

# Dependency Grammars and Parser

LING 571 — Deep Processing for NLP

October 21, 2020

Shane Steinert-Threlkeld

# Ambiguity of the Week



**Adam Macqueen**  
@adam\_macqueen



Personally feel not enough hospitals are named after sandwiches.





# Ambiguity of the Week 2



“What if my pet is not made of chicken and turkey?” —my brother

# Roadmap

- Dependency Grammars
  - Definition
  - Motivation:
    - Limitations of Context-Free Grammars
- Dependency Parsing
  - By conversion to CFG
  - By Graph-based models
  - By transition-based parsing
- HW4 + mid-term feedback

# Dependency Grammar

- [P]CFGs:
  - Phrase-Structure Grammars
  - Focus on modeling constituent structure

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- **[P]CFGs:**
  - Phrase-Structure Grammars
  - Focus on modeling constituent structure
- **Dependency grammars:**
  - Syntactic structure described in terms of
    - Words
    - Syntactic/semantic relations between words

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  - Nodes correspond to words in string



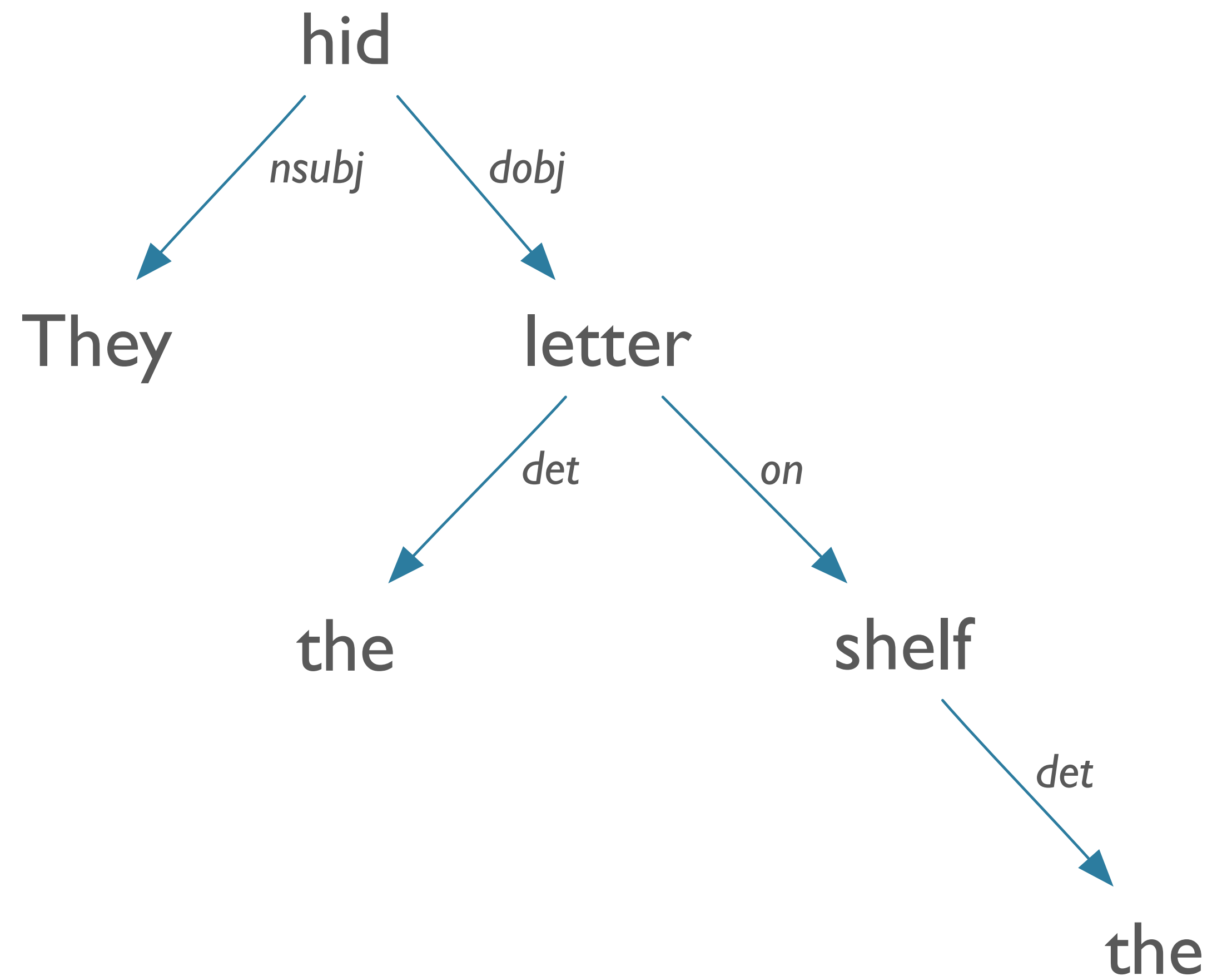
# Dependency Parse

- A Dependency parse is a tree,\* where:
  - Nodes correspond to words in string
  - Edges between nodes represent dependency relations
    - Relations may or may not be labeled (aka typed)
- \*: in very special cases, can argue for cycles

# Dependency Parse Example:

*They hid the letter on the shelf*

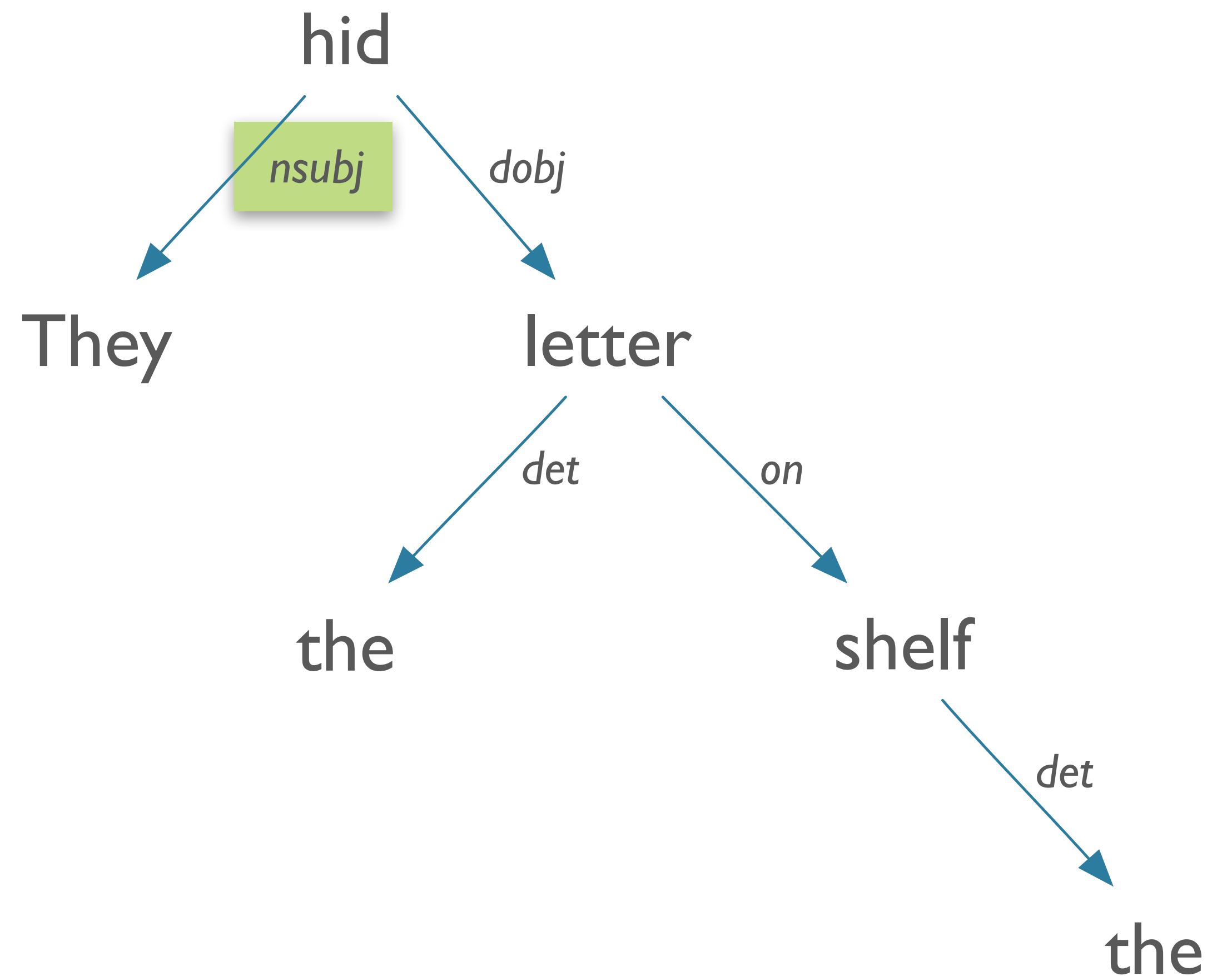
Argument Dependencies	
Abbreviation	Description
nsubj	nominal subject
csbj	clausal subject
dobj	direct object
iobj	indirect object
pobj	object of preposition
Modifier Dependencies	
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tmod	temporal modifier
appos	appositional modifier
det	determiner
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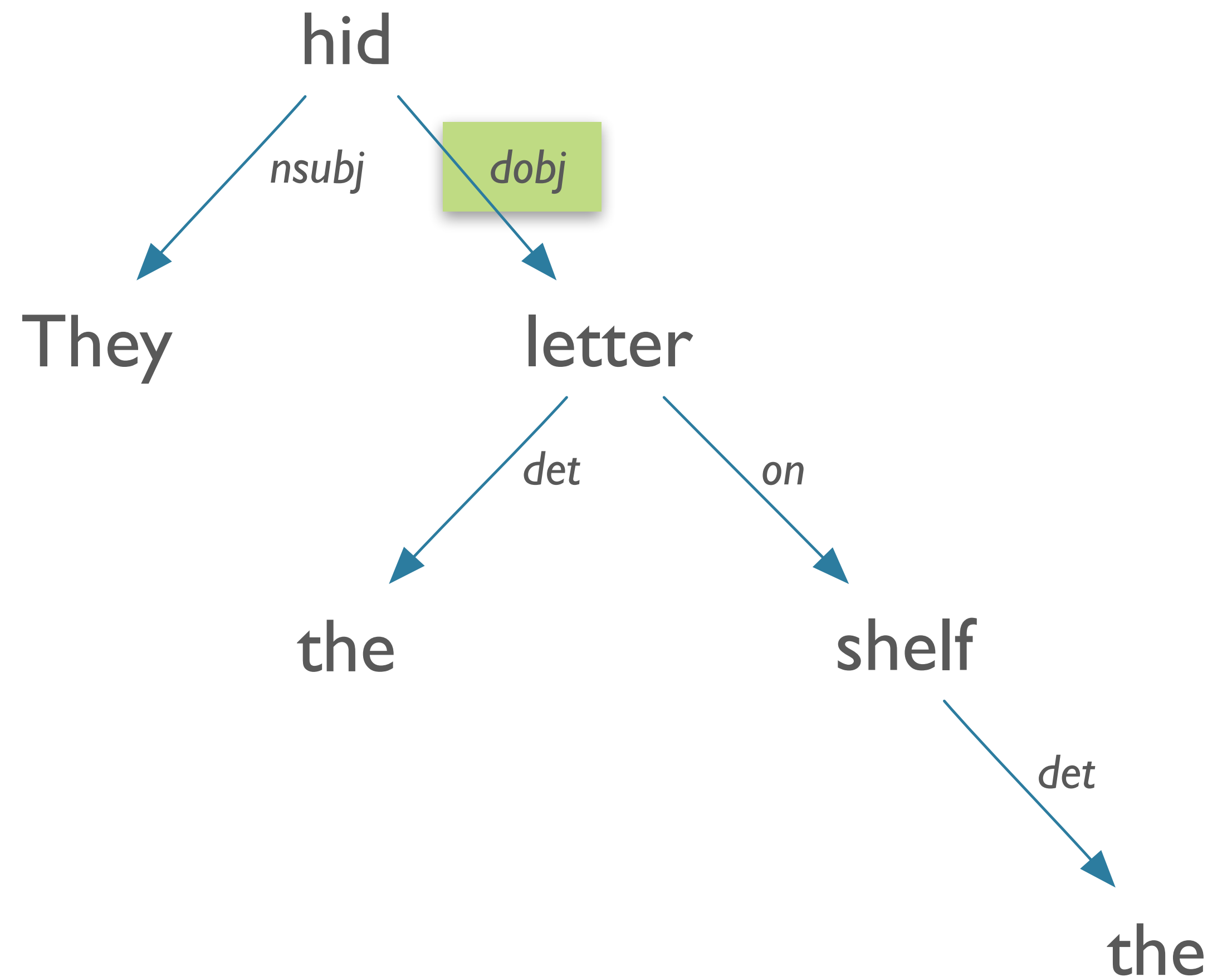
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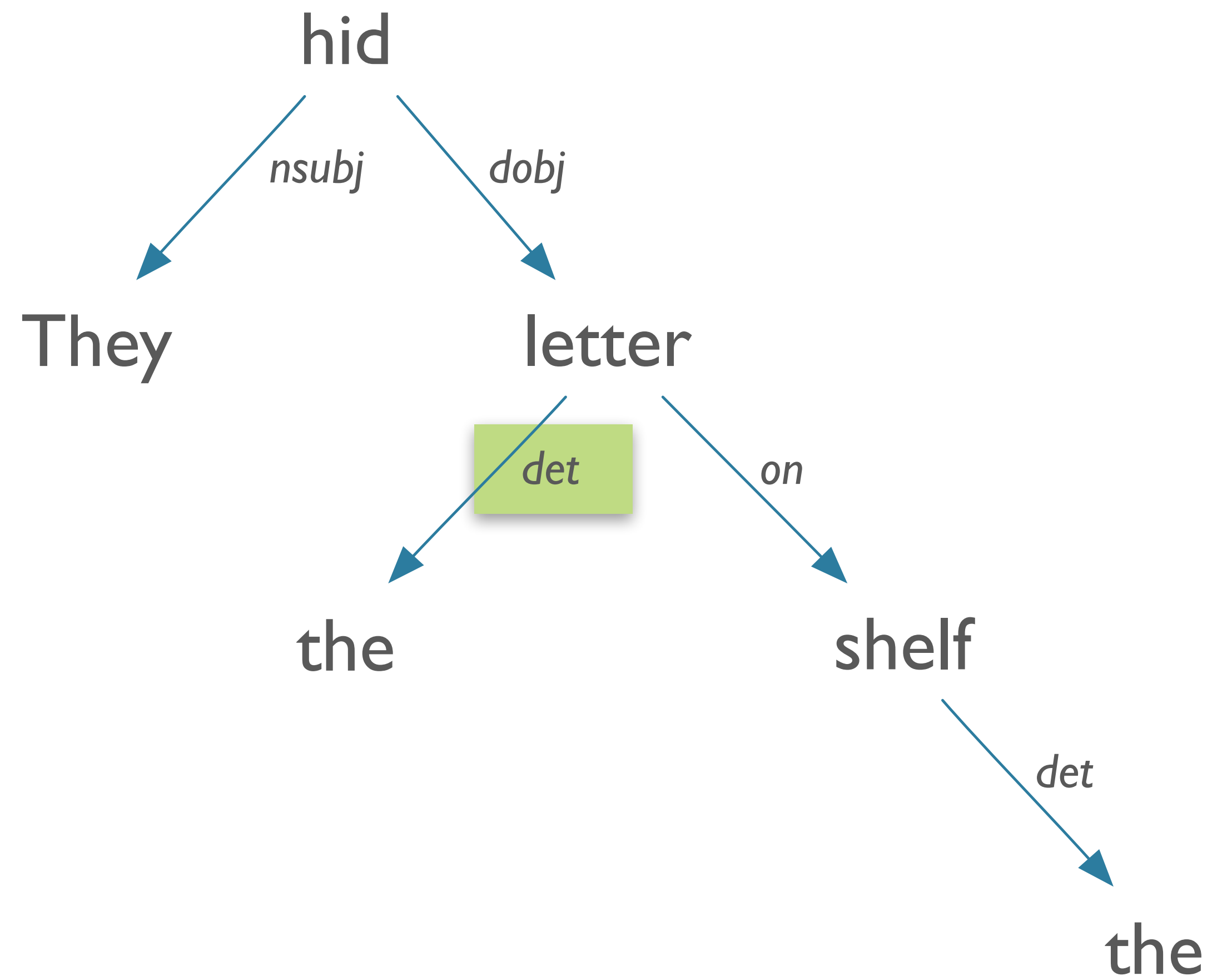
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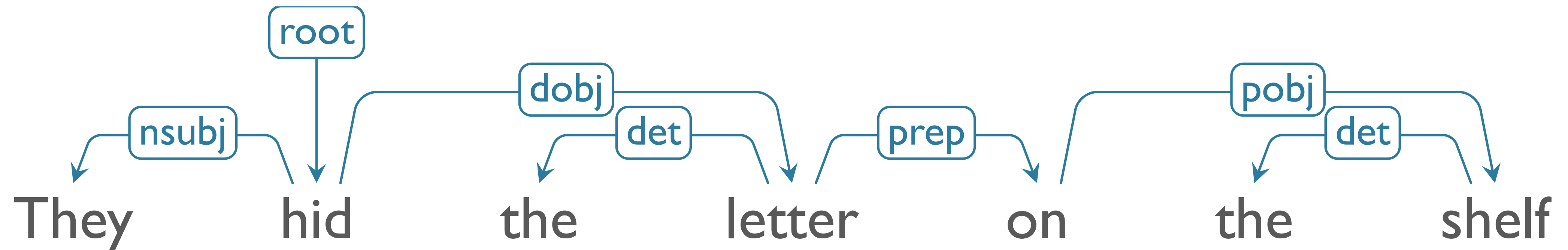
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# Alternative Representation



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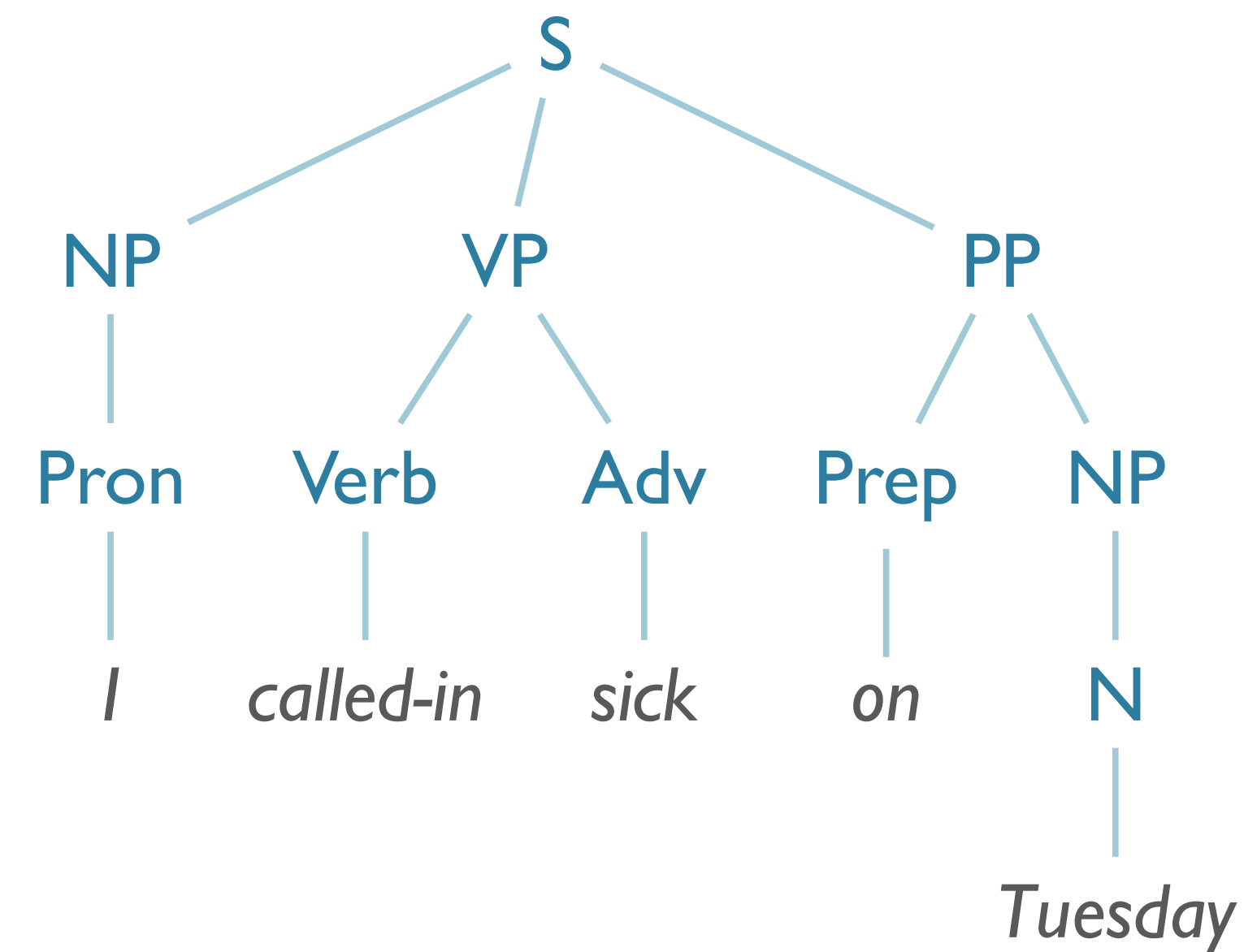
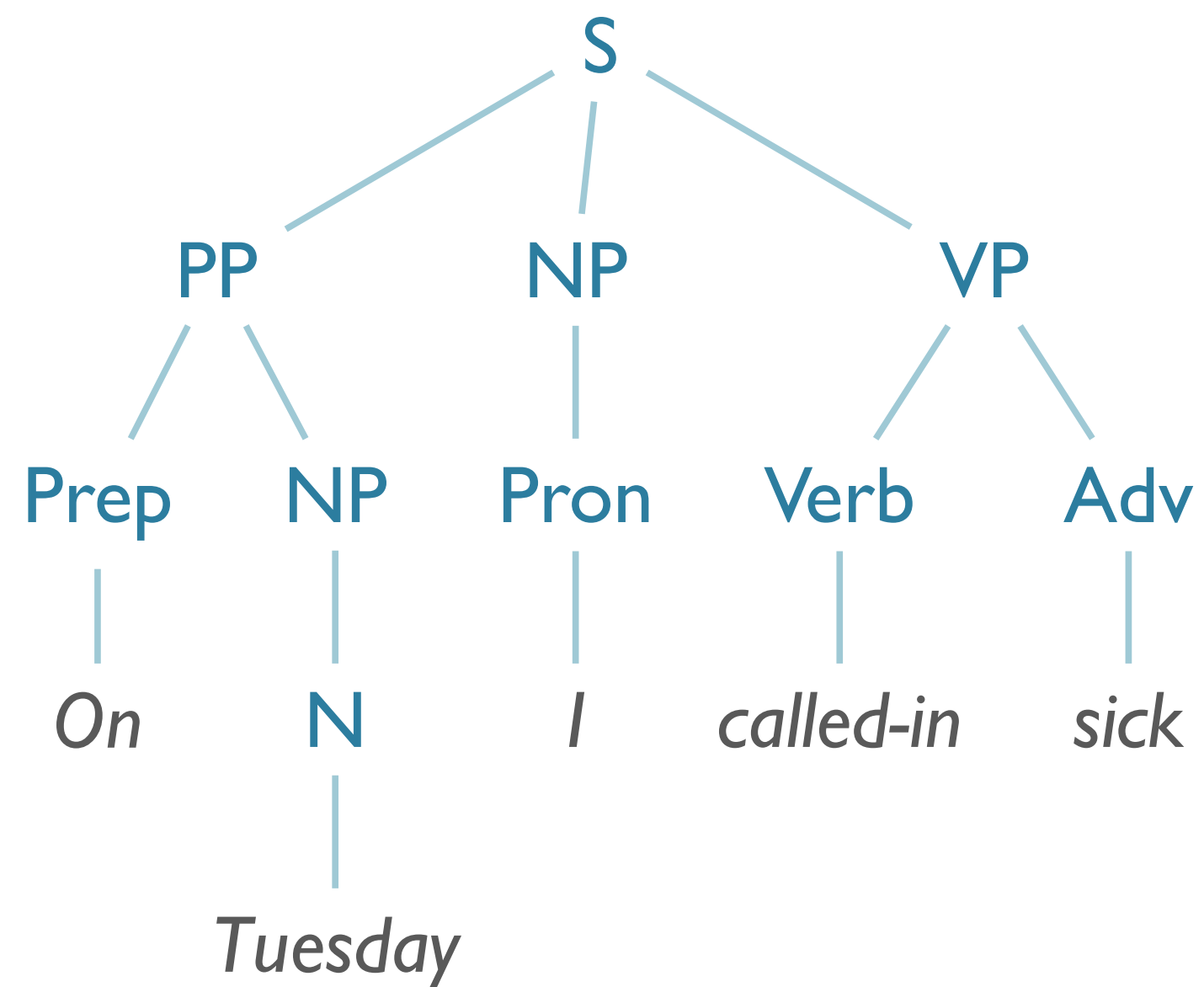
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- Good match for question-answering, relation extraction
  - *Who* did *what* to *whom*?
  - = (*Subject*) did (*theme*) to (*patient*)
  - Helps with parallel relations between roles in questions, and roles in answers

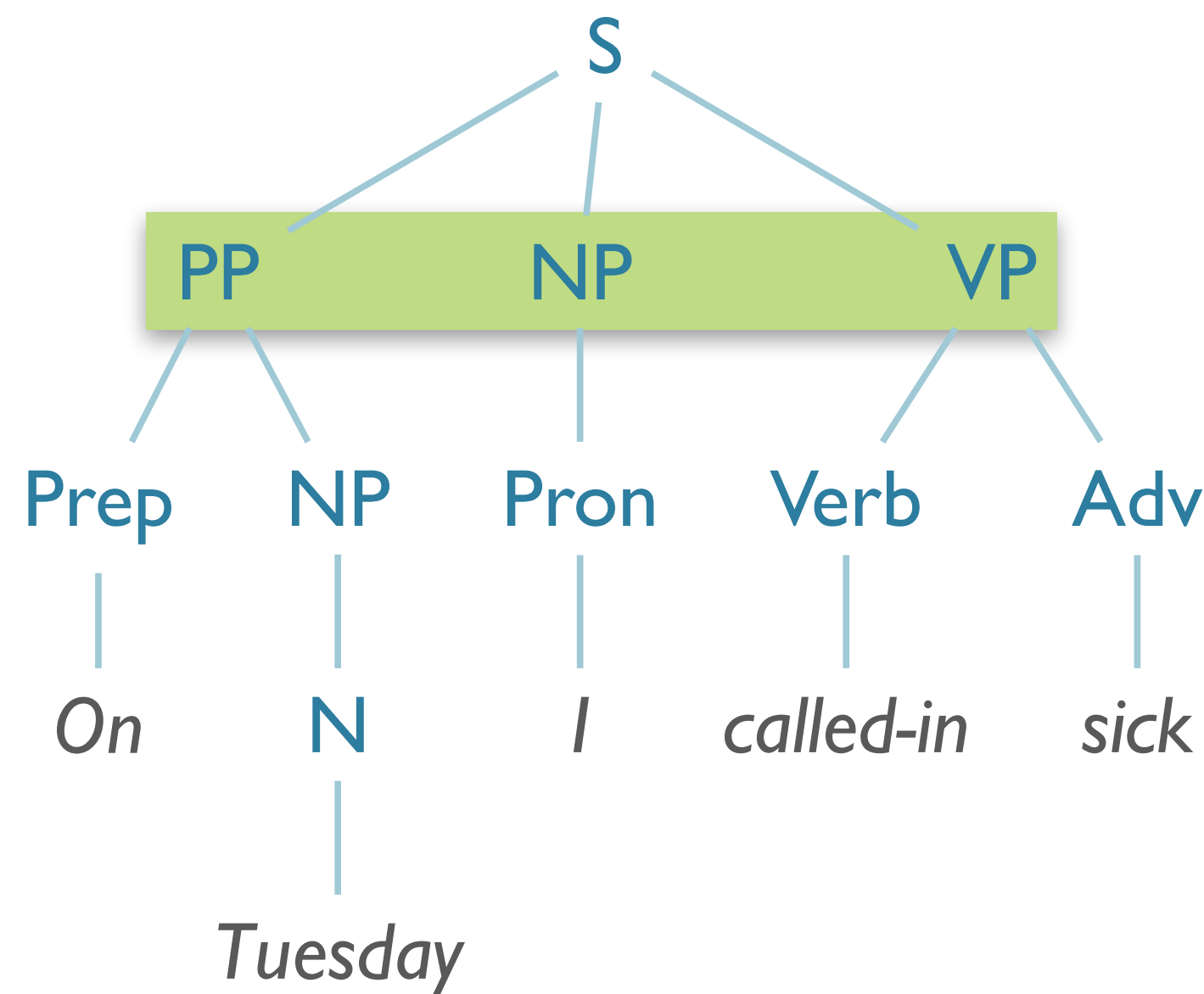
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- Easier handling of flexible or free word order
- How does CFG handle variation in word order?

