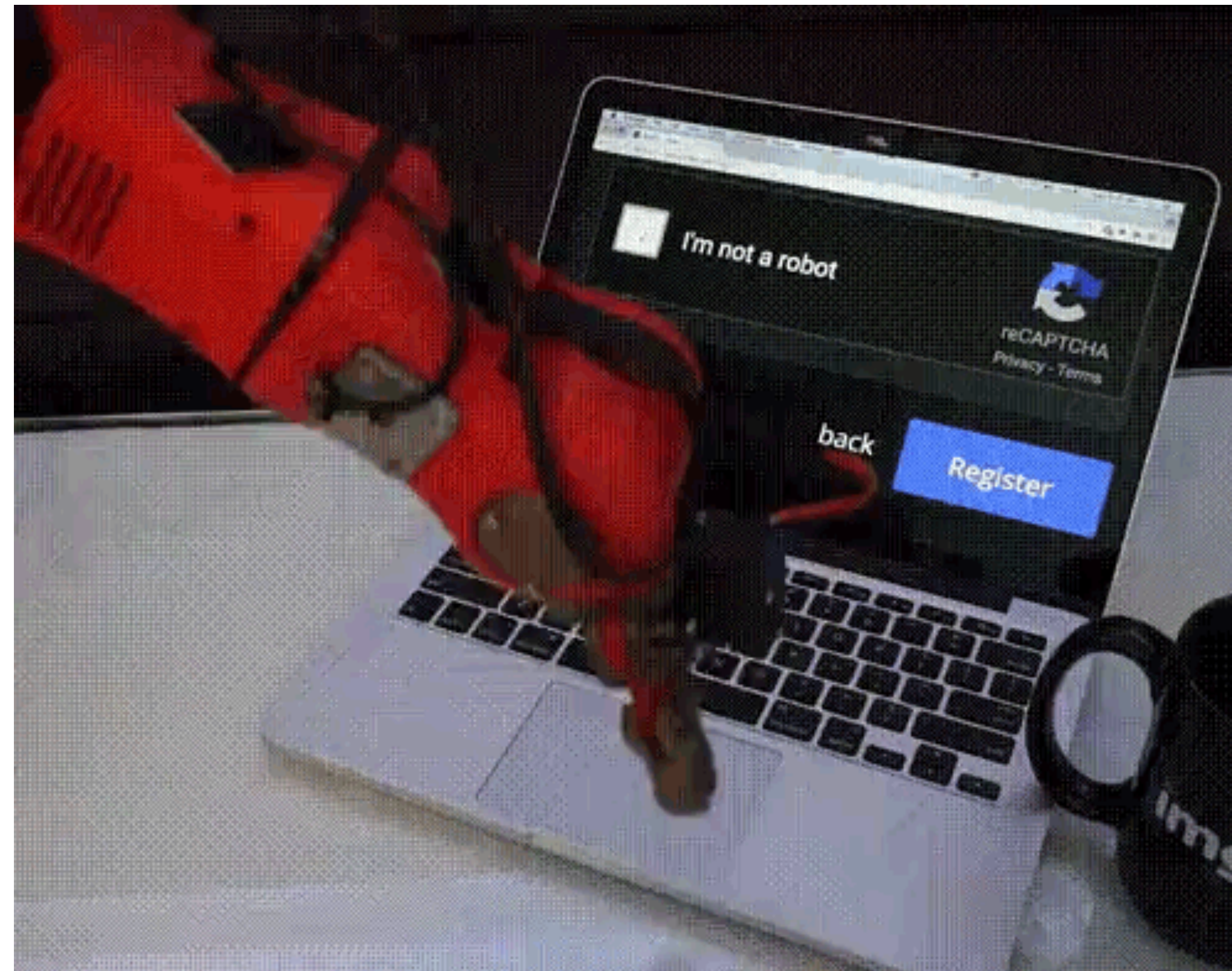
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  - Long-term: Inspires “arms race”

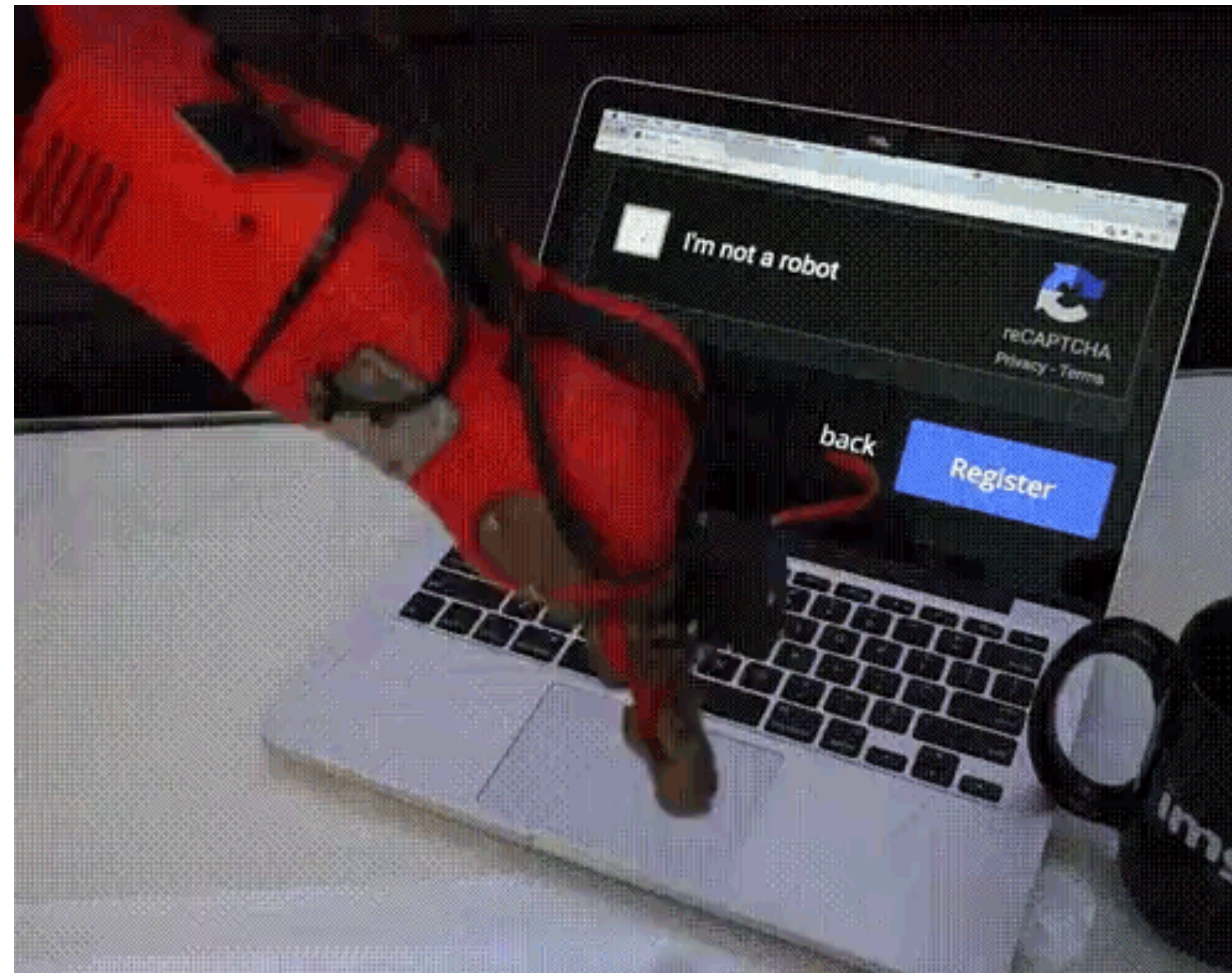


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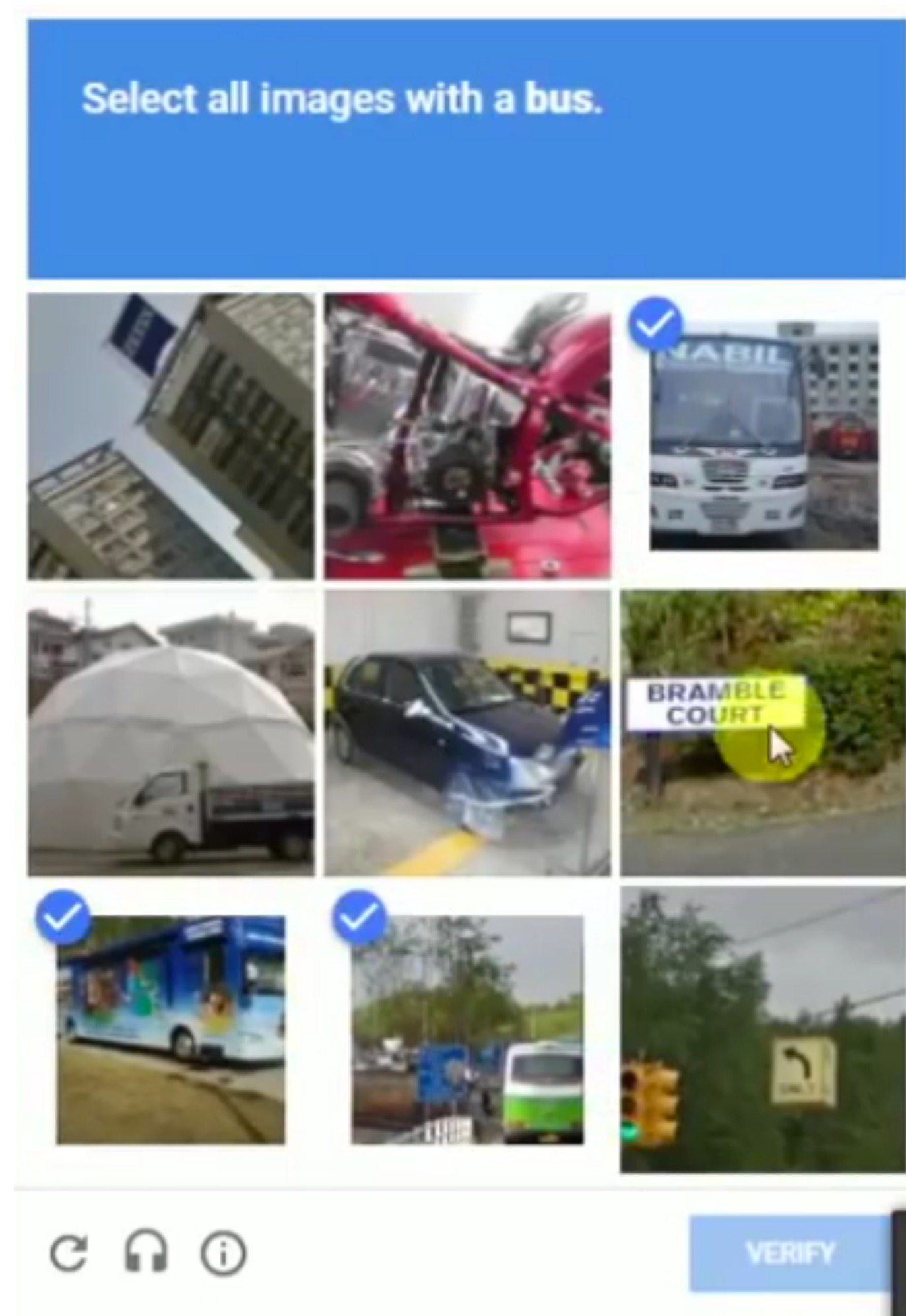
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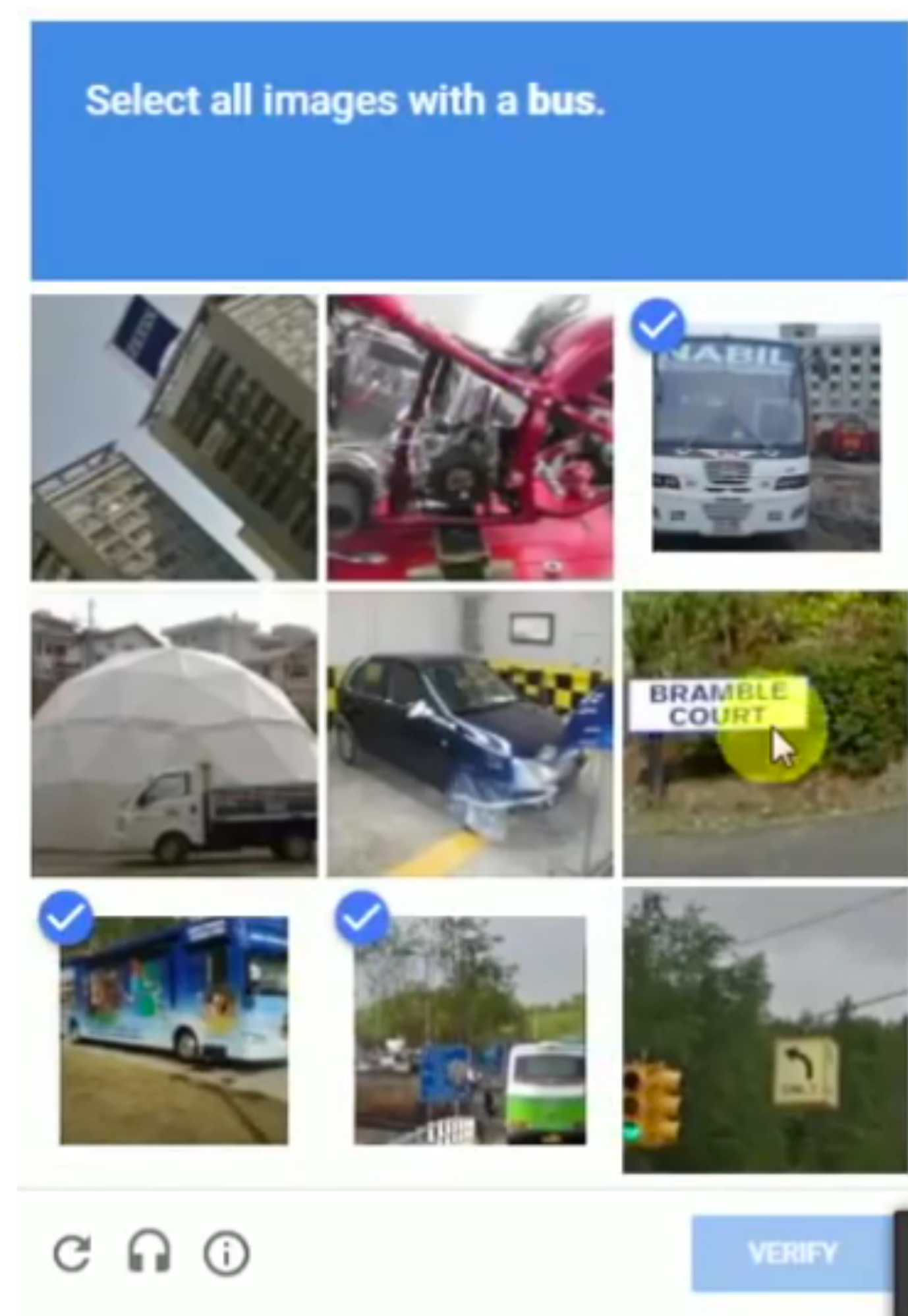




