

# PCFGs: Parsing & Evaluation

LING 571 — Deep Processing Techniques for NLP

October 14, 2020

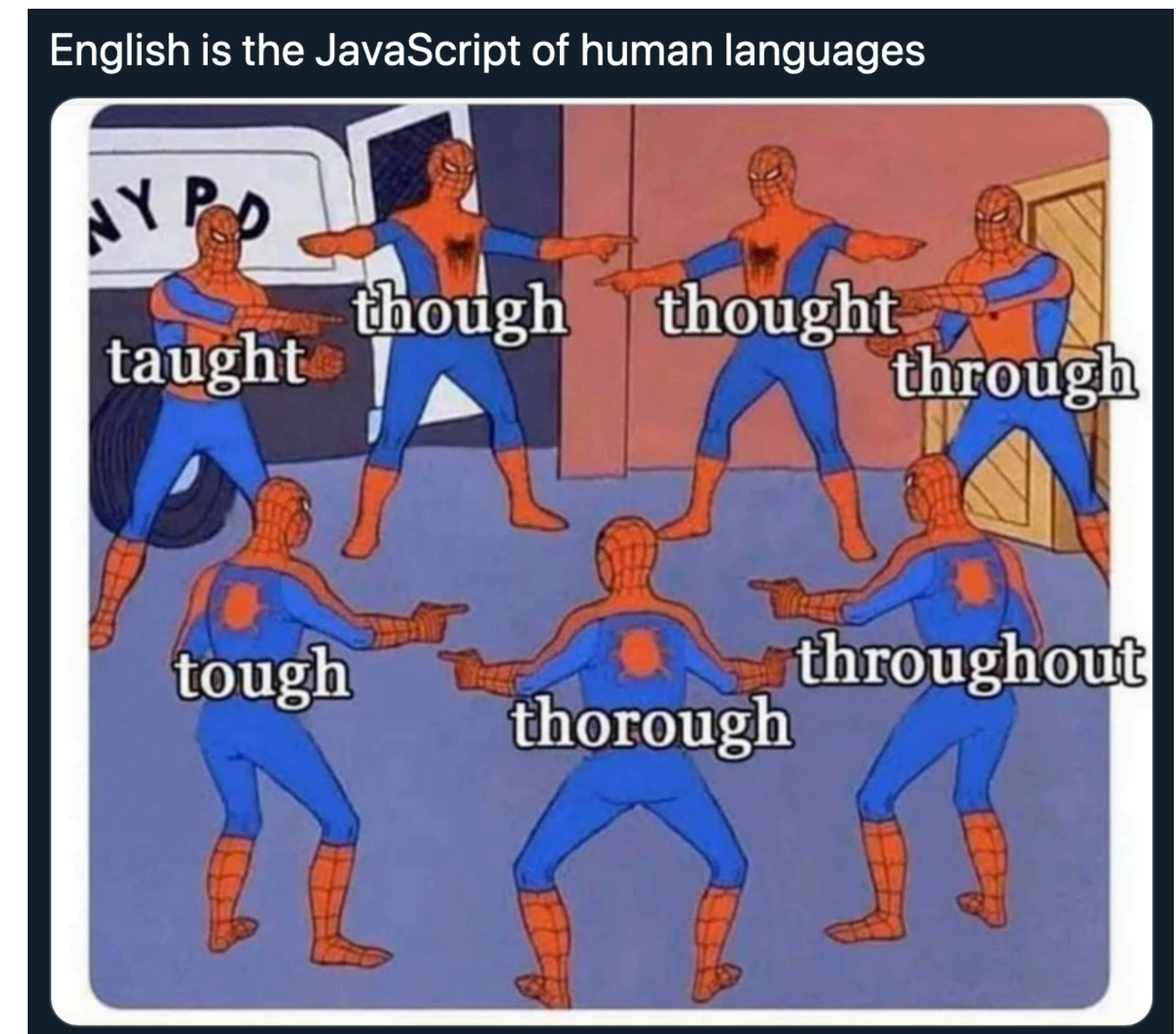
Shane Steinert-Threlkeld

# Announcements

- HW2 due today at 11pm
  - `readme.{txt|pdf}`
    - Separate upload to Canvas
    - NOT in `hw2.tar.gz`
  - Run `check_hw2.sh` before submitting!

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# Roadmap

- CKY + back-pointers
- PCFGs
- PCFG Parsing (PCKY)
- Inducing a PCFG
- Evaluation
- [Earley parsing]
- HW3 + collaboration

# CKY Parsing: Backpointers

# Backpointers

- Instead of list of possible nonterminals for that node, each cell should have:
  - Nonterminal for the node
  - Pointer to left and right children cells
    - Either direct pointer to cell, or indices

For example:

```
bp_2 = BackPointer()  
bp_2.l_child = [X2, (1,4)]  
bp_2.r_child = [PP, (4,6)]
```

# CKY *Parser*

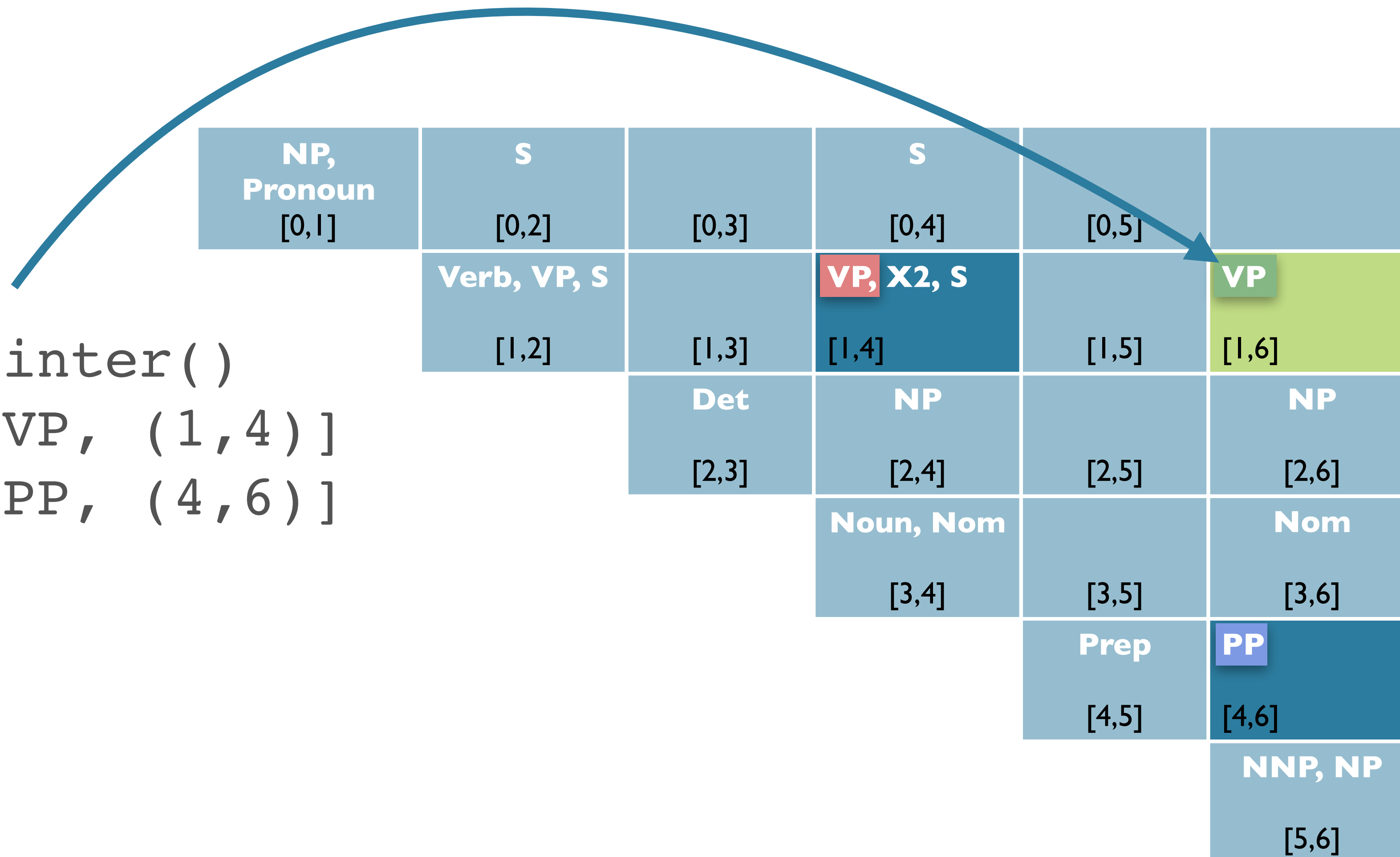
- Pair each nonterminal with back-pointer to cells from which it was derived
- Last step:
  - construct trees from back-pointers in  $[0, n]$

|                                 |                             |                     |                           |                      |                         |
|---------------------------------|-----------------------------|---------------------|---------------------------|----------------------|-------------------------|
| <b>NP,<br/>Pronoun</b><br>[0,1] | <b>S</b><br>[0,2]           | [0,3]               | <b>S</b><br>[0,4]         | [0,5]                |                         |
|                                 | <b>Verb, VP, S</b><br>[1,2] | [1,3]               | <b>VP, X2, S</b><br>[1,4] | [1,5]                | <b>VP</b><br>[1,6]      |
|                                 |                             | <b>Det</b><br>[2,3] | <b>NP</b><br>[2,4]        | [2,5]                | <b>NP</b><br>[2,6]      |
|                                 |                             |                     | <b>Noun, Nom</b><br>[3,4] | [3,5]                | <b>Nom</b><br>[3,6]     |
|                                 |                             |                     |                           | <b>Prep</b><br>[4,5] | <b>PP</b><br>[4,6]      |
|                                 |                             |                     |                           |                      | <b>NNP, NP</b><br>[5,6] |



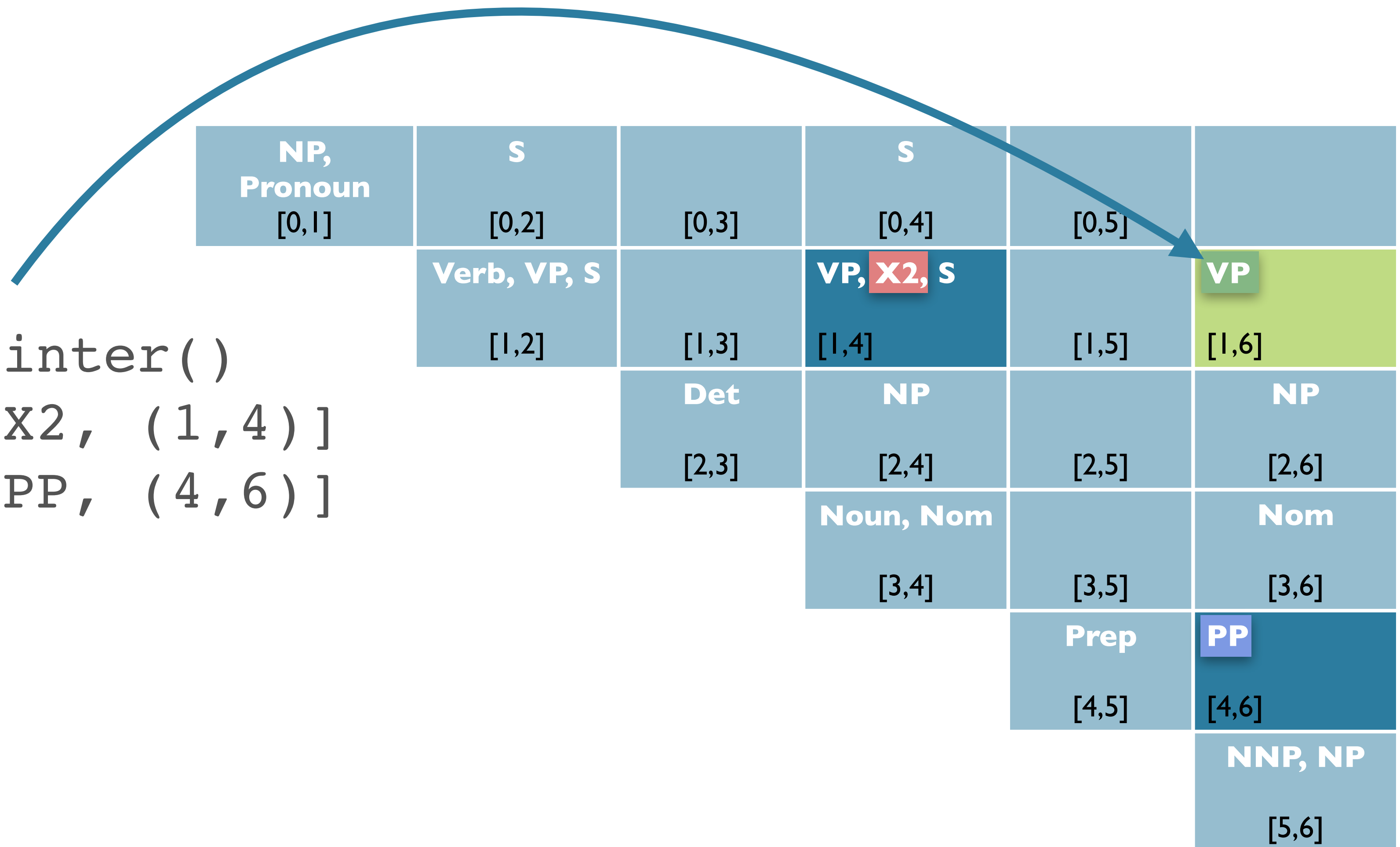
*VP* → *VP PP*

```
bp_1 = BackPointer()
bp_1.l_child = [VP, (1,4)]
bp_1.r_child = [PP, (4,6)]
```