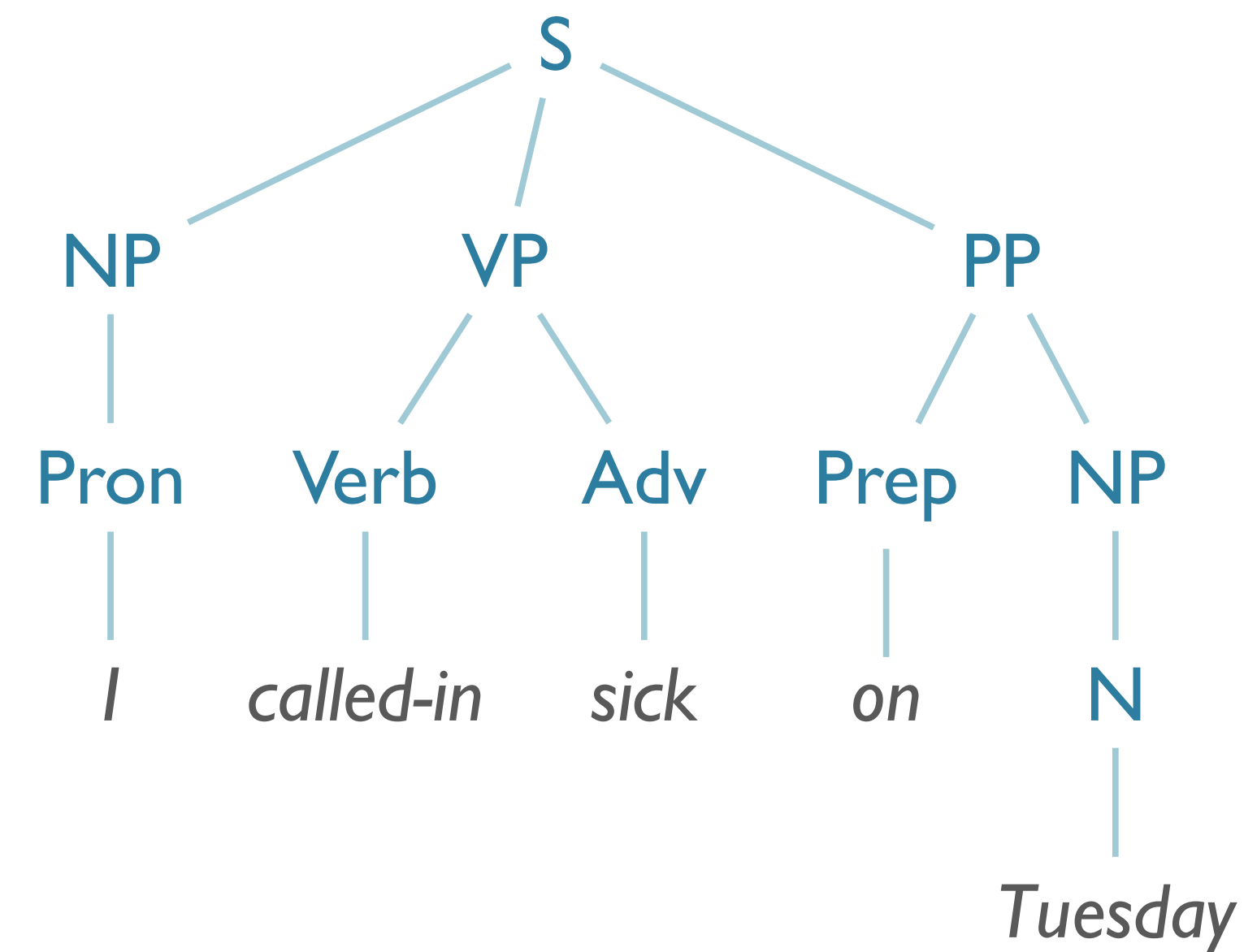


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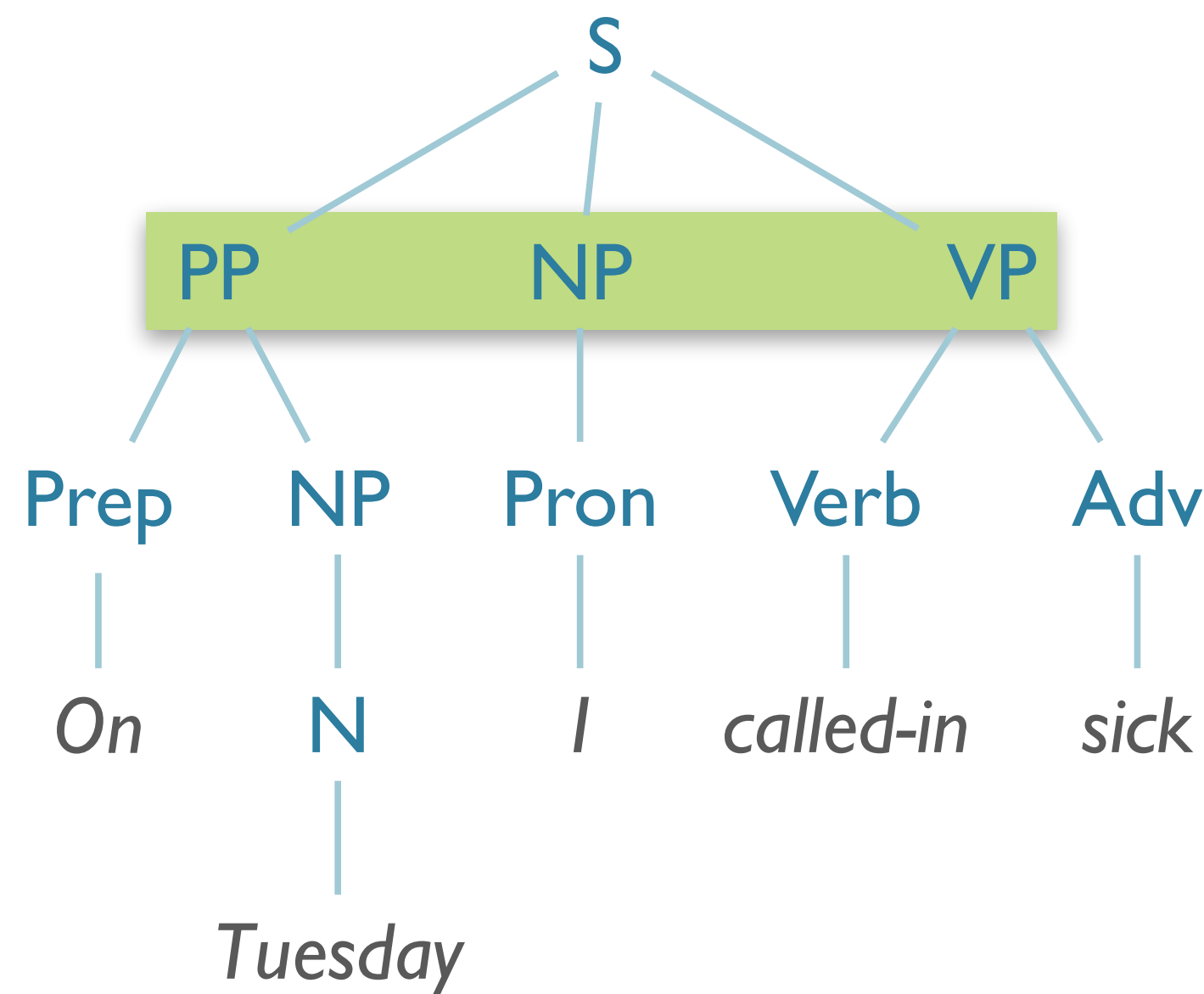
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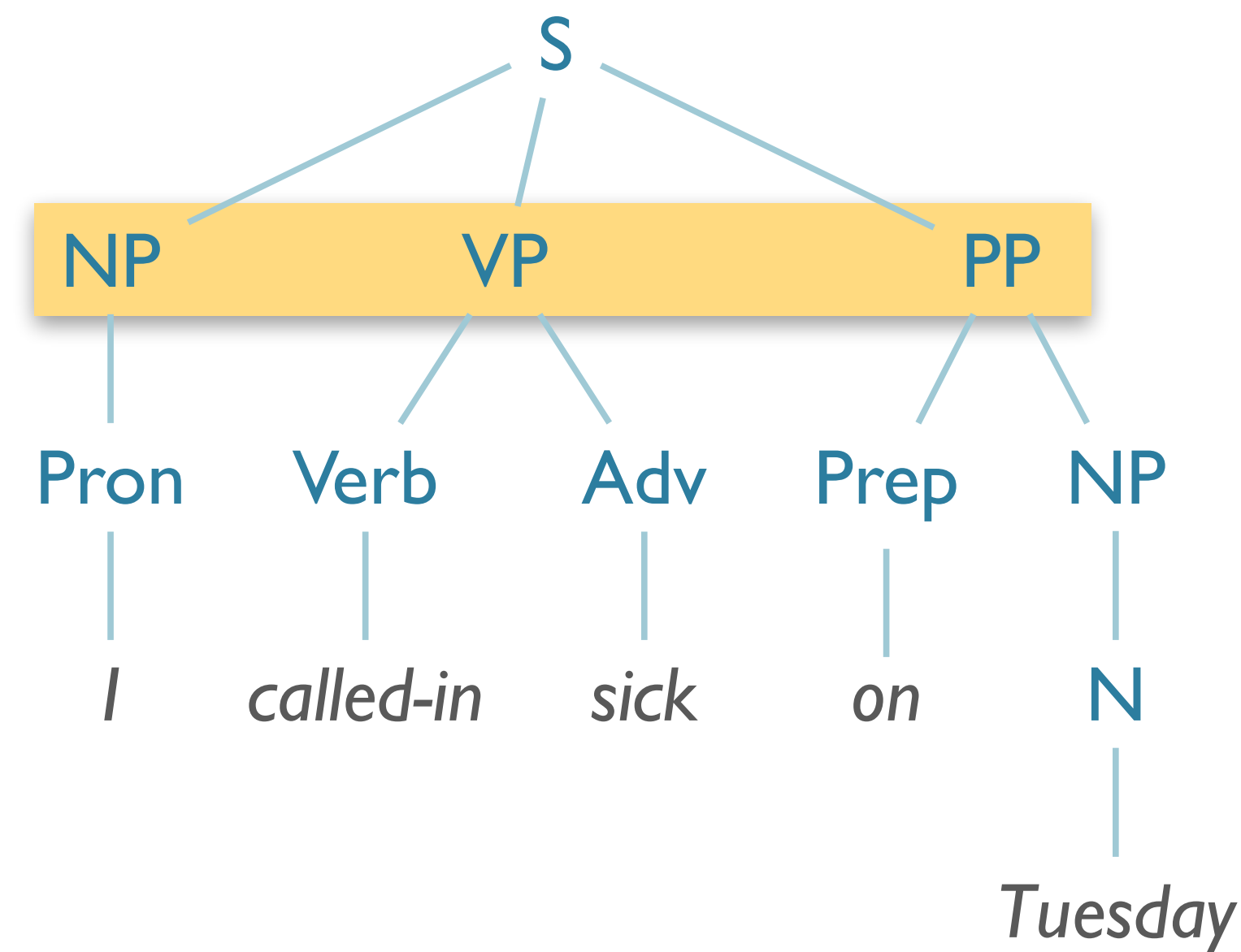


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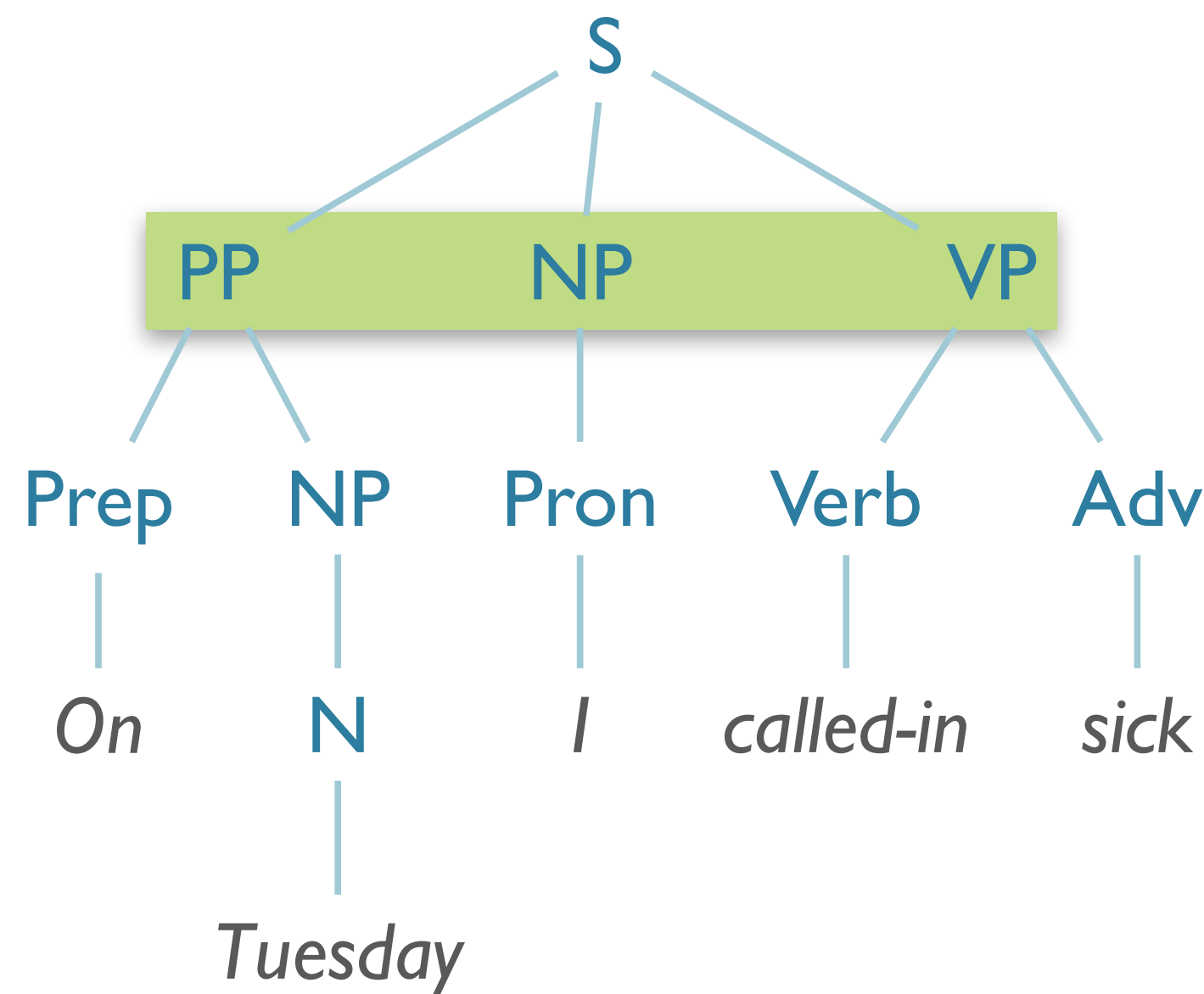
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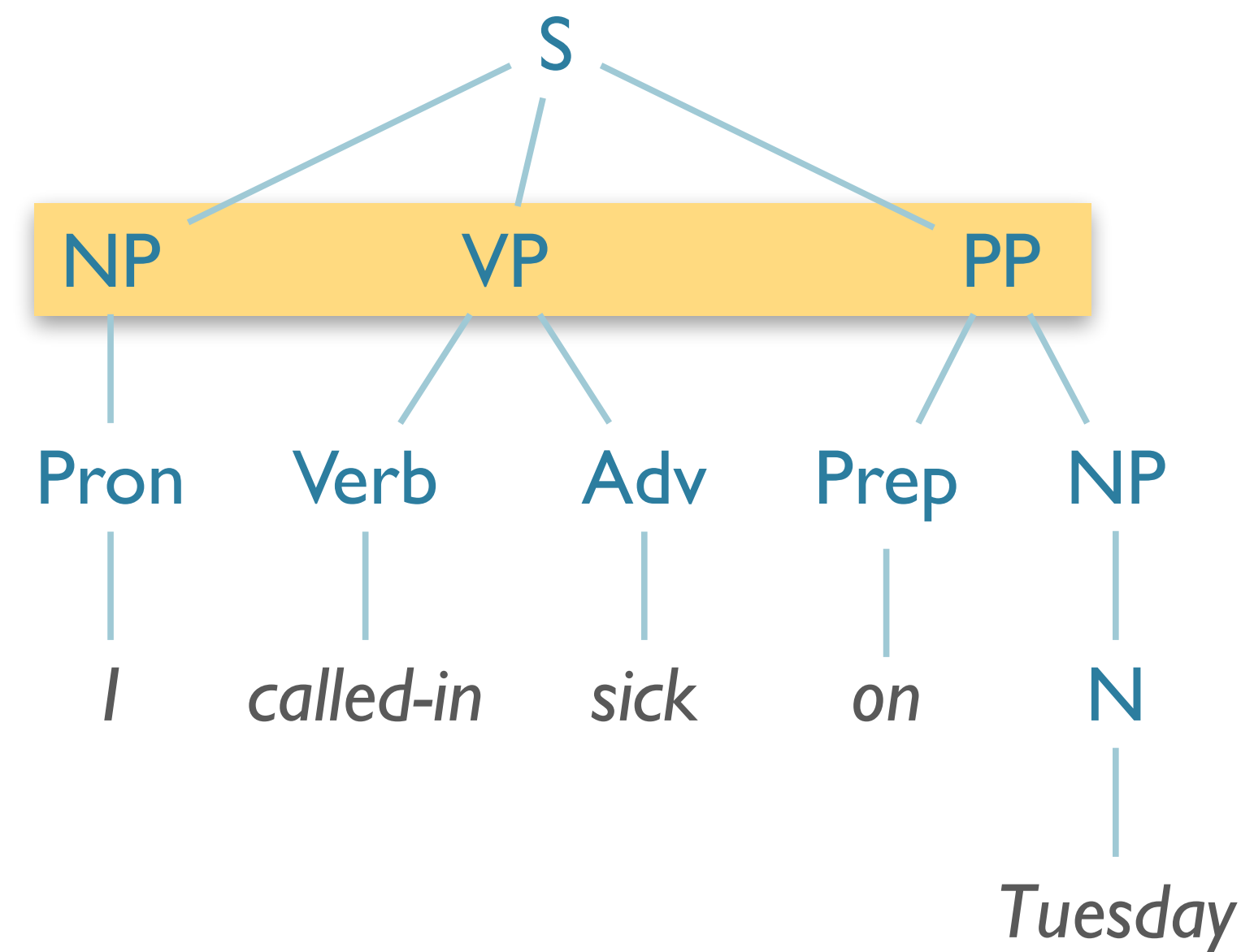
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# Why Dependency Grammar?

- English has relatively fixed word order
- Big problem for languages with freer word order



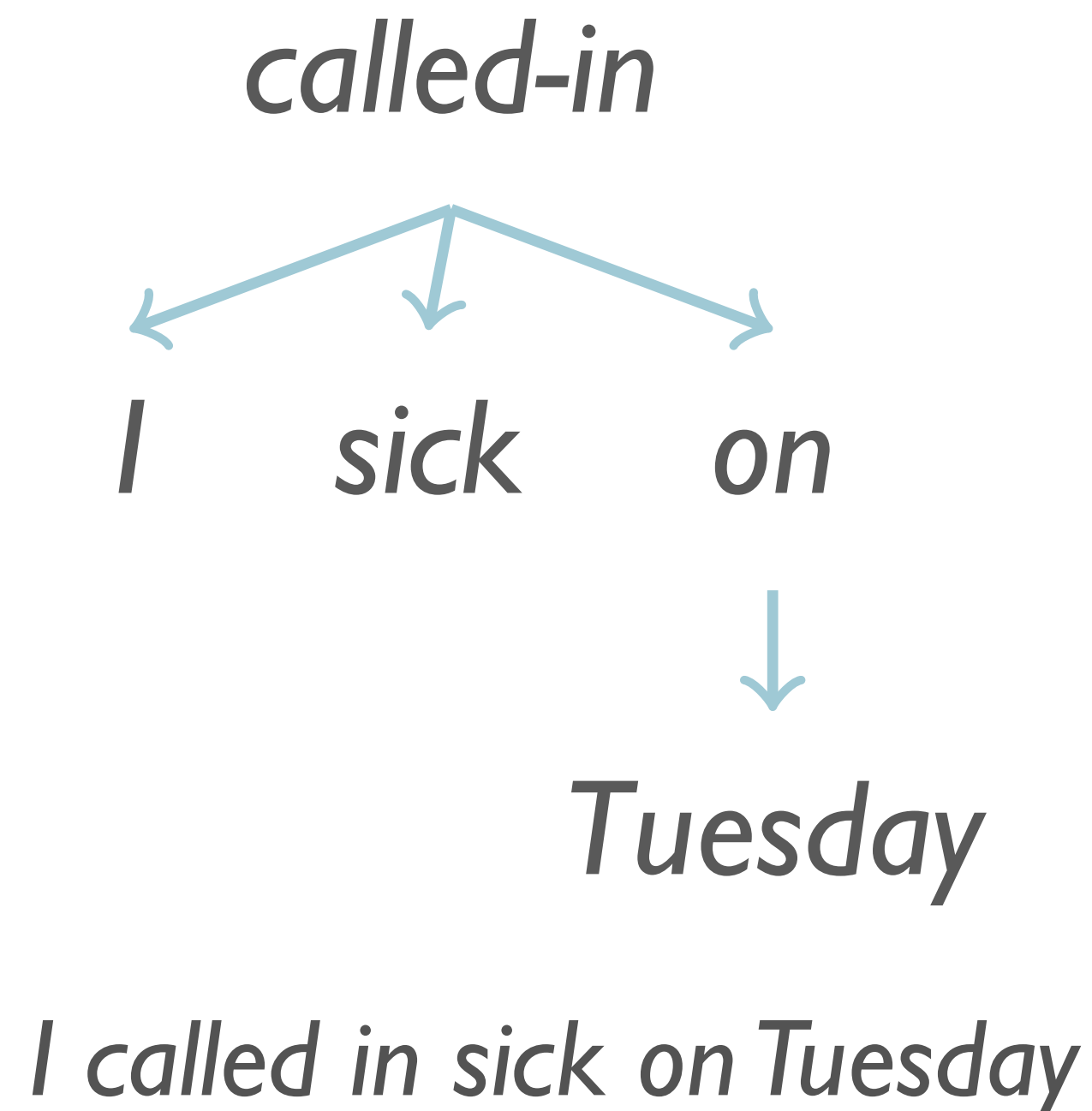
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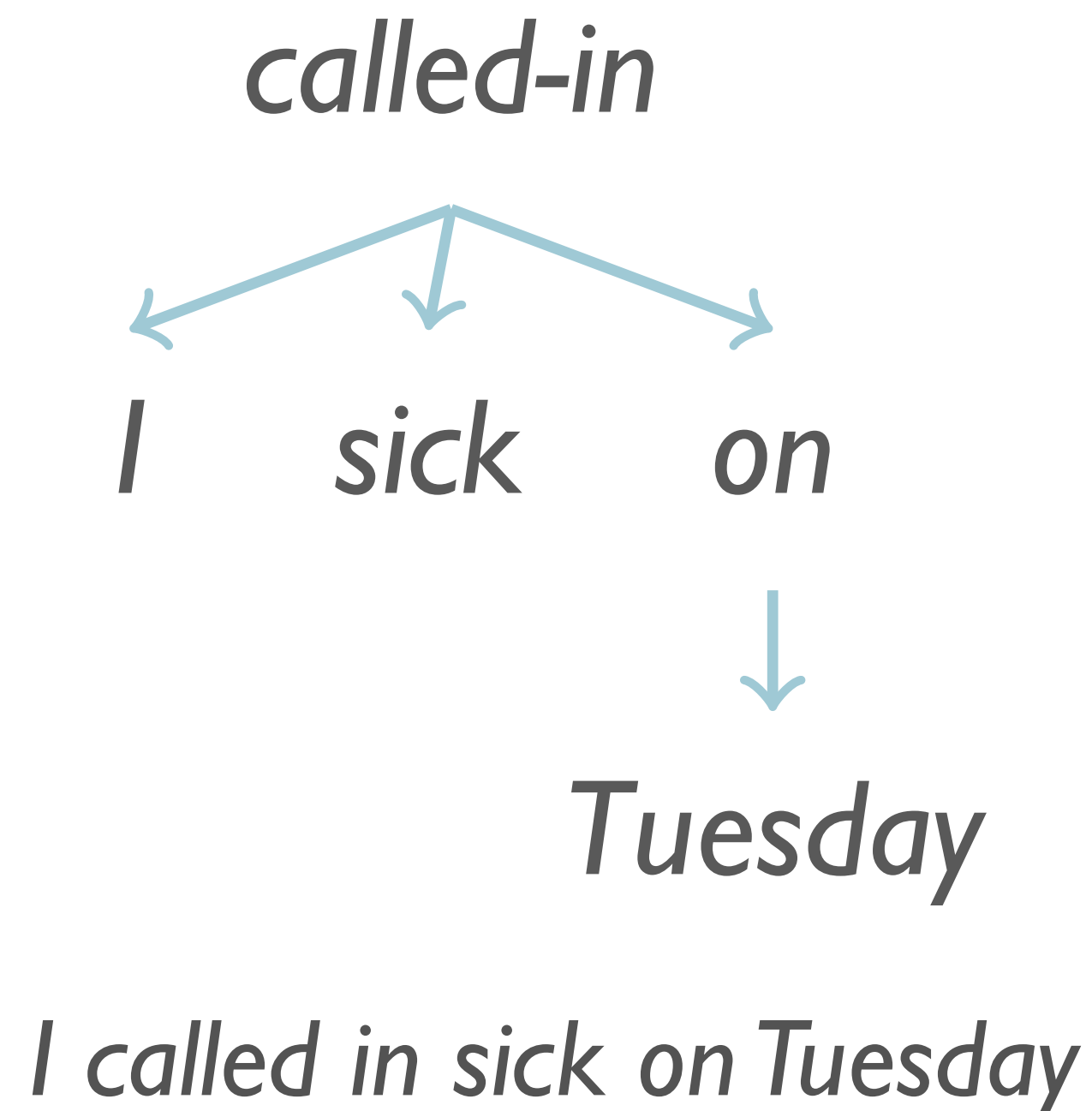
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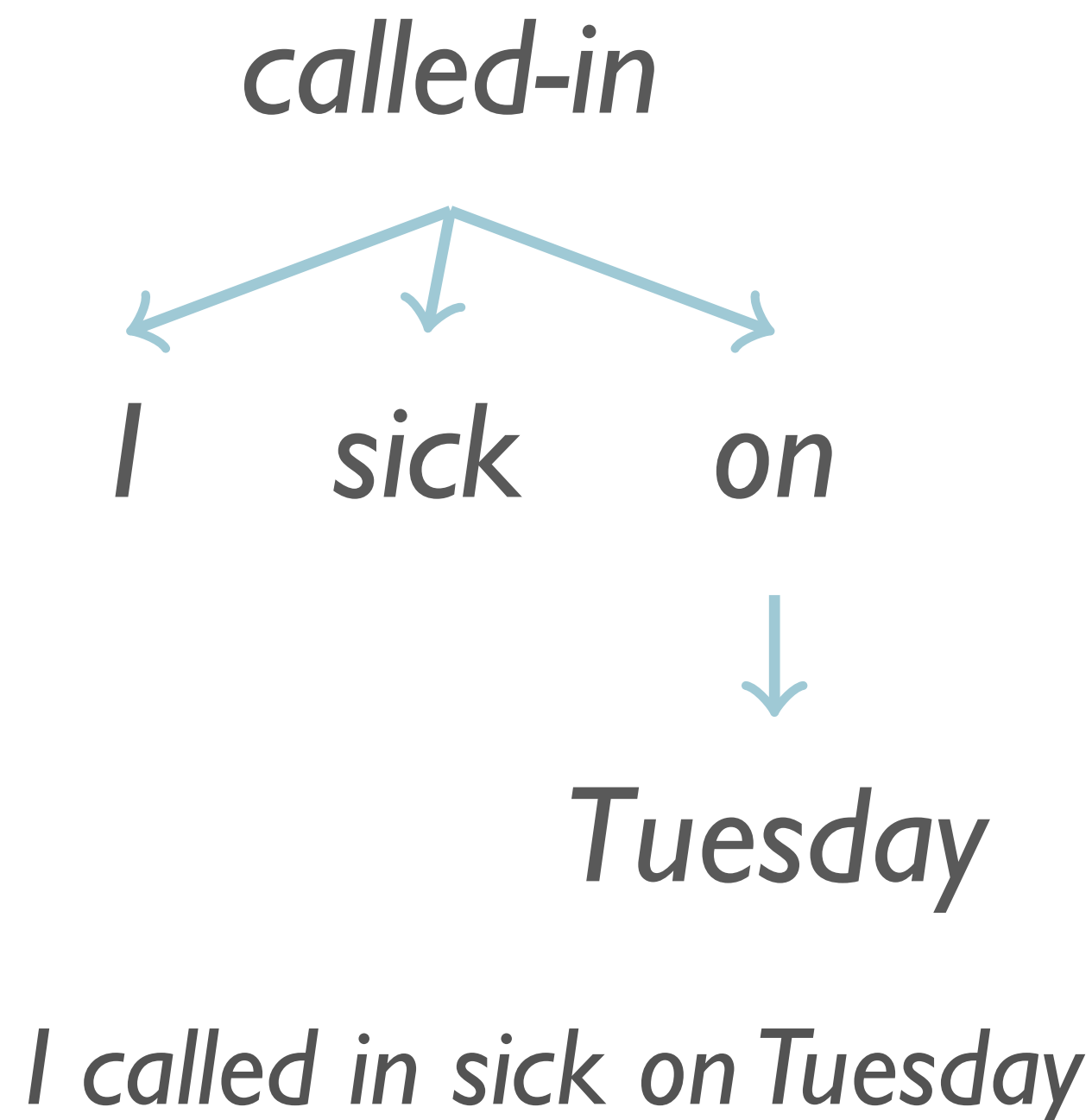
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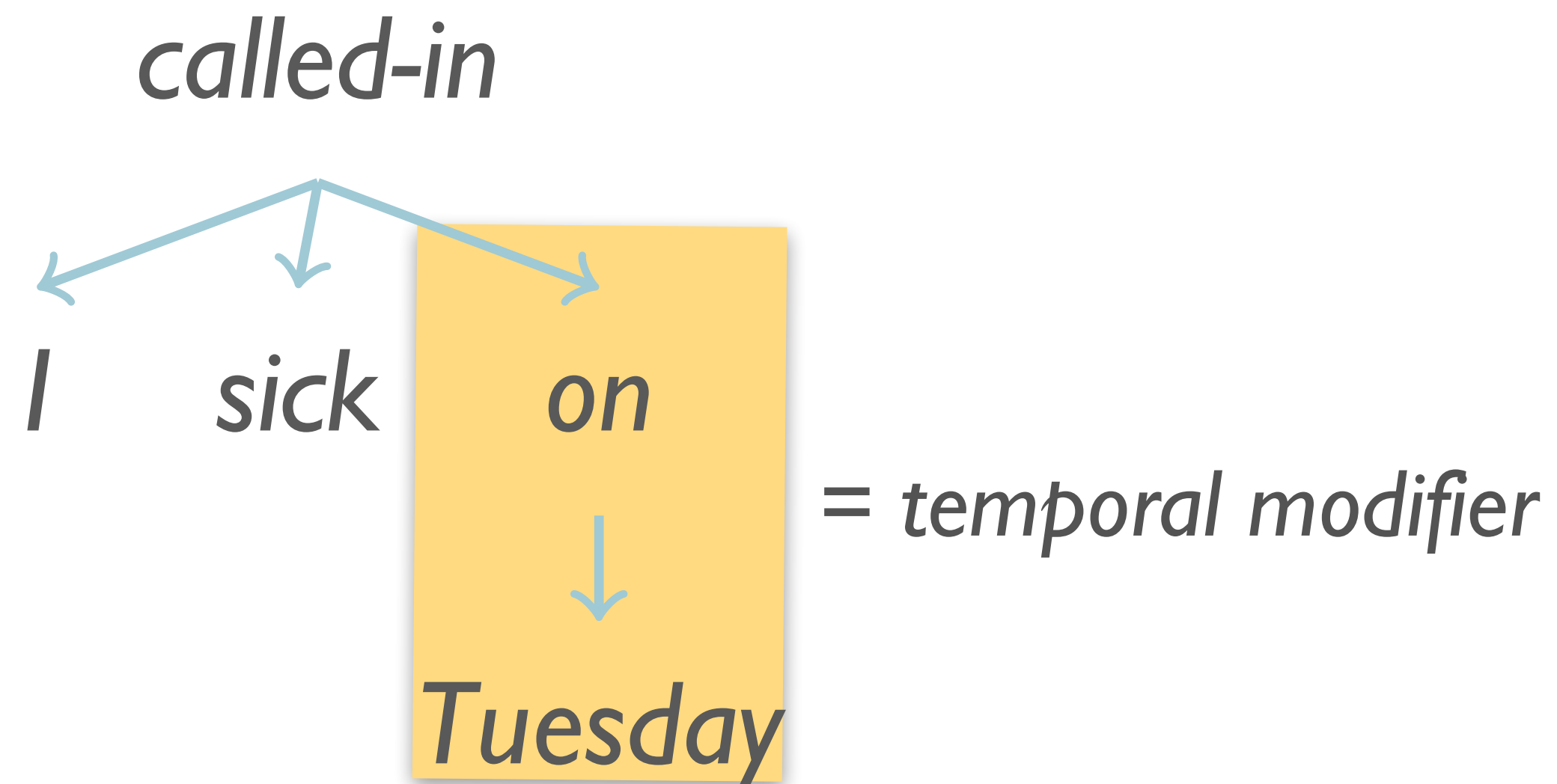
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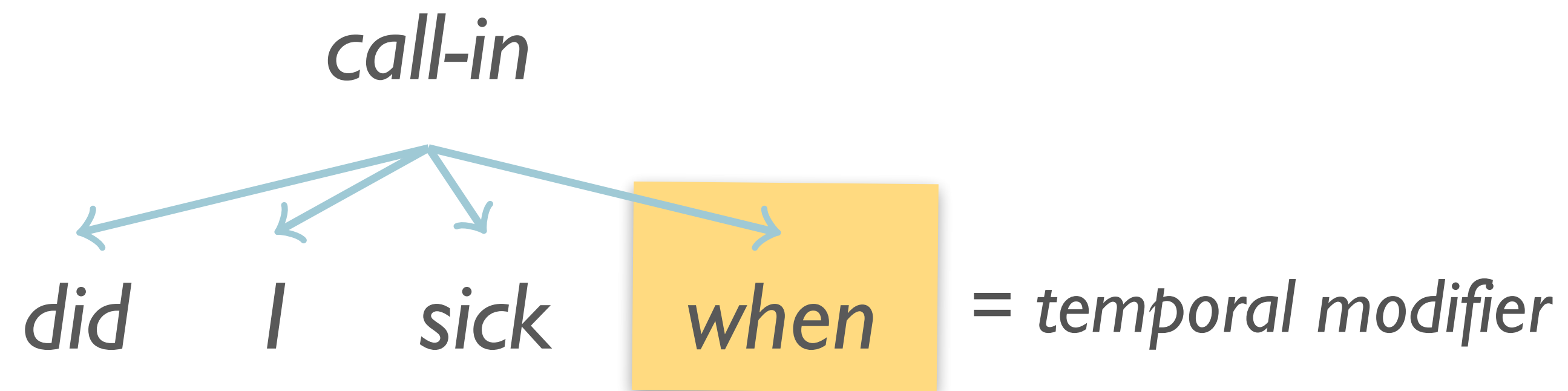
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  - Same structure
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*I called in sick on Tuesday*