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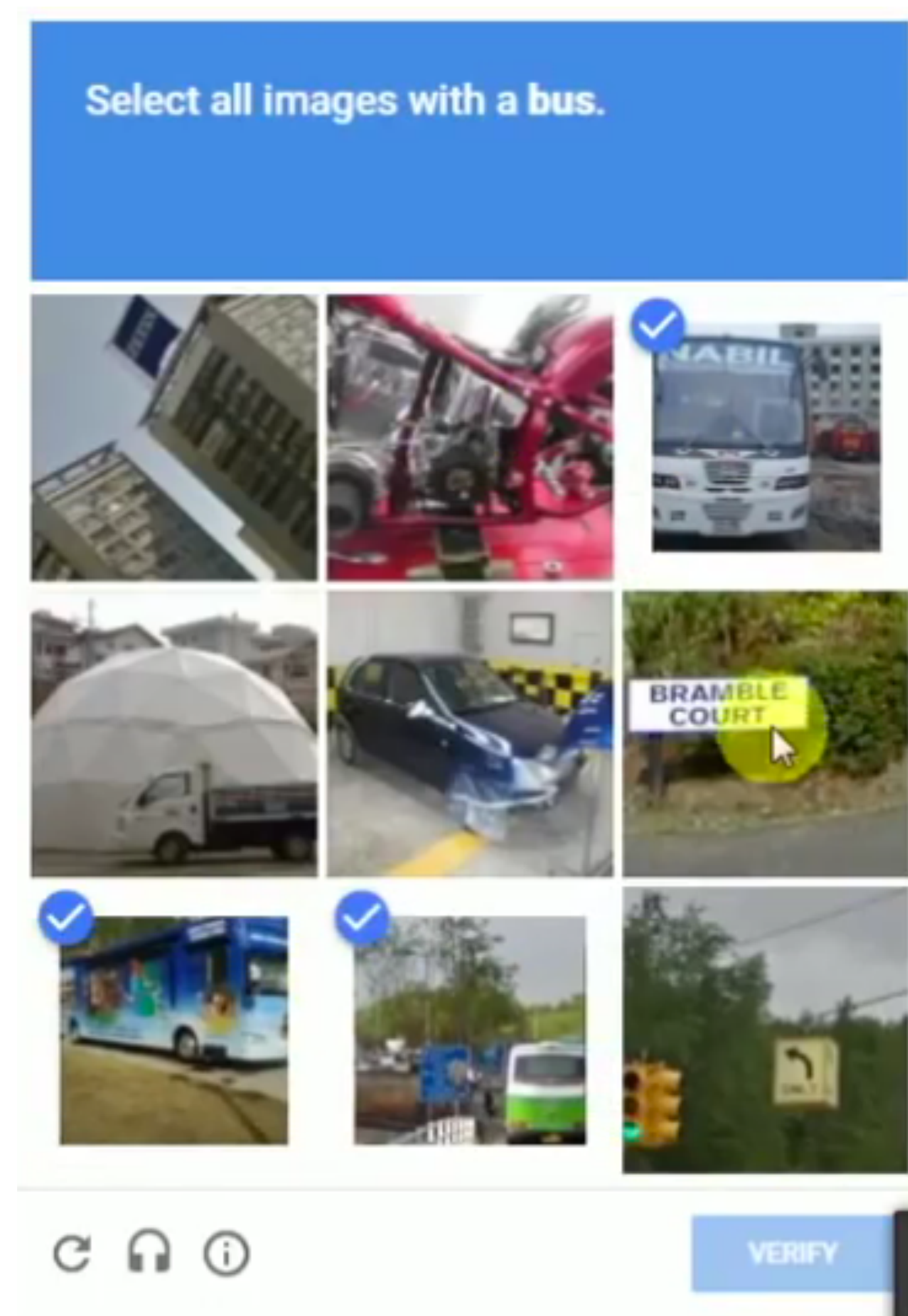
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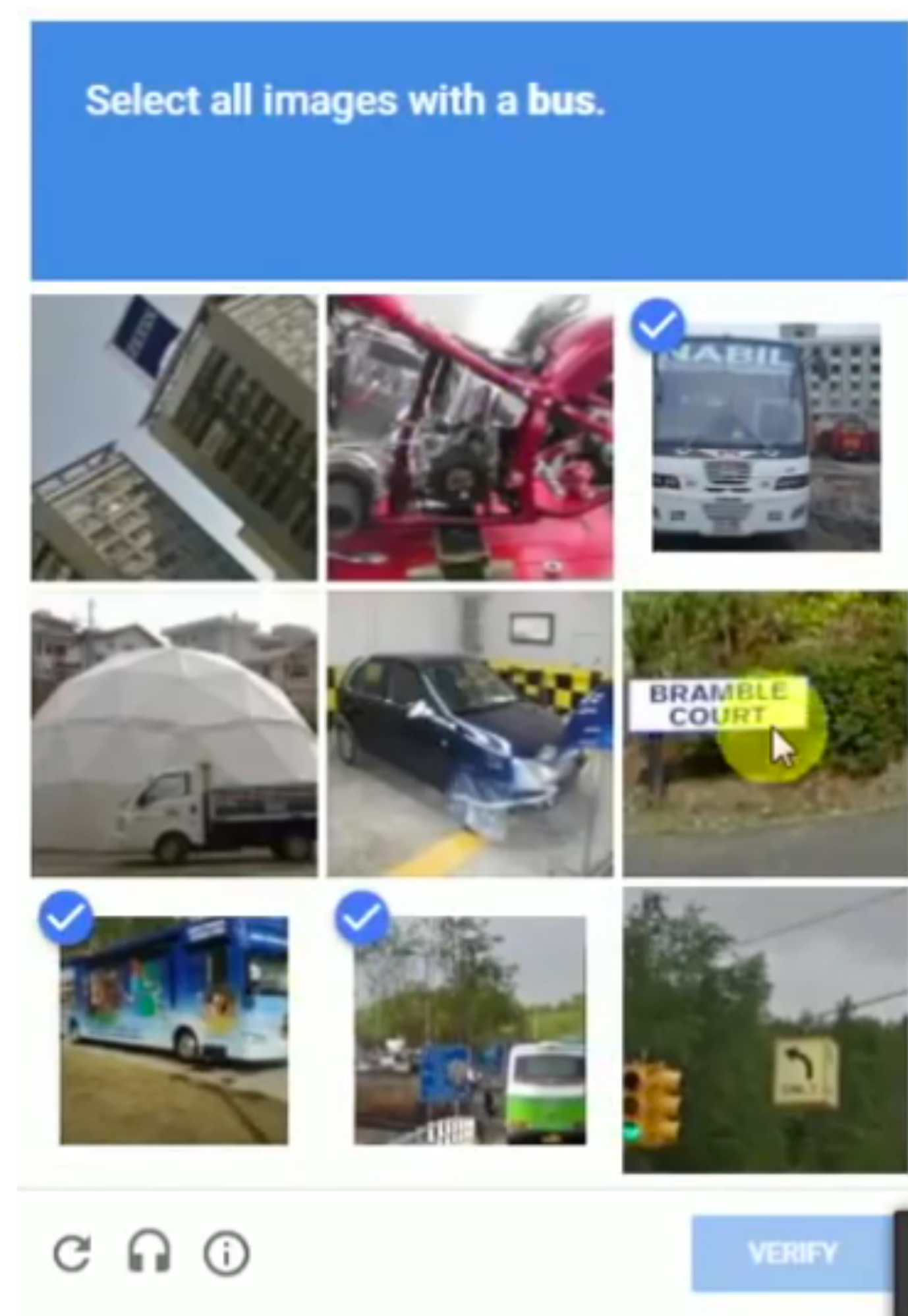