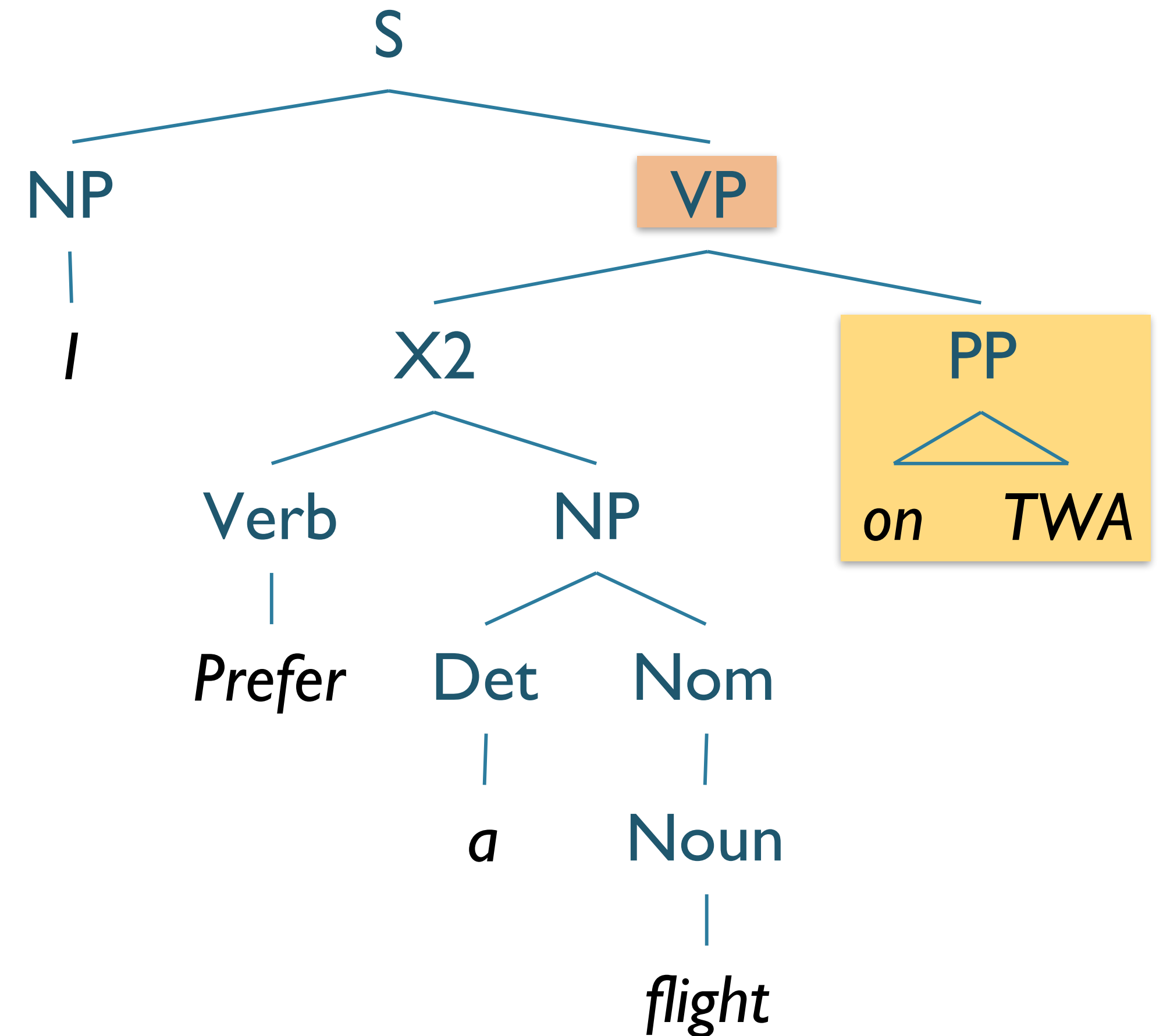
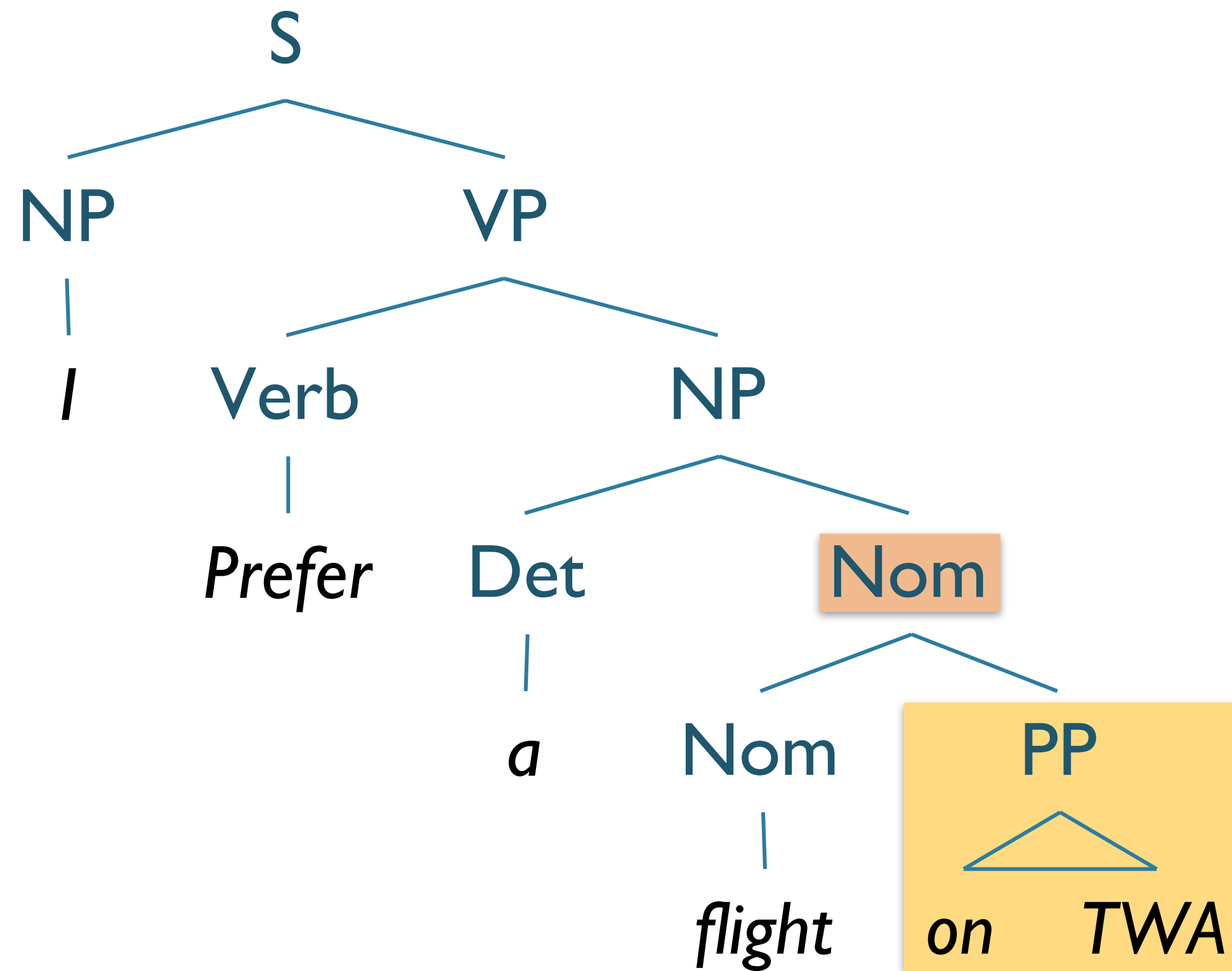


*VP* → *X2* *PP*

```
bp_2 = BackPointer()
bp_2.l_child = [X2, (1,4)]
bp_2.r_child = [PP, (4,6)]
```



# Resulting Parses



# CKY Discussion

- Running time:
  - $O(n^3)$  where  $n$  is the length of the input string
  - Inner loop grows as square of # of non-terminals
- Expressiveness:
  - As implemented, requires CNF
    - Weak equivalence to original grammar
    - Doesn't capture full original structure
    - Back-conversion?
      - Can do binarization, terminal conversion
      - Unit productions requires change in CKY

# CKY + Back-pointers Example

cky\_table[0,6][S] = {(NP, (0,1)),  
VP, (1,6))}

|                                 |                             |                     |                           |                      |                           |
|---------------------------------|-----------------------------|---------------------|---------------------------|----------------------|---------------------------|
| <b>NP,<br/>Pronoun</b><br>[0,1] | <b>S</b><br>[0,2]           | [0,3]               | <b>S</b><br>[0,4]         | [0,5]                | <b>S</b><br>[0,6]         |
|                                 | <b>Verb, VP, S</b><br>[1,2] | [1,3]               | <b>VP, X2, S</b><br>[1,4] | [1,5]                | <b>VP, X2, S</b><br>[1,6] |
|                                 |                             | <b>Det</b><br>[2,3] | <b>NP</b><br>[2,4]        | [2,5]                | <b>NP</b><br>[2,6]        |
|                                 |                             |                     | <b>Noun, Nom</b><br>[3,4] | [3,5]                | <b>Nom</b><br>[3,6]       |
|                                 |                             |                     |                           | <b>Prep</b><br>[4,5] | <b>PP</b><br>[4,6]        |
|                                 |                             |                     |                           |                      | <b>NNP, NP</b><br>[5,6]   |

S

NP

VP

*I prefer a flight on TWA*

$S \Rightarrow S_1 S_2 = \{ (\text{NP}, (S_1))$

S

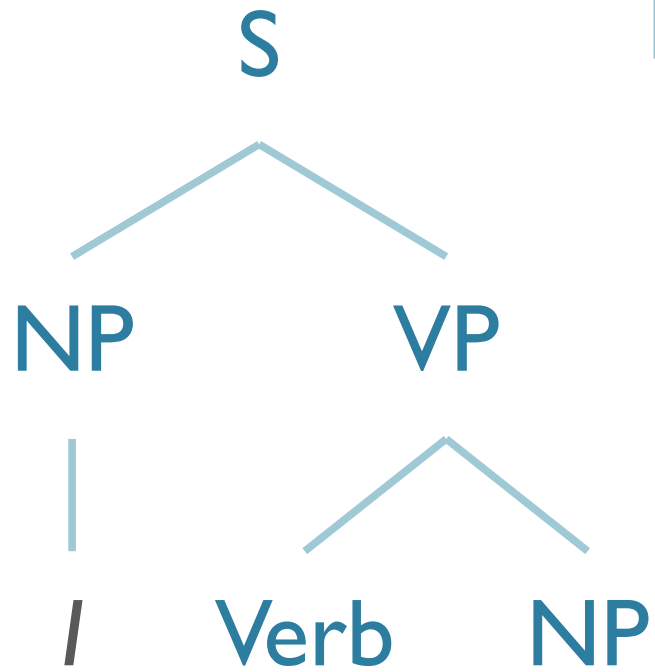
NP VP

|

*I prefer a flight on TWA*

cky\_table[0,6][S] = {(NP, (0,1),  
VP, (1,6))}  
cky\_table[0,1][NP] = {'I'}  
cky\_table[1,6][VP] = {(Verb, (1,2),  
NP, (2,6)),  
(X2, (1,4),  
PP, (4,6))}

|                         |                      |              |                    |               |                    |
|-------------------------|----------------------|--------------|--------------------|---------------|--------------------|
| NP,<br>Pronoun<br>[0,1] | S<br>[0,2]           | [0,3]        | S<br>[0,4]         | [0,5]         | S<br>[0,6]         |
|                         | Verb, VP, S<br>[1,2] | [1,3]        | VP, X2, S<br>[1,4] | [1,5]         | VP, X2, S<br>[1,6] |
|                         |                      | Det<br>[2,3] | NP<br>[2,4]        | [2,5]         | NP<br>[2,6]        |
|                         |                      |              | Noun, Nom<br>[3,4] | [3,5]         | Nom<br>[3,6]       |
|                         |                      |              |                    | Prep<br>[4,5] | PP<br>[4,6]        |
|                         |                      |              |                    |               | NNP, NP<br>[5,6]   |