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- How do dependency structures represent the difference?
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*when did I call in sick?*

# Natural Efficiencies

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  - Must derive full trees of many non-terminals

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  - For each word, identify
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# Natural Efficiencies

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  - Must derive full trees of many non-terminals
- Dependency Structures:
  - For each word, identify
    - Syntactic head,  $h$
    - Dependency label,  $d$
  - Inherently lexicalized
    - Strong constraints hold between pairs of words

# Visualization

- Web demos:
  - displaCy: <https://explosion.ai/demos/displacy>
  - Stanford CoreNLP: <http://corenlp.run/>
- [spaCy](#) and [stanza](#) Python packages have good built-in parsers
- LaTeX: tikz-dependency (<https://ctan.org/pkg/tikz-dependency>)








































```
>>> import stanfordnlp
>>> stanfordnlp.download('en') # This downloads the English models for the neural pipeline
>>> nlp = stanfordnlp.Pipeline() # This sets up a default neural pipeline in English
>>> doc = nlp("Barack Obama was born in Hawaii. He was elected president in 2008.")
>>> doc.sentences[0].print_dependencies()

('Barack', '4', 'nsubj:pass')
('Obama', '1', 'flat')
('was', '4', 'aux:pass')
('born', '0', 'root')
('in', '6', 'case')
('Hawaii', '4', 'obl')
('.', '4', 'punct')
```

# Resources

- Universal Dependencies:
  - Consistent annotation scheme (i.e. same POS, dependency labels)
  - Treebanks for >70 languages
    - Sizes: German, Czech, Japanese, Russian, French, Arabic, ...

## Upcoming UD Languages

▶		Assamese	1	-		IE, Indic
▶		Bengali	2	-	 	IE, Indic
▶		Bhojpuri	1	-		IE, Indic
▶		Cusco Quechua	1	-		Quechuan
▶		Dargwa	1	-		Nakho-Dagestanian
▶		Georgian	1	-		Kartvelian
▶		Kannada	1	-		Dravidian, Southern
▶		Komi Permyak	1	-		Uralic, Permic
▶		Kyrgyz	1	-		Turkic, Northwestern
▶		Livvi	1	-		Uralic, Finnic
▶		Macedonian	1	-		IE, Slavic
▶		Pnar	1	-		Austro-Asiatic, Khasian
▶		Romansh	2	-		IE, Romance
▶		Scottish Gaelic	1	-		IE, Celtic
▶		Shipibo Konibo	1	-		Panoan
▶		Sindhi	1	-		IE, Indic
▶		Somali	1	-		Afro-Asiatic, Cushitic
▶		Sorani	1	-		IE, Iranian
▶		Swiss German	1	-		IE, Germanic



# Summary

- Dependency grammars balance complexity and expressiveness
  - Sufficiently expressive to capture predicate-argument structure
  - Sufficiently constrained to allow efficient parsing

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- Dependency grammars balance complexity and expressiveness
  - Sufficiently expressive to capture predicate-argument structure
  - Sufficiently constrained to allow efficient parsing
- Still not perfect
  - “On Tuesday I called in sick” vs. “I called in sick on Tuesday”
  - These feel pragmatically different (e.g. topically), might want to represent difference syntactically.

# Roadmap

- Dependency Grammars
  - Definition
  - Motivation:
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- **Dependency Parsing**
  - By conversion from CFG
  - By Graph-based models
  - By transition-based parsing

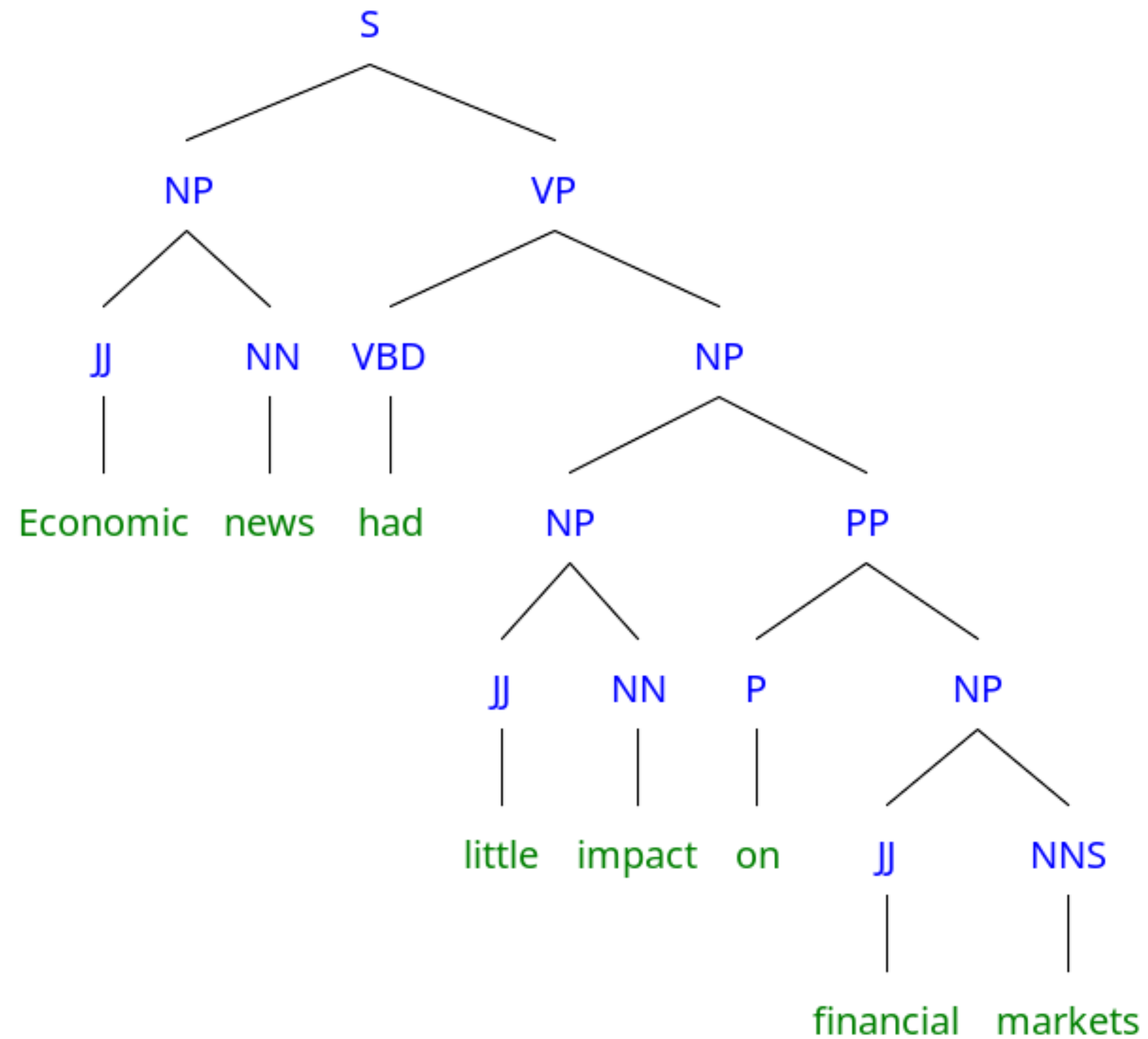
# Conversion: PS $\rightarrow$ DS

- Can convert Phrase Structure (PS) to Dependency Structure (DS)
  - ...without the dependency labels

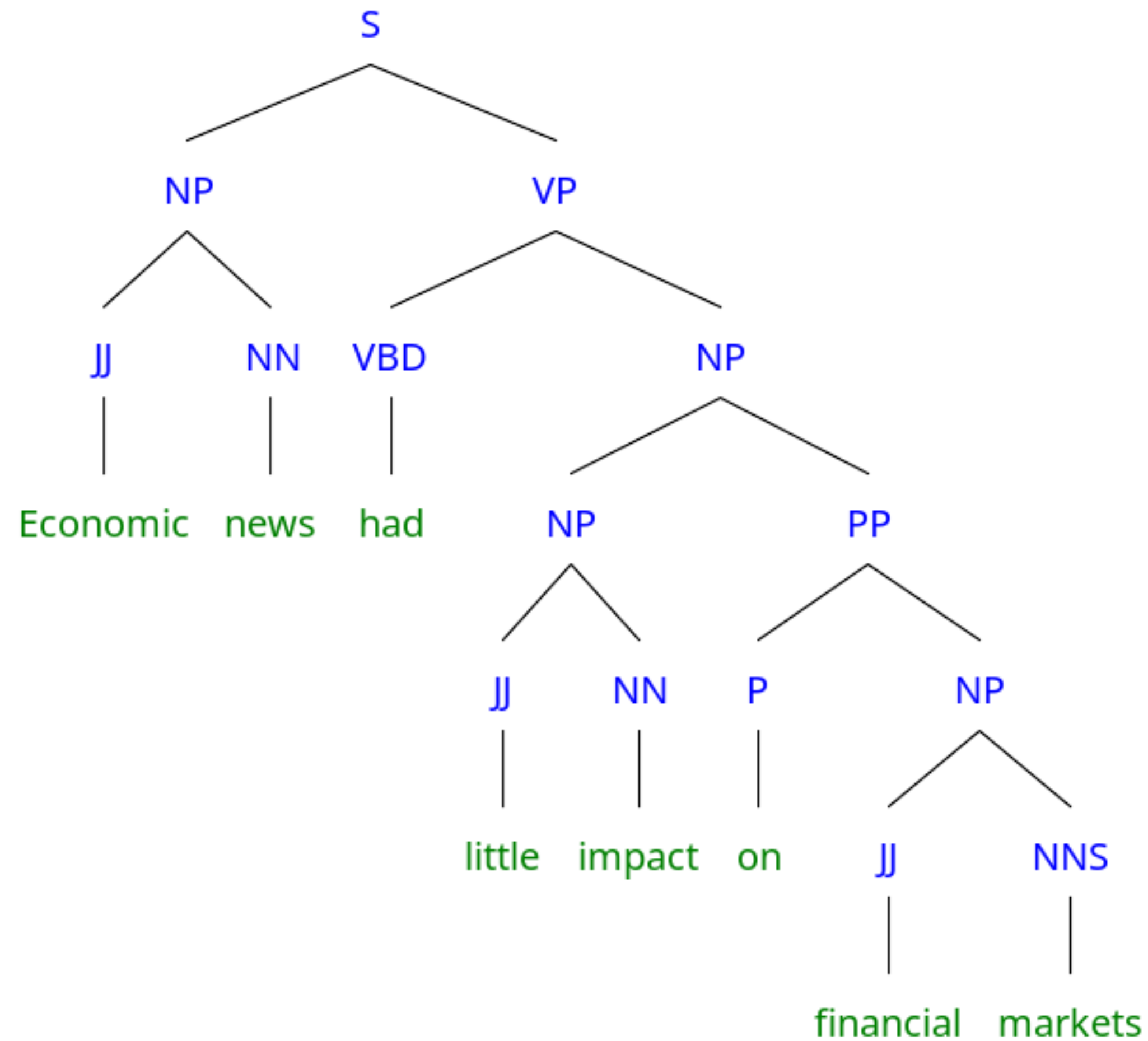
# Conversion: PS $\rightarrow$ DS

- Can convert Phrase Structure (PS) to Dependency Structure (DS)
  - ...without the dependency labels
- Algorithm:
  - Identify all head children in PS
  - Make head of each non-head-child depend on head of head-child
  - Use a *head percolation* table to determine headedness

# Conversion: PS → DS



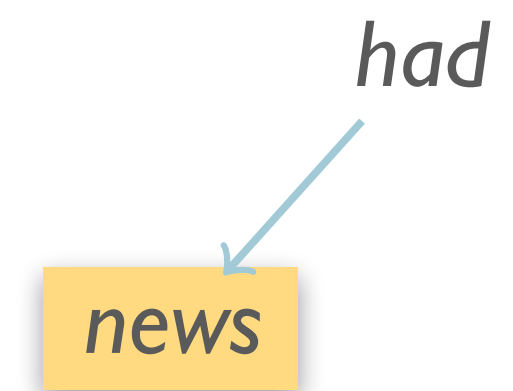
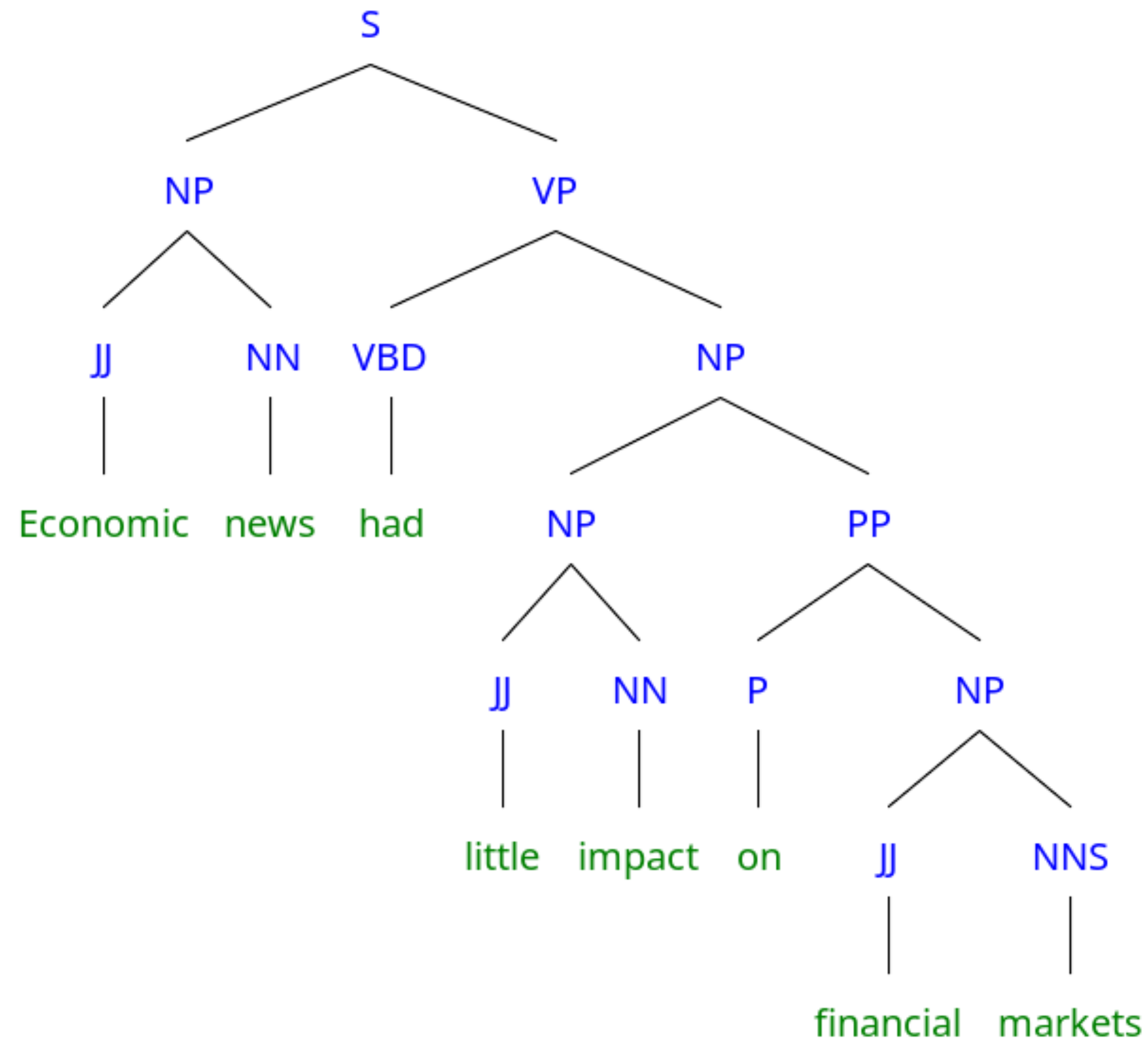
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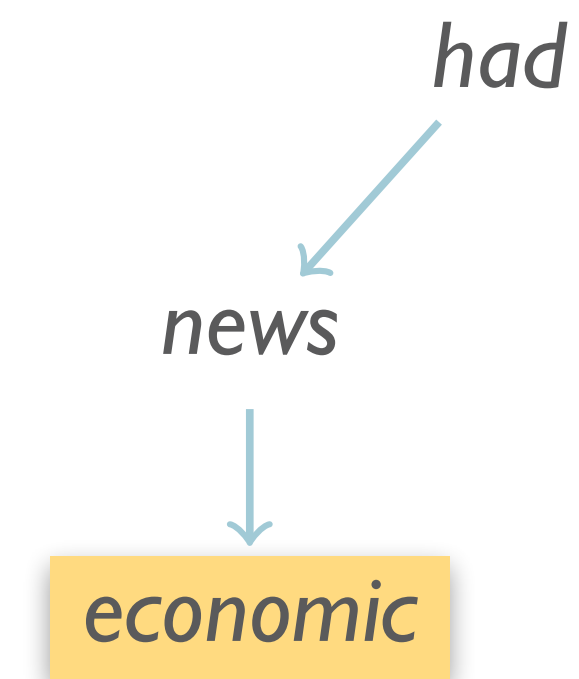
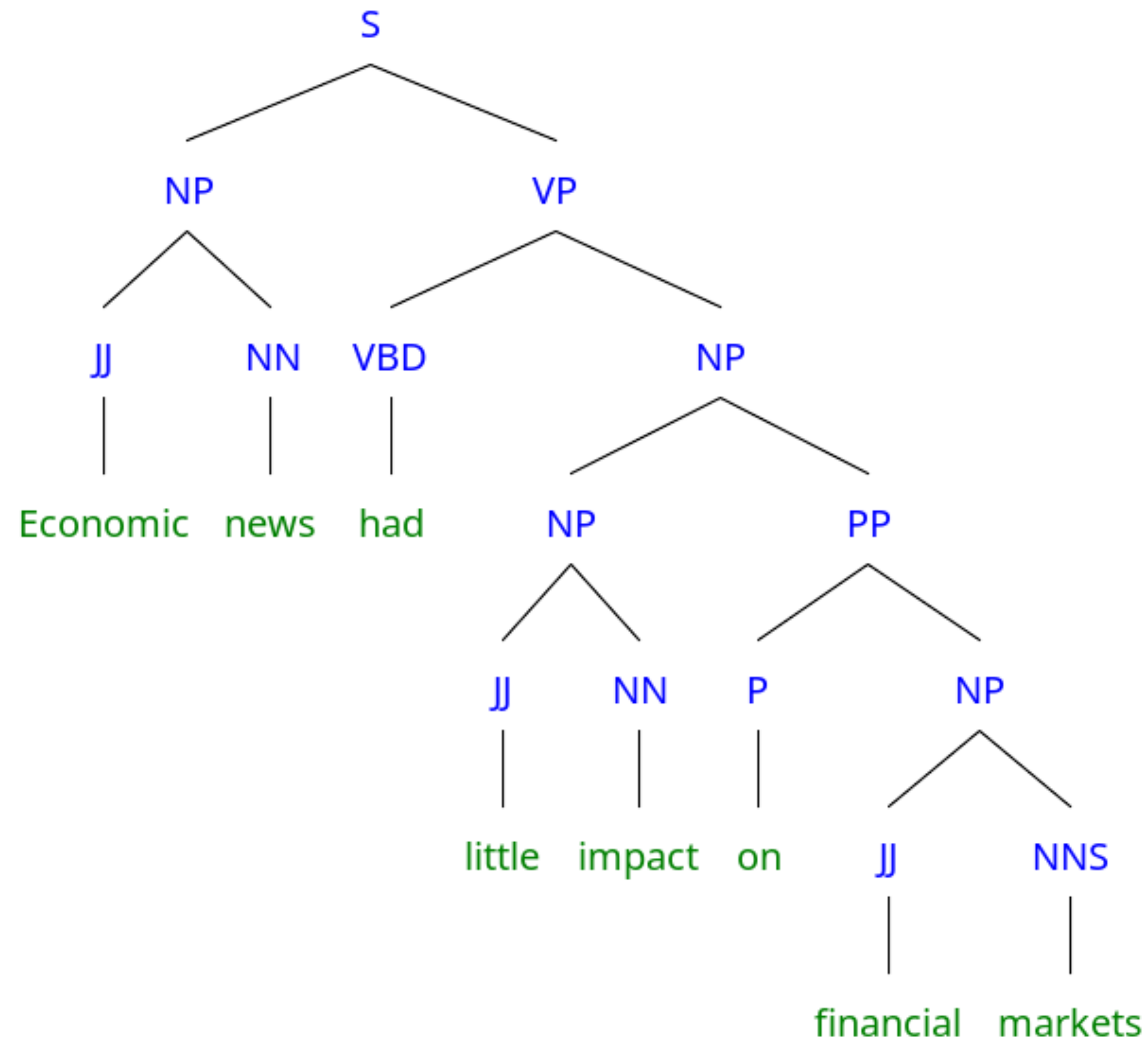
*had*