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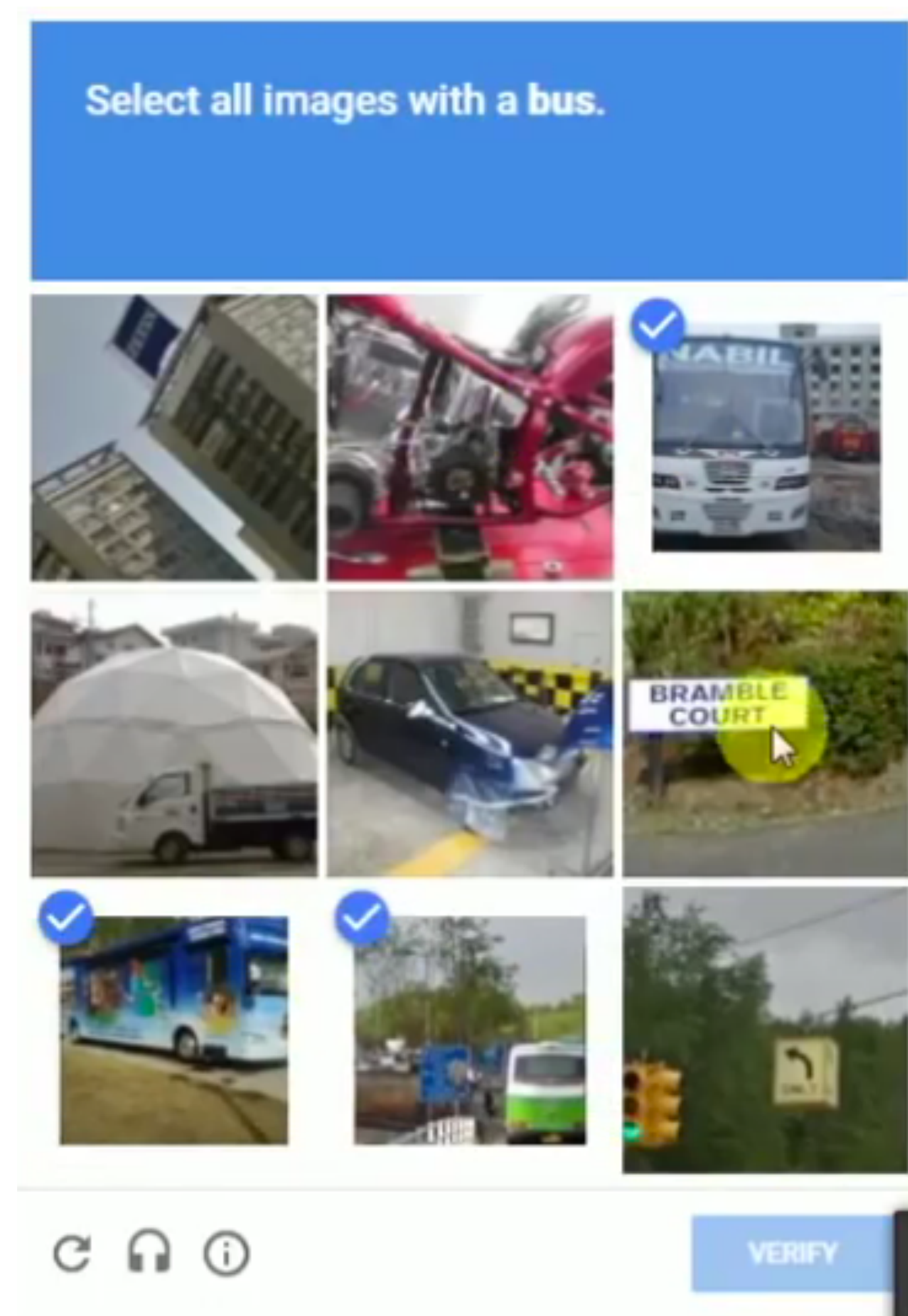
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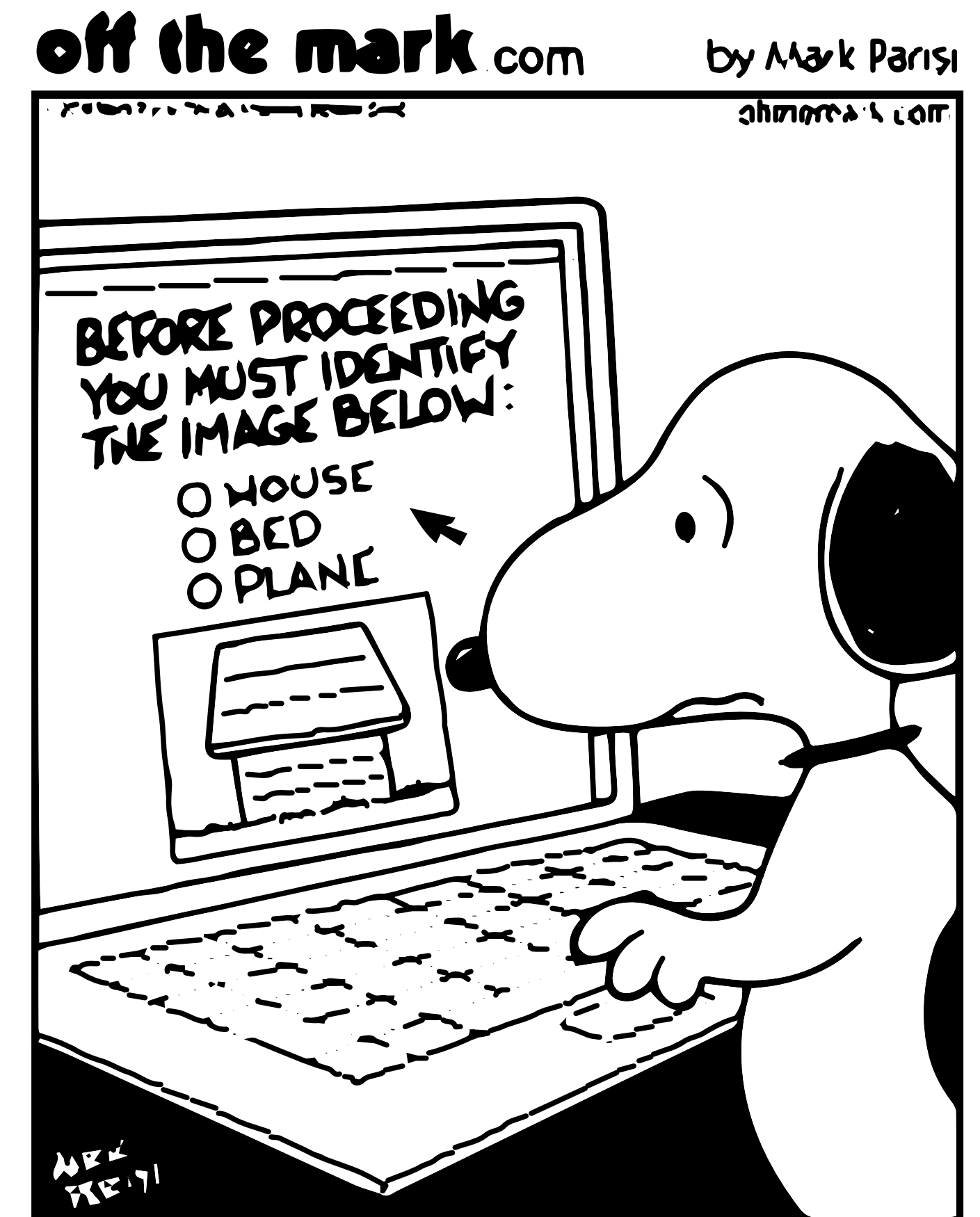
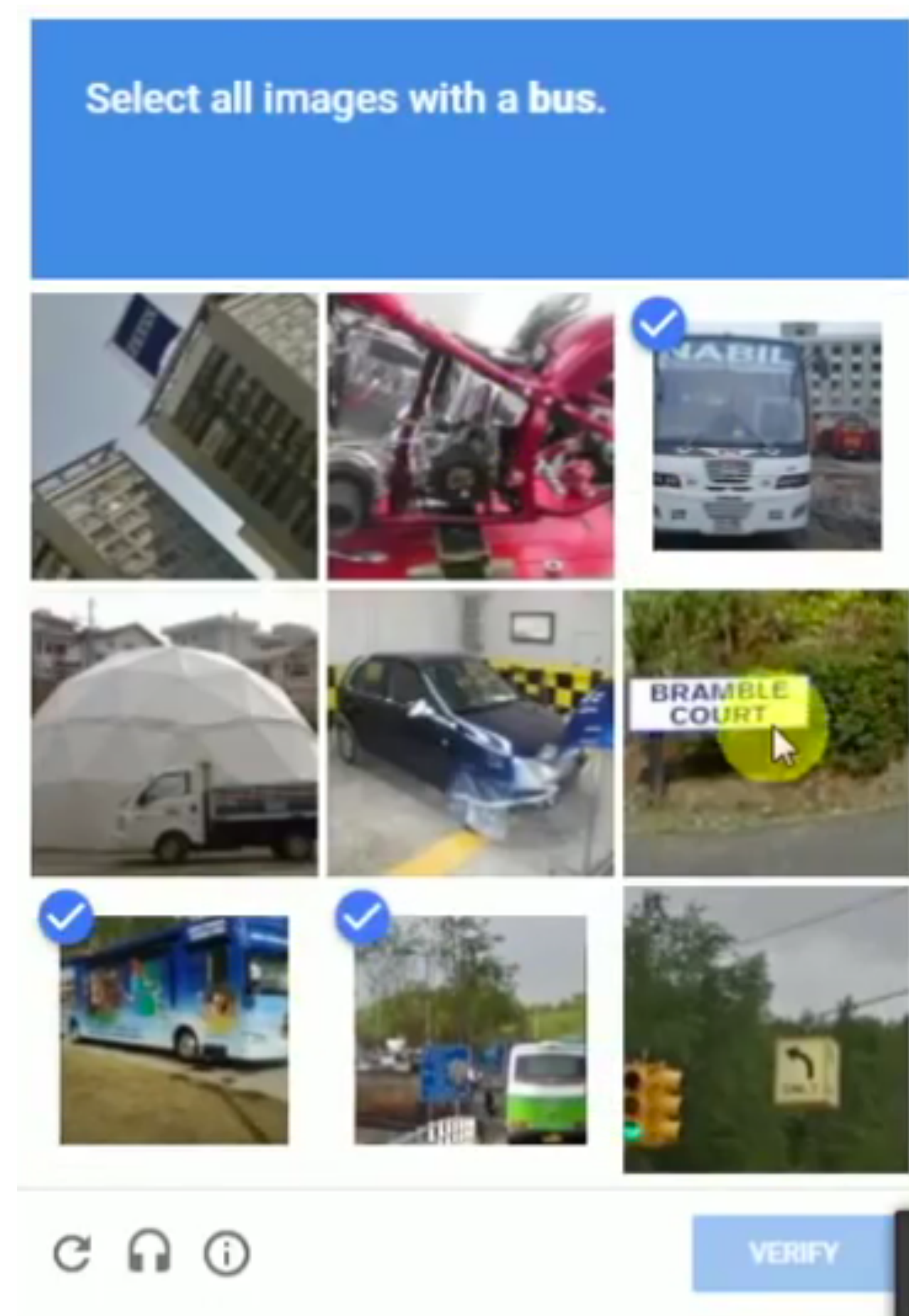




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- Motivation
- Language and Intelligence
- **Knowledge of Language**
- Course Overview
- Intro to Syntax and Parsing

# Knowledge of Language

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- POSIX command “wc”
  - Counts total number of **bytes**, **words**, and **lines** in text file
  - **bytes** and **lines** → data processing
  - **words** → *what do we mean by “word”?*

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- **Phonetics & Phonology** (Ling 450/550)
  - Sounds of a language, acoustics
  - Legal sound sequences in words

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**Dave:** *Open the pod bay doors, HAL.*

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- **Morphology** (Ling 570)

- Recognize, produce variation in word forms

- Singular vs. plural:  $\text{Door} + \text{sg} \rightarrow \text{"door"}$      $\text{Door} + \text{pl} \rightarrow \text{"doors"}$

- Verb inflection:  $\text{be} + \text{1st Person} + \text{sg} + \text{present} \rightarrow \text{"am"}$

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- **Part-of-speech Tagging** (Ling 570)
  - Identify word use in sentence
  - Bay (Noun) — Not verb, adjective

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- **Syntax**
  - (566: Analysis, 570: Chunking, 571: Parsing)
  - Order and group words in sentence
    - cf. \**"I'm I do, sorry that afraid Dave I can't"*



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- **Semantics** (Word Meaning)
  - Individual (lexical) + Combined (Compositional)
  - 'Open' : AGENT **cause** THEME **to become** open;
  - 'pod bay doors' → doors to the 'pod bay' → the bay which houses the pods.