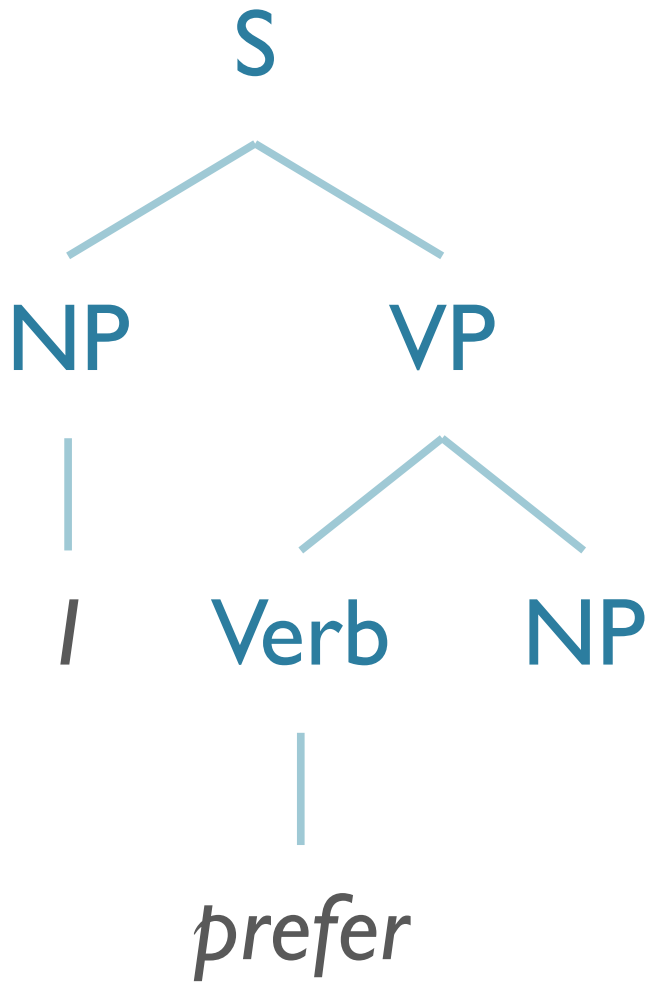


I prefer a flight on TWA



cky\_table[0,6][S] = {(NP, (0,1),  
VP, (1,6))}  
cky\_table[0,1][NP] = {'I'}  
cky\_table[1,6][VP] = {(Verb, (1,2),  
NP, (2,6)),  
(X2, (1,4),  
PP, (4,6))}  
cky\_table[1,2][Verb] = {'prefer'}

|                         |                      |              |                    |               |                    |
|-------------------------|----------------------|--------------|--------------------|---------------|--------------------|
| NP,<br>Pronoun<br>[0,1] | S<br>[0,2]           | [0,3]        | S<br>[0,4]         | [0,5]         | S<br>[0,6]         |
|                         | Verb, VP, S<br>[1,2] | [1,3]        | VP, X2, S<br>[1,4] | [1,5]         | VP, X2, S<br>[1,6] |
|                         |                      | Det<br>[2,3] | NP<br>[2,4]        | [2,5]         | NP<br>[2,6]        |
|                         |                      |              | Noun, Nom<br>[3,4] | [3,5]         | Nom<br>[3,6]       |
|                         |                      |              |                    | Prep<br>[4,5] | PP<br>[4,6]        |
|                         |                      |              |                    |               | NNP, NP<br>[5,6]   |



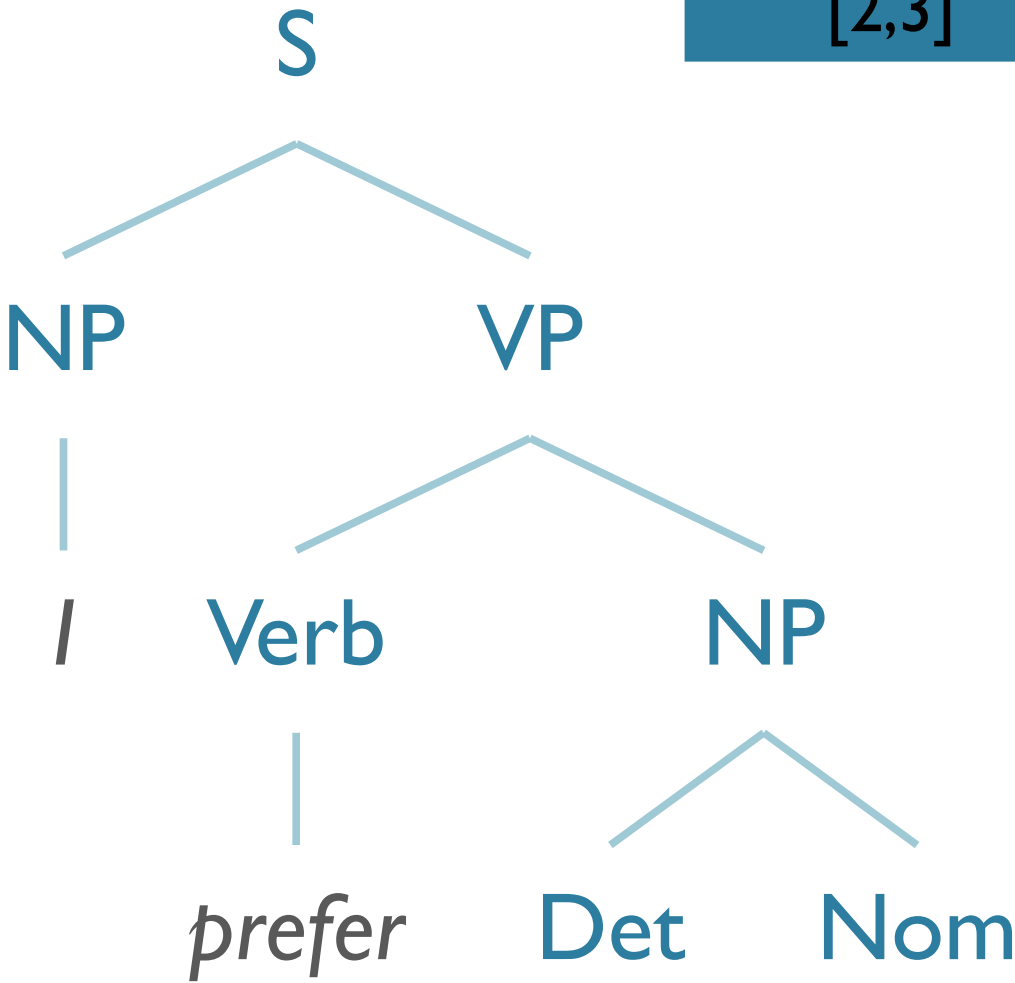
I prefer a flight on TWA

```

cky_table[0,6][S] = {(NP, (0,1),
                      VP, (1,6))}
cky_table[0,1][NP] = {'I'}
cky_table[1,6][VP] = {(Verb, (1,2),
                      NP, (2,6)),
                      (X2, (1,4),
                      PP, (4,6))}
cky_table[1,2][Verb] = {'prefer'}
cky_table[2,6][NP] = {(Det, (2,3),
                      Nom, (3,6))}

```

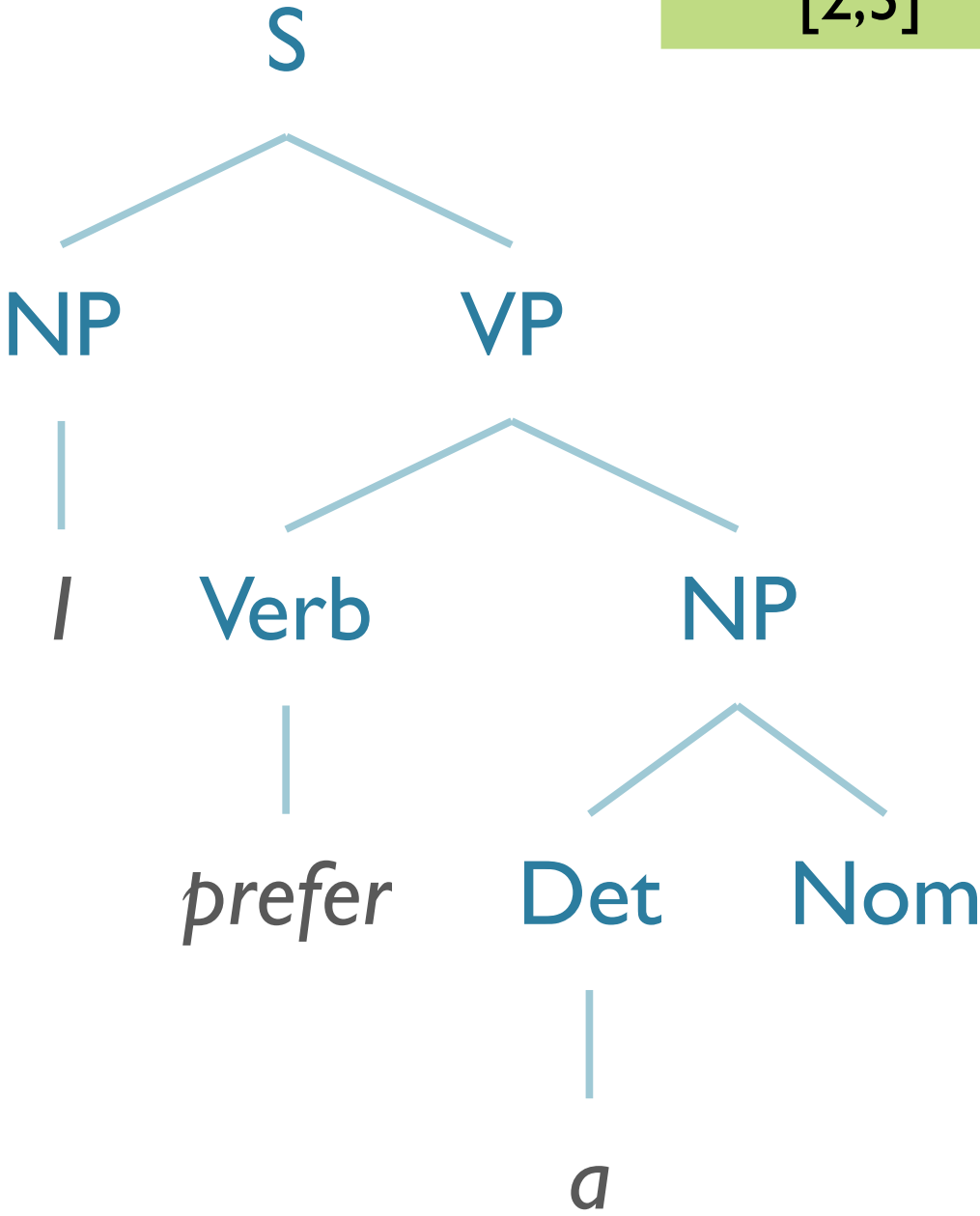
|                             |                             |                     |                           |                      |                           |
|-----------------------------|-----------------------------|---------------------|---------------------------|----------------------|---------------------------|
| <b>NP, Pronoun</b><br>[0,1] | <b>S</b><br>[0,2]           |                     | <b>S</b><br>[0,4]         |                      | <b>S</b><br>[0,6]         |
|                             | <b>Verb, VP, S</b><br>[1,2] |                     | <b>VP, X2, S</b><br>[1,4] |                      | <b>VP, X2, S</b><br>[1,6] |
|                             |                             | <b>Det</b><br>[2,3] | <b>NP</b><br>[2,4]        |                      | <b>NP</b><br>[2,6]        |
|                             |                             |                     | <b>Noun, Nom</b><br>[3,4] |                      | <b>Nom</b><br>[3,6]       |
|                             |                             |                     |                           | <b>Prep</b><br>[4,5] | <b>PP</b><br>[4,6]        |
|                             |                             |                     |                           |                      | <b>NNP, NP</b><br>[5,6]   |



*I prefer a flight on TWA*

```
cky_table[0,6][S] = {(NP, (0,1),  
                      VP, (1,6))}  
cky_table[0,1][NP] = {('I')}  
cky_table[1,6][VP] = {(Verb, (1,2),  
                      NP, (2,6)),  
                      (X2, (1,4),  
                      PP, (4,6))}  
cky_table[1,2][Verb] = {('prefer')}  
cky_table[2,6][NP] = {(Det, (2,3),  
                      Nom, (3,6))}  
cky_table[2,3][Det] = {('a')}
```

|                                 |                             |                     |                           |                      |                           |
|---------------------------------|-----------------------------|---------------------|---------------------------|----------------------|---------------------------|
| <b>NP,<br/>Pronoun</b><br>[0,1] | <b>S</b><br>[0,2]           | [0,3]               | <b>S</b><br>[0,4]         | [0,5]                | <b>S</b><br>[0,6]         |
|                                 | <b>Verb, VP, S</b><br>[1,2] | [1,3]               | <b>VP, X2, S</b><br>[1,4] | [1,5]                | <b>VP, X2, S</b><br>[1,6] |
|                                 |                             | <b>Det</b><br>[2,3] | <b>NP</b><br>[2,4]        | [2,5]                | <b>NP</b><br>[2,6]        |
|                                 |                             |                     | <b>Noun, Nom</b><br>[3,4] | [3,5]                | <b>Nom</b><br>[3,6]       |
|                                 |                             |                     |                           | <b>Prep</b><br>[4,5] | <b>PP</b><br>[4,6]        |
|                                 |                             |                     |                           |                      | <b>NNP, NP</b><br>[5,6]   |