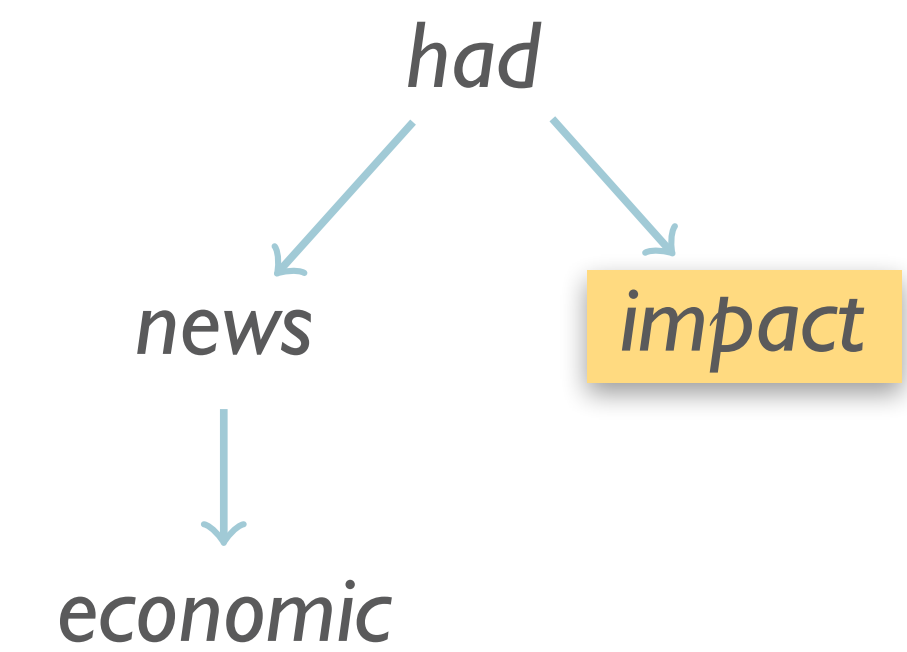
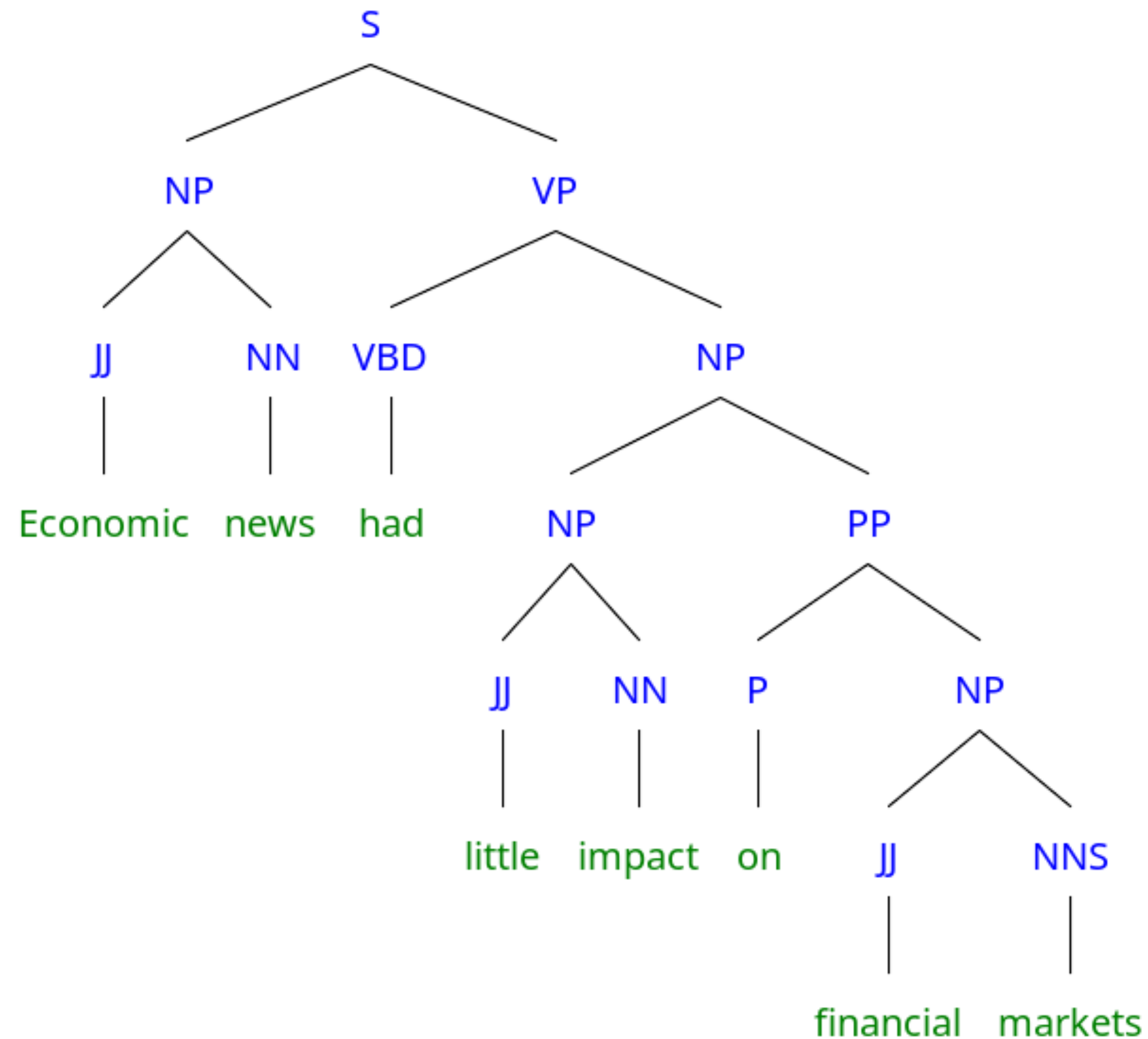
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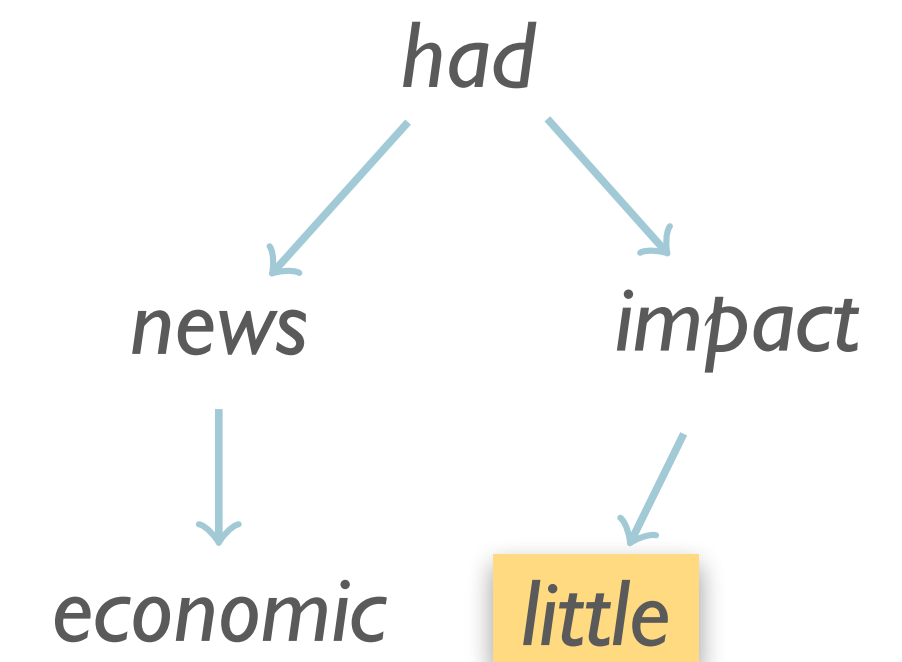
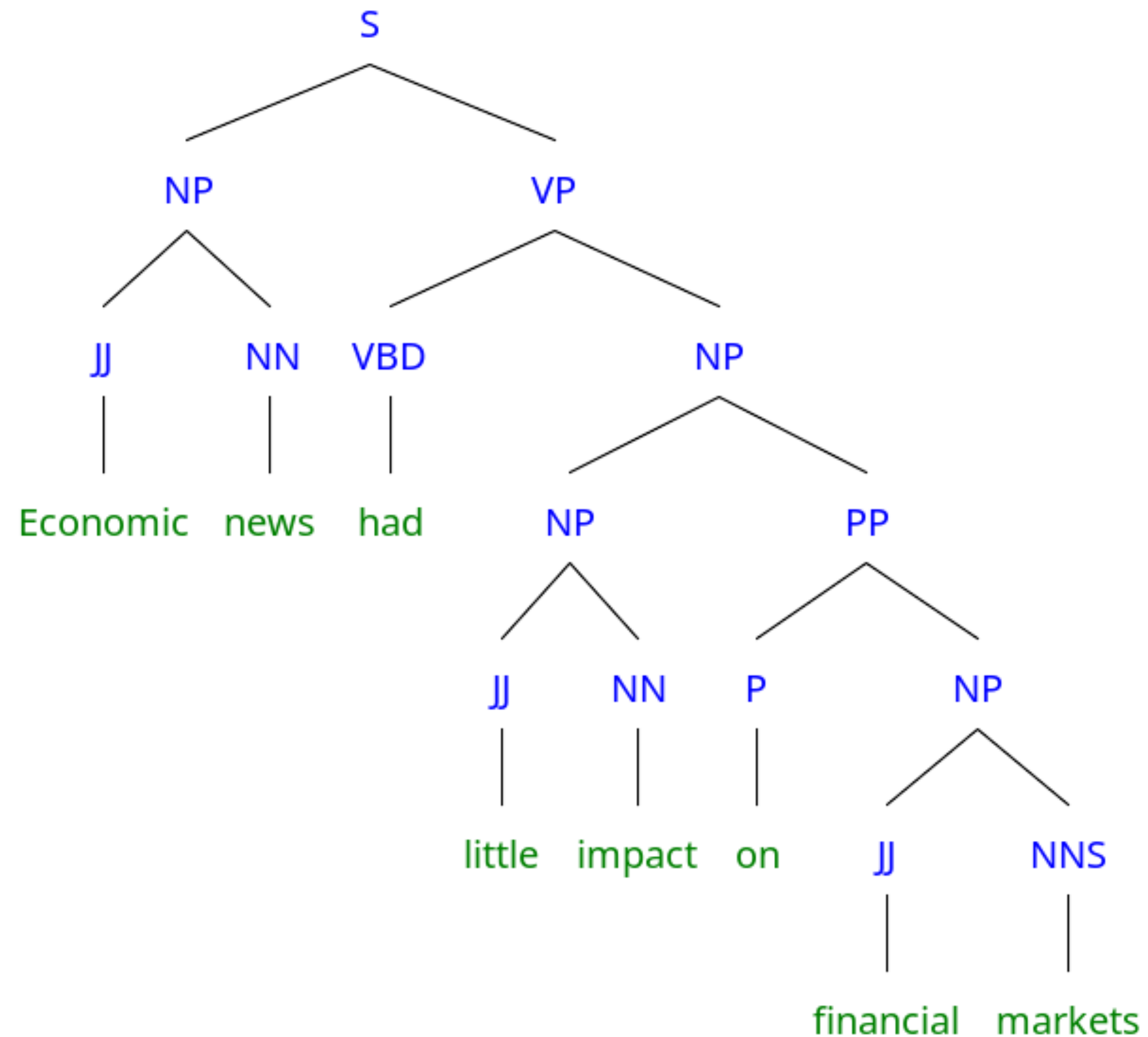
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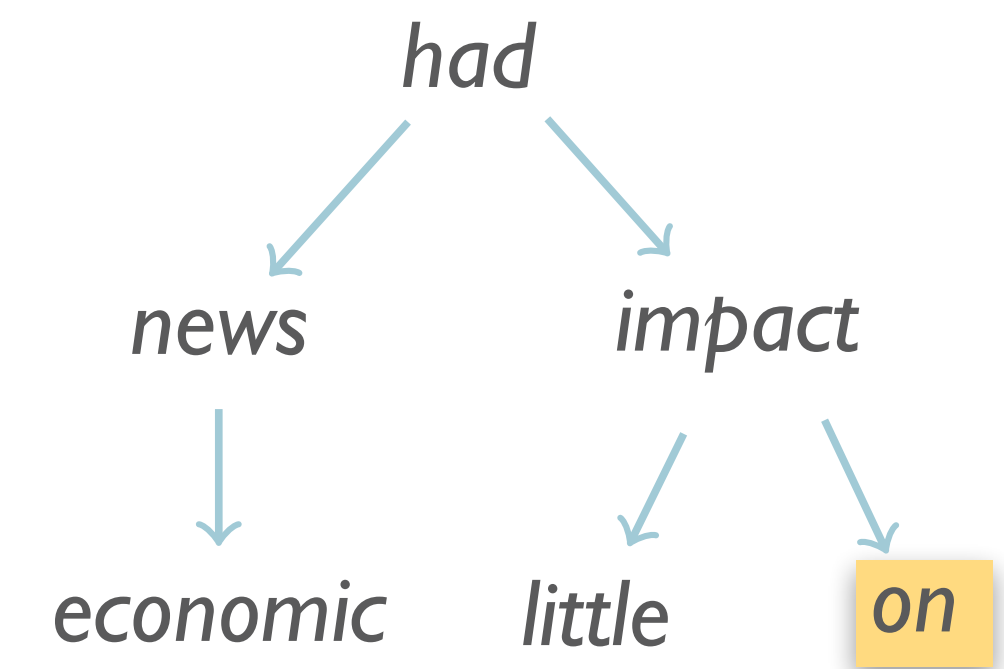
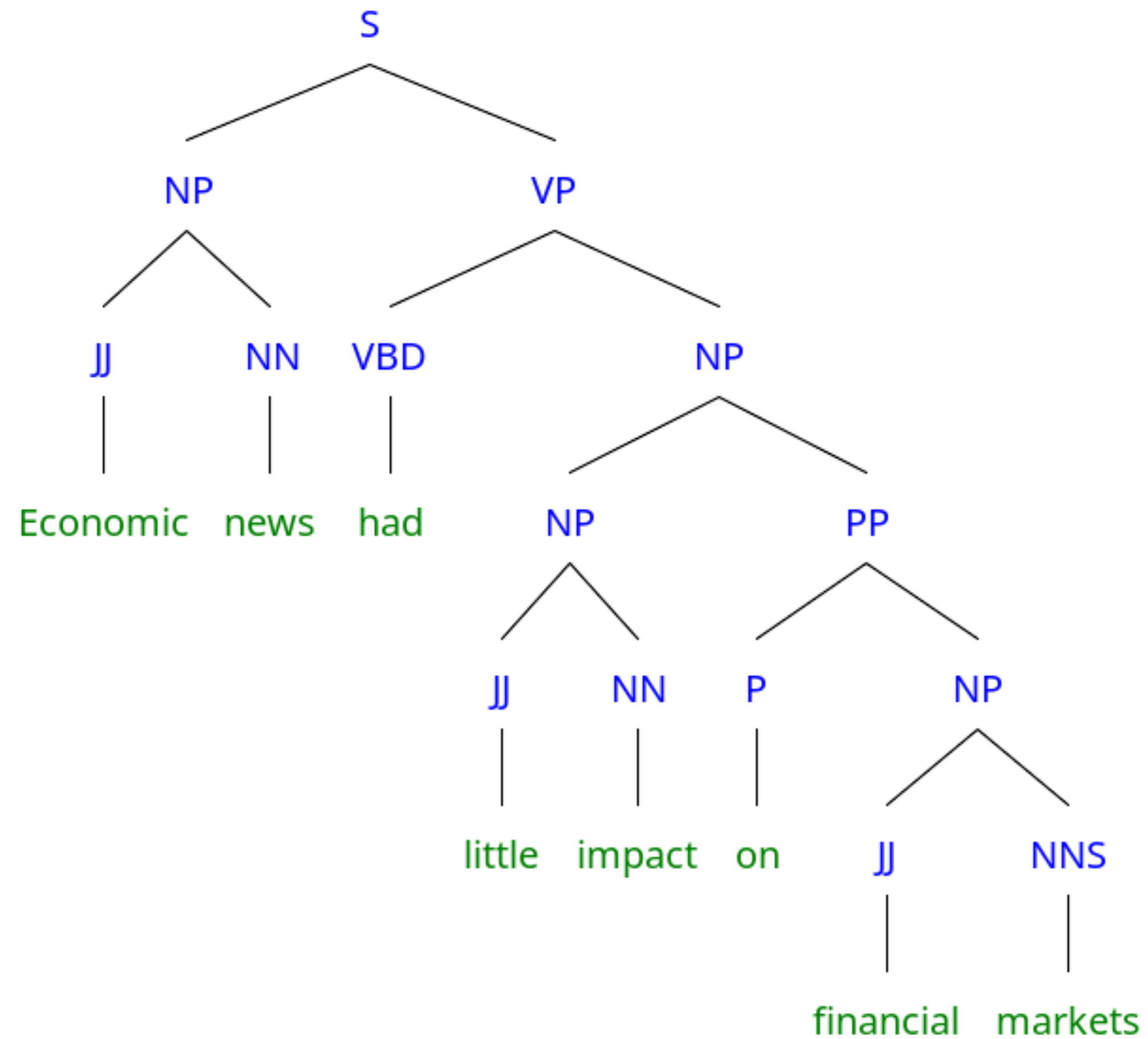
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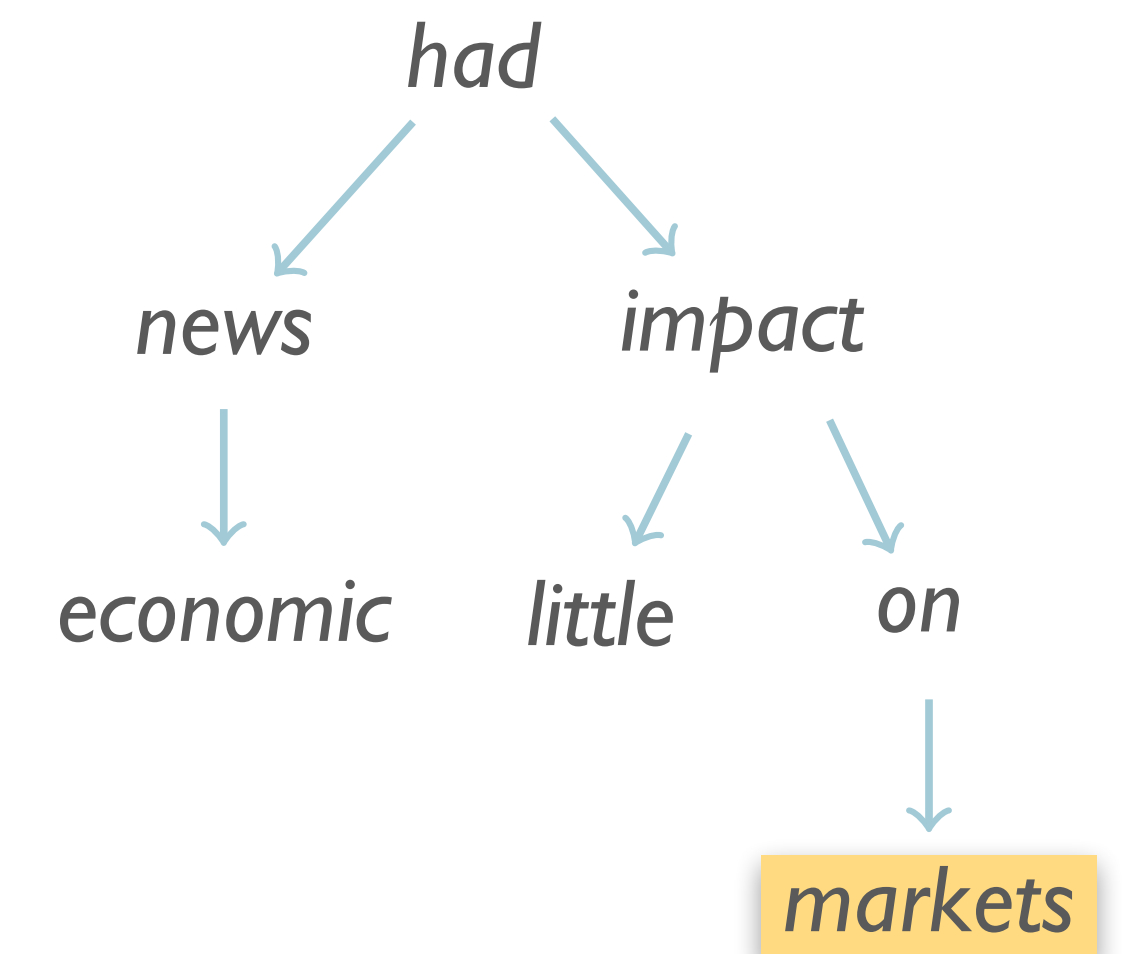
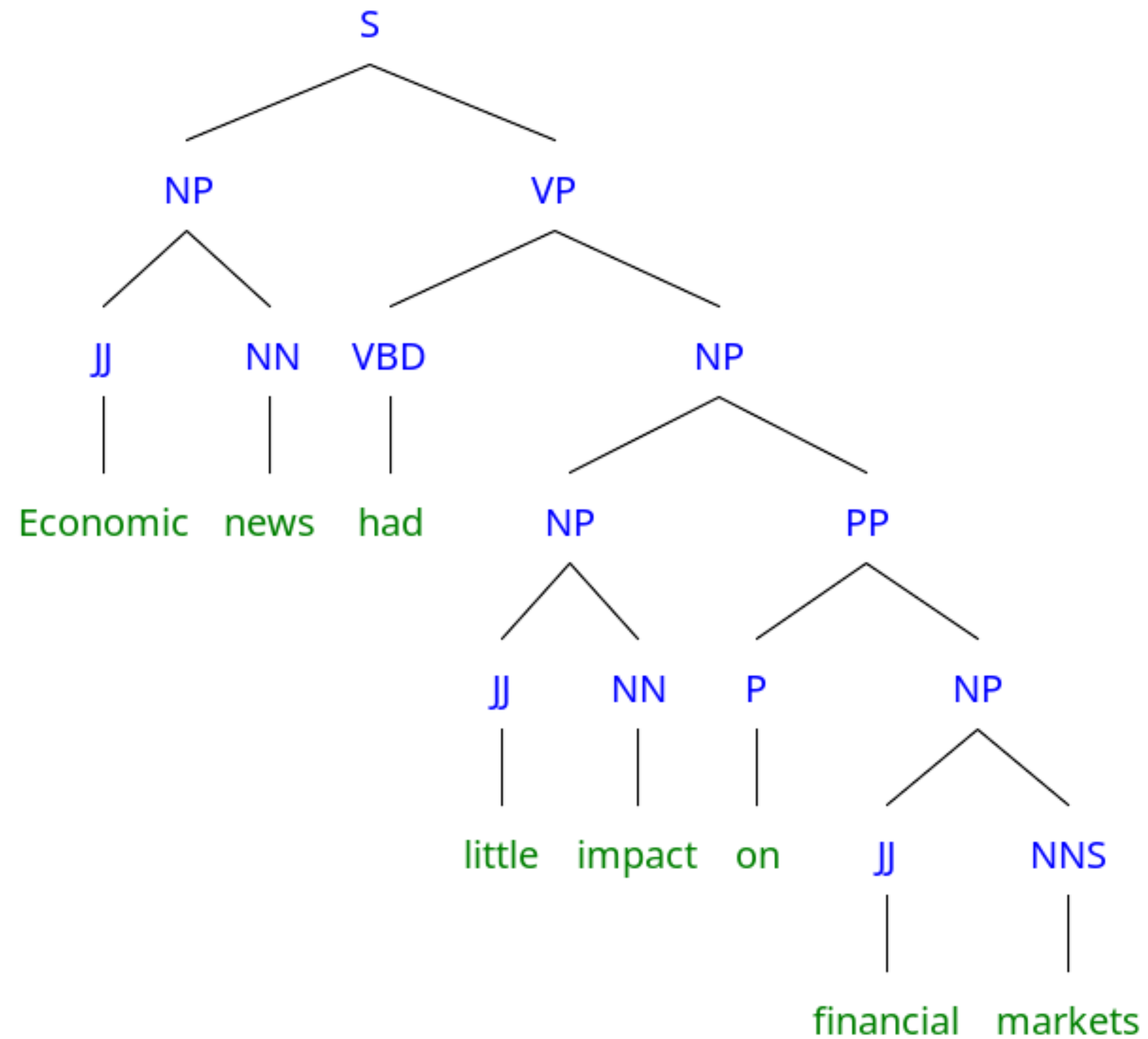
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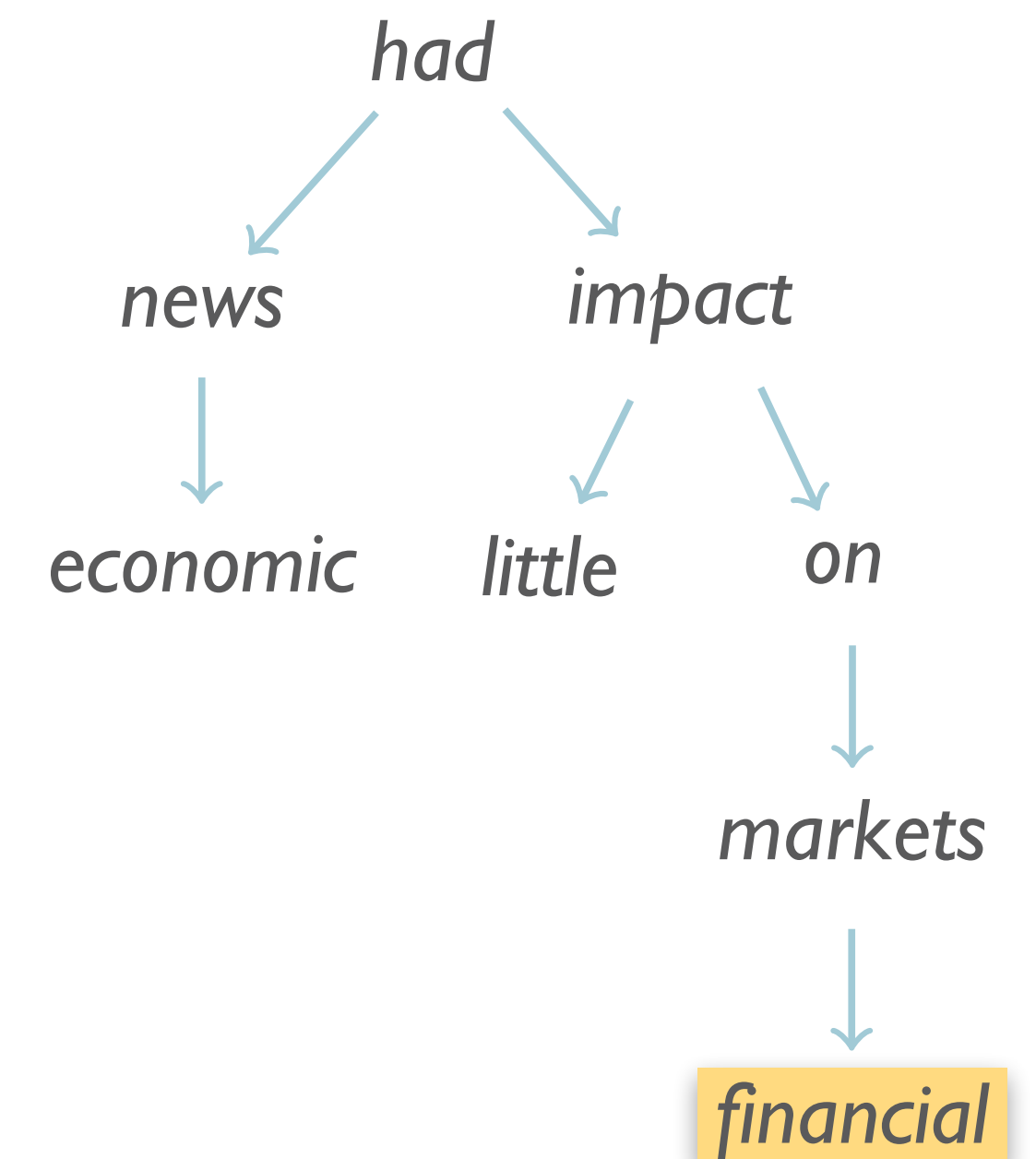
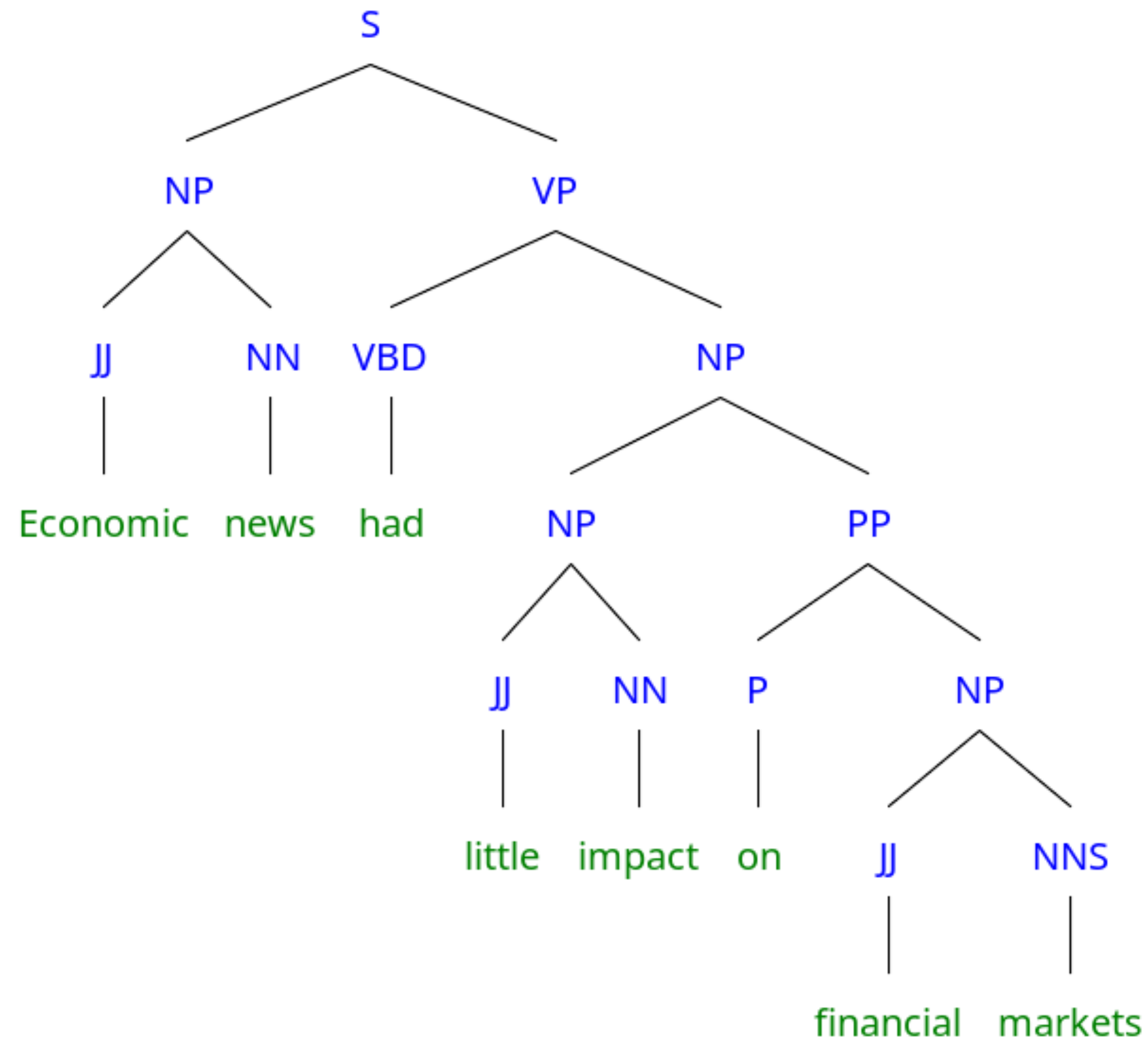
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# Head Percolation Table

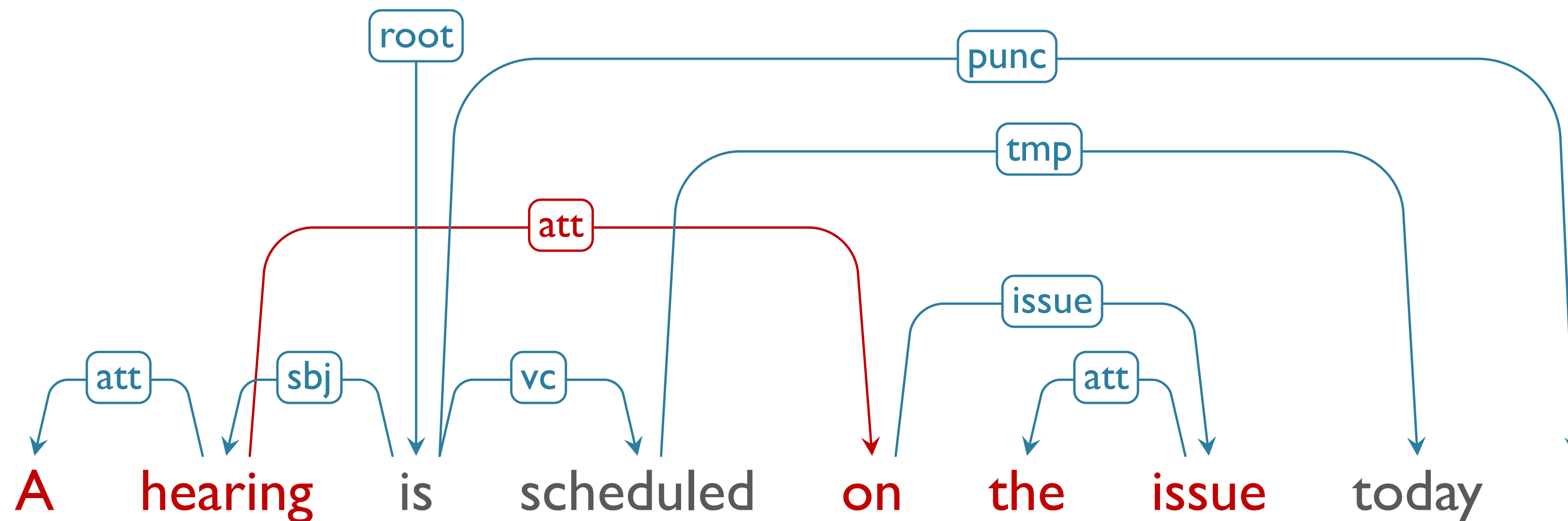
- Finding the head of an NP:
  - If the rightmost word is preterminal, return
  - ...else search Right→Left for first child which is *NN*, *NNP*, *NNPS*...
  - ...else search Left→Right for first child which is *NP*
  - ...else search Right→Left for first child which is *\$*, *ADJP*, *PRN*
  - ...else search Right→Left for first child which is *CD*
  - ...else search Right→Left for first child which is *JJ*, *JJS*, *RB* or *QP*
  - ...else return rightmost word.