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  - Politeness: “**I'm sorry, I'm afraid I can't...**”

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# Course Overview:

## Shallow vs. Deep Processing

- Shallow processing (LING 570)
  - ***Less elaborate*** linguistic representations
    - Usually relies on surface forms (e.g. words)
  - Examples: HMM POS-tagging; FST morphology

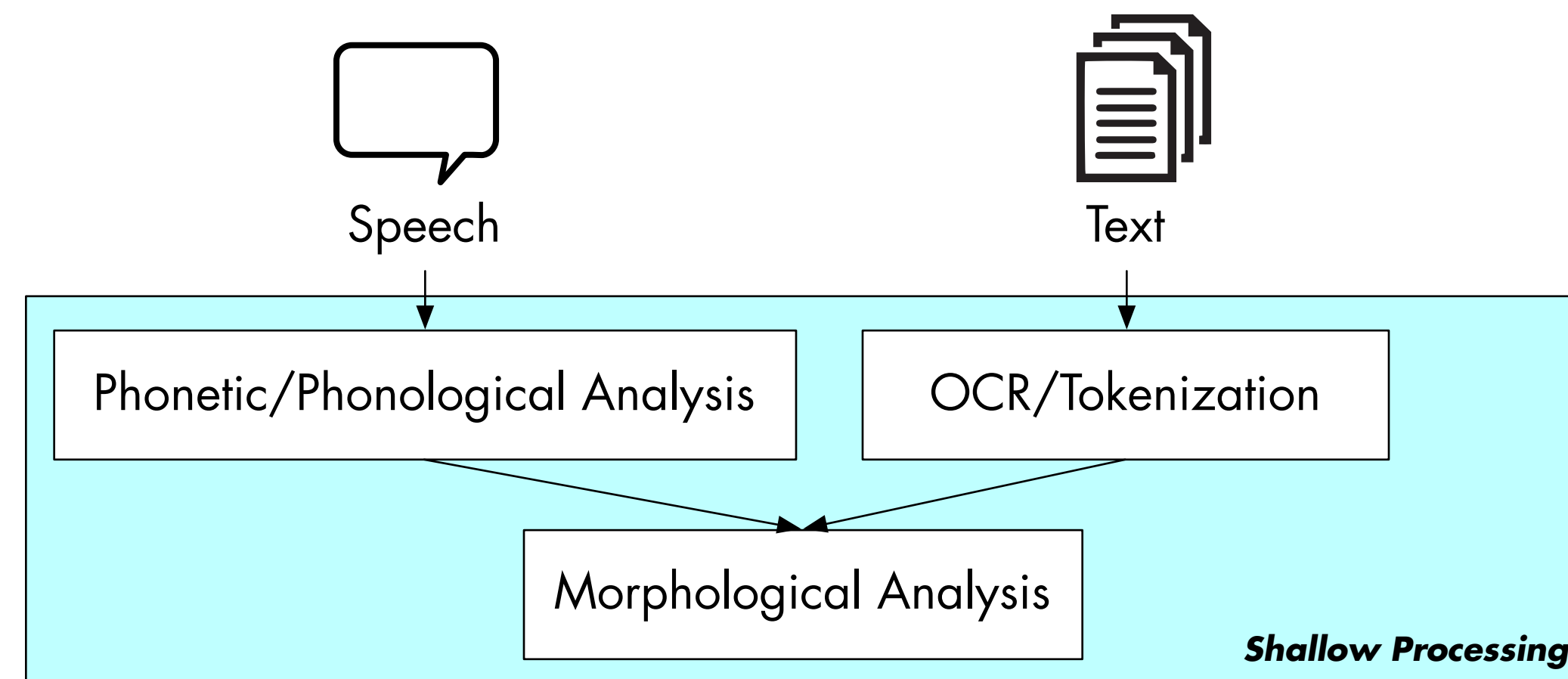
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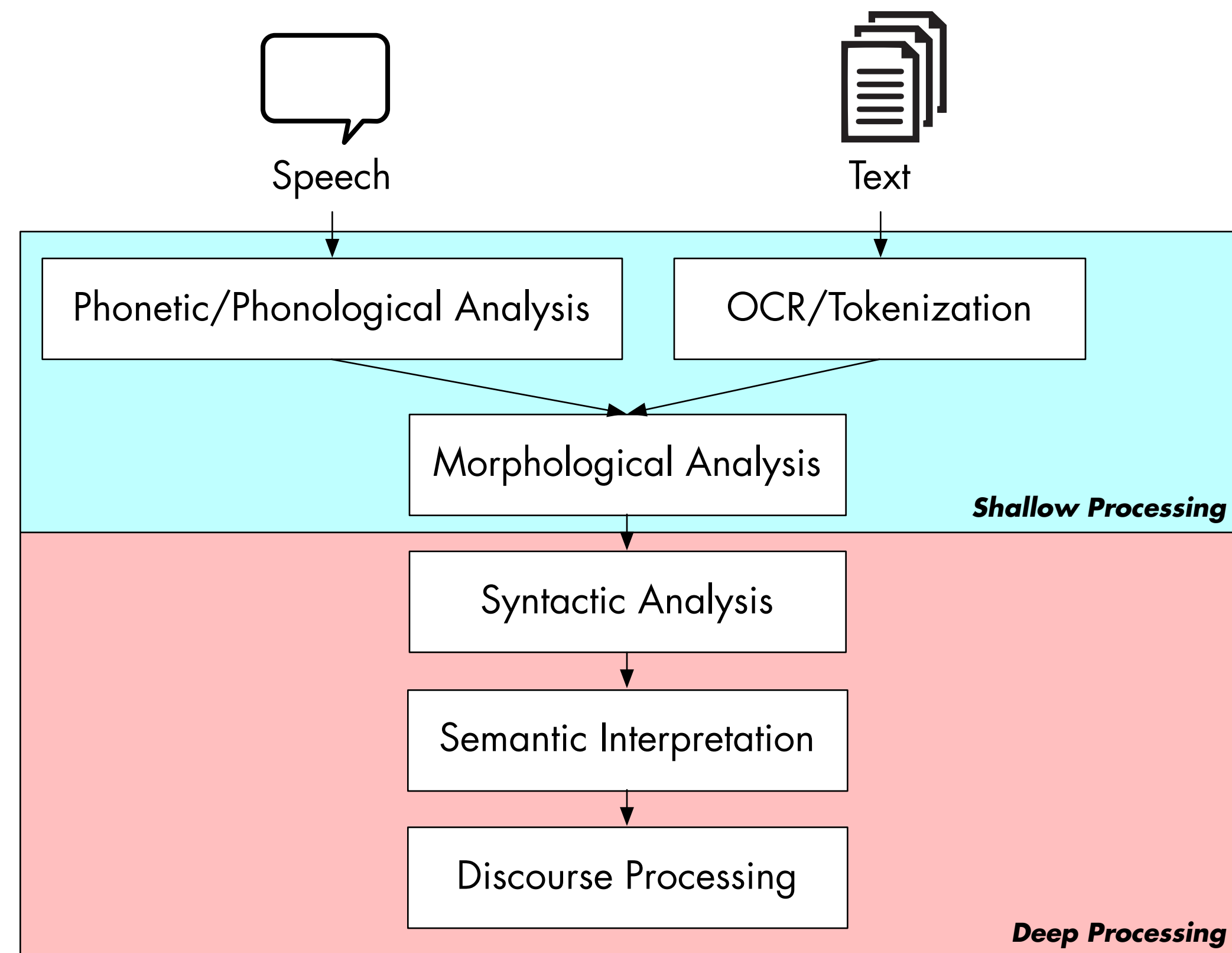
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- Deep processing (LING 571)
  - Relies on ***more elaborate*** linguistic representations
    - Deep syntactic analysis (Parsing)
    - Rich spoken language understanding (NLU)