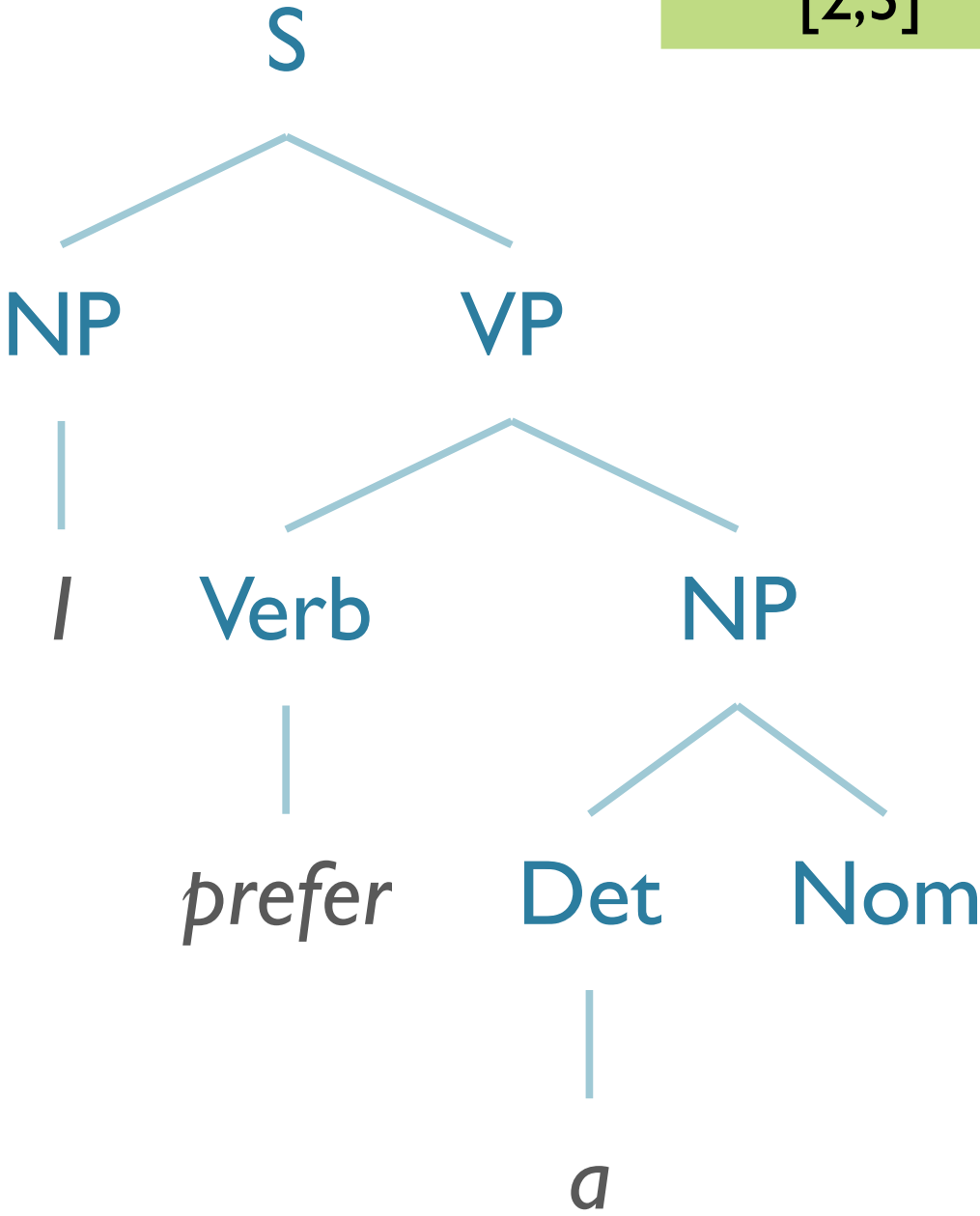
*I prefer a flight on TWA*

```

cky_table[0,6][S] = {(NP, (0,1)),
                    (VP, (1,6))}
cky_table[0,1][NP] = {'I'}
cky_table[1,6][VP] = {(Verb, (1,2)),
                    (NP, (2,6)),
                    (X2, (1,4)),
                    (PP, (4,6))}
cky_table[1,2][Verb] = {'prefer'}
cky_table[2,6][NP] = {(Det, (2,3)),
                    (Nom, (3,6))}
cky_table[2,3][Det] = {'a'}

```

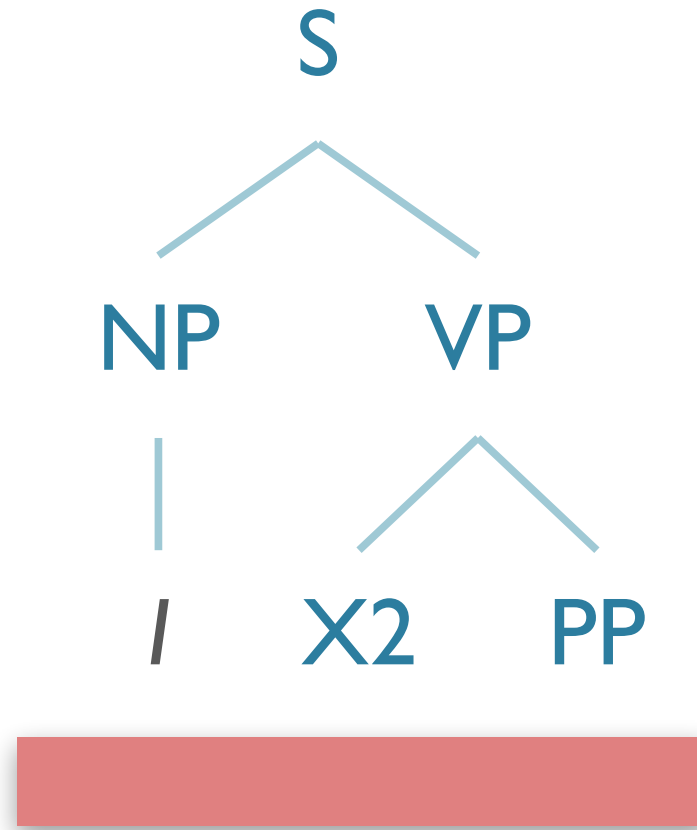
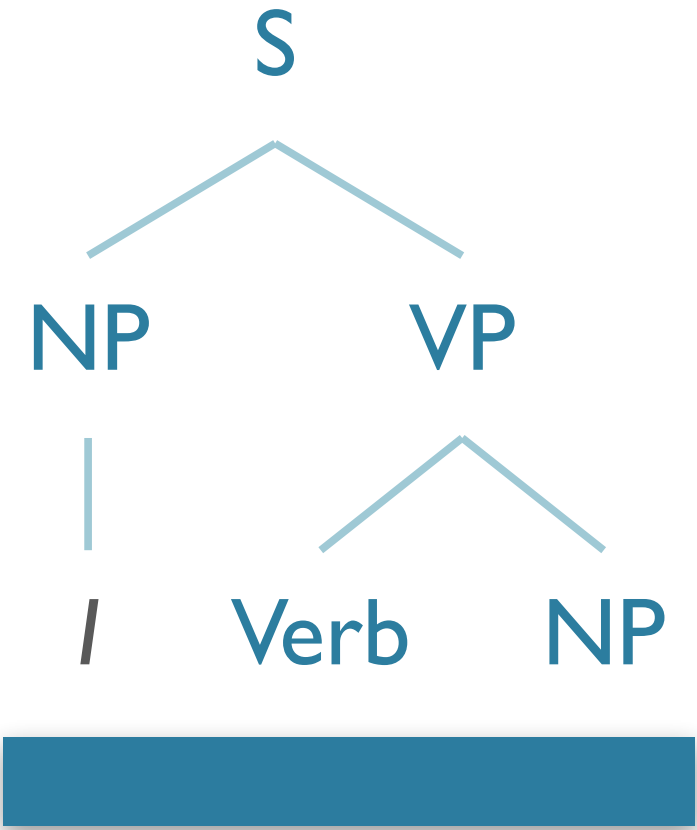
|                                 |                             |                     |                           |                      |                           |
|---------------------------------|-----------------------------|---------------------|---------------------------|----------------------|---------------------------|
| <b>NP,<br/>Pronoun</b><br>[0,1] | <b>S</b><br>[0,2]           | [0,3]               | <b>S</b><br>[0,4]         | [0,5]                | <b>S</b><br>[0,6]         |
|                                 | <b>Verb, VP, S</b><br>[1,2] | [1,3]               | <b>VP, X2, S</b><br>[1,4] | [1,5]                | <b>VP, X2, S</b><br>[1,6] |
|                                 |                             | <b>Det</b><br>[2,3] | <b>NP</b><br>[2,4]        | [2,5]                | <b>NP</b><br>[2,6]        |
|                                 |                             |                     | <b>Noun, Nom</b><br>[3,4] | [3,5]                | <b>Nom</b><br>[3,6]       |
|                                 |                             |                     |                           | <b>Prep</b><br>[4,5] | <b>PP</b><br>[4,6]        |
|                                 |                             |                     |                           |                      | <b>NNP, NP</b><br>[5,6]   |



*I          prefer          a          flight          on          TWA*

```
cky_table[0,6][S] = {(NP, (0,1),
                      VP, (1,6))}
cky_table[0,1][NP] = {'I'}
cky_table[1,6][VP] = {(Verb, (1,2),
                      NP, (2,6)),
                      (X2, (1,4),
                      PP, (4,6))}
```

|                         |                      |              |                    |               |                    |
|-------------------------|----------------------|--------------|--------------------|---------------|--------------------|
| NP,<br>Pronoun<br>[0,1] | S<br>[0,2]           | [0,3]        | S<br>[0,4]         | [0,5]         | S<br>[0,6]         |
|                         | Verb, VP, S<br>[1,2] | [1,3]        | VP, X2, S<br>[1,4] | [1,5]         | VP, X2, S<br>[1,6] |
|                         |                      | Det<br>[2,3] | NP<br>[2,4]        | [2,5]         | NP<br>[2,6]        |
|                         |                      |              | Noun, Nom<br>[3,4] | [3,5]         | Nom<br>[3,6]       |
|                         |                      |              |                    | Prep<br>[4,5] | PP<br>[4,6]        |
|                         |                      |              |                    |               | NNP, NP<br>[5,6]   |



*I prefer a flight on TWA*

# Probabilistic Context-Free Grammars

# Probabilistic Context-free Grammars: Roadmap

Motivation: Ambiguity

Approach:

Definition

Disambiguation

Parsing

Evaluation

Enhancements

# Motivation

What about ambiguity?

Current algorithm can *represent* it

...can't resolve it.



# Probabilistic Parsing

- Provides strategy for solving disambiguation problem
  - Compute the probability of all analyses
  - Select the most probable
- Employed in language modeling for speech recognition
  - N-gram grammars predict words, constrain search
  - Also, constrain generation, translation

# PCFGs: Formal Definition

$N$

a set of **non-terminal symbols** (or **variables**)

---

# PCFGs: Formal Definition

|     |   |
|-----|---|
| $N$ | a set of <b>non-terminal symbols</b> (or <b>variables</b> ) |
|-----|---|

---

|          |   |
|----------|---|
| $\Sigma$ | a set of <b>terminal symbols</b> (disjoint from $N$ ) |
|----------|---|

---

# PCFGs: Formal Definition

|          |   |
|----------|---|
| $N$      | a set of <b>non-terminal symbols</b> (or <b>variables</b> )   |
| $\Sigma$ | a set of <b>terminal symbols</b> (disjoint from $N$ )   |
| $R$      | a set of rules of productions, each of the form $A \rightarrow \beta[p]$ , where $A$ is a non-terminal where $A$ is a non-terminal, $\beta$ is a string of symbols from the infinite set of strings $(\Sigma \cup N)^*$ and $p$ is a number between 0 and 1 expressing $P(\beta A)$ |

# PCFGs: Formal Definition

|          |   |
|----------|---|
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| $S$      | a designated <b>start symbol</b>  |

# PCFGs

- Augment each production with probability that LHS will be expanded as RHS
  - $P(A \rightarrow \beta)$
  - $P(A \rightarrow \beta | A)$
  - $P(\beta | A)$
  - $P(RHS \mid LHS)$
- NB: the first is often used; but the latter are what's really meant.