*From J&M Page 411, via [Collins \(1999\)](#)*

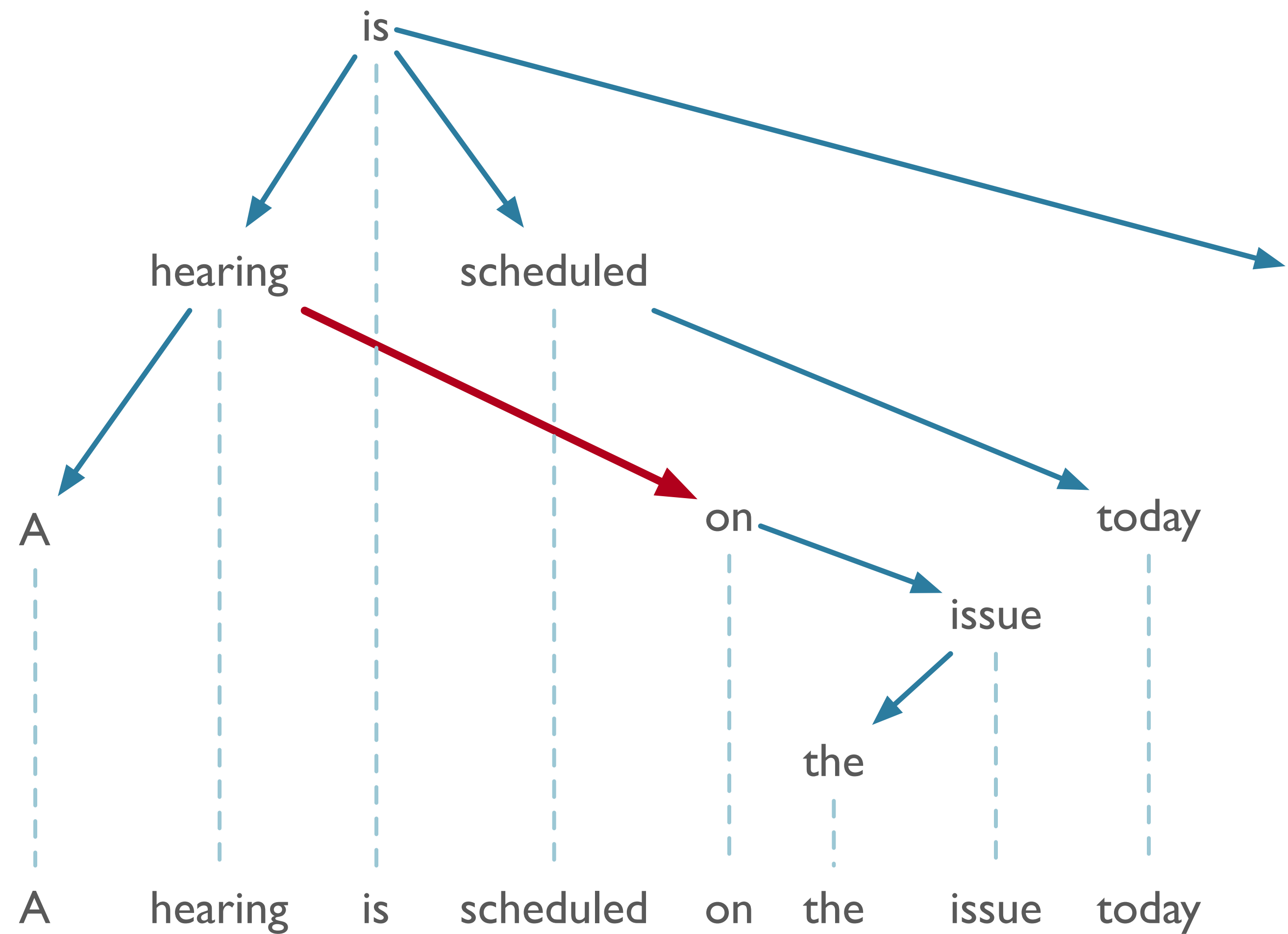


# Conversion: DS $\rightarrow$ PS

- Can map any *projective* dependency tree to PS tree
- Projective:
  - Does not contain “crossing” dependencies w.r.t. word order

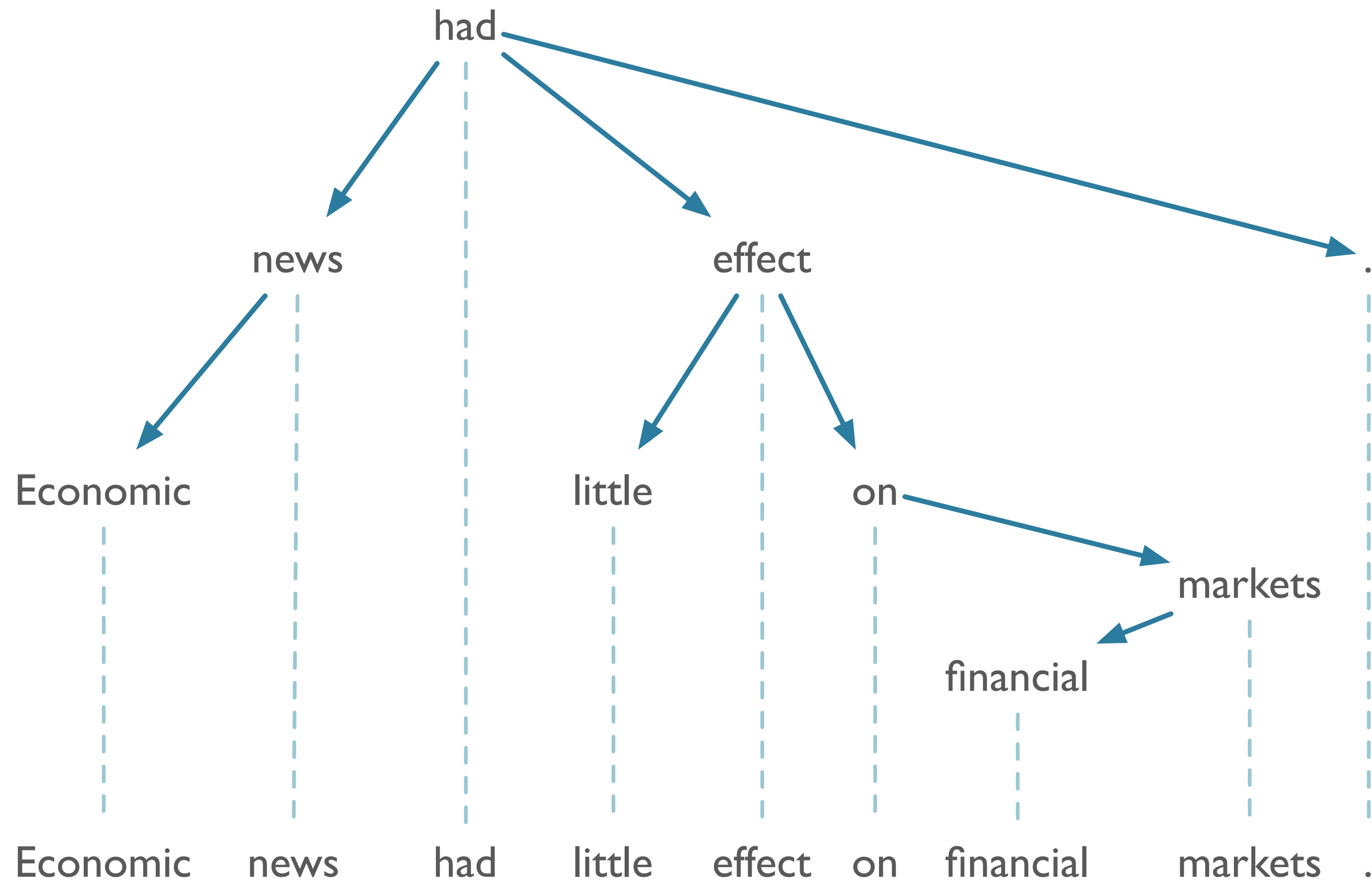


# Non-Projective DS



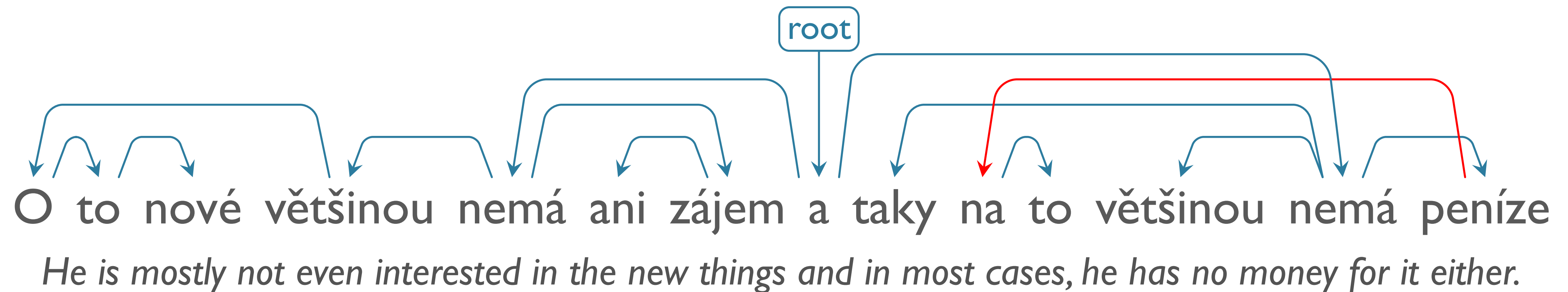
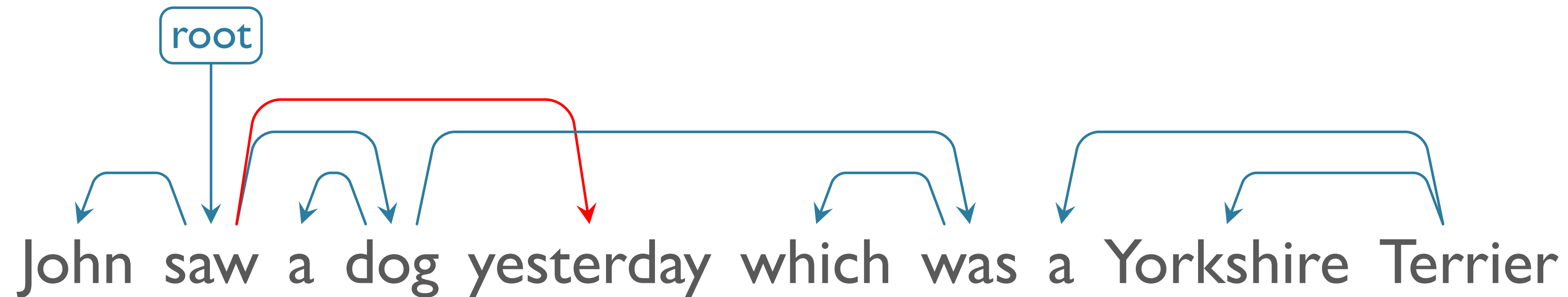
 = *Projection*

# Projective DS



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# More Non-Projective Parses

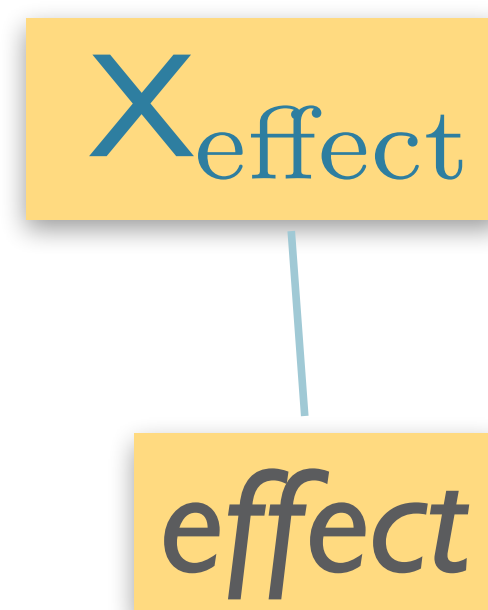
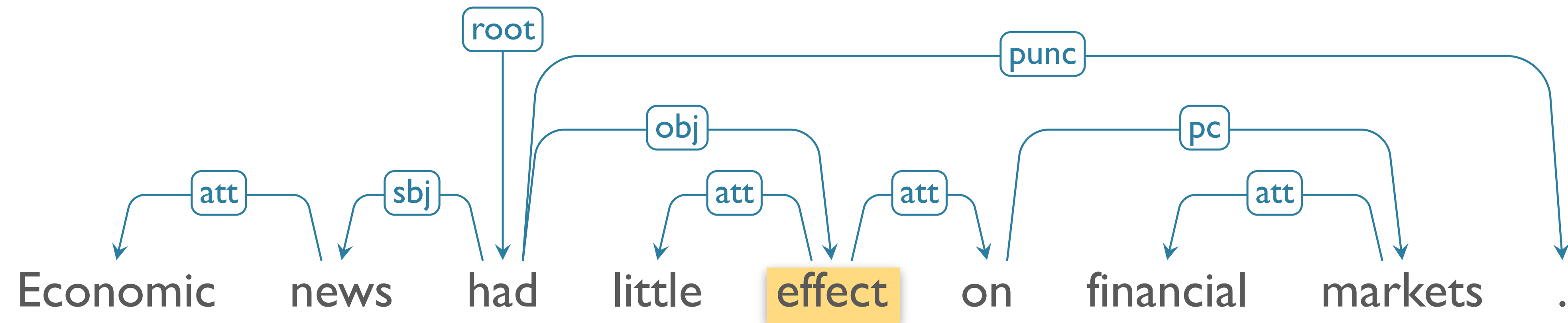


From [McDonald et. al, 2005](#)

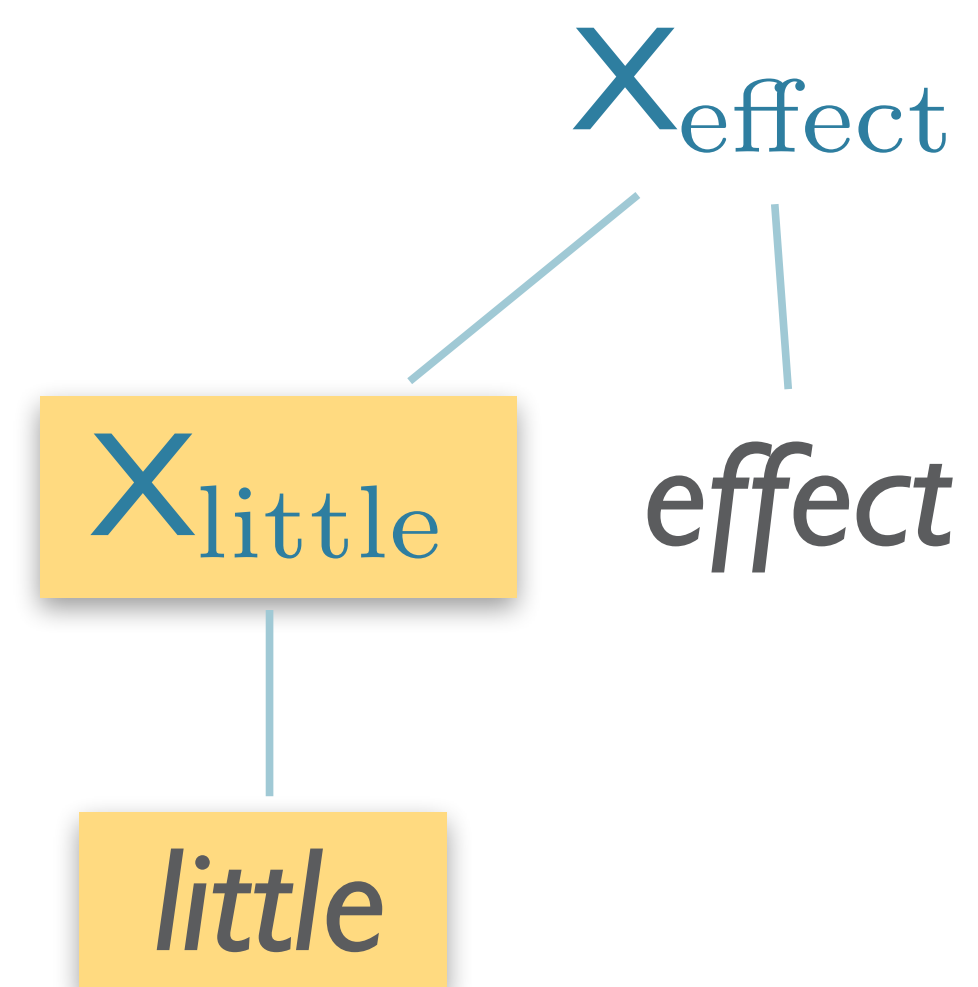
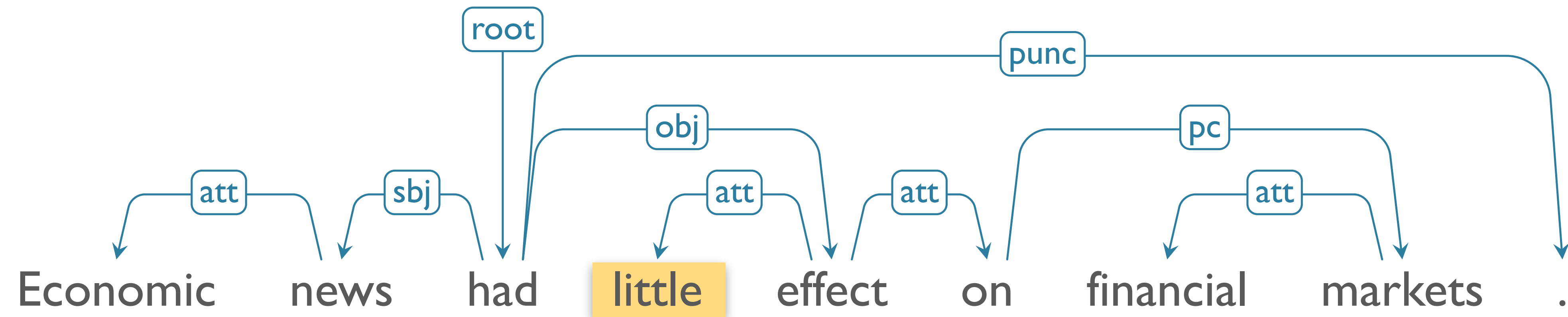
# Conversion: DS $\rightarrow$ PS

- For each node  $w$  with outgoing arcs...
  - ...convert the subtree  $w$  and its dependents  $t_1, \dots, t_n$  to a new subtree:
    - Nonterminal:  $X_w$
    - Child:  $w$
    - Subtrees  $t_1, \dots, t_n$  in original sentence order

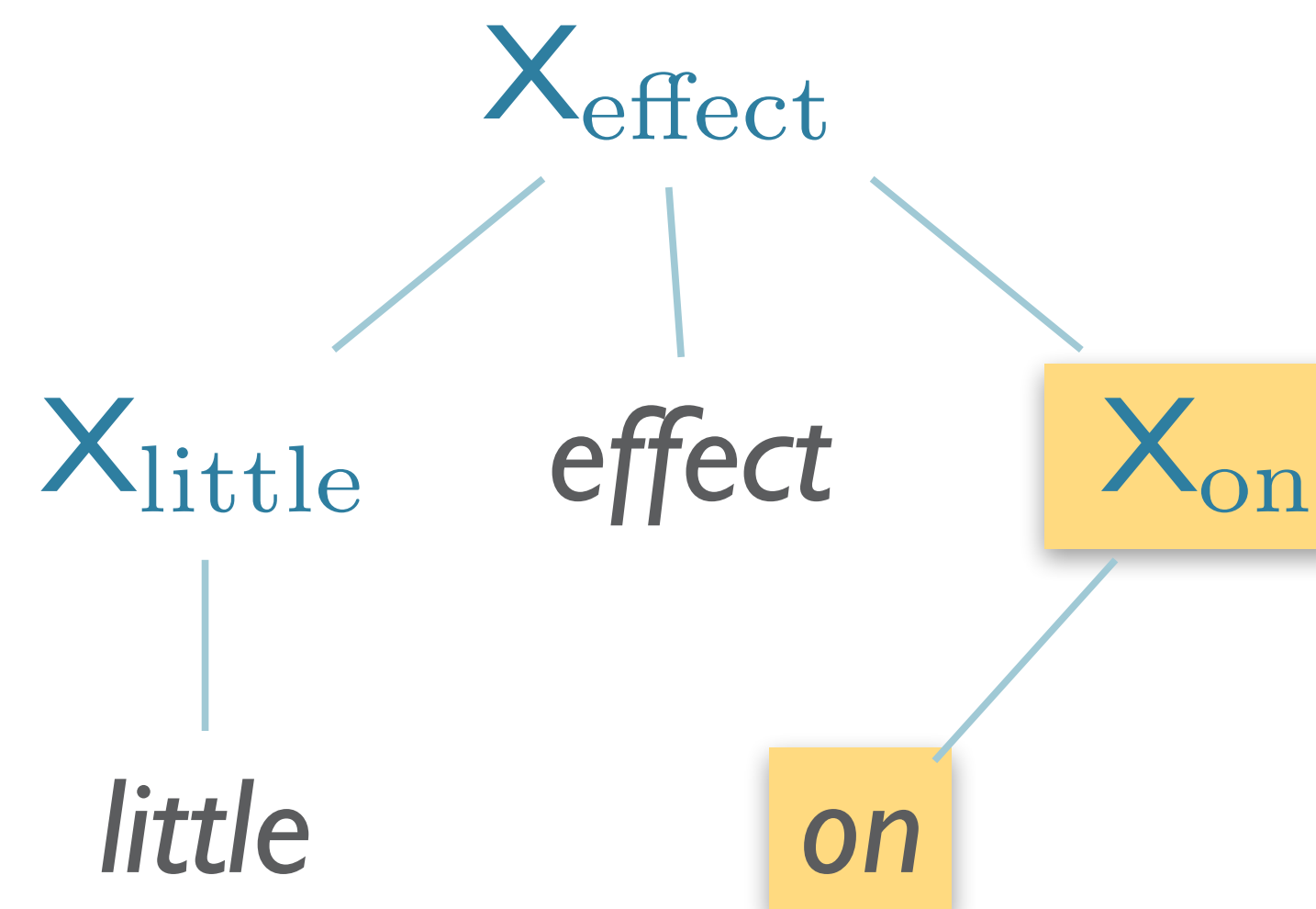
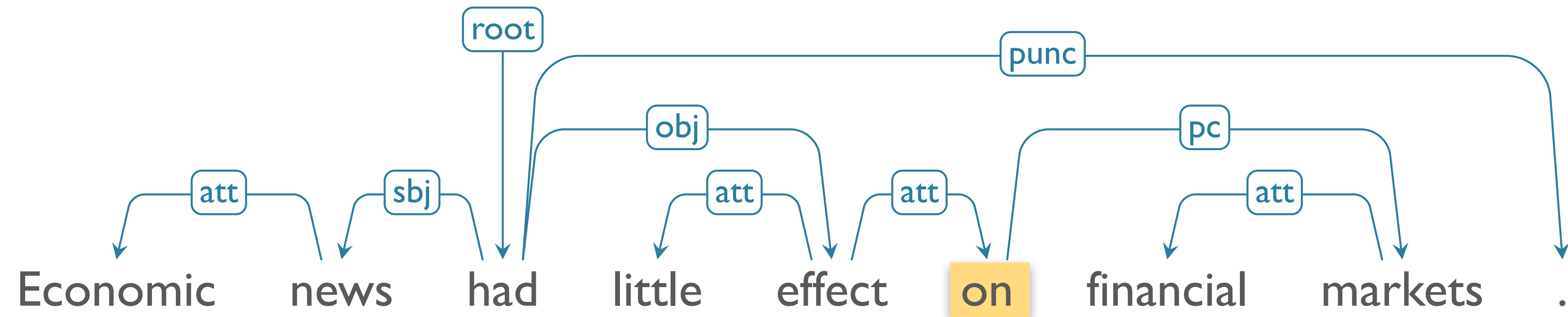
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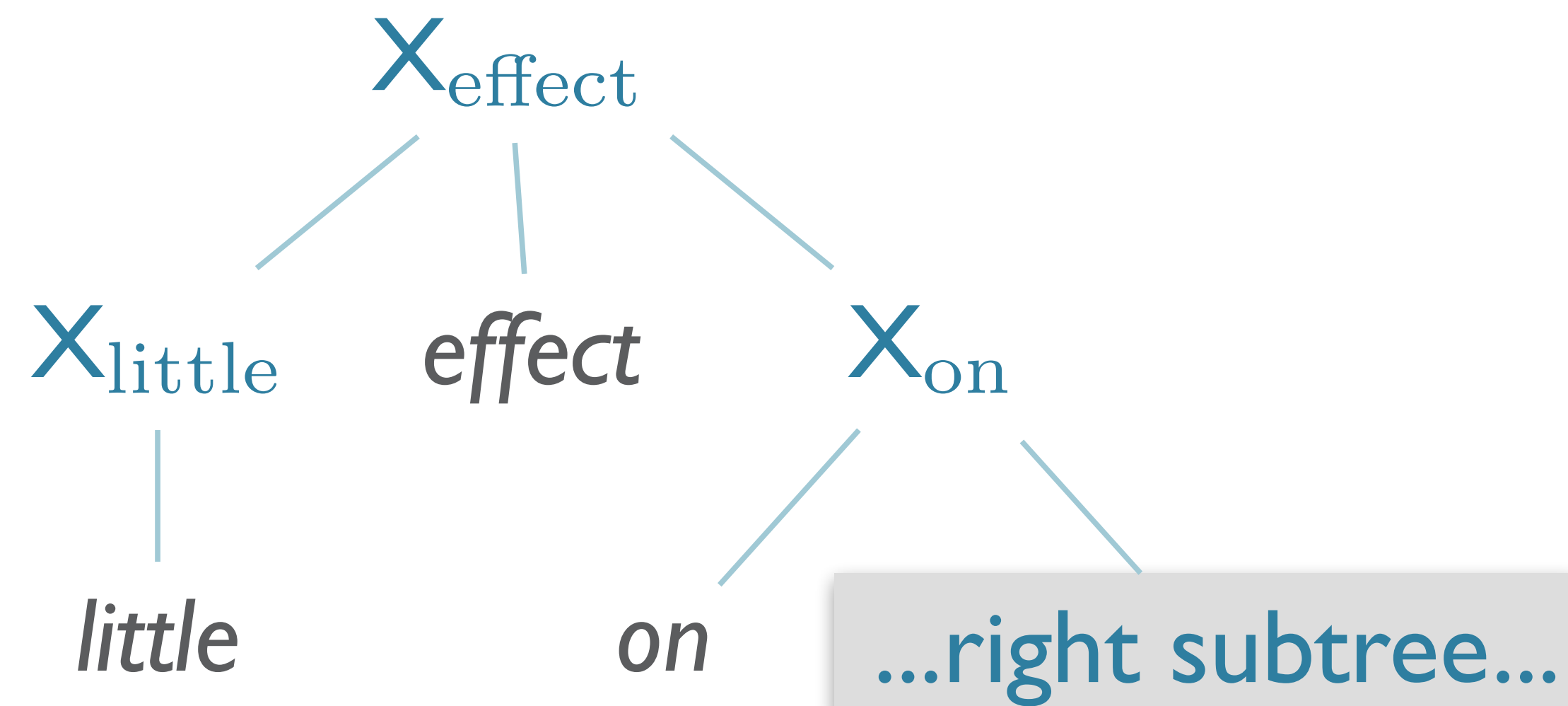
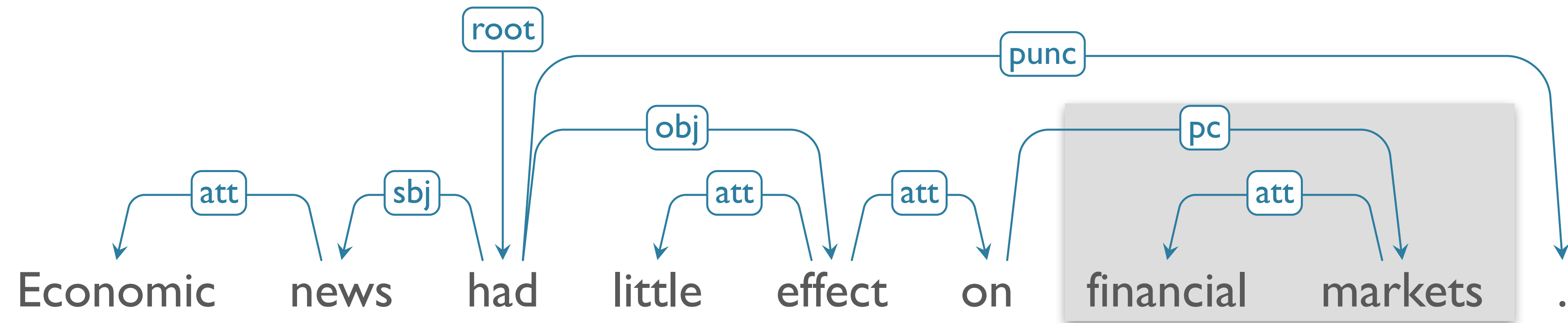