# Language Processing Pipeline



# Language Processing Pipeline



# A Note On “Depth”

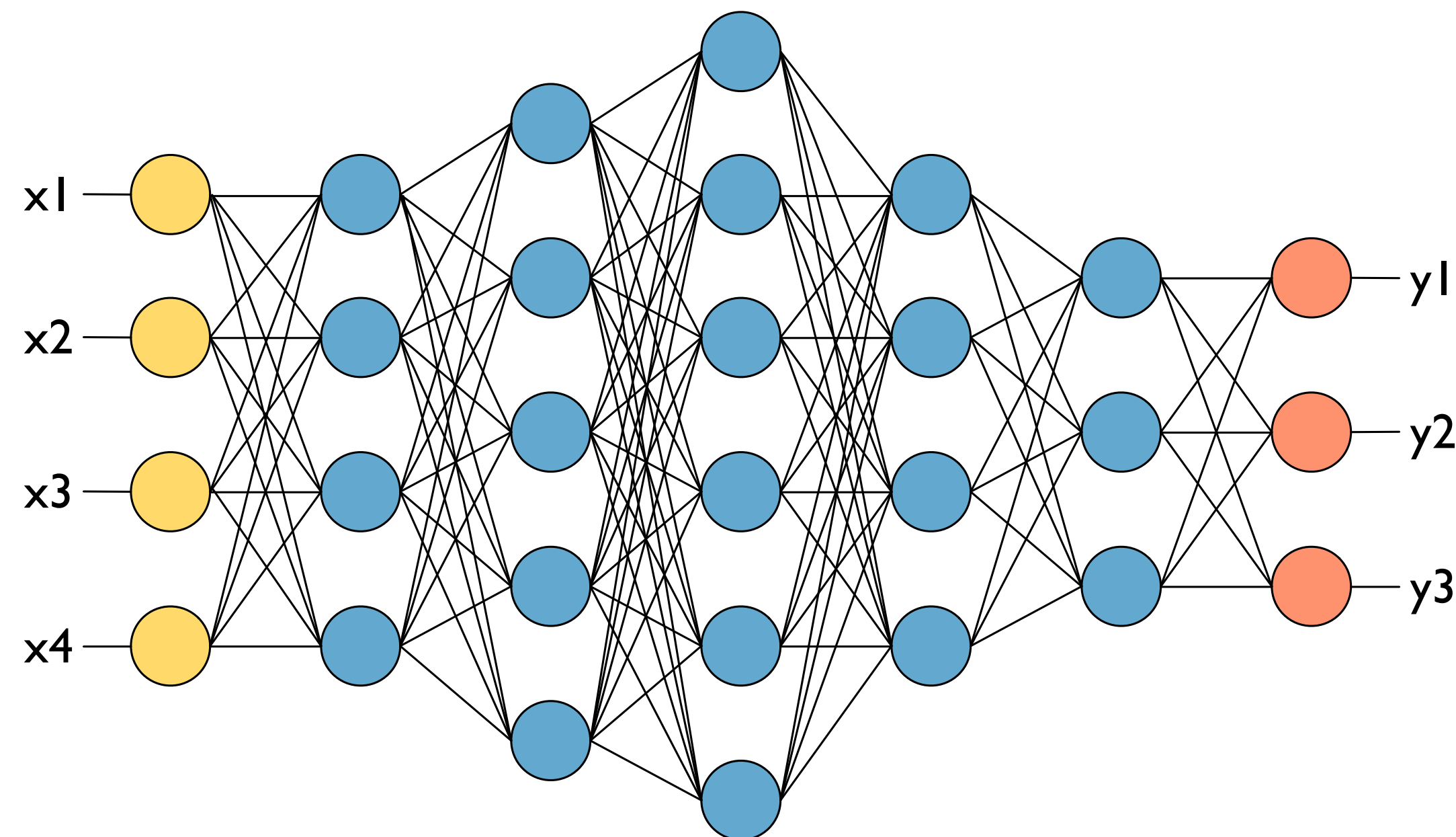
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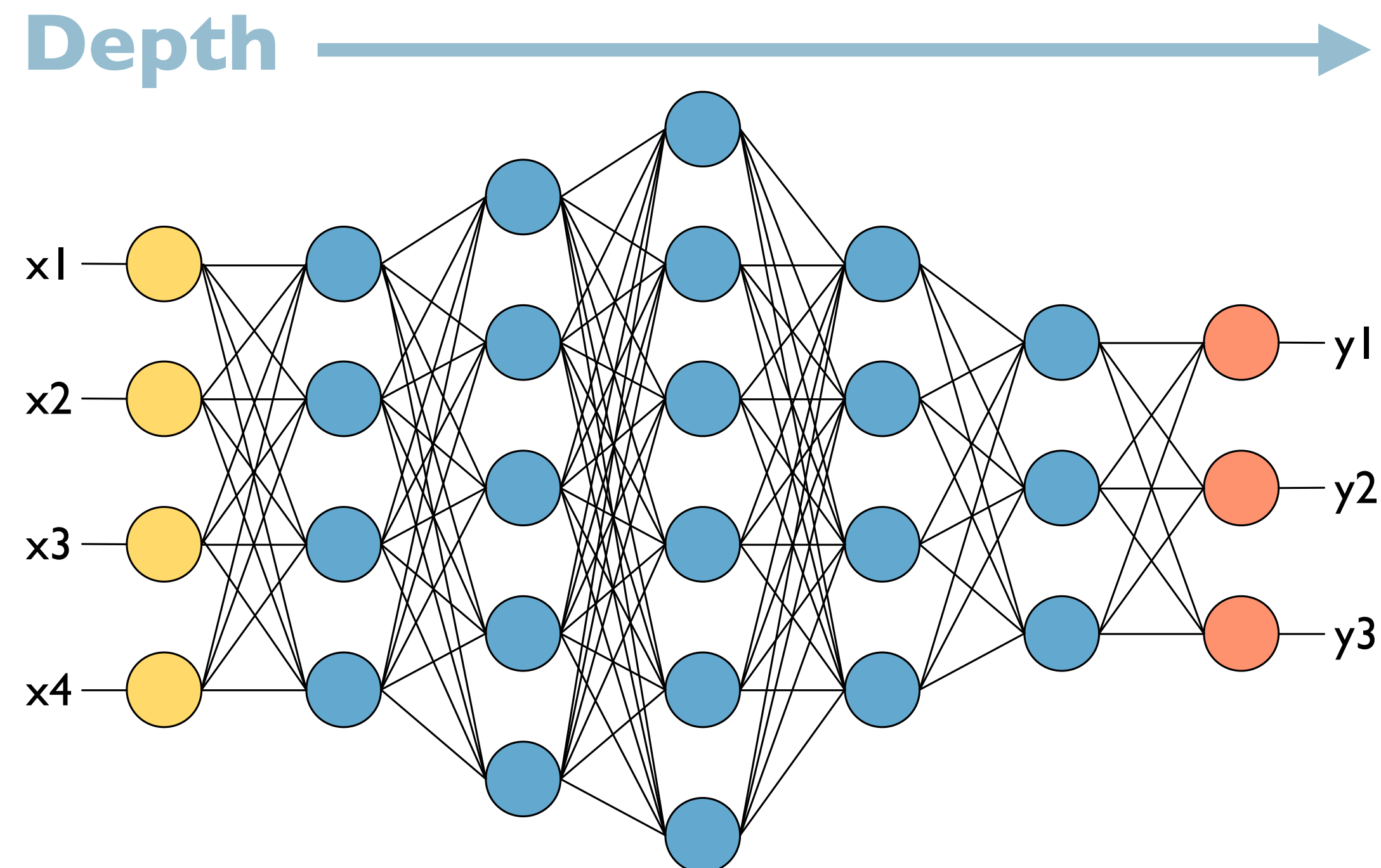
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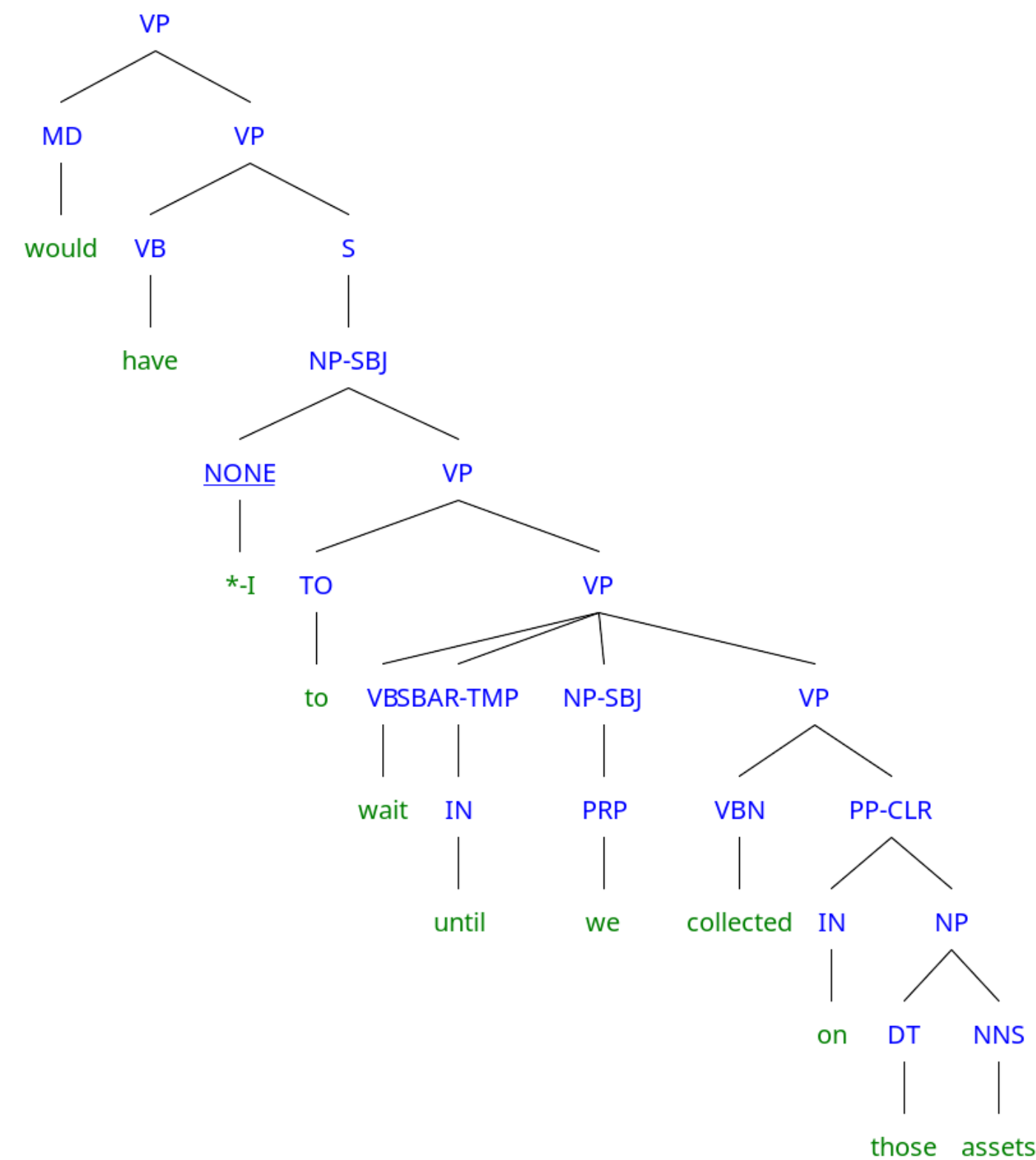
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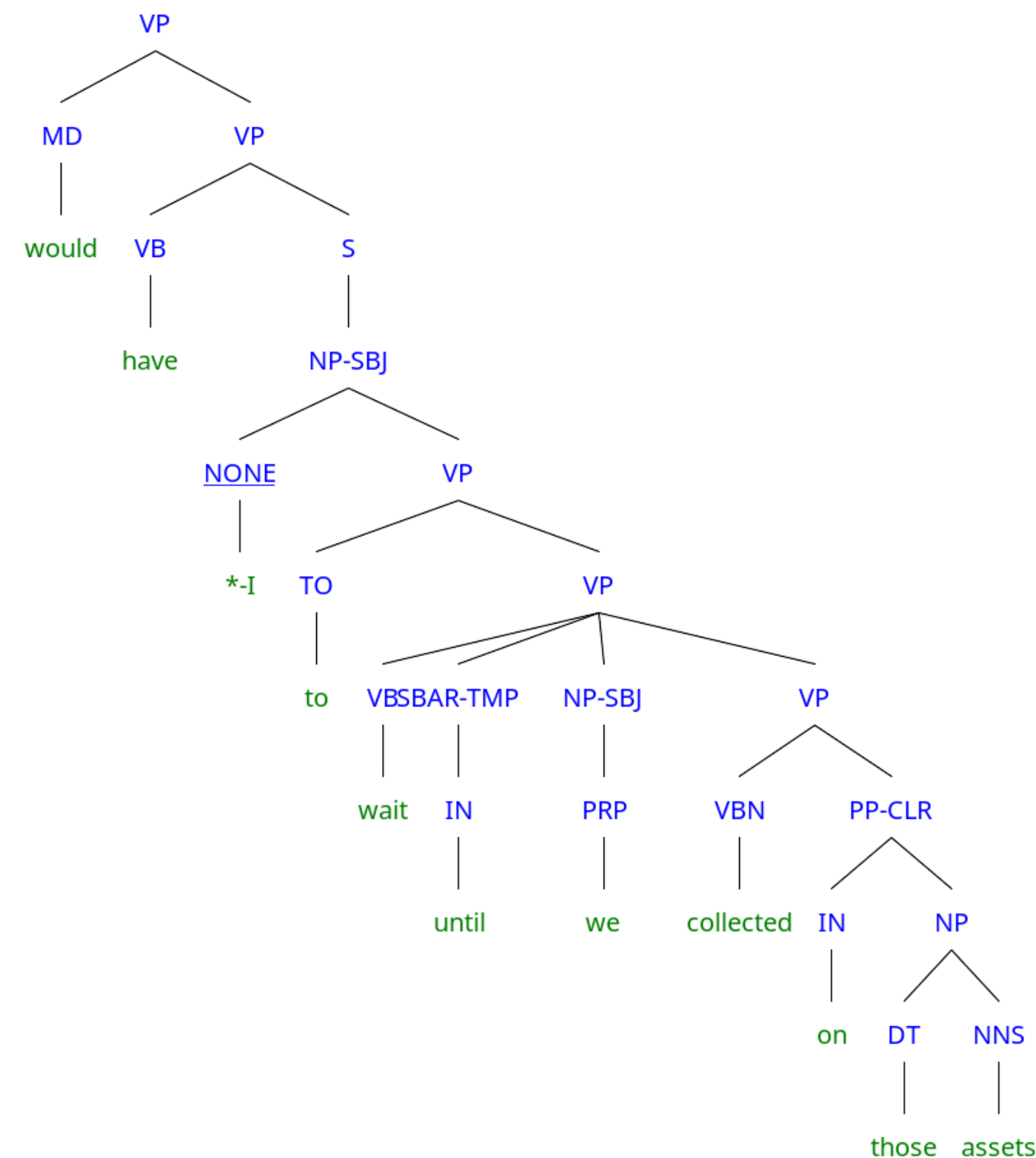
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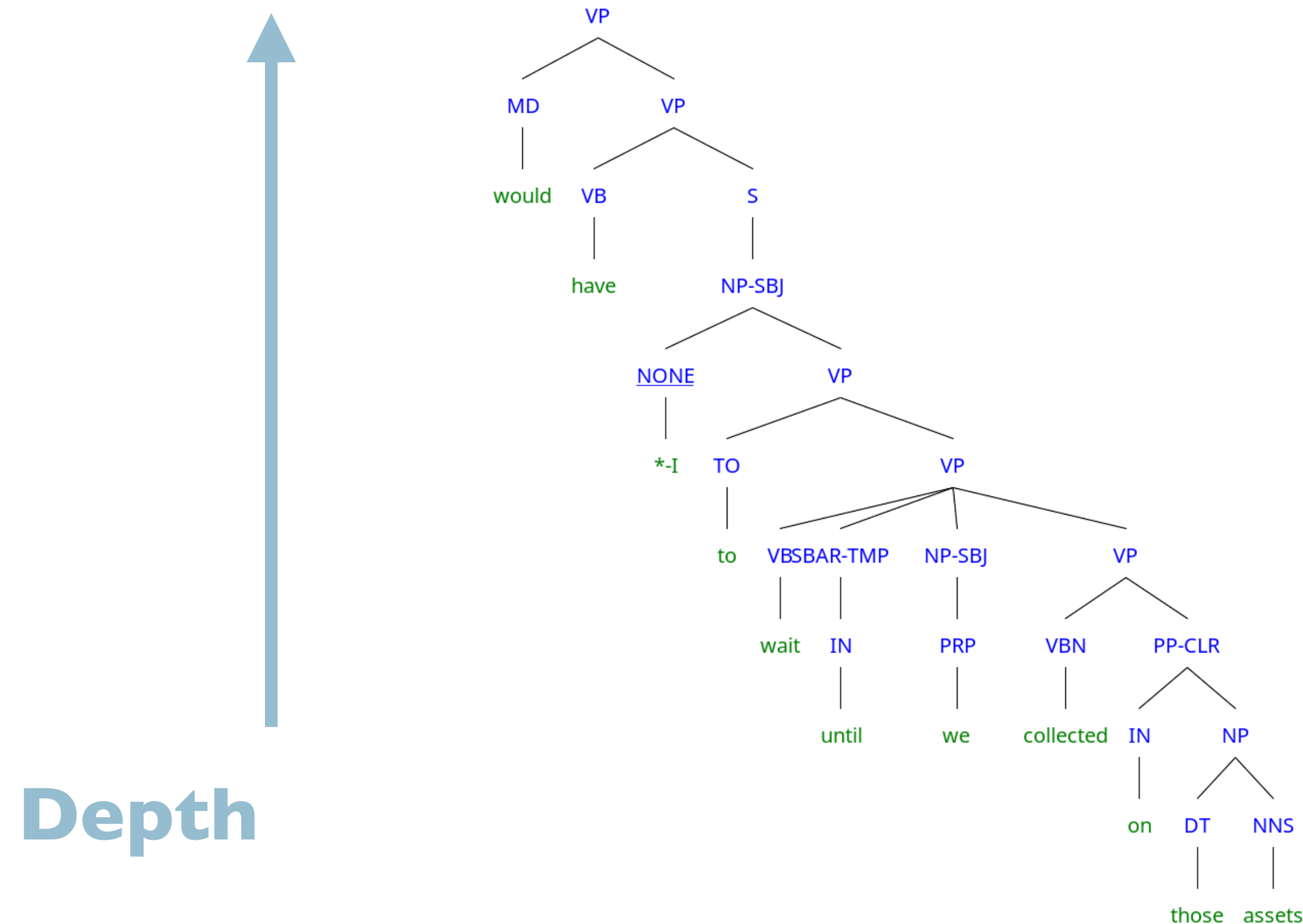
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- In both paradigms, graph depth aids, but  $\Rightarrow$  abstraction

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- **Multilinguality**
  - Can we apply the same approach to other languages?
  - How much must it be modified to do so?