# PCFGs

- Sum over all possible expansions is 1

$$\sum_{\beta} P(A \rightarrow \beta) = 1$$

- A PCFG is ***consistent*** if sum of probabilities of all sentences in language is 1
- Recursive rules often yield inconsistent grammars (Booth & Thompson, 1973)

# Example PCFG: Augmented $\mathcal{L}_1$

| Grammar                            |       | Lexicon  |
|------------------------------------|-------|--|
| $S \rightarrow NP VP$              | [.80] | $Det \rightarrow that [.10] \mid a [.30] \mid the [.60]$                         |
| $S \rightarrow Aux NP VP$          | [.15] | $Noun \rightarrow book [.10] \mid flight [.30] \mid meal [.15] \mid money [0.5]$ |
| $S \rightarrow VP$                 | [.05] | $\mid flights [0.40] \mid dinner [.10]$  |
| $NP \rightarrow Pronoun$           | [.35] | $Verb \rightarrow book [.30] \mid include [.30] \mid prefer [.40]$               |
| $NP \rightarrow Proper-Noun$       | [.30] | $Pronoun \rightarrow I [.40] \mid she [.05] \mid me [.15] \mid you [.40]$        |
| $NP \rightarrow Det Nominal$       | [.20] | $Proper-Noun \rightarrow Houston [.60] \mid NWA [.40]$                           |
| $NP \rightarrow Nominal$           | [.15] | $Aux \rightarrow does [.60] \mid can [.40]$                                      |
| $Nominal \rightarrow Noun$         | [.75] | $Preposition \rightarrow from [.30] \mid to [.30] \mid on [.20] \mid near [.15]$ |
| $Nominal \rightarrow Nominal Noun$ | [.20] | $\mid through [.05]$   |
| $Nominal \rightarrow Nominal PP$   | [.05] |  |
| $VP \rightarrow Verb$              | [.35] |  |
| $VP \rightarrow Verb NP$           | [.20] |  |
| $VP \rightarrow Verb NP PP$        | [.10] |  |
| $VP \rightarrow Verb PP$           | [.15] |  |
| $VP \rightarrow Verb NP NP$        | [.05] |  |
| $VP \rightarrow VP PP$             | [.15] |  |
| $PP \rightarrow Preposition NP$    | [1.0] |  |



# Example PCFG: Augmented $\mathcal{L}_1$

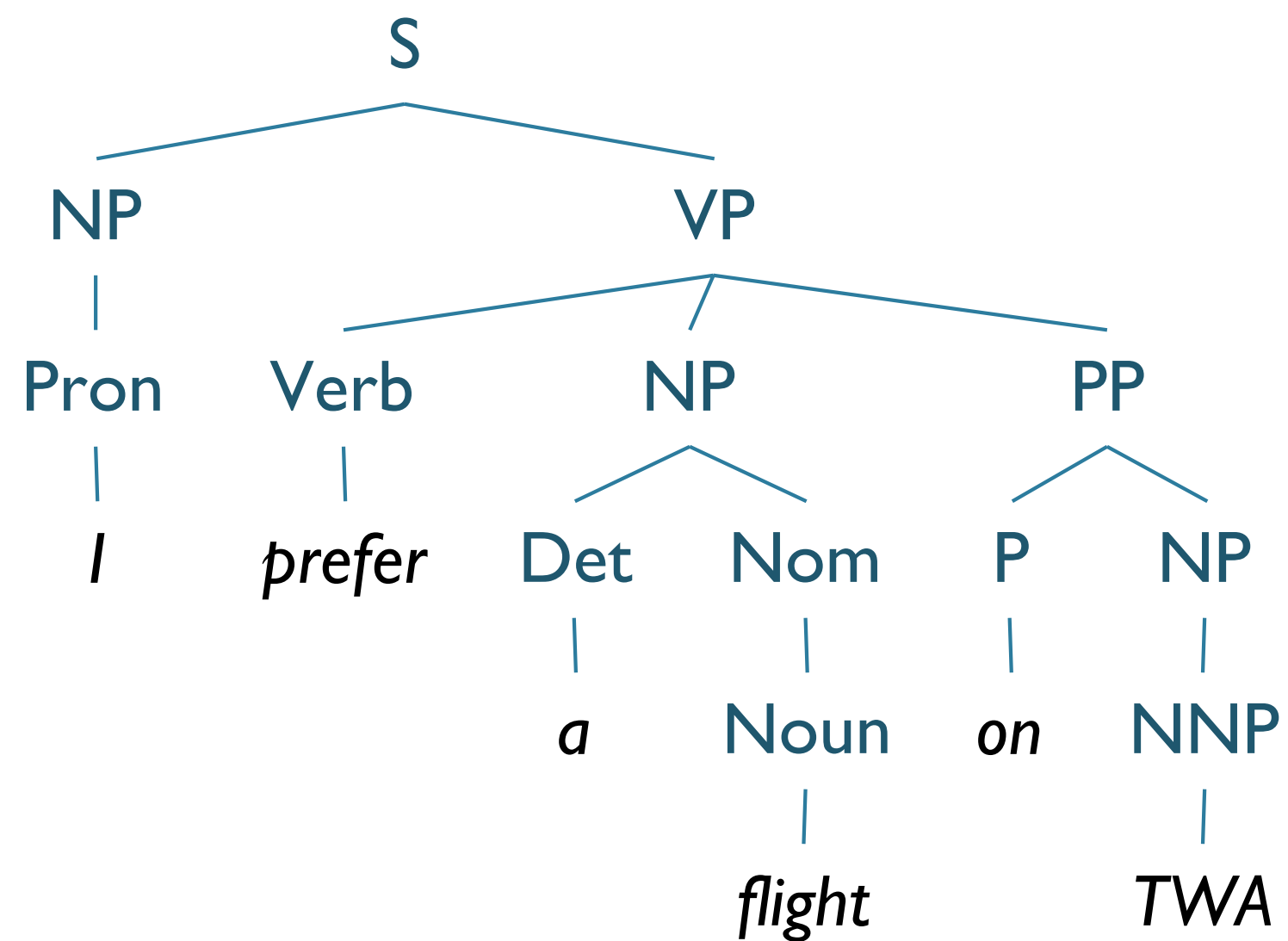
| Grammar                            |       | Lexicon  |
|------------------------------------|-------|--|
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| $Nominal \rightarrow Nominal Noun$ | [.20] | $\mid through [.05]$   |
| $Nominal \rightarrow Nominal PP$   | [.05] |  |
| $VP \rightarrow Verb$              | [.35] |  |
| $VP \rightarrow Verb NP$           | [.20] |  |
| $VP \rightarrow Verb NP PP$        | [.10] |  |
| $VP \rightarrow Verb PP$           | [.15] |  |
| $VP \rightarrow Verb NP NP$        | [.05] |  |
| $VP \rightarrow VP PP$             | [.15] |  |
| $PP \rightarrow Preposition NP$    | [1.0] |  |

# Disambiguation

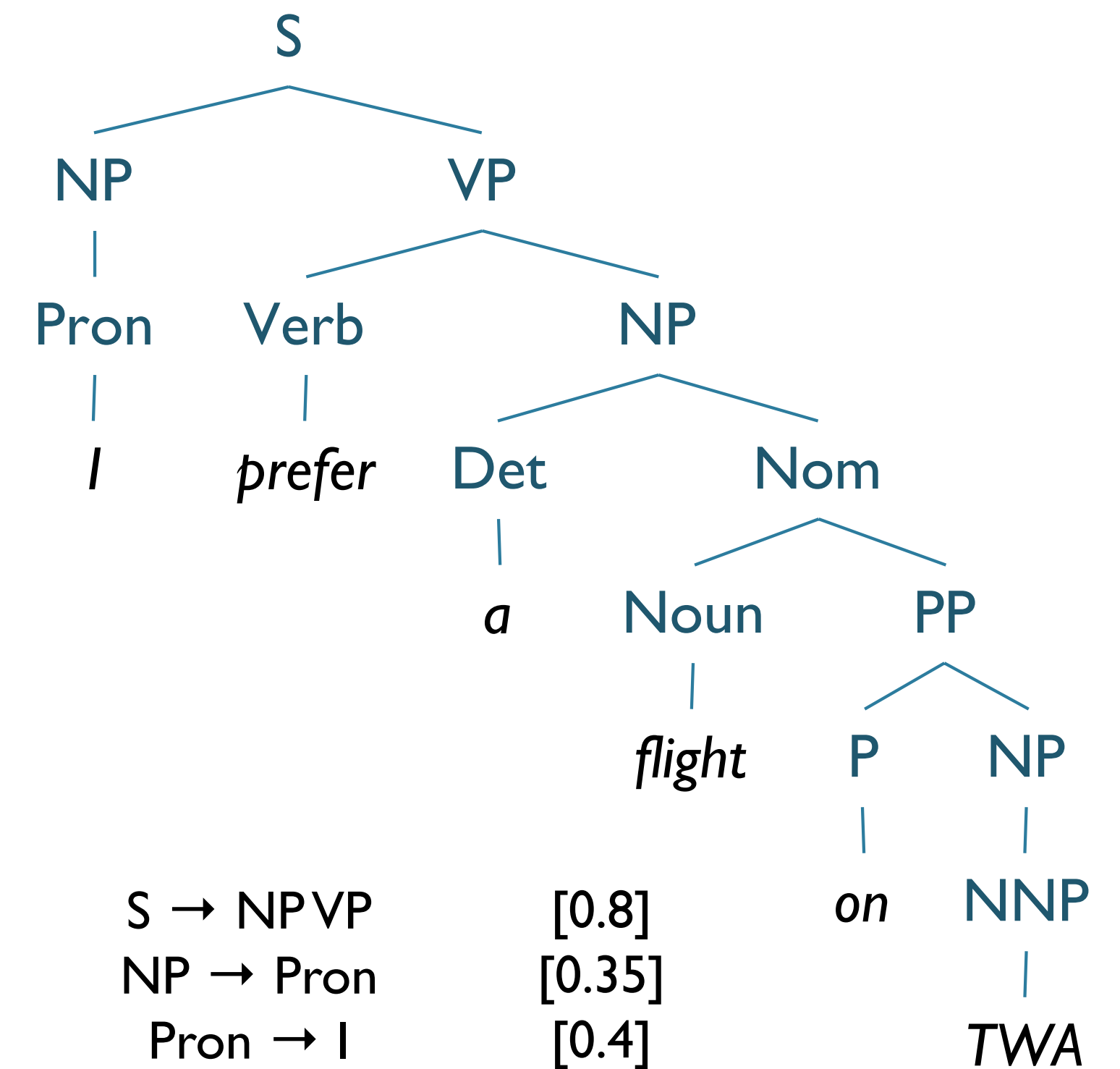
- A PCFG assigns probability to each parse tree  $T$  for input  $S$
- Probability of  $T$ : product of all rules used to derive  $T$