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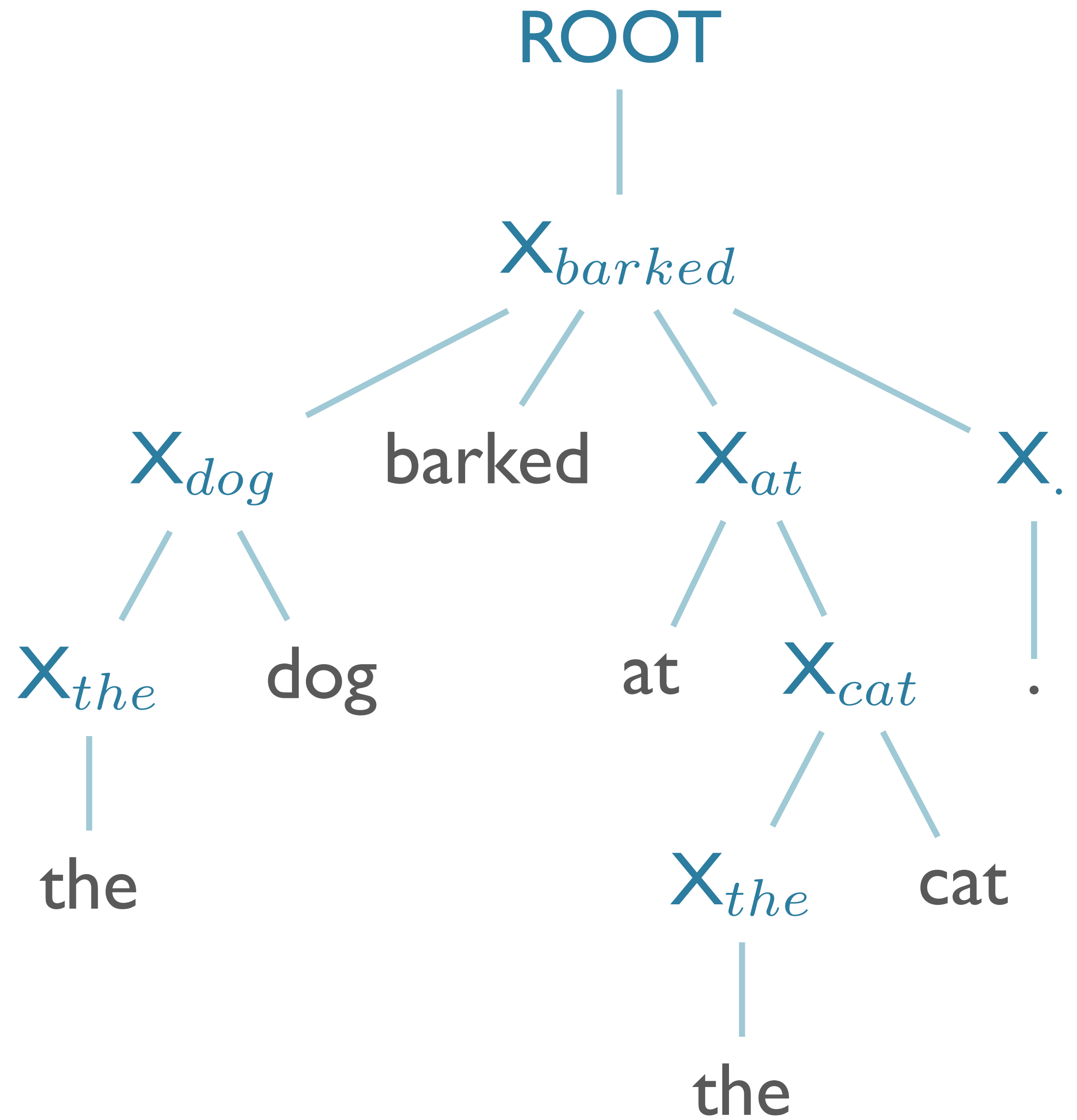
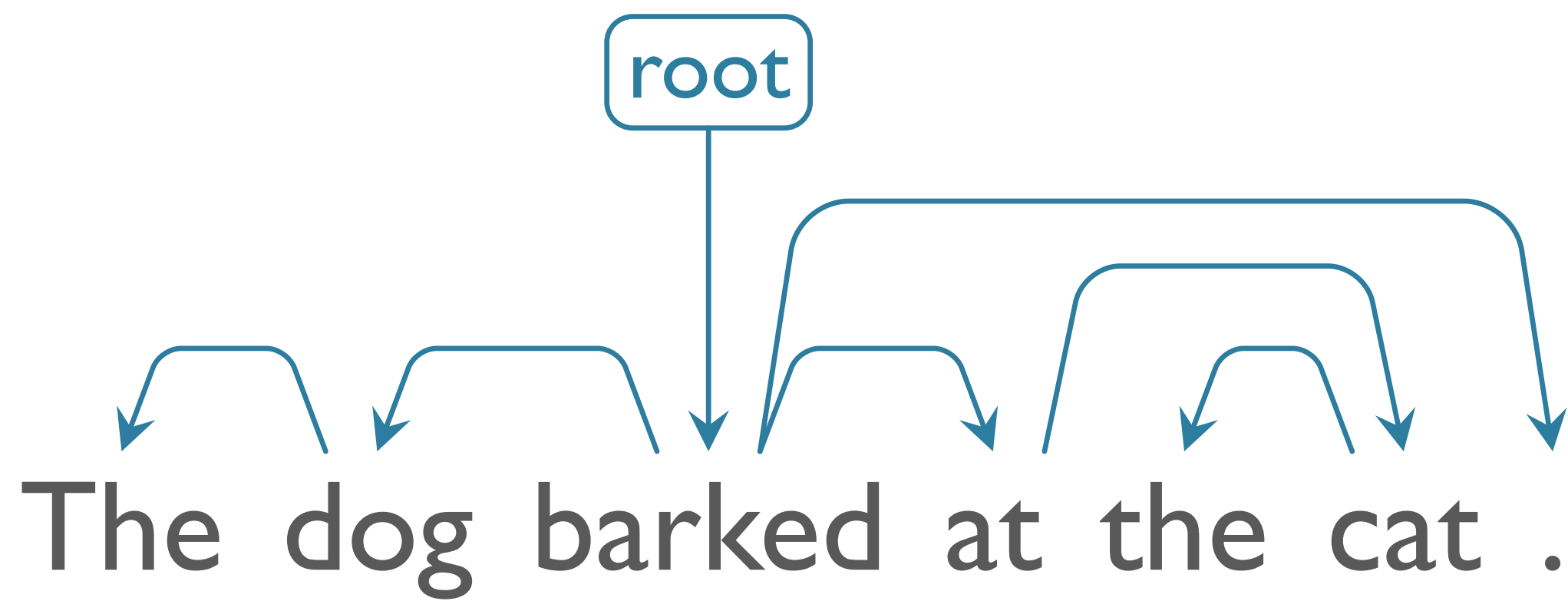


# Conversion: DS → PS



# Conversion: DS $\rightarrow$ PS

- What about labeled dependencies?
  - Can attach labels to nonterminals associated with non-heads
  - e.g.  $X_{little} \rightarrow X_{little:nmod}$
- Doesn't create typical PS trees
  - *Does* create fully lexicalized, labeled, context-free trees
- Can be parsed with any standard CFG parser



Example from J. Moore, 2013

# Roadmap

- Dependency Grammars
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  - Motivation:
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- **Dependency Parsing**
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  - **By Graph-based models**
  - By transition-based parsing

# Graph-based Dependency Parsing

- Goal: Find the highest scoring dependency tree  $\hat{T}$  for sentence  $S$ 
  - If  $S$  is unambiguous,  $T$  is the correct parse
  - If  $S$  is ambiguous,  $T$  is the highest scoring parse
- Where do scores come from?
  - Weights on dependency edges by learning algorithm
  - Learned from dependency treebank
- Where are the grammar rules?
  - ...there aren't any! All data-driven.

# Graph-based Dependency Parsing

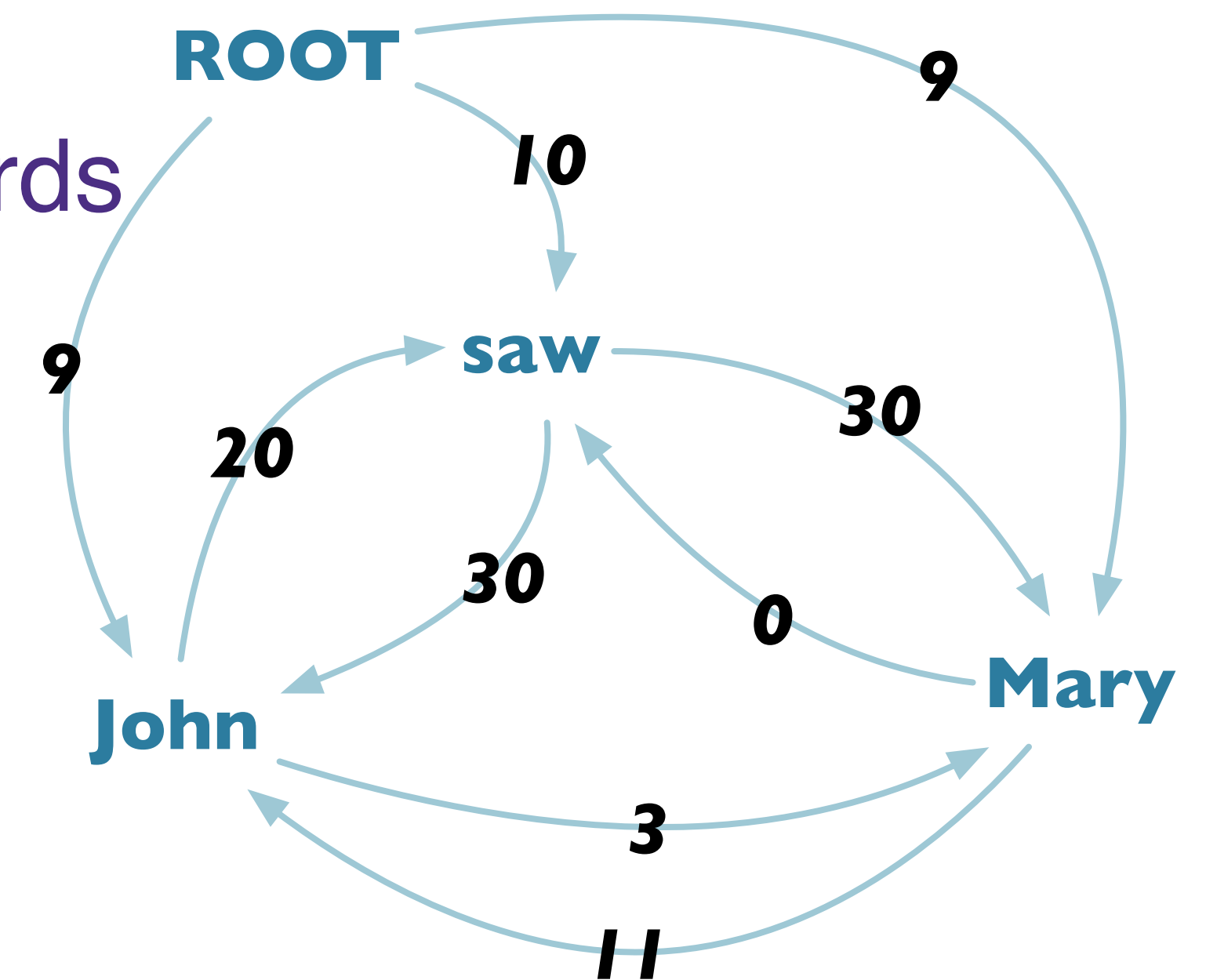
- Map dependency parsing to Maximum Spanning Tree (MST)
- Build fully connected initial graph:
  - Nodes: words in sentence to parse
  - Edges: directed edges between all words
    - + Edges from ROOT to all words
- Identify maximum spanning tree
  - Tree s.t. all nodes are connected
  - Select such tree with highest weight

# Graph-based Dependency Parsing

- Arc-factored model:
  - Weights depend on end nodes & link
  - Weight of tree is sum of participating arcs

# Initial Graph: *(McDonald et al, 2005b)*

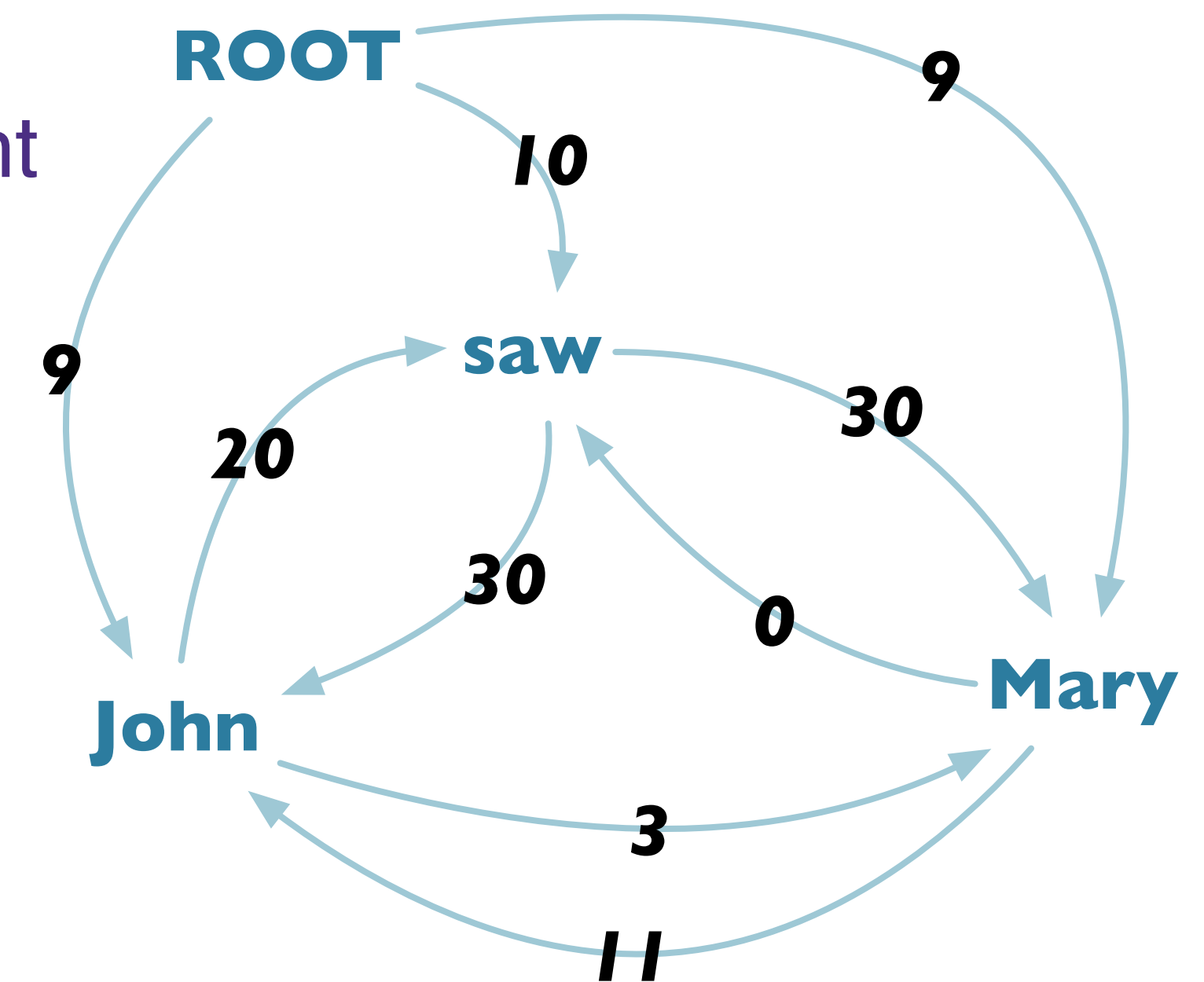
- *John saw Mary*
  - All words connected: ROOT only has outgoing arcs
- Goal: Remove arcs to create a tree covering all words
  - Resulting tree is parse





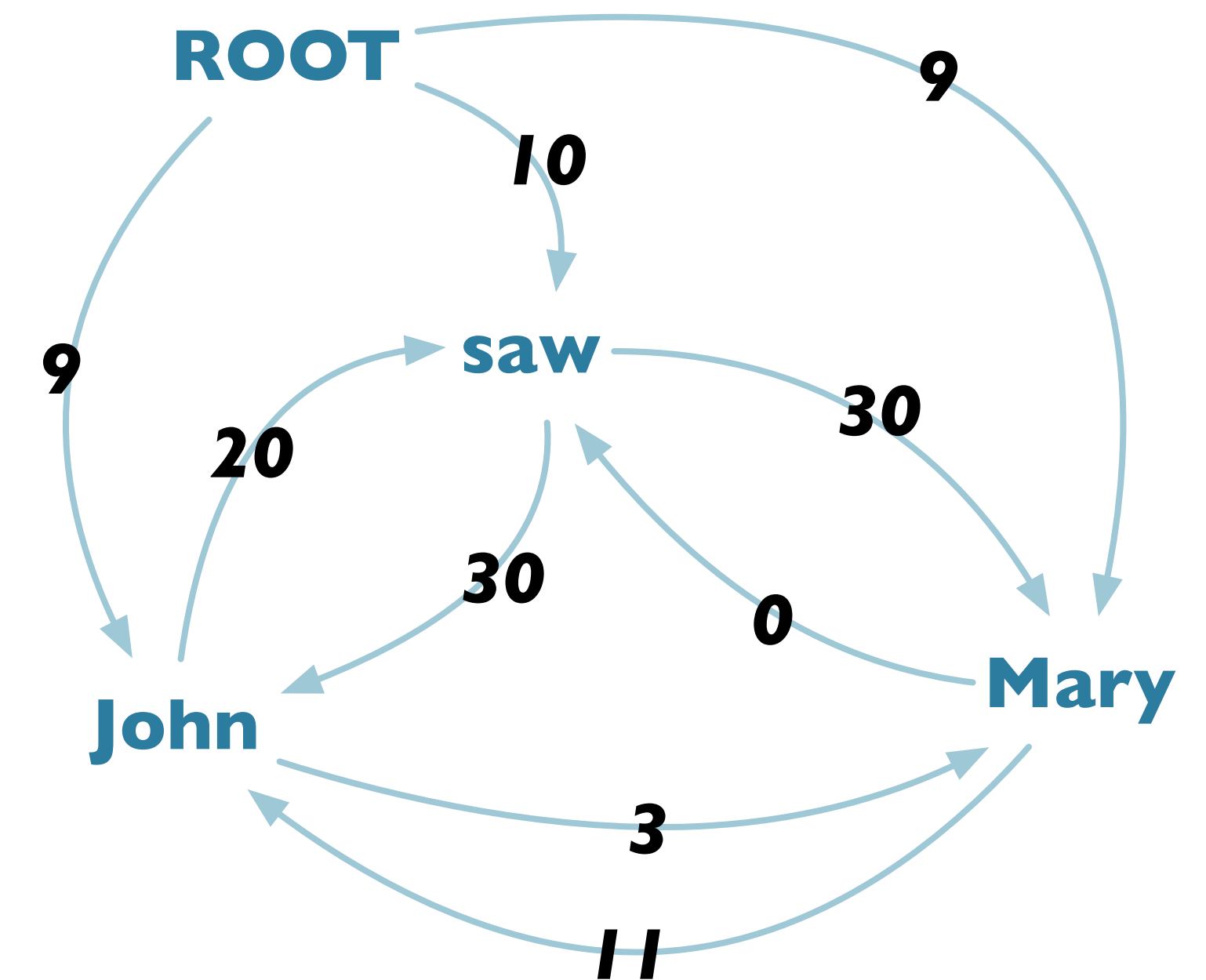
# Maximum Spanning Tree

- McDonald et al, 2005 use variant of Chu-Liu-Edmonds algorithm for MST (CLE)
- Sketch of algorithm:
  - For each node, greedily select incoming arc with max weight
  - If the resulting set of arcs forms a tree, this is the MST.
  - If not, there must be a cycle.
    - “Contract” the cycle: Treat it as a single vertex
    - Recalculate weights into/out of the new vertex
    - Recursively do MST algorithm on resulting graph
- Running time: naïve:  $O(n^3)$ ; Tarjan:  $O(n^2)$ 
  - Applicable to non-projective graphs



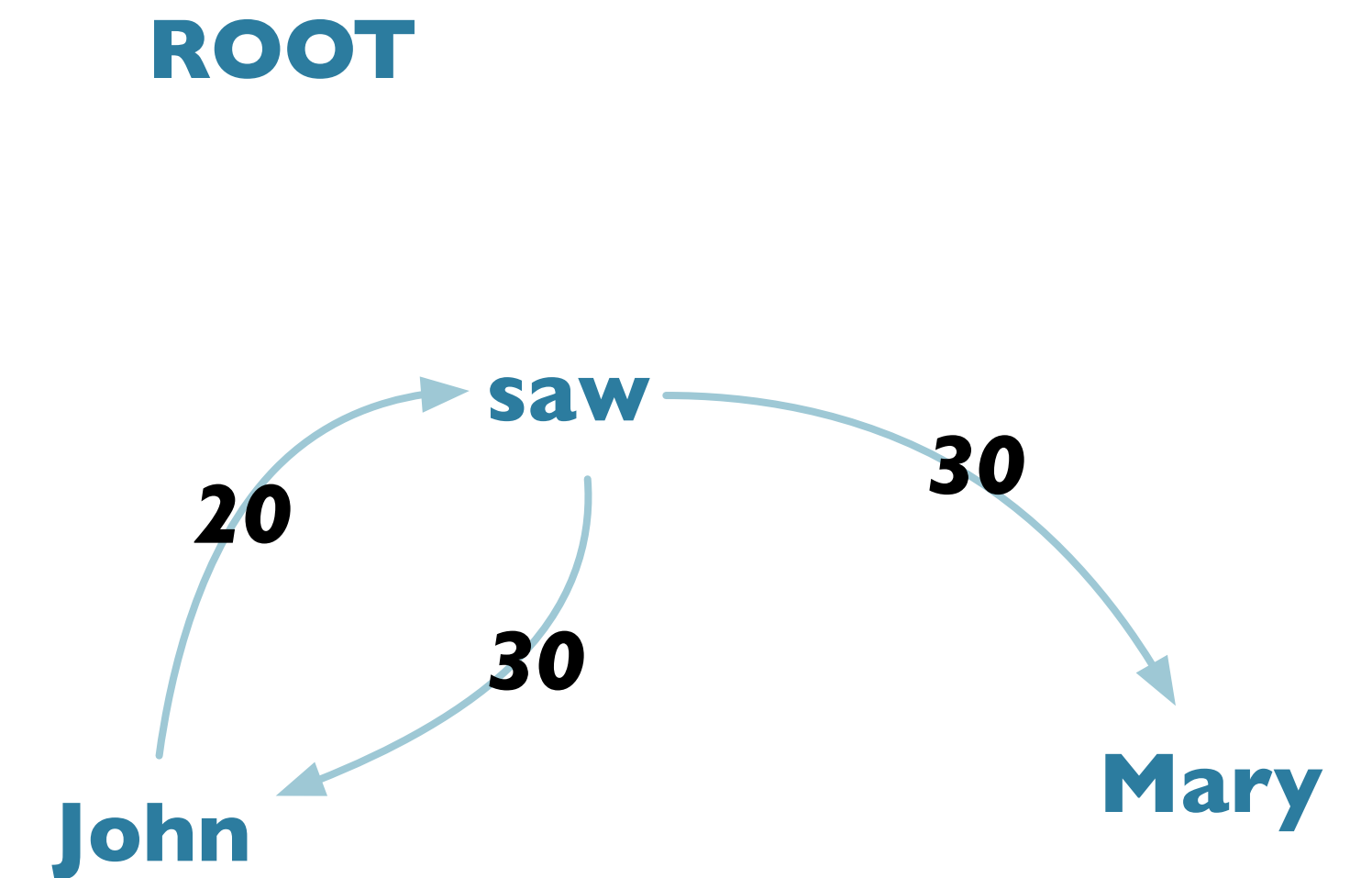
# Step 1 & 2

- Find, for each word, the highest scoring incoming edge.