# Ambiguity: POS

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- “I made her duck.”
- Could mean...
  - I caused her to duck down.
  - I made the (carved) duck she has.
  - I cooked duck for her.
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  - I magically turned her into a duck.

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VERB

NOUN

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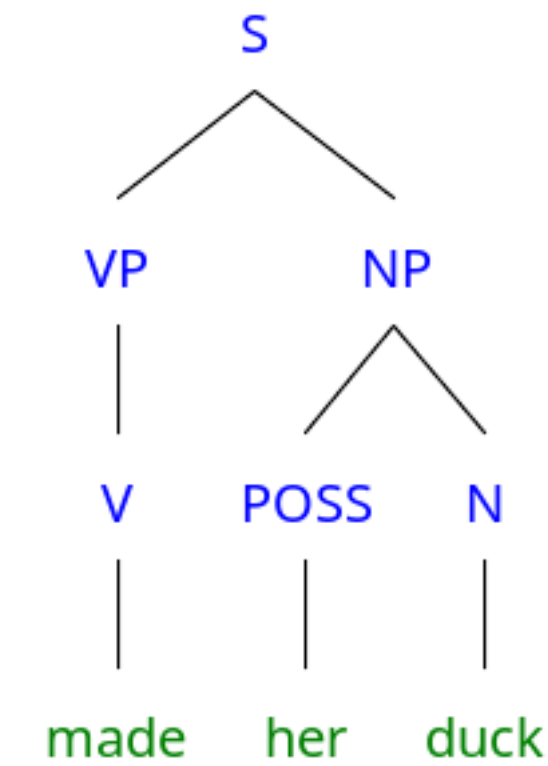
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POSS

PRON

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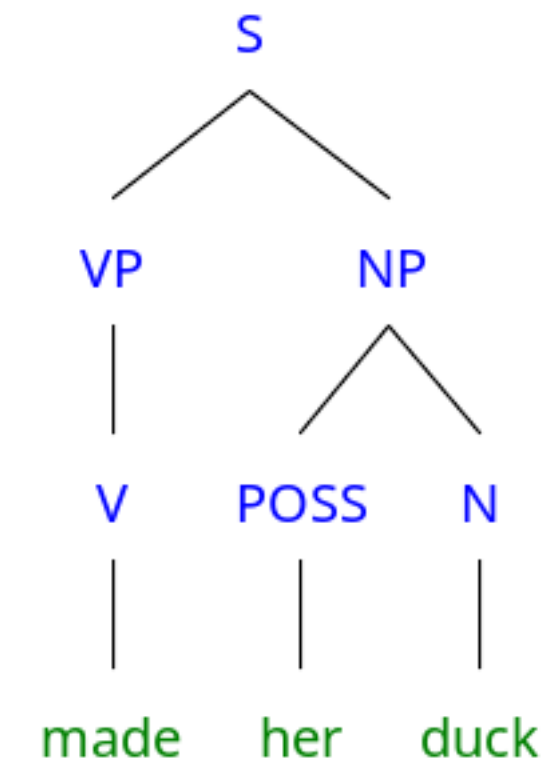
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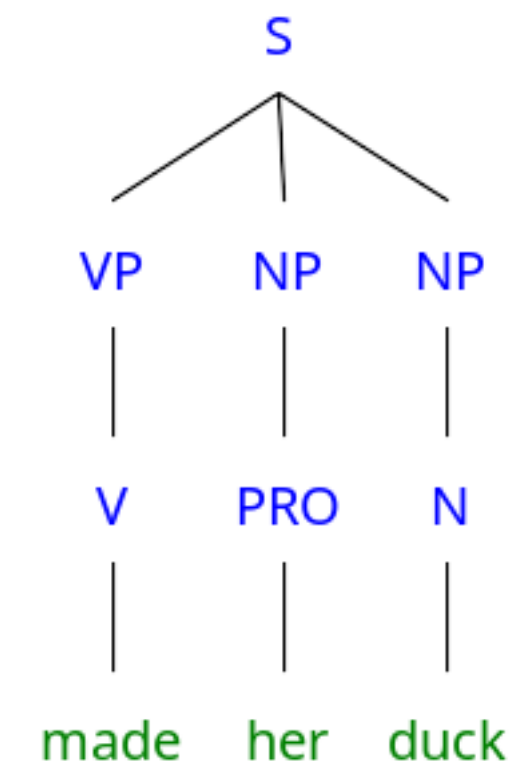


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<i>I magically turned her into a duck</i>	<b>made</b> = [AG] <b>transformed</b> [TH] <b>duck</b> = <b>animal</b>



# Ambiguity

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# Ambiguity

- Pervasive in language
- Not a bug, a feature! ([Piantadosi et al 2012](#))
- *“I believe we should all pay our tax bill with a smile. I tried—but they wanted cash.”*
- What would language be like without ambiguity?

# Ambiguity

- Challenging for computational systems

# Ambiguity

- Challenging for computational systems
- Issue we will return to again and again in class.

# Course Information



# Course Information

- Website is main source of information: <https://www.shane.st/teaching/571/aut22/>
  - slides, office hours, resources, etc
- Canvas: lecture recordings, homework submission / grading
  - Communication!!! Please use the discussion board for questions about the course and its content.
  - Other students have same questions, can help each other.
  - May get prompter reply. The teaching staff will not respond outside of normal business hours, and may take up to 24 hours.

# Course Information

- Grading, policies, etc: see link under “Policies” on course page
  - Shared policies for 570, 571, 572, 574
- Office hours:
  - Shane: MW 230-330 (GUG 415K + Zoom; see website)
  - Cassie: T 9-10AM, Th 12-1PM (GUG 407 + Zoom)
- Homeworks:
  - 9, released on Wednesday, due the following Wednesday
  - With a pause during Thanksgiving week
  - [NB: also no class the Wednesday before Thanksgiving]

# Course Content

- Syntax
  - (Probabilistic) Context-Free Grammars
    - Parsing algorithms (CKY, Earley)
  - Dependency Parsing
- Semantics
  - Logical / event semantics, lambda calculus
  - Distributional semantics, lexical semantics
  - Semantic Role Labeling
- Pragmatics / Discourse
  - Reference, Co-reference, structure / discourse parsing

# W What are you most looking forward to in 571 this quarter?

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# Syntax Crash Course

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Shane Steinert-Threlkeld

# Roadmap

- Sentence Structure
  - More than a bag of words
- Representation
  - Context-free Grammars
    - Formal Definition

# Applications

- Shallow techniques useful, but limited
- Deeper analysis supports:
  - Grammar checking — and teaching
  - Question-answering
  - Information extraction
  - Dialogue understanding
  - ...



# Grammar and NLP

- “Grammar” in linguistics is **NOT** prescriptive high school grammar
  - Explicit rules
  - “Don’t split infinitives!” etc.

# Grammar and NLP

- “Grammar” in linguistics is **NOT** prescriptive high school grammar
  - Explicit rules
  - “Don’t split infinitives!” etc.
- “Grammar” in linguistics **IS**:
  - How to capture structural knowledge of language as a native speaker would have
  - Largely implicit
  - Learned early, naturally

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  - Meaning:
    - *Dog bites man. vs. Man bites dog.*
  - Acceptability:
    - *Colorless green ideas sleep furiously.*
    - \* *Colorless sleep ideas furiously green.*
    - \* *Dog man bites*

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  - ...
- Single unit: type determined by “head”
  - e.g. N heads NP



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    - Components expected by verbs
- Hierarchical

# Representation: Context-free Grammars

- CFGs: 4-tuple
  - A set of **terminal** symbols:  $\Sigma$ 
    - [think: words]
  - A set of **nonterminal** symbols:  $N$ 
    - [think: phrase categories]
  - A set of **productions**  $P$ :
    - of the form  $A \rightarrow \alpha$
    - Where  $A$  is a non-terminal and  $\alpha \in \{\Sigma \cup N\}^*$
  - A **start** symbol  $S \in N$

# Representation: Context-free Grammars

- Altogether a grammar defines a language  $L$ 
  - $L = \{w \in \Sigma^* \mid S \Rightarrow^* w\}$ 
    - The language  $L$  is the set of all words in which:
    - $S \Rightarrow^* w$ :  $w$  can be *derived* starting from  $S$  by some sequence of productions

# CFG Components

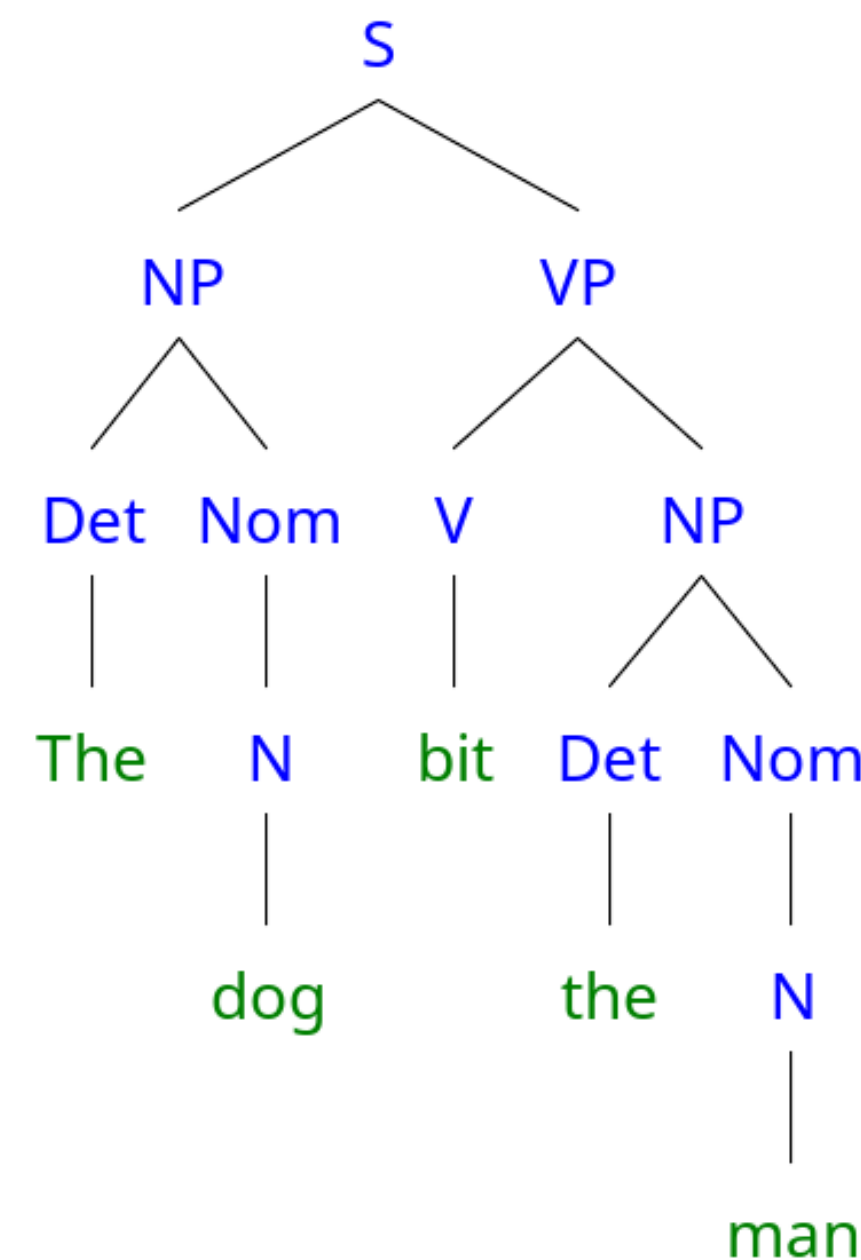
- **Terminals:**
  - Only appear as leaves of parse tree (hence the name)
  - Right-hand side of productions (RHS)
  - Words/morphemes of the language
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- **Terminals:**
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    - *cat, dog, is, the, bark, chase...*
- **Non-terminals**
  - Do not appear as leaves of parse tree
  - Appear on left or right side of productions
  - Represent constituent phrases of language
    - NP, VP, S[entence], etc...