$$P(T, S) = \prod_{i=1}^n P(RHS_i | LHS_i)$$

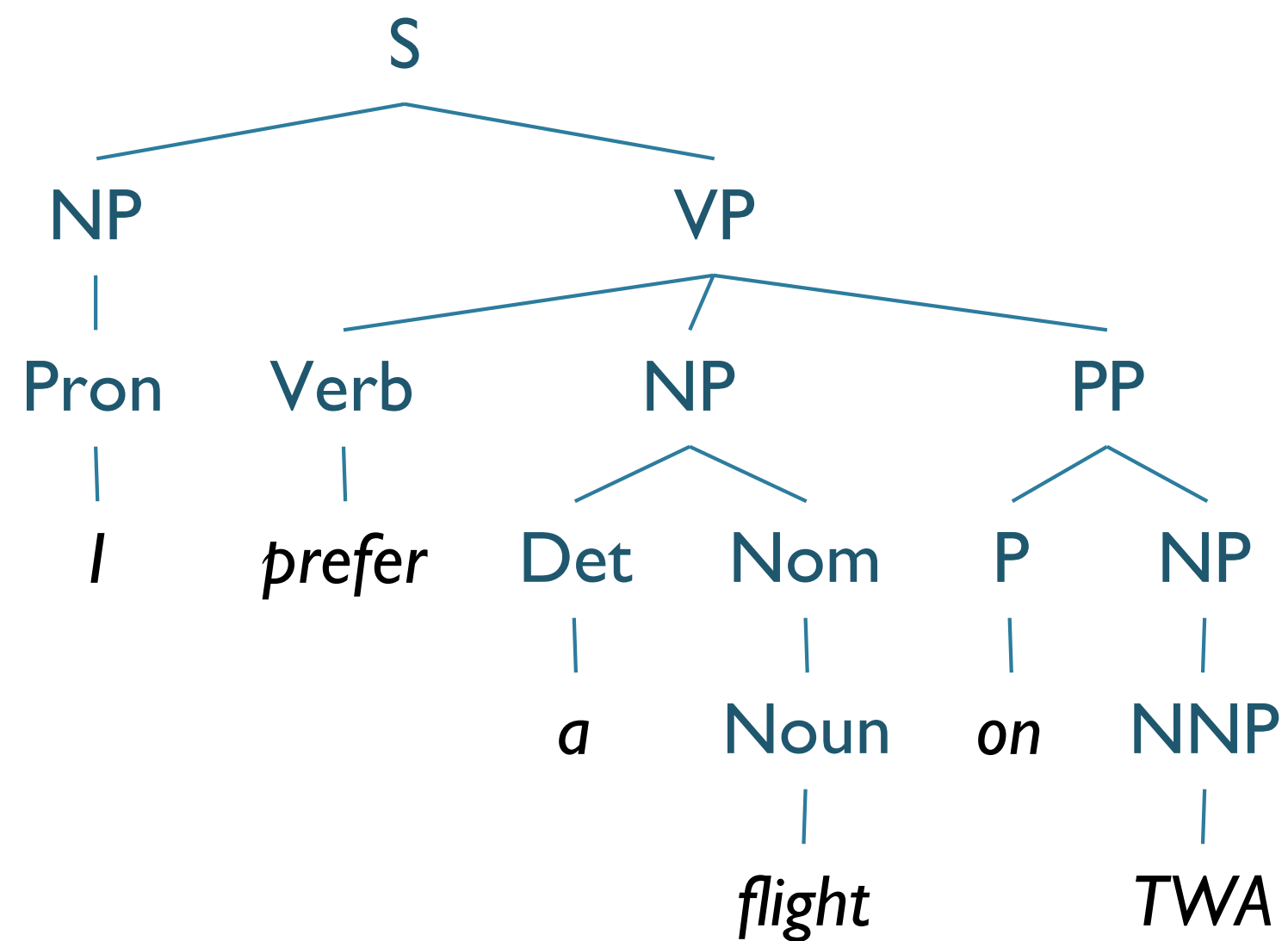
$$P(T, S) = P(T)P(S | T) = P(T)$$



|                          |        |
|--------------------------|--------|
| $S \rightarrow NP VP$    | [0.8]  |
| $NP \rightarrow Pron$    | [0.35] |
| $Pron \rightarrow I$     | [0.4]  |
| $VP \rightarrow V NP PP$ | [0.1]  |
| $V \rightarrow prefer$   | [0.4]  |
| $NP \rightarrow Det Nom$ | [0.2]  |
| $Det \rightarrow a$      | [0.3]  |
| $Nom \rightarrow N$      | [0.75] |
| $N \rightarrow flight$   | [0.3]  |
| $PP \rightarrow P NP$    | [1.0]  |
| $P \rightarrow on$       | [0.2]  |
| $NP \rightarrow NNP$     | [0.3]  |
| $NNP \rightarrow NWA$    | [0.4]  |

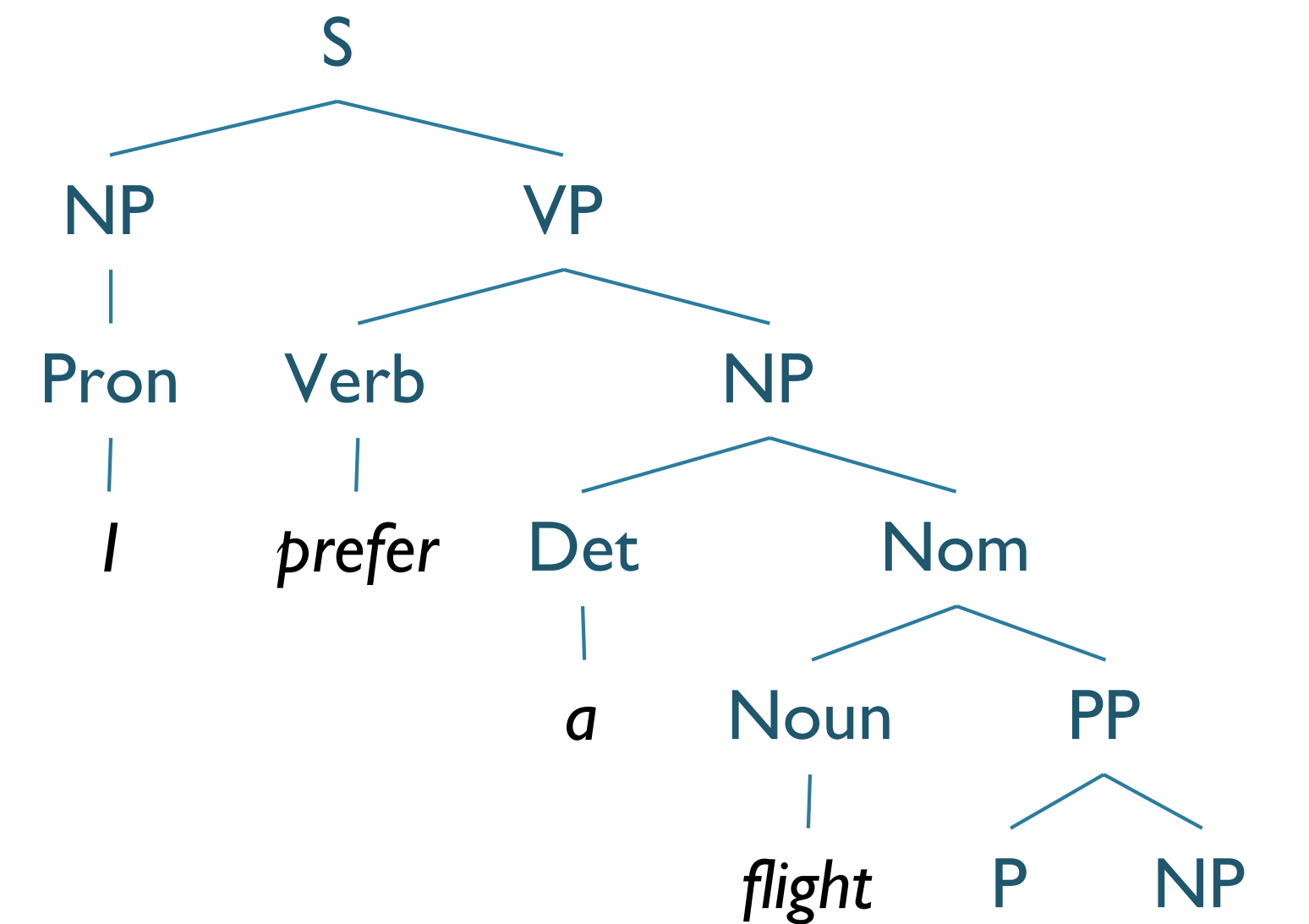


|                          |        |
|--------------------------|--------|
| $S \rightarrow NP VP$    | [0.8]  |
| $NP \rightarrow Pron$    | [0.35] |
| $Pron \rightarrow I$     | [0.4]  |
| $VP \rightarrow V NP$    | [0.2]  |
| $V \rightarrow prefer$   | [0.4]  |
| $NP \rightarrow Det Nom$ | [0.2]  |
| $Det \rightarrow a$      | [0.3]  |
| $Nom \rightarrow Nom PP$ | [0.05] |
| $Nom \rightarrow N$      | [0.75] |
| $N \rightarrow flight$   | [0.3]  |
| $PP \rightarrow P NP$    | [1.0]  |
| $P \rightarrow on$       | [0.2]  |
| $NP \rightarrow NNP$     | [0.3]  |
| $NNP \rightarrow NWA$    | [0.4]  |



|                          |        |
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$\sim 1.452 \times 10^{-6}$



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| $NP \rightarrow NNP$     | [0.3]  |
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$\sim 1.452 \times 10^{-7}$

# Parsing Problem for PCFGs

- Select  $T$  such that (*s.t.*)

$$\hat{T}(S) = \operatorname{argmax}_{T \text{ s.t. } S=\text{yield}(T)} P(T)$$

- String of words  $S$  is *yield* of parse tree
- Select the tree  $\hat{T}$  that maximizes the probability of the parse

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- Model probability of *syntactically valid* sentences
  - Not just probability of sequence of words

# PCFGs: Parsing

# Probabilistic CKY (PCKY)

- Like regular CKY
  - Assumes grammar in Chomsky Normal Form (CNF)
    - $A \rightarrow B C$
    - $A \rightarrow w$
  - Represent input with indices b/t words:
    - $_0 \text{ Book } _1 \text{ that } _2 \text{ flight } _3 \text{ through } _4 \text{ Houston } _5$

# Probabilistic CKY (PCKY)

- For input string length  $n$  and non-terminals  $V$ 
  - Cell  $[i, j, A]$  in  $(n+1) \times (n+1) \times V$  matrix
  - Contains probability that  $A$  spans  $[i, j]$