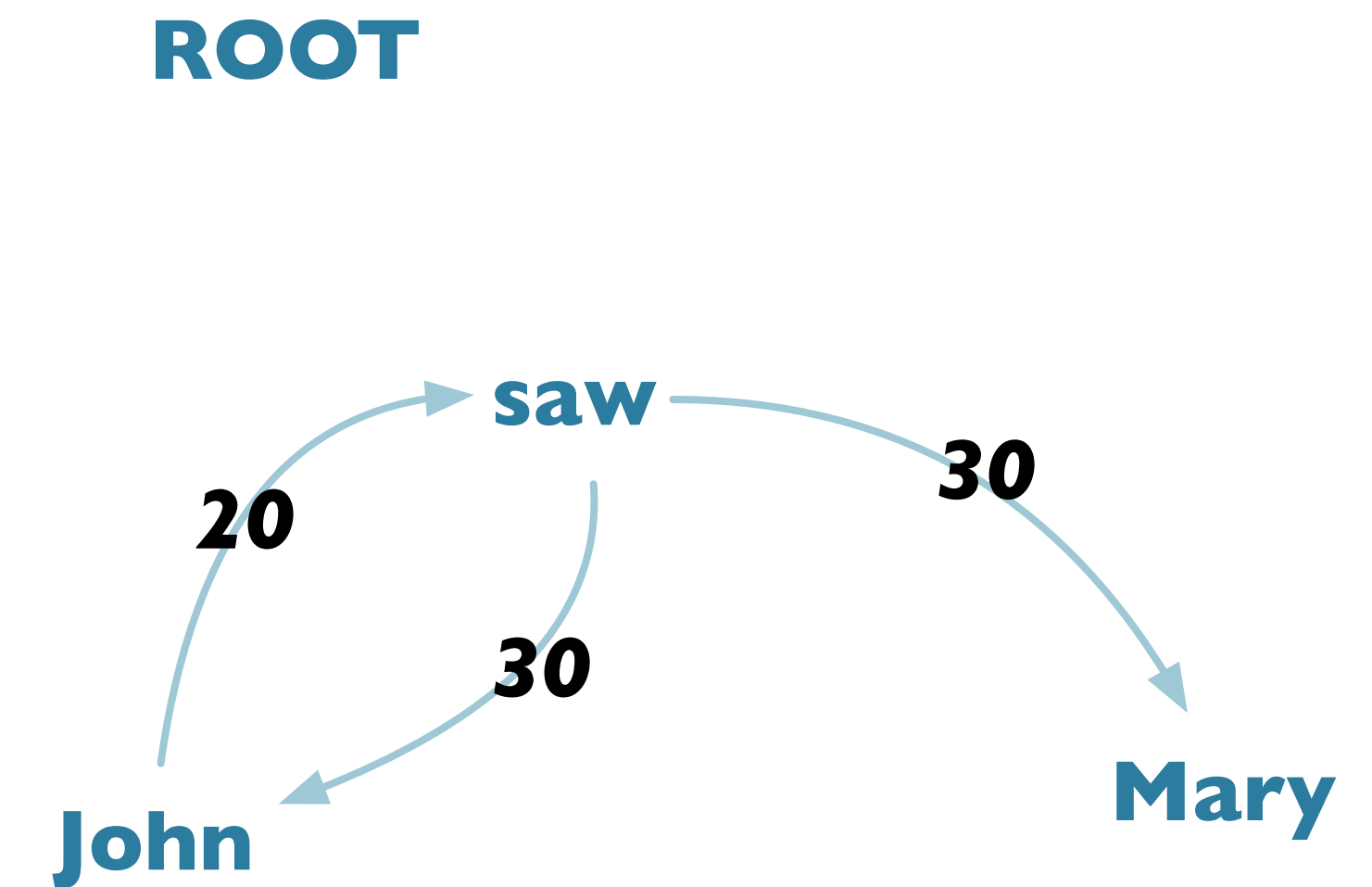
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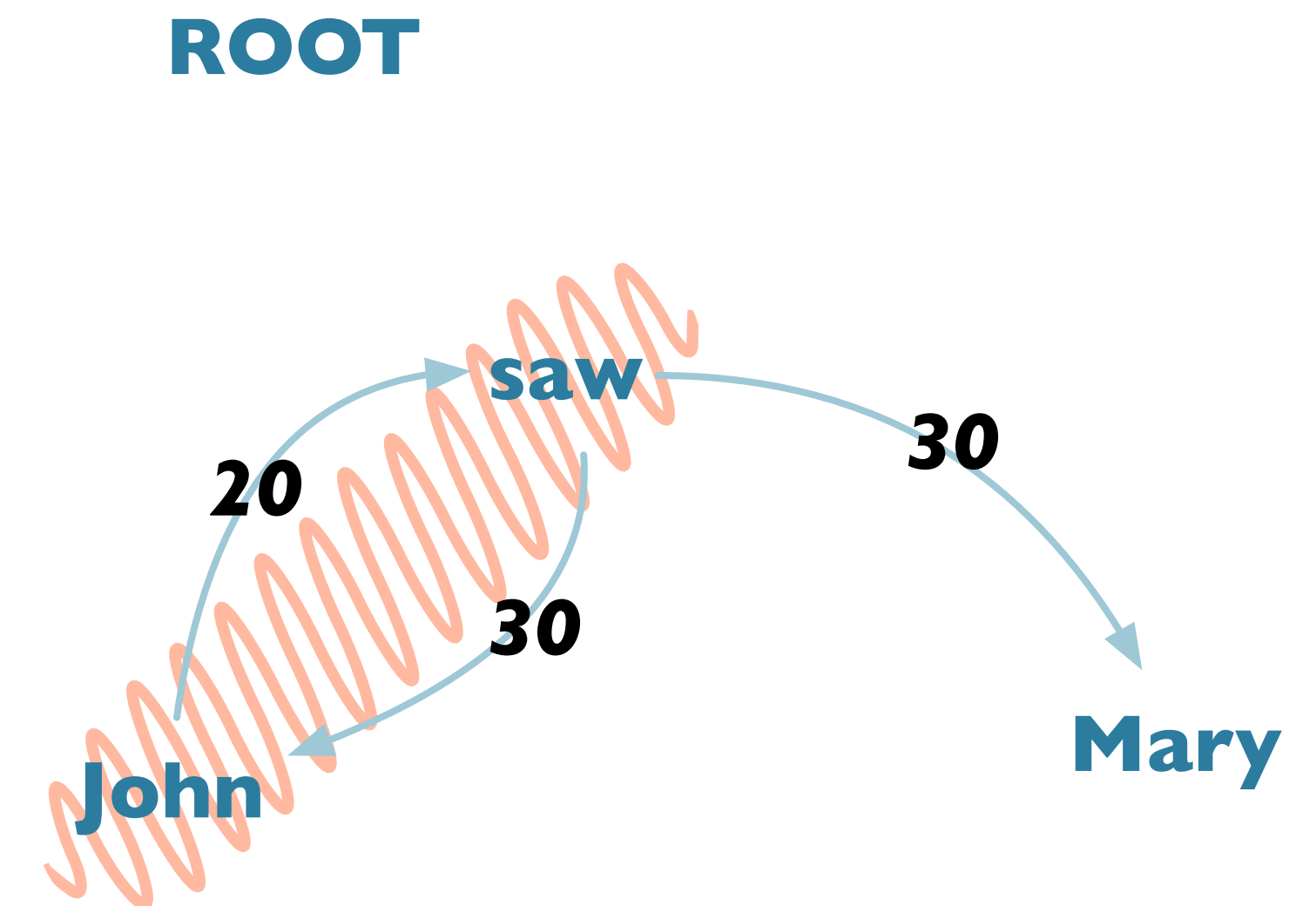
# Step 1 & 2

- Find, for each word, the highest scoring incoming edge.
- Is it a tree?



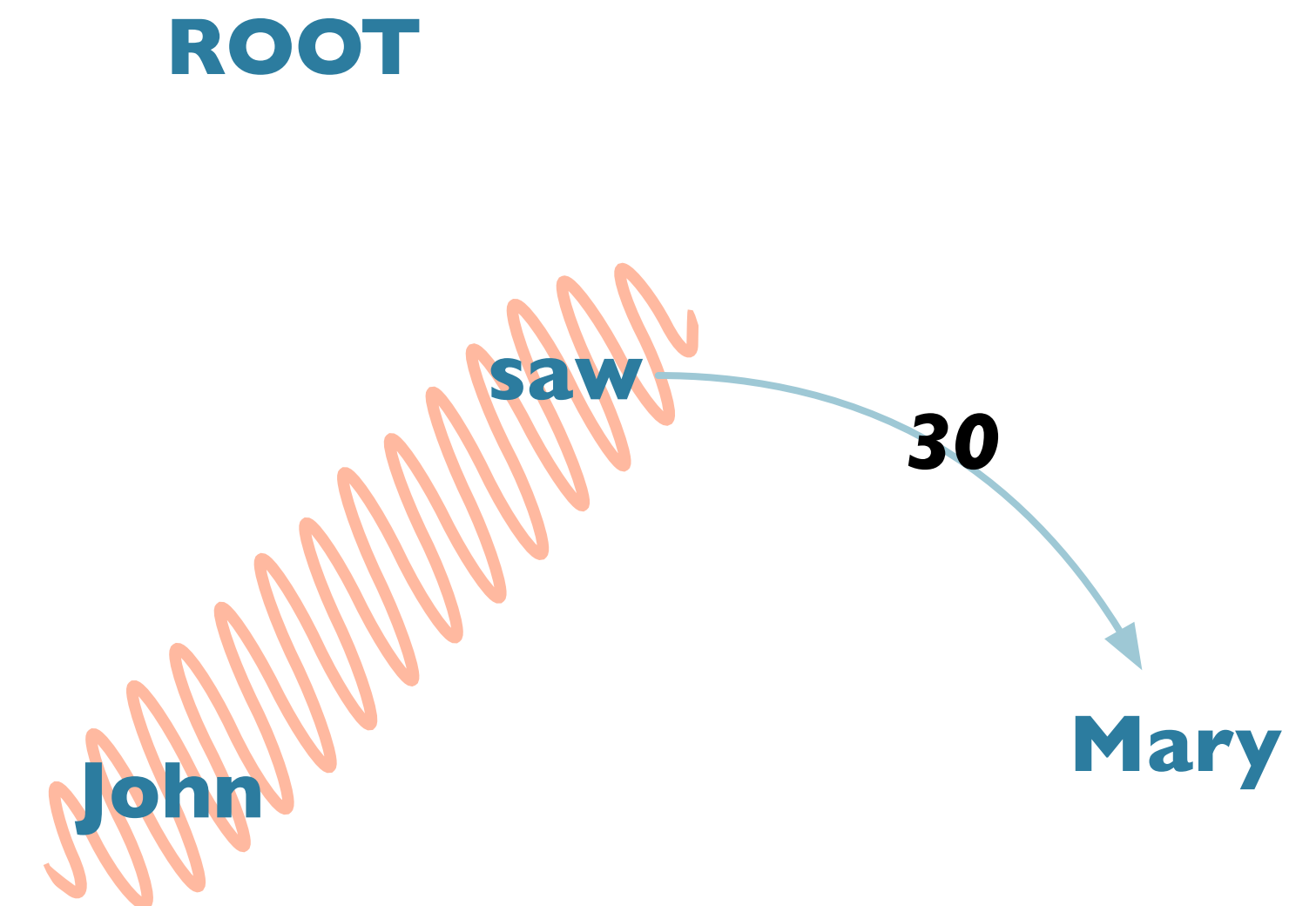
# Step 1 & 2

- Find, for each word, the highest scoring incoming edge.
- Is it a tree?
  - No, there's a cycle.



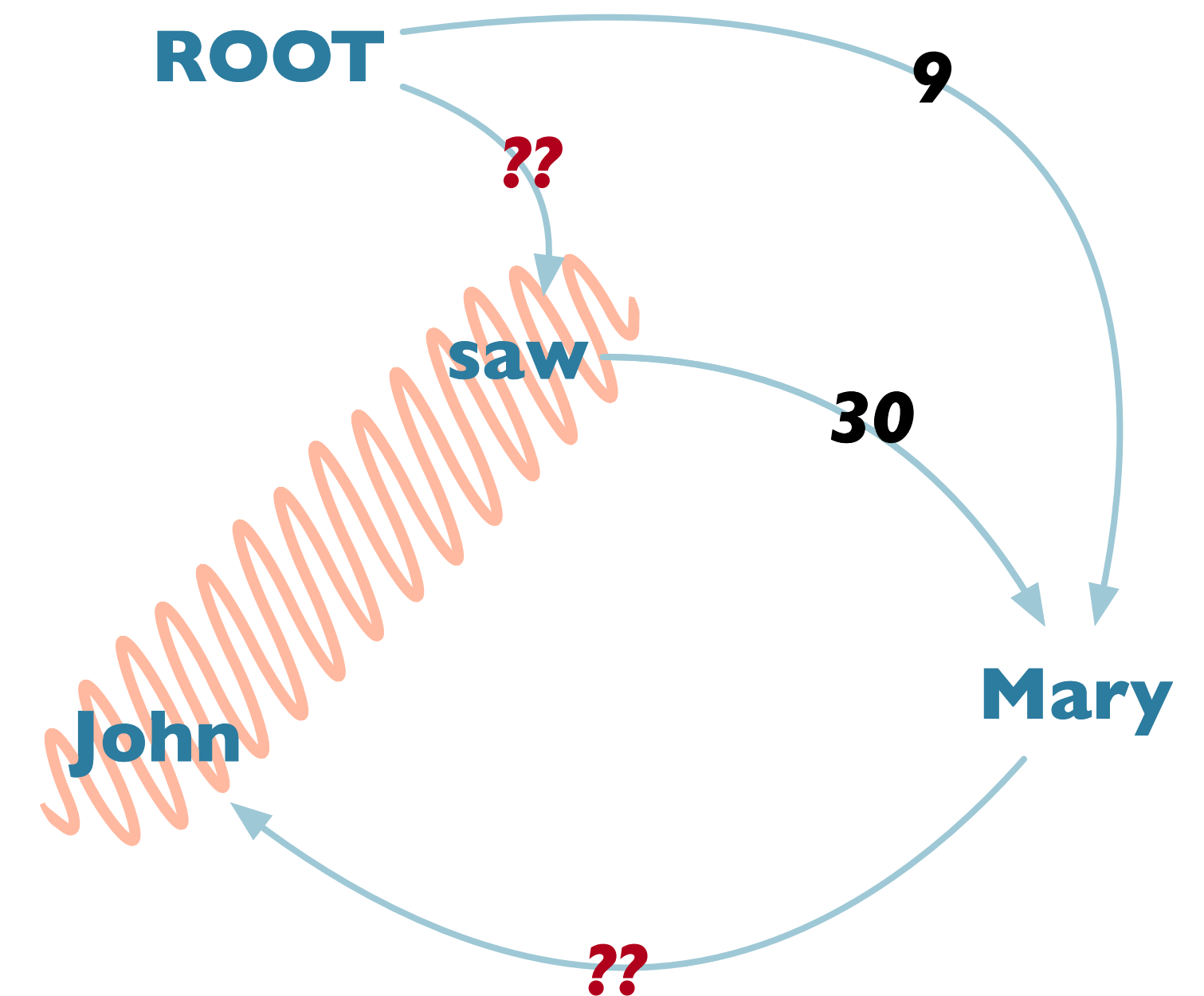
# Step 1 & 2

- Find, for each word, the highest scoring incoming edge.
- Is it a tree?
  - No, there's a cycle.
- Collapse the cycle



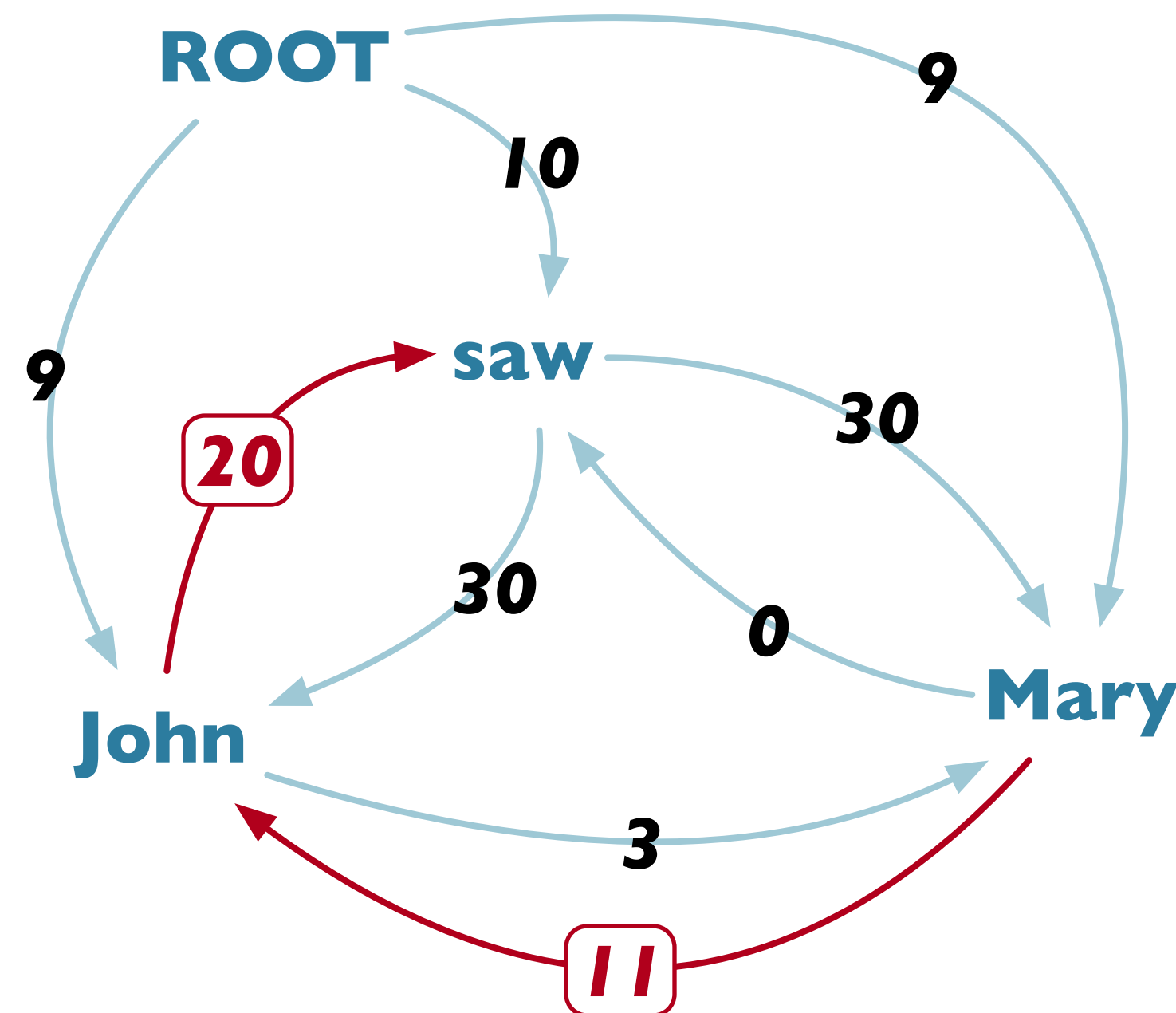
# Step 1 & 2

- Find, for each word, the highest scoring incoming edge.
- Is it a tree?
  - No, there's a cycle.
- Collapse the cycle
- And re-examine the edges again



# Calculating Weights for Collapsed Vertex

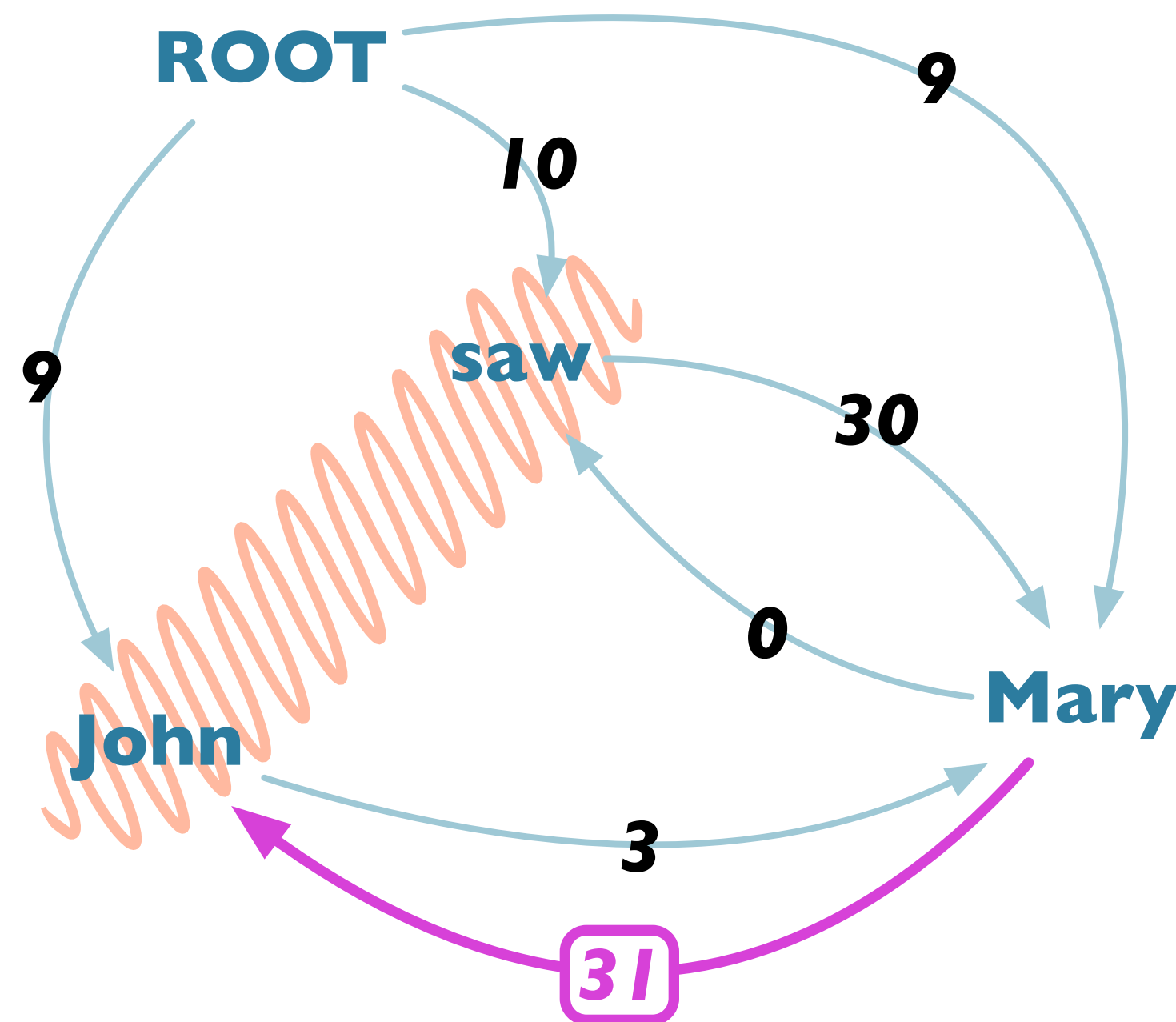
$$s(\text{Mary}, C) = 11 + 20 = 31$$





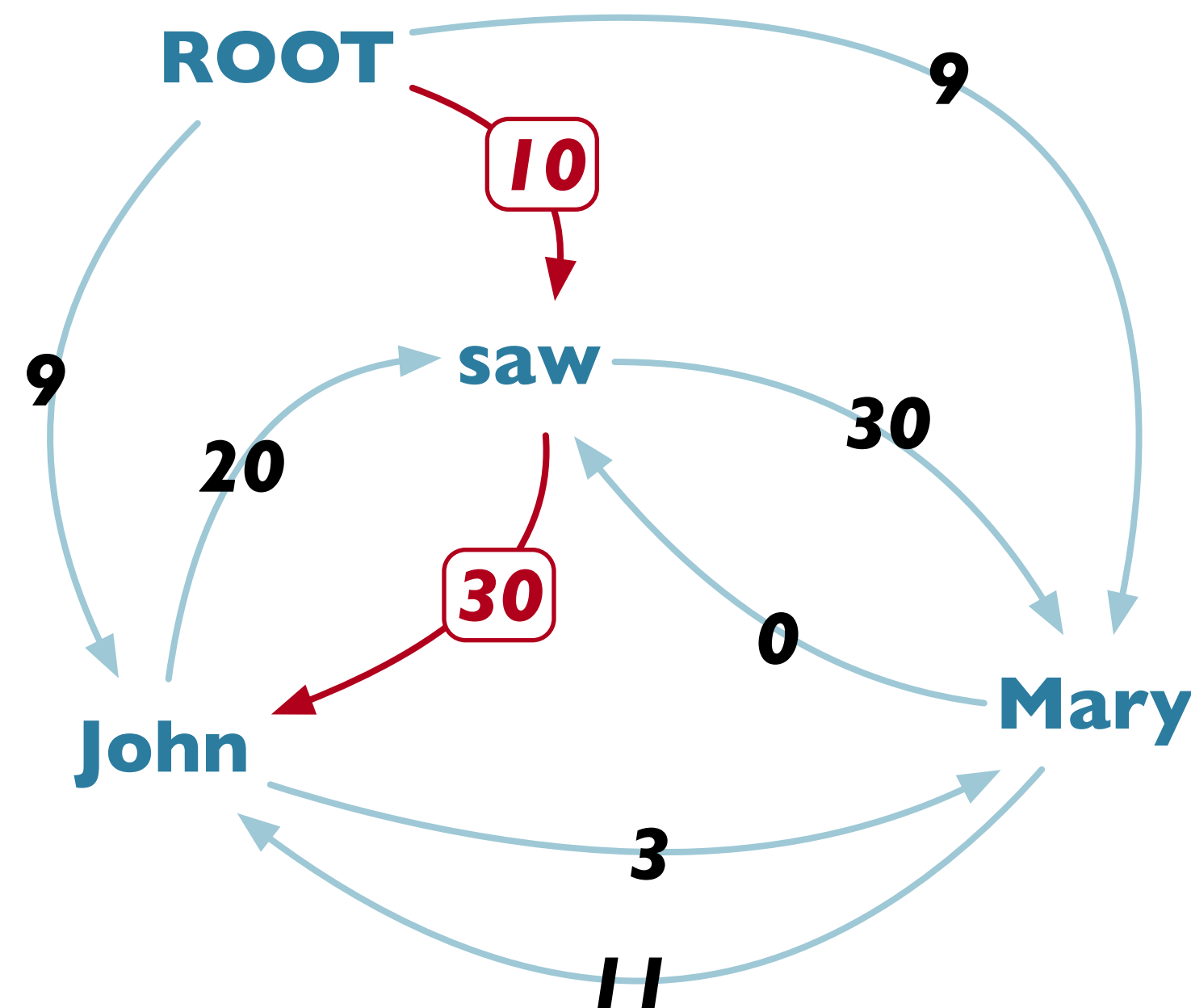
# Calculating Weights for Collapsed Vertex

$$s(\text{Mary}, C) \ 11 + 20 = 31$$



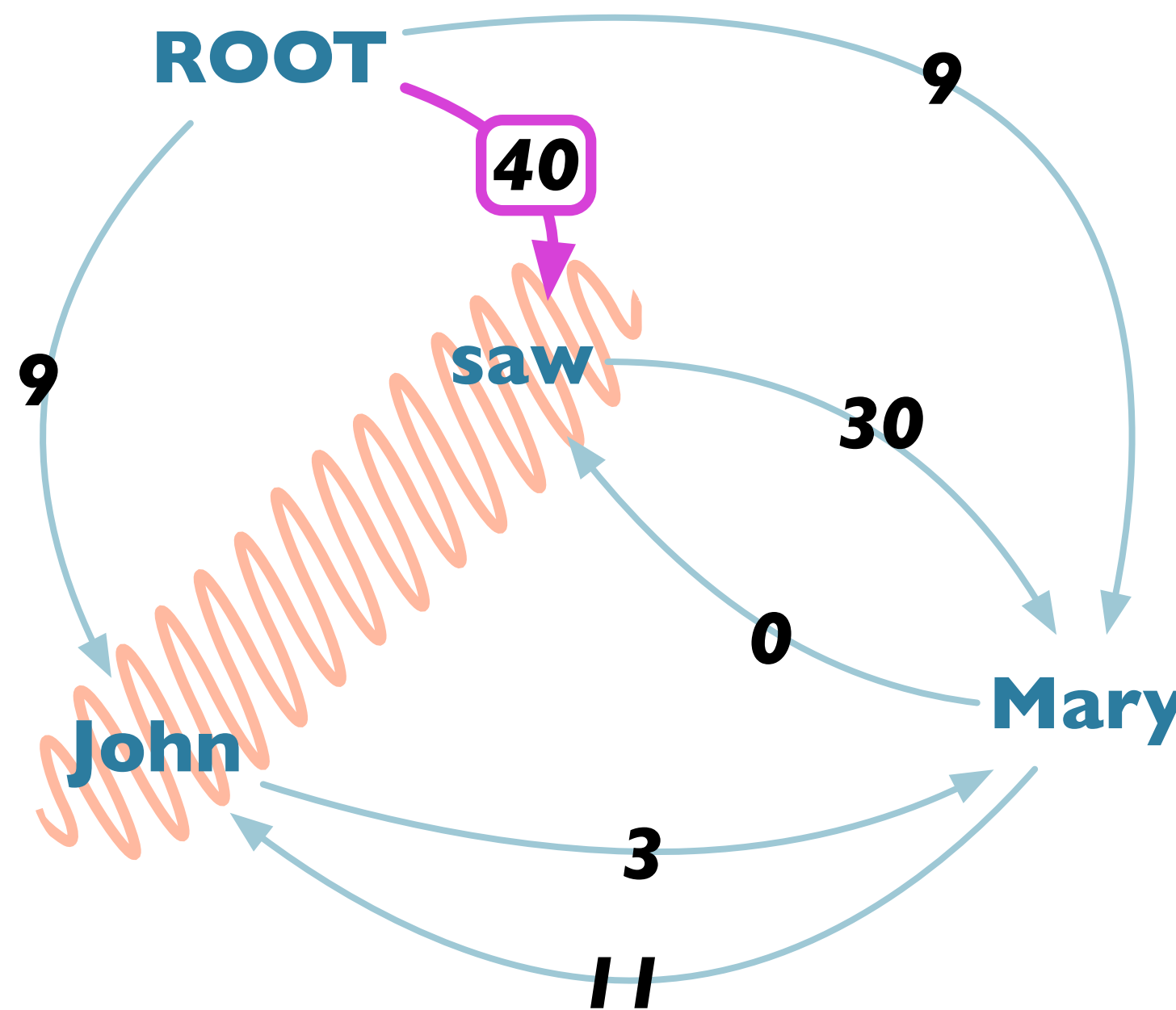
# Calculating Weights for Collapsed Vertex