# Representation: Context-free Grammars

- Partial example:
  - $\Sigma$ : *the, cat, dog, bit, bites, man*
  - $N$ : NP, VP, Nom, Det, V, N, Adj
  - $P$ :
    - $S \rightarrow NP VP$ ;
    - $NP \rightarrow Det Nom$ ;
    - $Nom \rightarrow N Nom \mid N$ ;
    - $VP \rightarrow V NP$ ;
    - $N \rightarrow cat$ ;  $N \rightarrow dog$ ;  $N \rightarrow man$ ;
    - $Det \rightarrow the$ ;
    - $V \rightarrow bit$ ;  $V \rightarrow bites$
  - $S$ : S





# Parsing Goals

- Acceptance
  - Legal string in language?
    - Formally: rigid
    - Practically: degrees of acceptability

# Parsing Goals

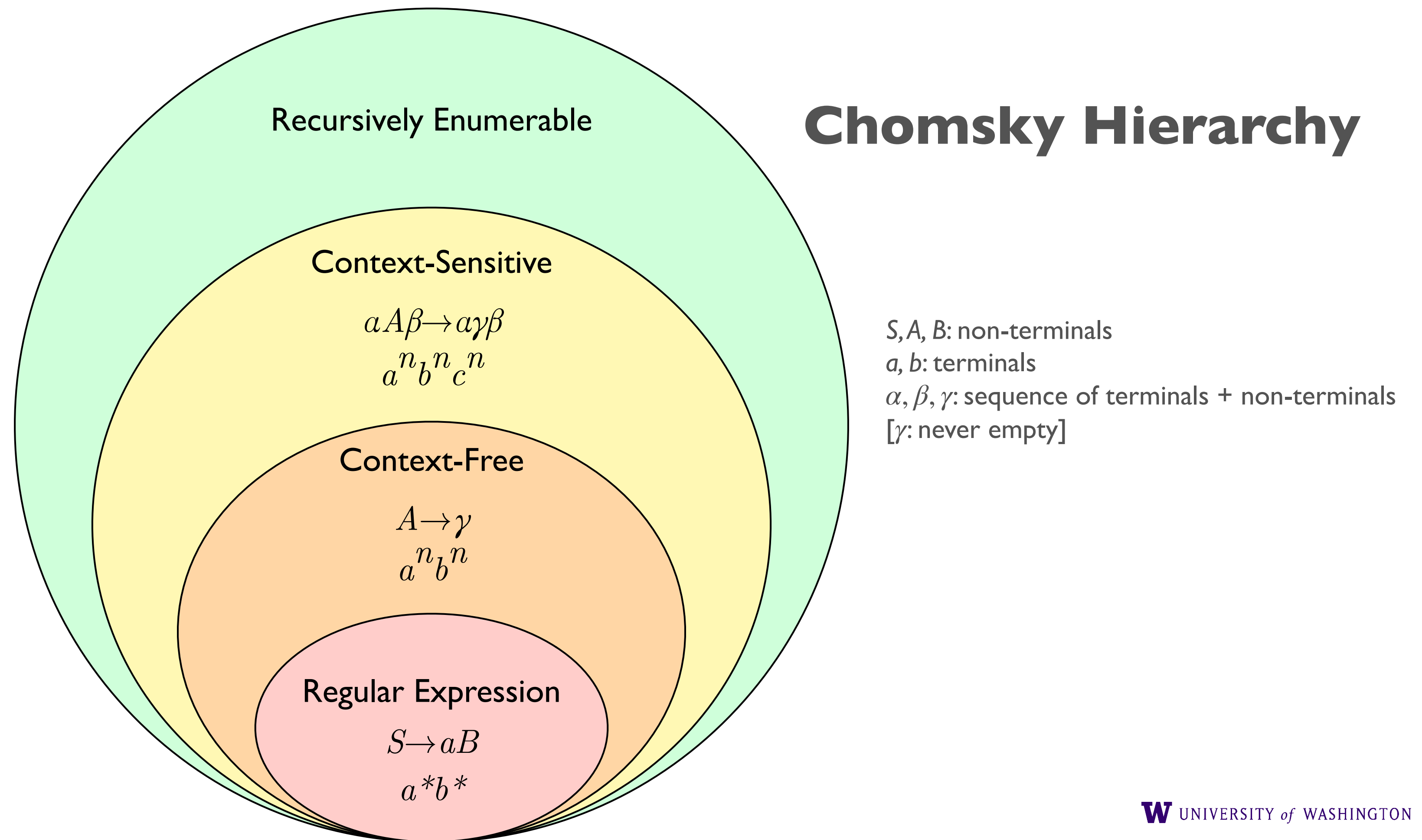
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  - What structure produced the string
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- Acceptance
  - Legal string in language?
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- Analysis
  - What structure produced the string
    - Produce one (or all) parses for the string
- Will develop techniques to produce analyses of sentences
  - Rigidly accept (with analysis) or reject
  - Produce varying degrees of acceptability

# Sentence-level Knowledge: Syntax

- Different models of language that specify the *expressive power* of a formal language



# Representing Sentence Structure

- Why not just Finite State Models (Regular Expressions)?
  - Cannot describe some grammatical phenomena
  - Inadequate expressiveness to capture generalization

# Representing Sentence Structure: Center Embedding

- Regular Language:  $A \rightarrow w; A \rightarrow w^*B$
- Context-Free:  $A \rightarrow \alpha A \beta$  (e.g.)
  - Allows recursion:

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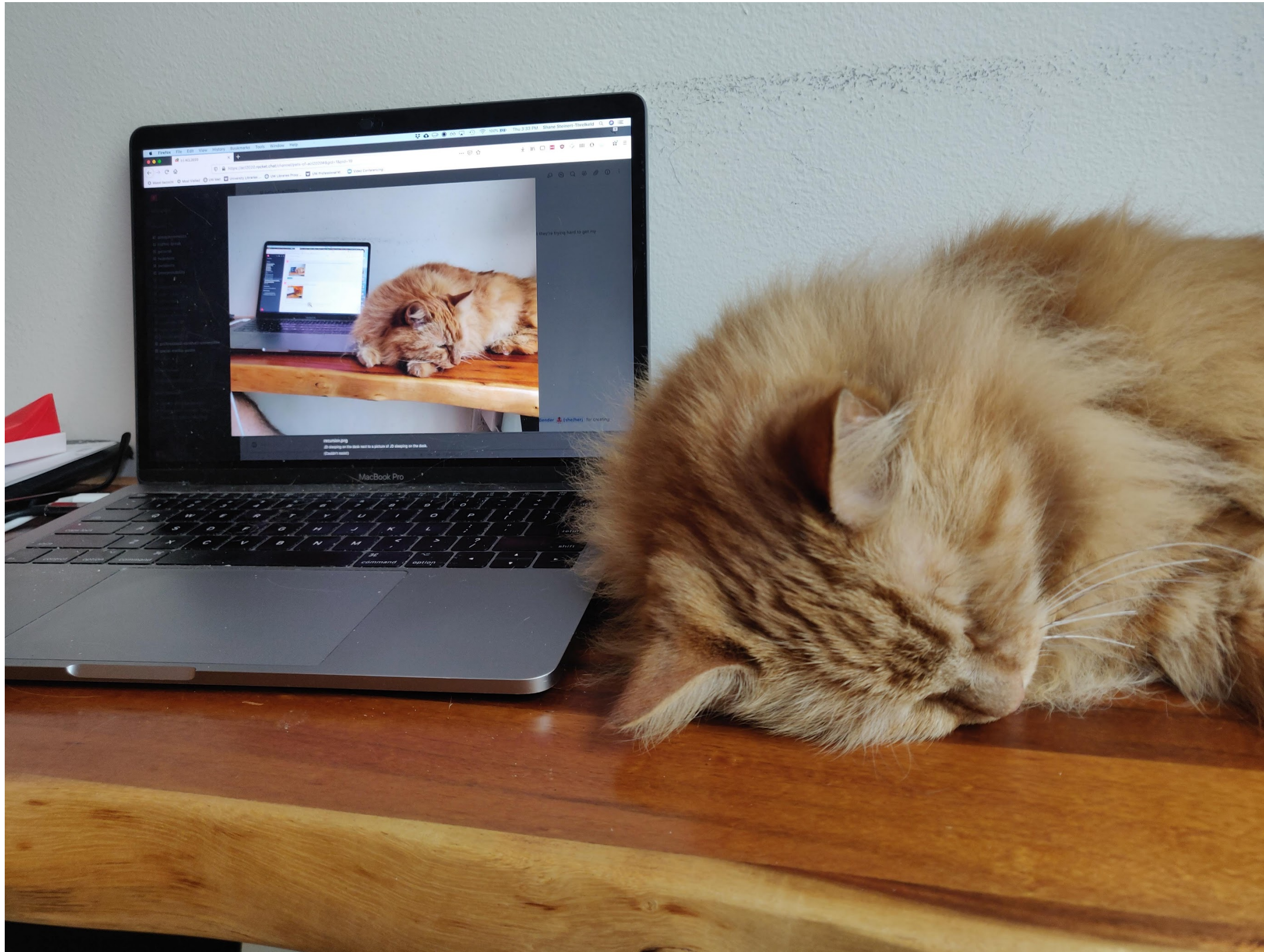


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    - The luggage that the passengers whom the storm delayed checked arrived