# PCKY Algorithm

```
function PROBABILISTIC-CKY-PARSE(words, grammar) returns most probable parse and its probability
for j  $\leftarrow$  from 1 to LENGTH(words) do
  for all { A |  $A \rightarrow words[j] \in grammar$  }
     $table[j-1, j, A] \leftarrow P(A \rightarrow words[j])$ 
  for i  $\leftarrow$  from j-2 downto 0 do
    for k  $\leftarrow$  i + 1 to j-1 do
      for all { A |  $A \rightarrow B C \in grammar,$ 
        and  $table[i, k, B] > 0$  and  $table[k, j, C] > 0$  }
        if ( $table[i, j, A] < P(A \rightarrow BC) \times table[i, k, B] \times table[k, j, C]$ ) then
           $table[i, j, A] \leftarrow P(A \rightarrow BC) \times table[i, k, B] \times table[k, j, C]$ 
           $back[i, j, A] \leftarrow \{ k, B, C \}$ 
  return BUILD_TREE( $back[1, LENGTH(words), S]$ ),  $table[1, LENGTH(words), S]$ 
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# PCKY Grammar Segment

$S \rightarrow NP VP$  [0.80]

$NP \rightarrow Det N$  [0.30]

$VP \rightarrow V NP$  [0.20]

$Det \rightarrow \text{the}$  [0.40]

$Det \rightarrow \text{a}$  [0.40]

$V \rightarrow \text{includes}$  [0.05]

$N \rightarrow \text{meal}$  [0.01]

$N \rightarrow \text{flight}$  [0.02]

# PCKY Matrix

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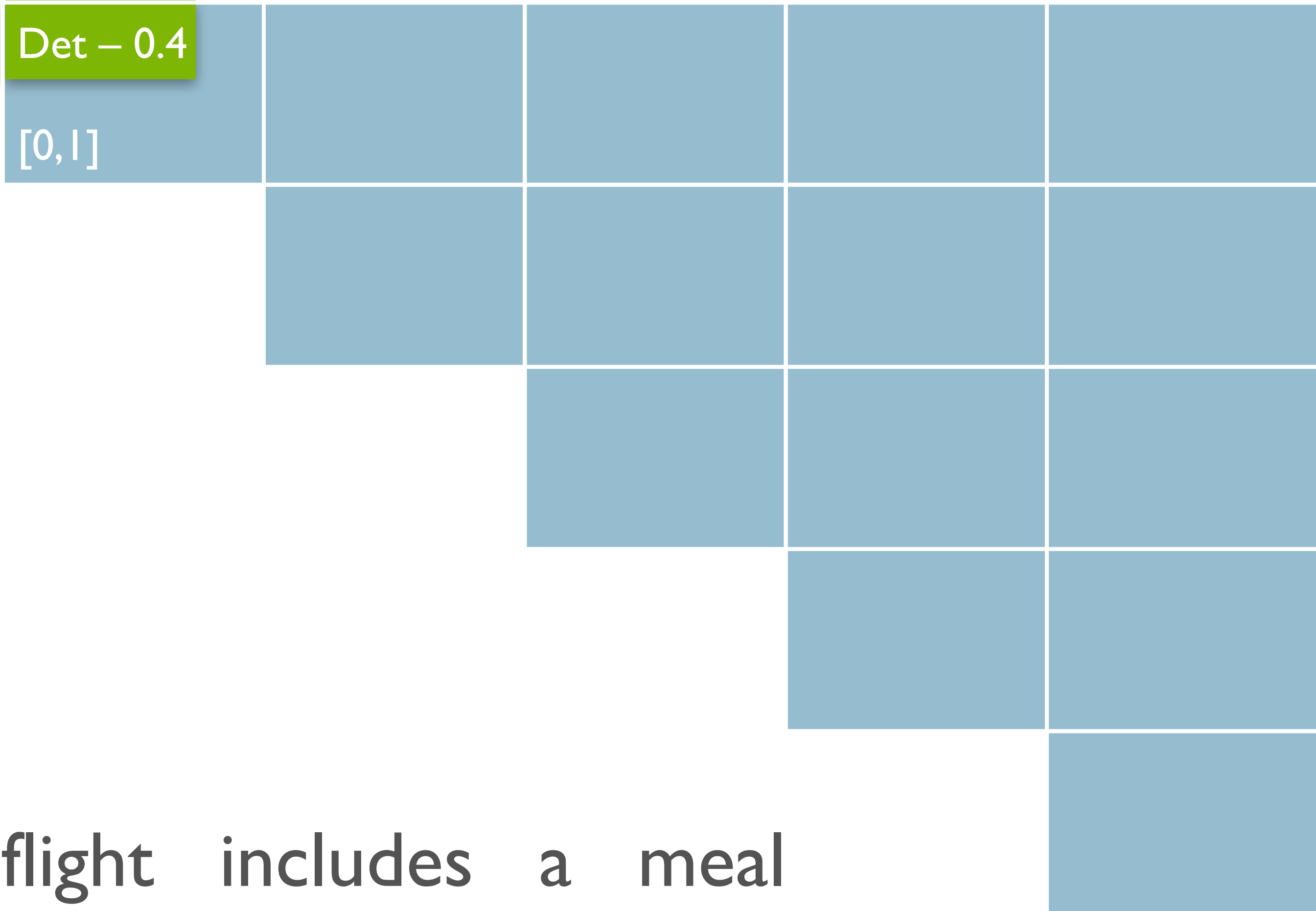
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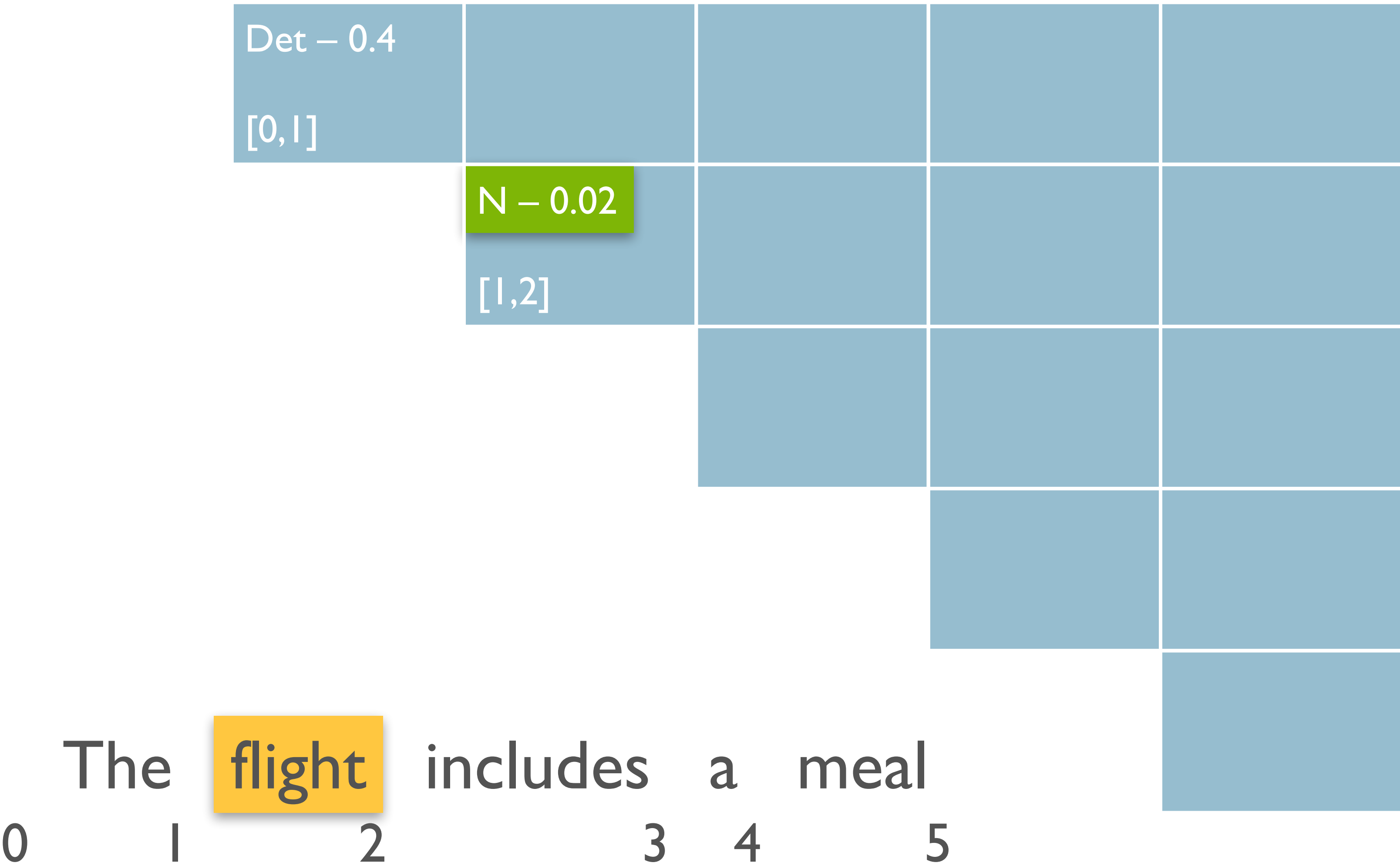


0 1 2 3 4 5  
The flight includes a meal

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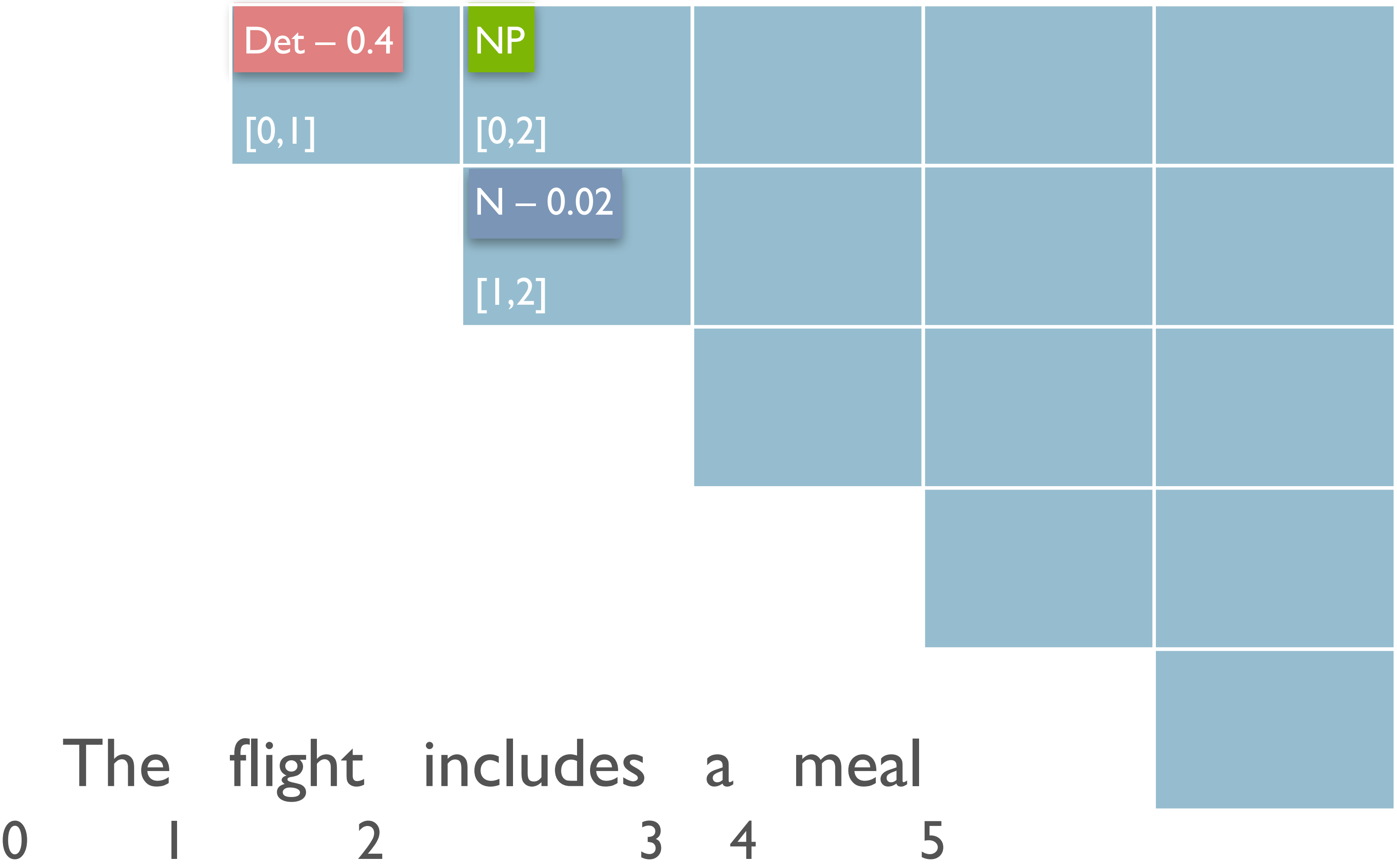
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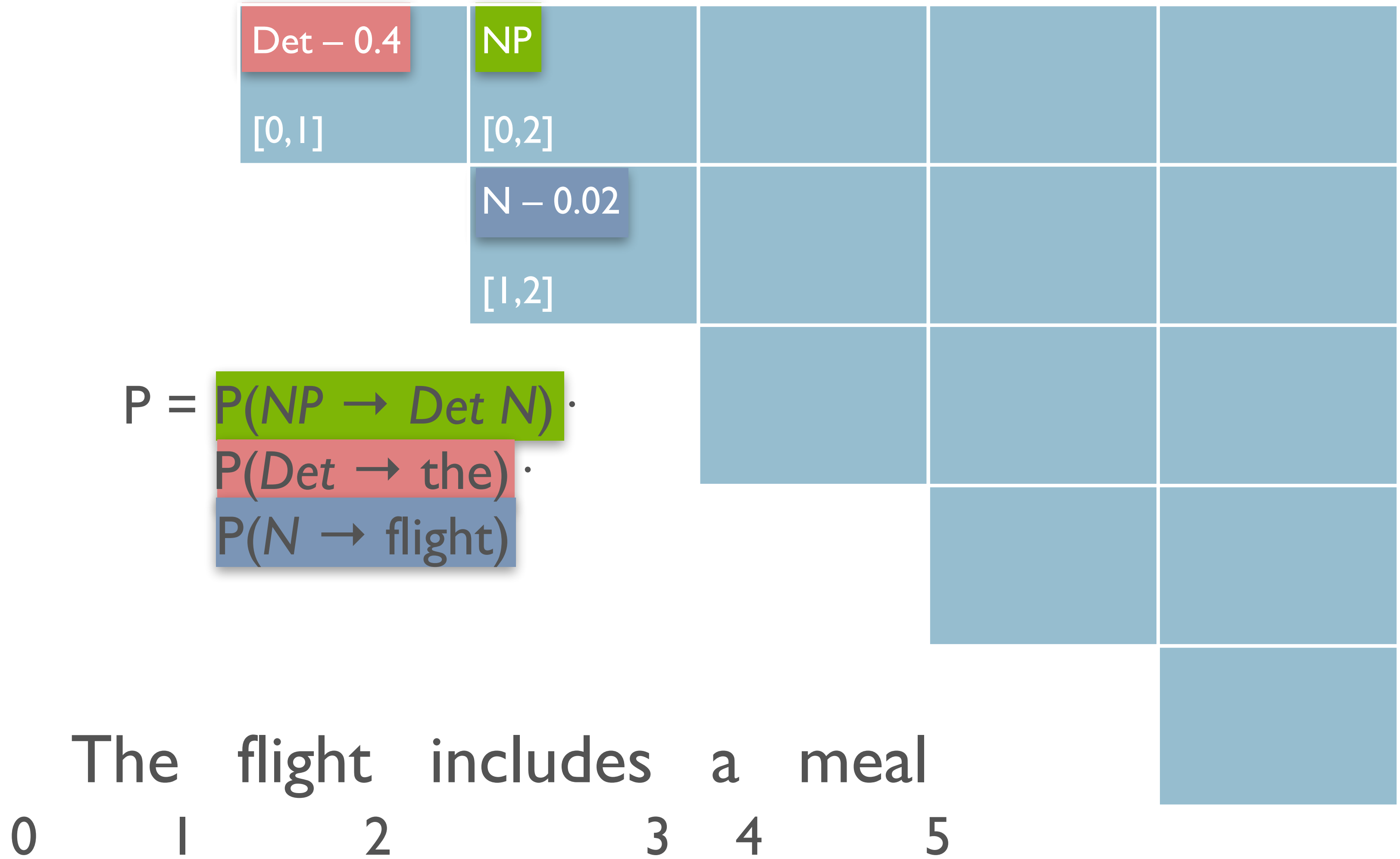


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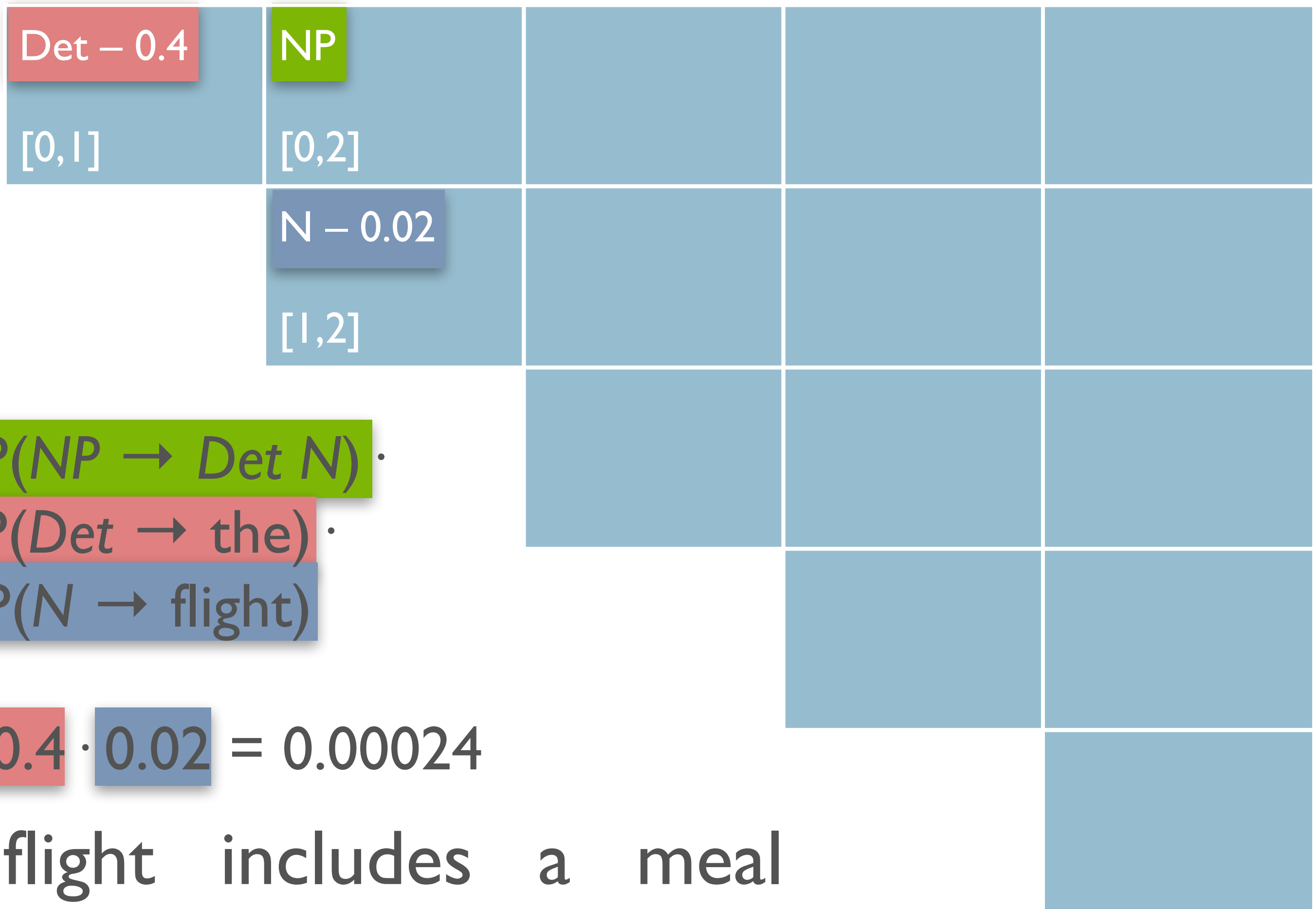
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$$P = P(NP \rightarrow Det N) \cdot P(Det \rightarrow the) \cdot P(N \rightarrow flight)$$

$$P = 0.3 \cdot 0.4 \cdot 0.02 = 0.00024$$

0      1      2      3      4      5  
 The   flight   includes   a   meal

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|           |             |  |  |  |  |
|-----------|-------------|--|--|--|--|
| Det – 0.4 | NP – 0.0024 |  |  |  |  |
| [0,1]     | [0,2]       |  |  |  |  |
|           | N – 0.02    |  |  |  |  |
|           | [1,2]       |  |  |  |  |
|           |             |  |  |  |  |
|           |             |  |  |  |  |
|           |             |  |  |  |  |
|           |             |  |  |  |  |