$$s(\text{ROOT}, C) = 10 + 30 = 40$$



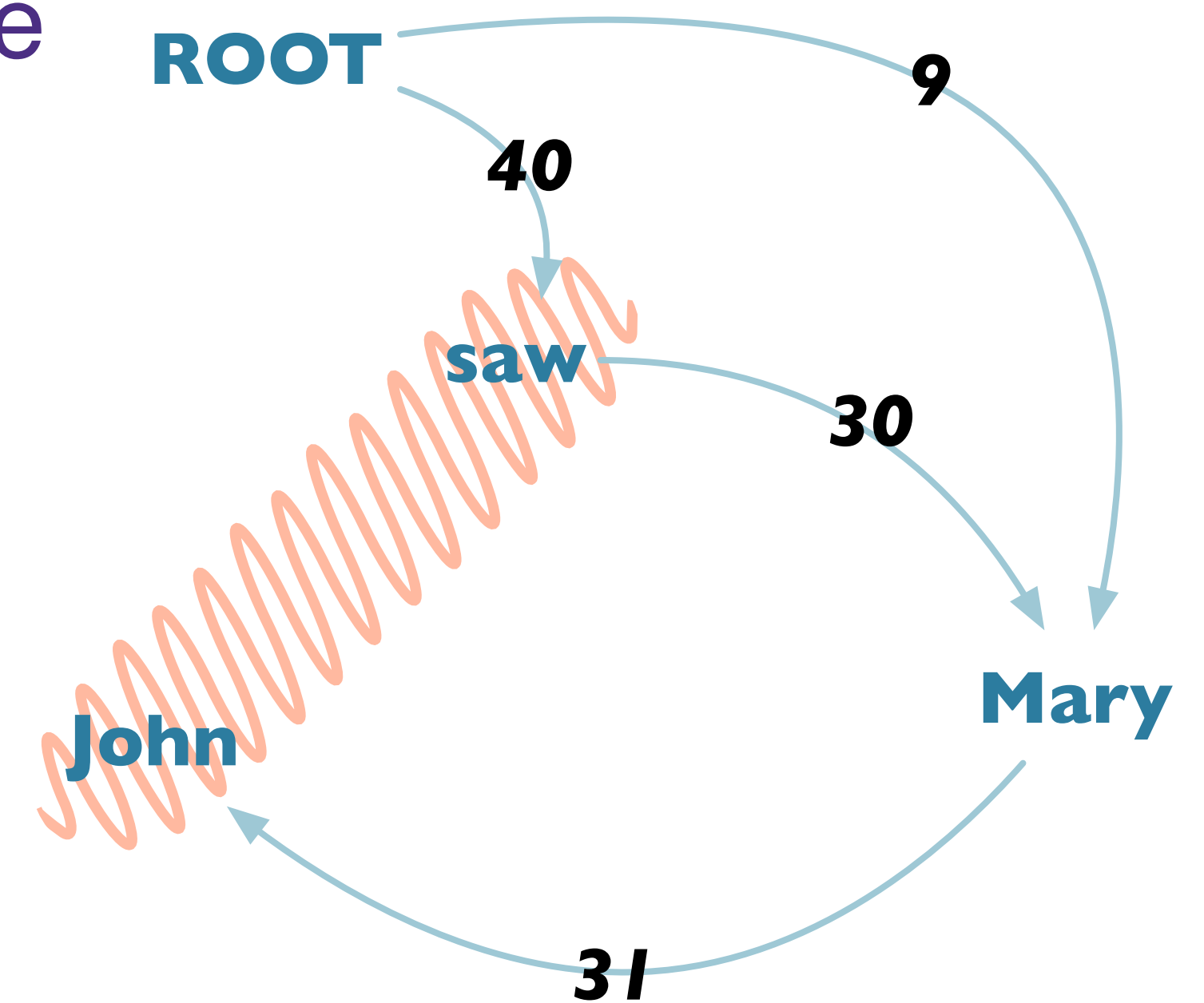
# Calculating Weights for Collapsed Vertex

$$s(\text{ROOT}, C) \ 10 + 30 = 40$$



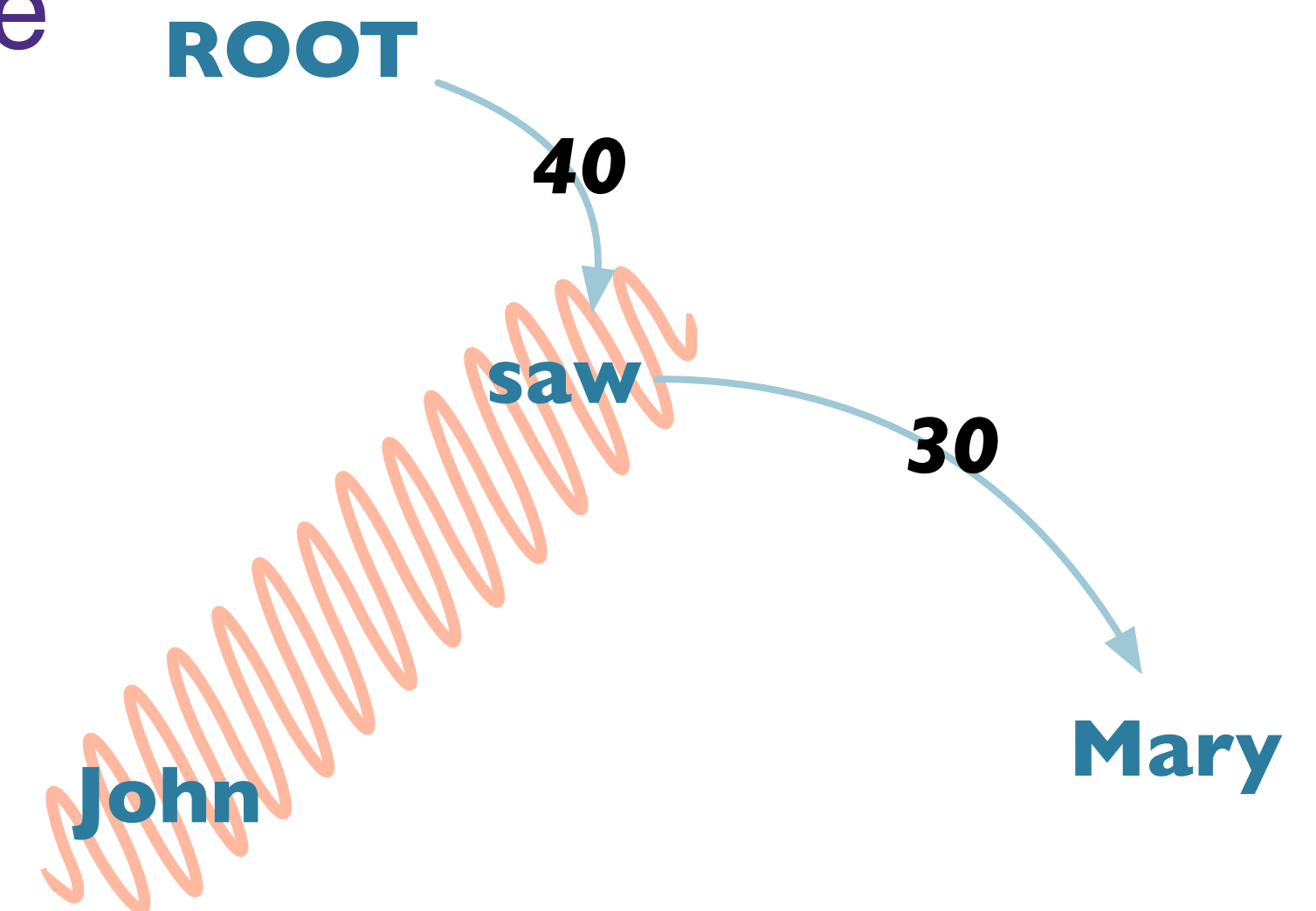
# Step 3

- With cycle collapsed, recurse on step 1:
- Keep highest weighted incoming edge for each edge



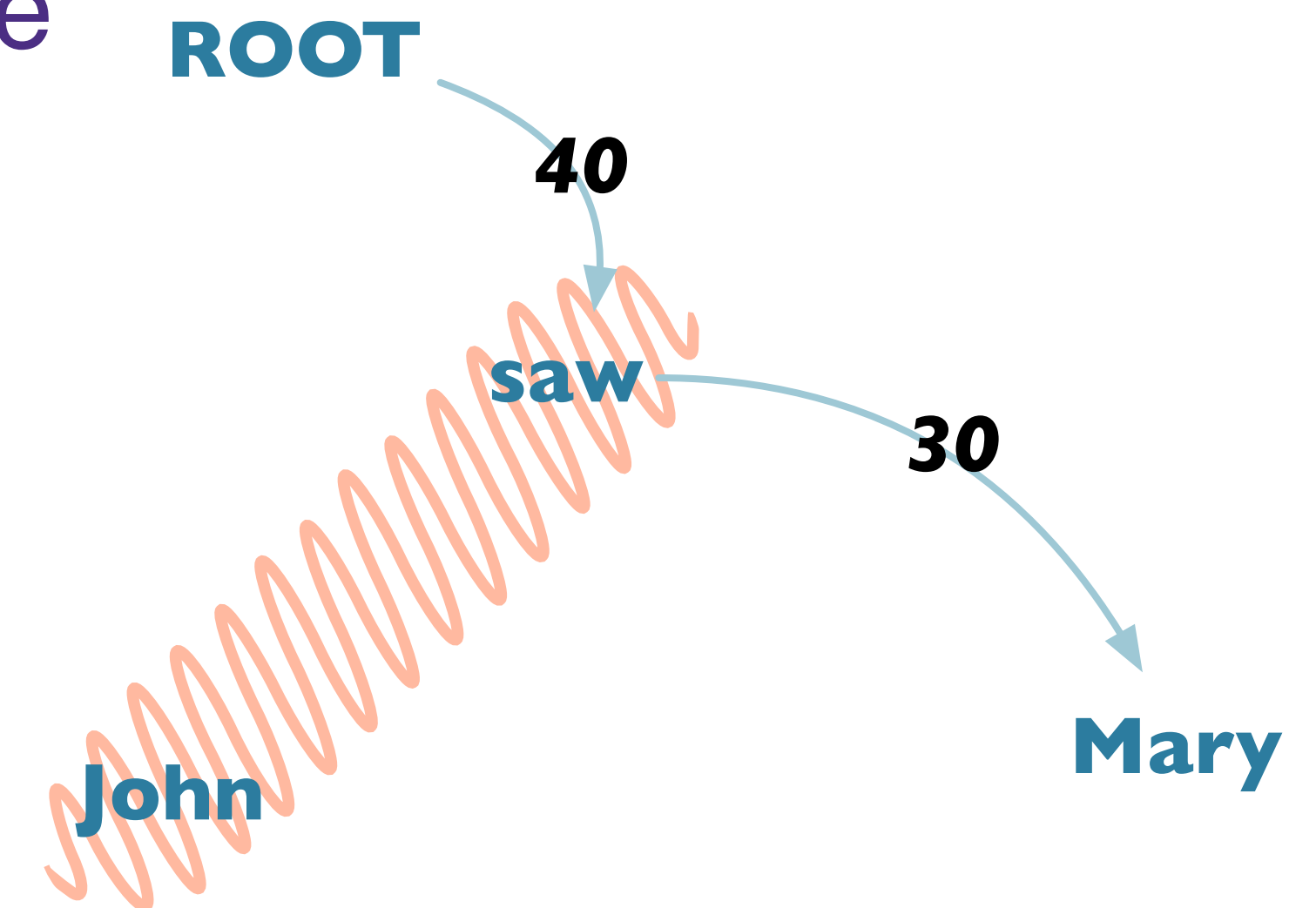
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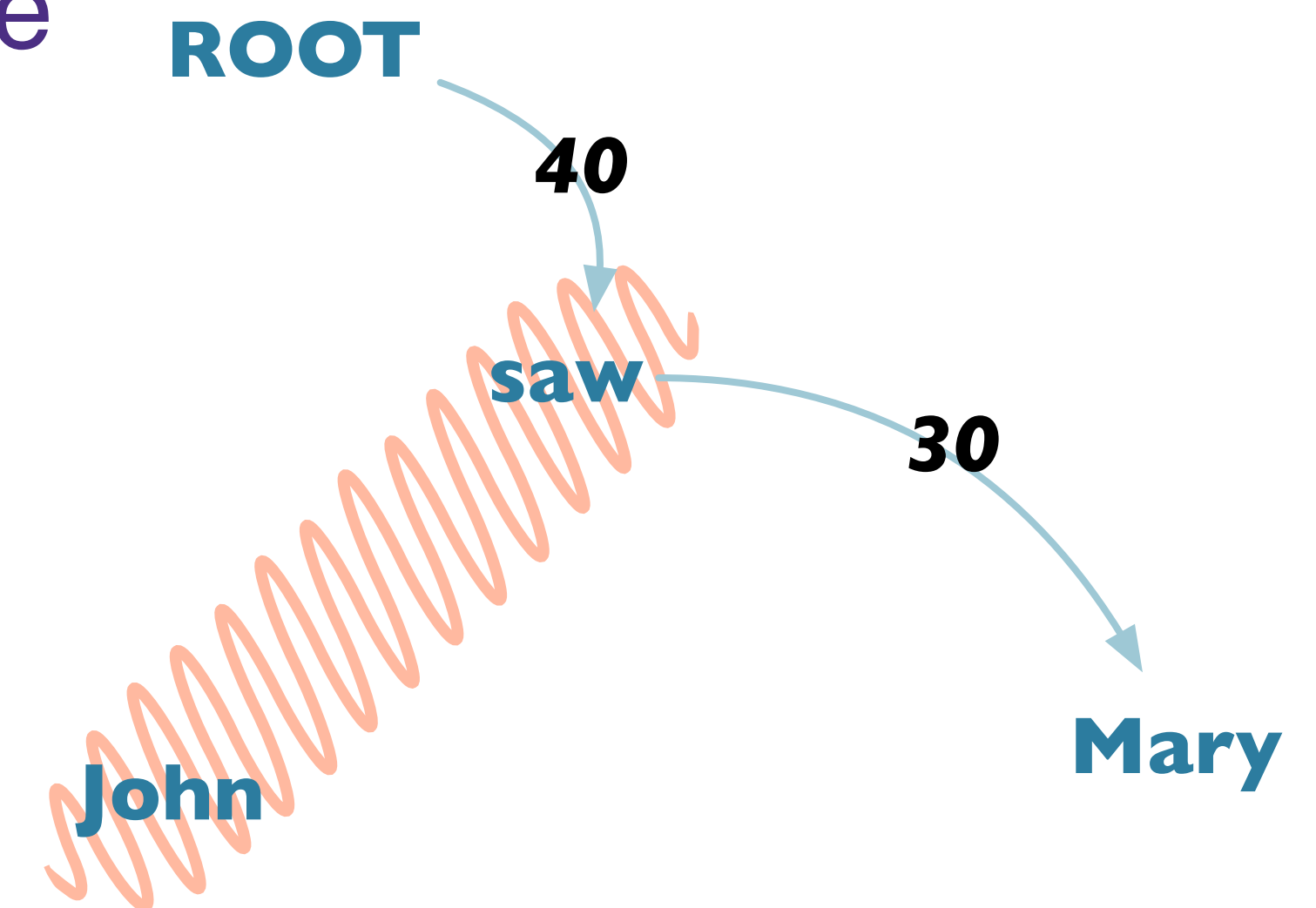
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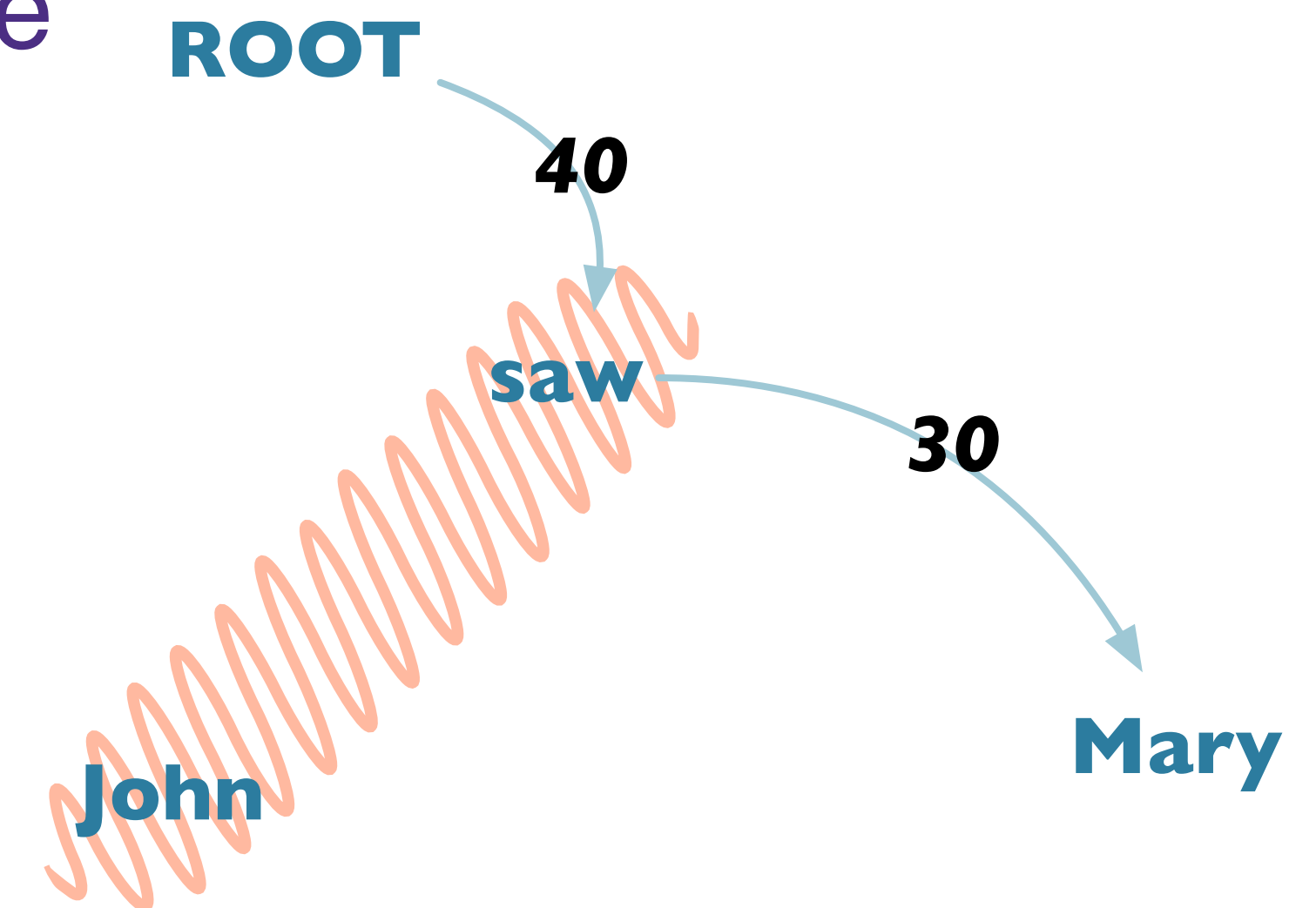
# Step 3

- With cycle collapsed, recurse on step 1:
- Keep highest weighted incoming edge for each edge
- Is it a tree?
  - **Yes!**



# Step 3

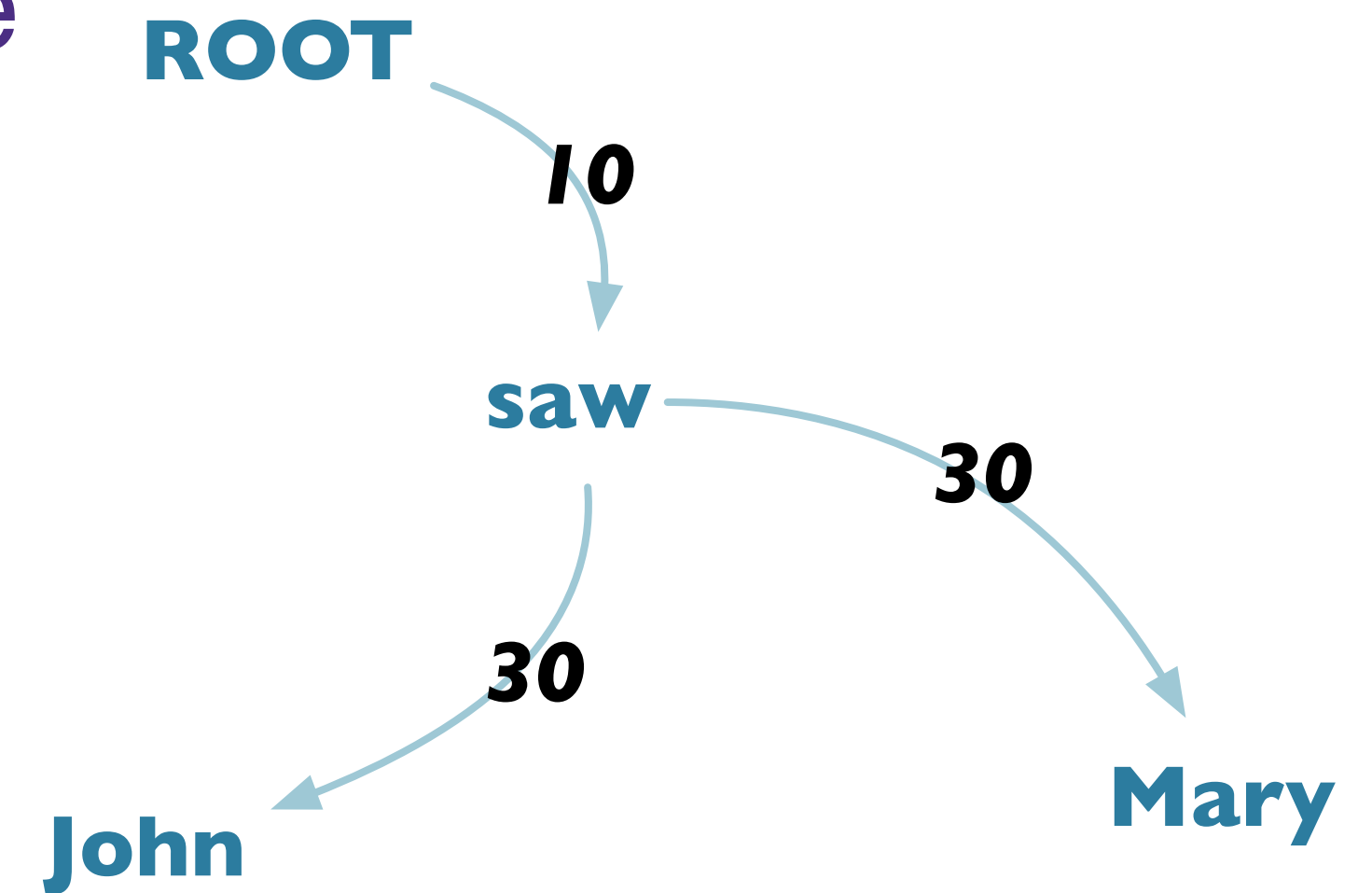
- With cycle collapsed, recurse on step 1:
- Keep highest weighted incoming edge for each edge
- Is it a tree?
  - **Yes!**
  - ...but must recover collapsed portions.





# Step 3

- With cycle collapsed, recurse on step 1:
- Keep highest weighted incoming edge for each edge
- Is it a tree?
  - **Yes!**
  - ...but must recover collapsed portions.



# MST Algorithm

**function** MAXSPANNINGTREE( $G=(V,E)$ ,  $root$ ,  $score$ ) **returns** *spanning tree*

$F \leftarrow []$

$T' \leftarrow []$

$score' \leftarrow []$

**for each**  $v \in V$  **do**

$bestInEdge \leftarrow \operatorname{argmax}_{e=(u,v) \in E} score[e]$

$F \leftarrow F \cup bestInEdge$

**for each**  $e=(u,v) \in E$  **do**

$score'[e] \leftarrow score[e] - score[bestInEdge]$

**if**  $T=(V,F)$  is a spanning tree **then return** it

**else**

$C \leftarrow$  a cycle in  $F$

$G' \leftarrow \text{CONTRACT}(G, C)$

$T' \leftarrow \text{MAXSPANNINGTREE}(G', root, score')$

$T \leftarrow \text{EXPAND}(T', C)$

**return**  $T$

**function** CONTRACT( $G, C$ ) **returns** *contracted graph*

**function** EXPAND( $T, C$ ) **returns** *expanded graph*

**Figure 15.13** The Chu-Liu Edmonds algorithm for finding a maximum spanning tree in a weighted directed graph.

# Learning Weights

- Weights for arc-factored model learned from dependency treebank
  - Weights learned for tuple (  $w_i, w_j, l$  )
- McDonald et al, 2005a employed discriminative ML
  - MIRA (Crammer and Singer, 2003)
- Operates on vector of local features

# Features for Learning Weights

- Simple categorical features for  $(w_i, L, w_j)$  including:
  - Identity of  $w_i$  (or char 5-gram prefix), POS of  $w_i$
  - Identity of  $w_j$  (or char 5-gram prefix), POS of  $w_j$
  - Label of  $L$ , direction of  $L$
  - Number of words between  $w_i, w_j$
  - POS tag of  $w_{i-1}$ , POS tag of  $w_{i+1}$
  - POS tag of  $w_{j-1}$ , POS tag of  $w_{j+1}$
- Features conjoined with direction of attachment and distance between words

# Dependency Parsing

- Dependency Grammars:
  - Compactly represent predicate–argument structure
  - Lexicalized, localized
  - Natural handling of flexible word order
- Dependency parsing:
  - Conversion to phrase structure trees
  - Graph-based parsing (MST), efficient non-proj  $O(n^2)$
  - Next time: *Transition-based parsing*

# Further Reading

- Ryan McDonald, Koby Crammer, and Fernando Pereira. 2005. Online Large-Margin Training of Dependency Parsers. In *Proceedings of the 43rd Annual Meeting of the Association for Computational Linguistics*, pages 91–98. May. [\[link\]](#)
- Ryan McDonald, Fernando Pereira, K. Ribarov, and Jan Hajič. 2005b. Non-projective dependency parsing using spanning tree algorithms. In *Proceedings of the conference on Human Language Technology and Empirical Methods in Natural Language Processing*, pages 523–530. Association for Computational Linguistics. [\[link\]](#)
- Sandra Kübler, Ryan McDonald, and Joakim Nivre. 2009. *Dependency Parsing*. Morgan & Claypool. [\[link\]](#)
- Jason M. Eisner. 1996. Three new probabilistic models for dependency parsing: An exploration. In *Proceedings of the 16th Conference on Computational Linguistics*, pages 340–345. Association for Computational Linguistics. [\[link\]](#)
- Michael Collins. 1999. *Head-Driven Statistical Models For Natural Language Parsing*. [\[link\]](#)

# HW #4

# Probabilistic Parsing

- Goals:
  - Learn about PCFGs
  - Implement PCKY
  - Analyze Parsing Evaluation
  - Assess improvements to PCFG Parsing



# Tasks

## 1. Train a PCFG

1. Estimate rule probabilities from treebank
2. Treebank is already in CNF
3. More ATIS data from Penn Treebank

## 2. Build CKY Parser

1. Modify (your) existing CKY implementation

# Tasks

## 3. Evaluation

1. Evaluate your parser using standard metric
2. We will provide **evalb** program and gold standard

## 4. Improvement

1. Improve your parser in some way:
  1. Coverage
  2. Accuracy
  3. Speed
2. Evaluate new parser

# Improvement Possibilities

- Coverage:
  - Some test sentences won't parse as is!
    - Lexical gaps (aka out-of-vocabulary [OOV] tokens)
      - ...remember to model the probabilities, too
- Better context modeling
  - e.g. — Parent Annotation
- Better Efficiency
  - e.g. — Heuristic Filtering, Beam Search
- No “cheating” improvements:
  - improvement can't change training by looking at test data

# evalb

- evalb available in  
dropbox/20-21/571/hw4/tools
- evalb [...] <gold-file> <test-file>
- evalb --help for more info

# Mid-term Feedback!

- Please take a few minutes to provide feedback on this course
  - Completely anonymous
  - All feedback valuable; will incorporate things that can be changed
  - Final week: summary and current/future directions topics, some flexibility

<http://bit.ly/57l-aut20-feedback>