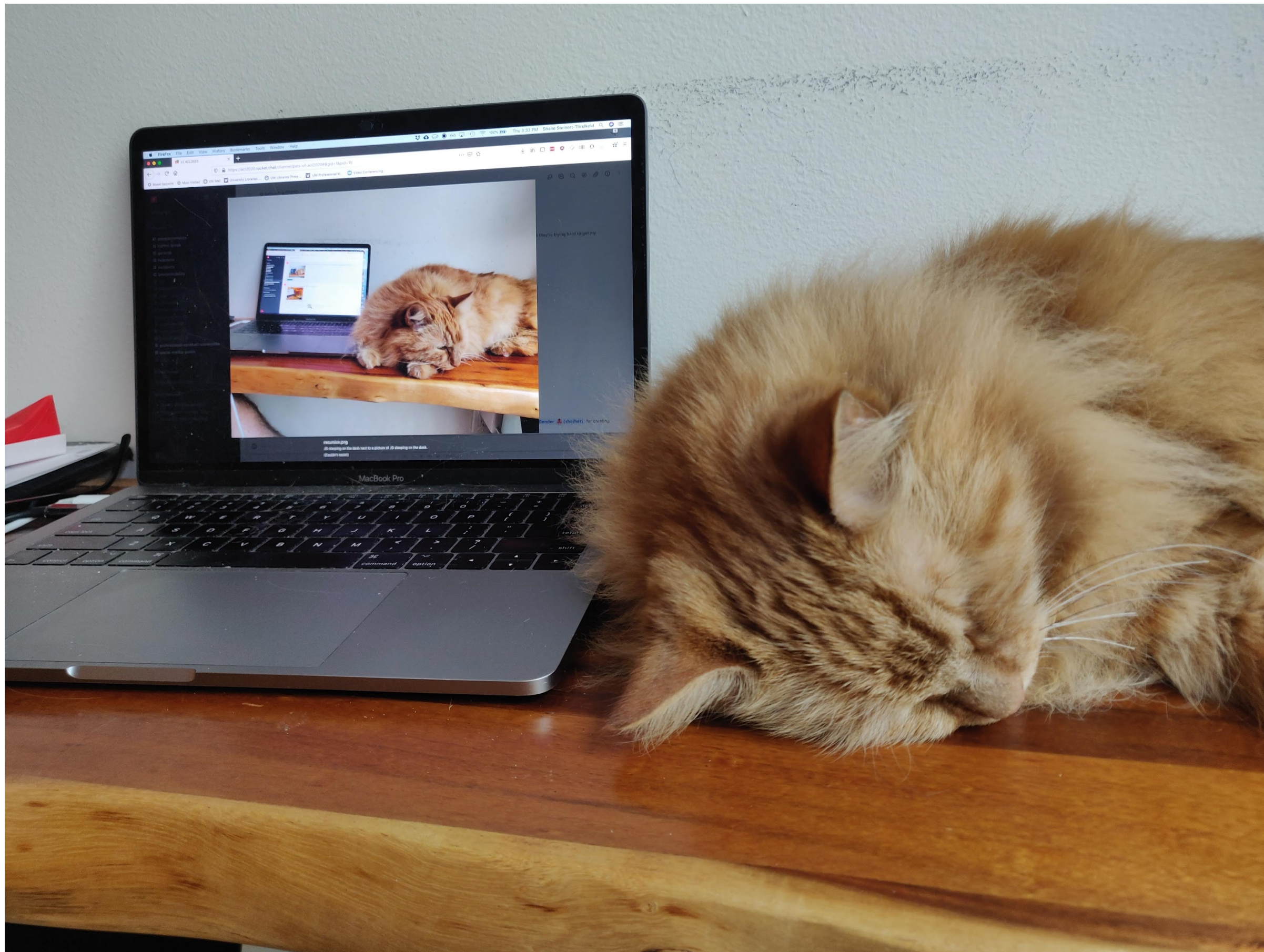


# Recursion in Grammar





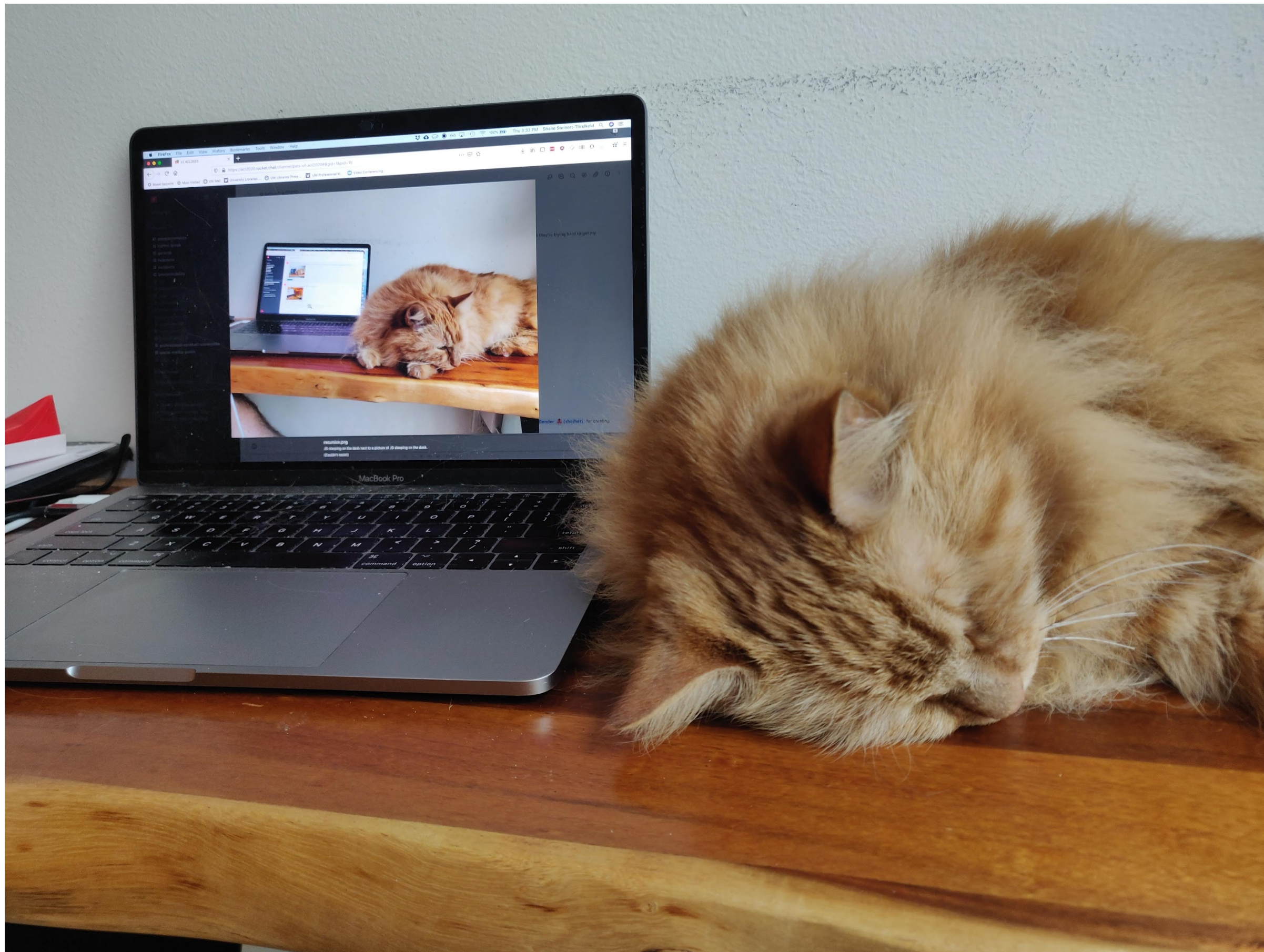
# Recursion in Grammar



This is JD lying on the desk next to a picture of JD lying on the desk next to a picture of JD lying on the desk.



# Recursion in Grammar



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Exercise: write a toy grammar for producing this sentence! Is context-freeness required?



# Is Context-Free Enough?

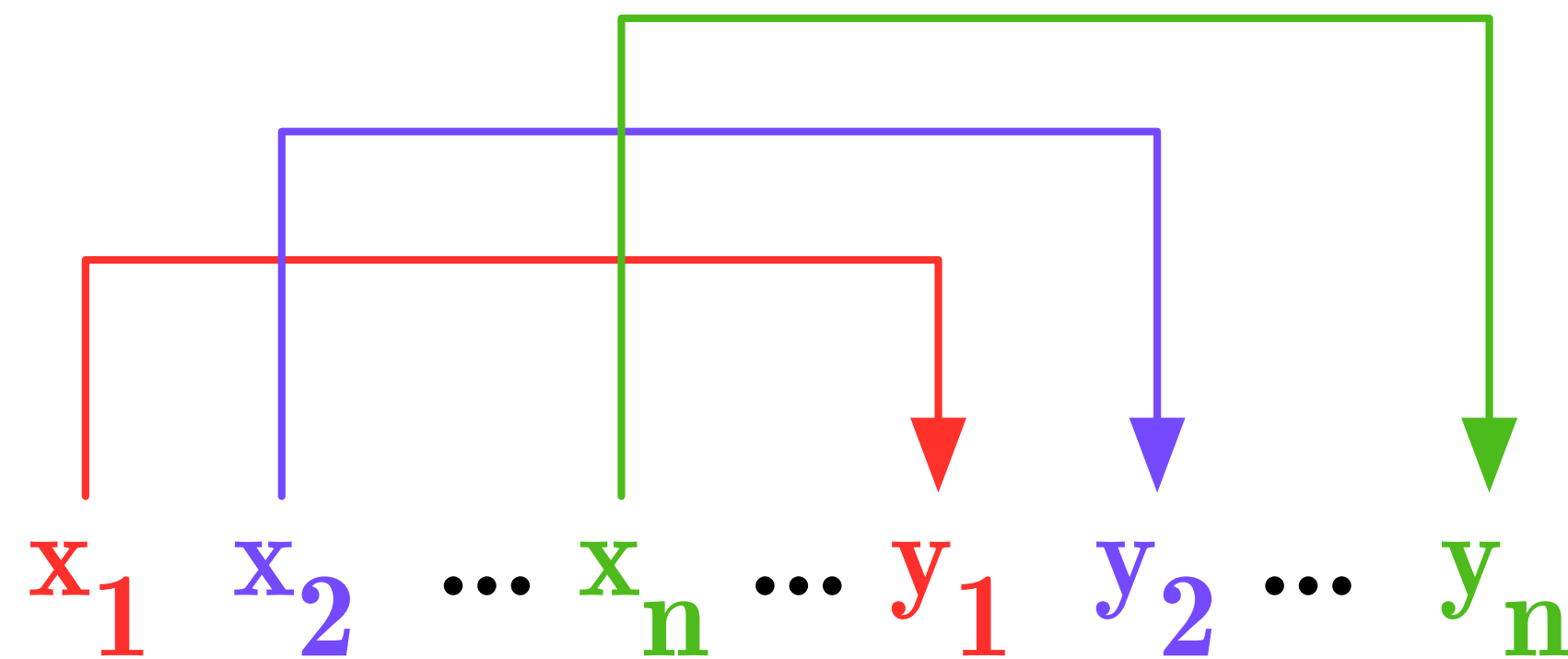
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# Is Context-Free Enough?

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- ...but do we need context-sensitivity?
  - Many articles have attempted to demonstrate we do
  - ...many have failed.

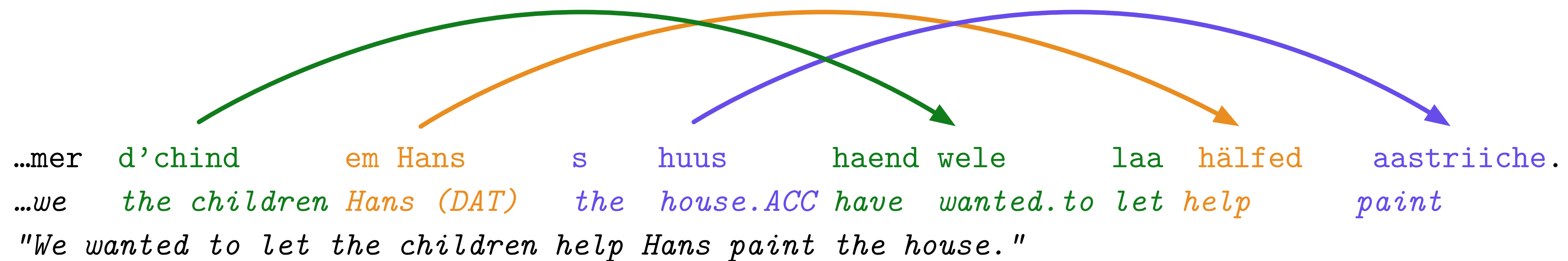
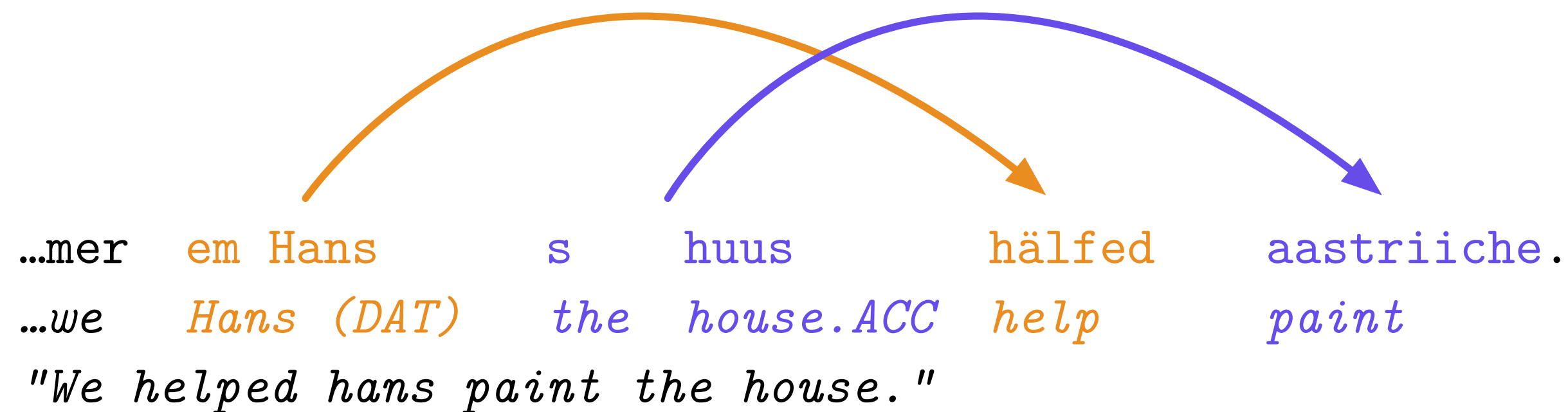
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- Solid proof for Swiss German: *Cross-Serial Dependencies* ([Shieber, 1985](#))
  - *a'ib'ic'di*



# Context-Sensitive Example

- Verbs and their arguments must be ordered ***cross-serially***
- Arguments and verbs must match





What questions do you have?