|           |             |  |  |  |  |
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|                    |                      |                   |                    |                                     |
|--------------------|----------------------|-------------------|--------------------|-------------------------------------|
| Det – 0.4<br>[0,1] | NP – 0.0024<br>[0,2] |                   |                    | S – 2.304×10 <sup>-8</sup><br>[0,5] |
|                    | N – 0.02<br>[1,2]    |                   |                    |                                     |
|                    |                      | V – 0.05<br>[2,3] |                    | VP – 1.2×10 <sup>-5</sup><br>[2,5]  |
|                    |                      |                   | Det – 0.4<br>[3,4] | NP – 0.0012<br>[3,5]                |
|                    |                      |                   |                    | N – 0.01<br>[4,5]                   |

# Inducing a PCFG

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- Alternative: Learn probabilities by re-estimating
  - (Later)

# Probabilistic Parser Development Paradigm

|       | Train  | Dev                                       | Test  |
|-------|--|---|---|
| Size  | Large<br><br>(eg. WSJ 2–21,<br>39,830 sentences) | Small<br><br>(e.g. WSJ 22)                | Small/Med<br><br>(e.g. WSJ, 23,<br>2,416 sentences) |
| Usage | Estimate rule<br>probabilities                   | Tuning/Verification,<br>Check for Overfit | Held Out,<br>Final Evaluation                       |

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      - Same start point, end point, non-terminal symbol

# Parseval

- How can we compute parse score from constituents?
- Multiple Measures:

$$\text{Labeled Recall (LR)} = \frac{\# \text{ of } \mathbf{correct} \text{ constituents in } \mathbf{hypothetical} \text{ parse}}{\# \text{ of } \mathbf{total} \text{ constituents in } \mathbf{reference} \text{ parse}}$$

$$\text{Labeled Precision (LP)} = \frac{\# \text{ of } \mathbf{correct} \text{ constituents in } \mathbf{hypothetical} \text{ parse}}{\# \text{ of } \mathbf{total} \text{ constituents in } \mathbf{hypothetical} \text{ parse}}$$

# Parseval

- **F-measure:**

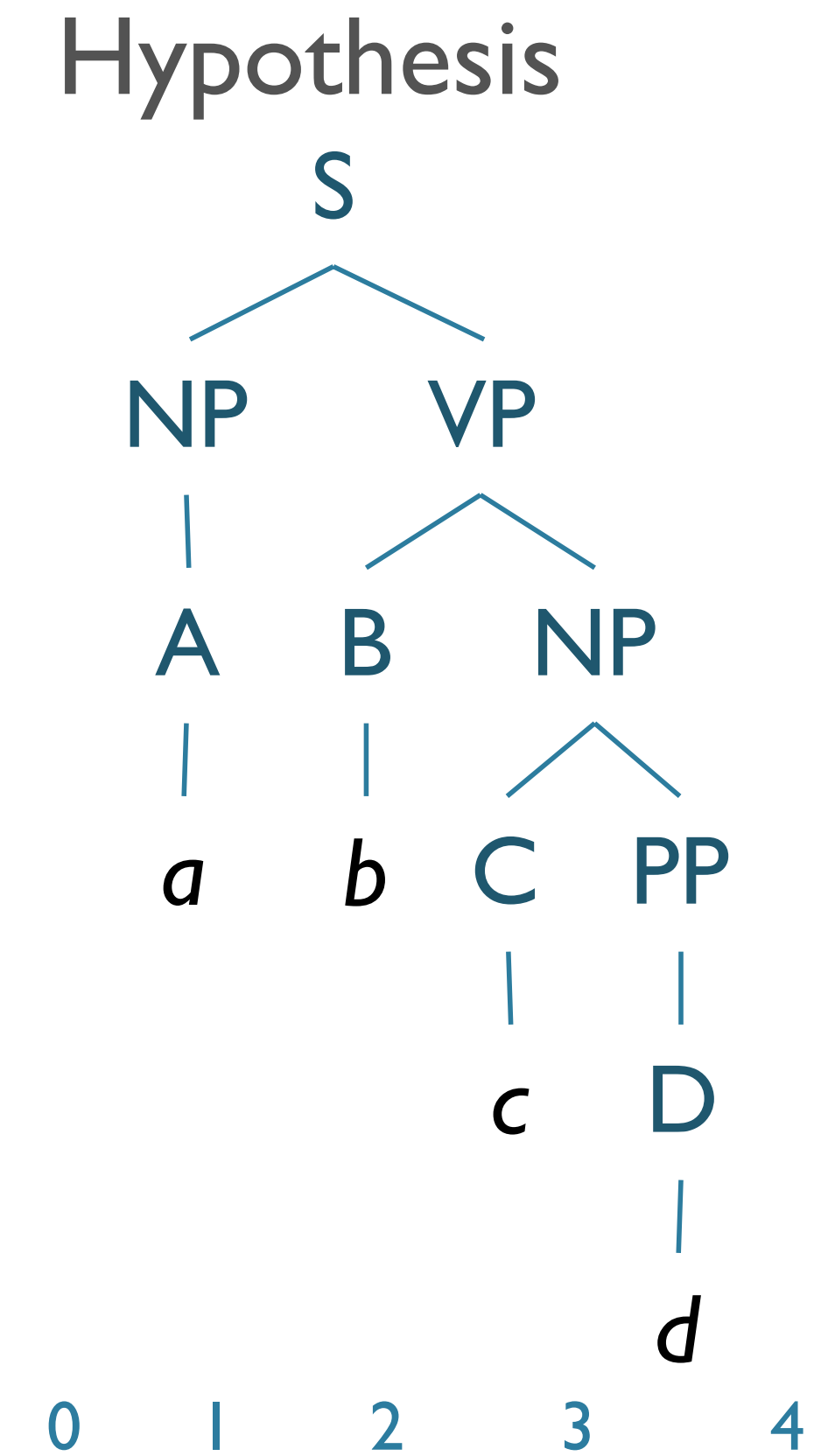
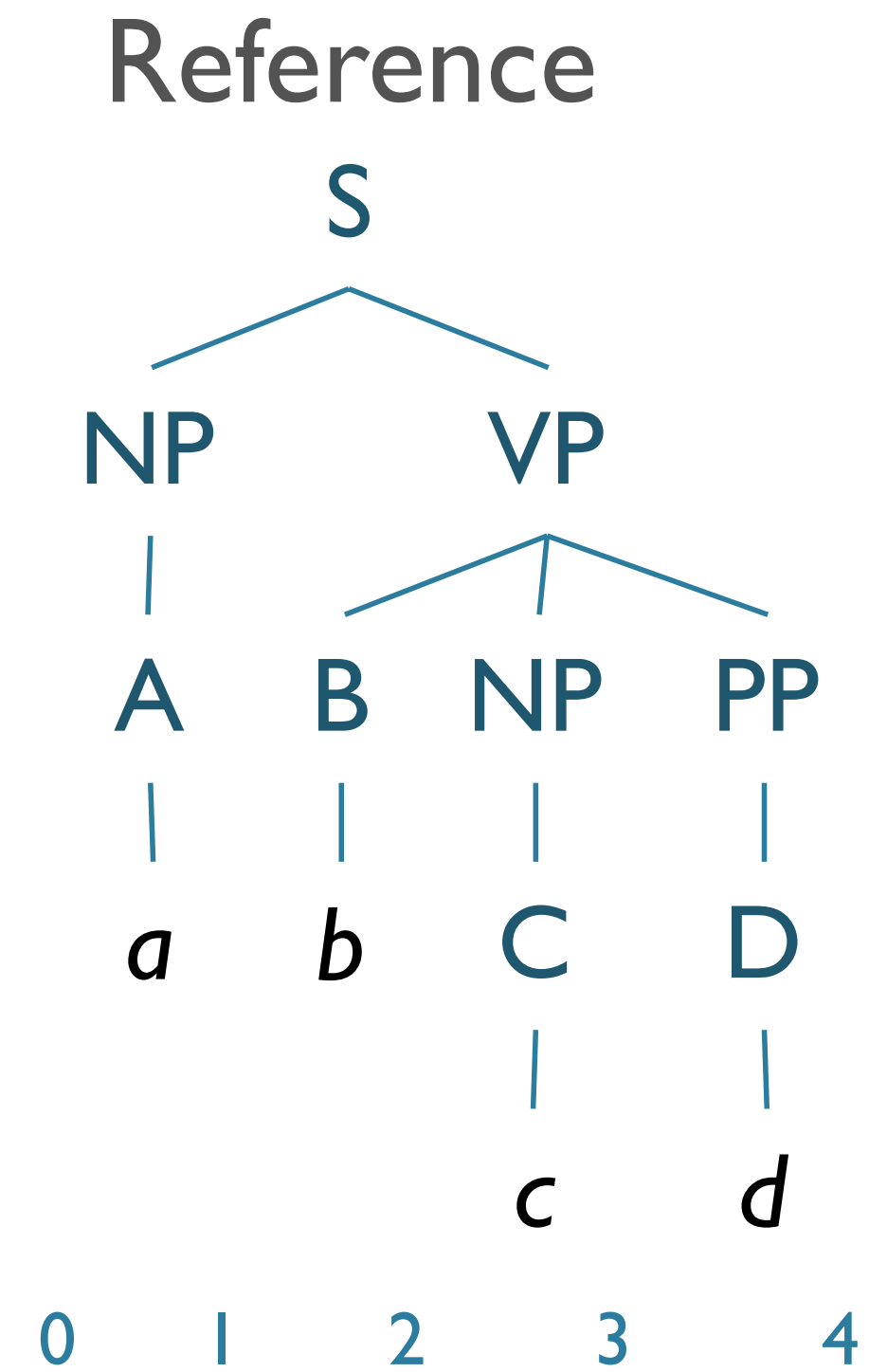
- Combines precision and recall

- Let  $\beta \in \mathbb{R}$  ,  $\beta > 0$  that adjusts  $P$  vs.  $R$  s.t.  $\beta \propto \frac{R}{P}$

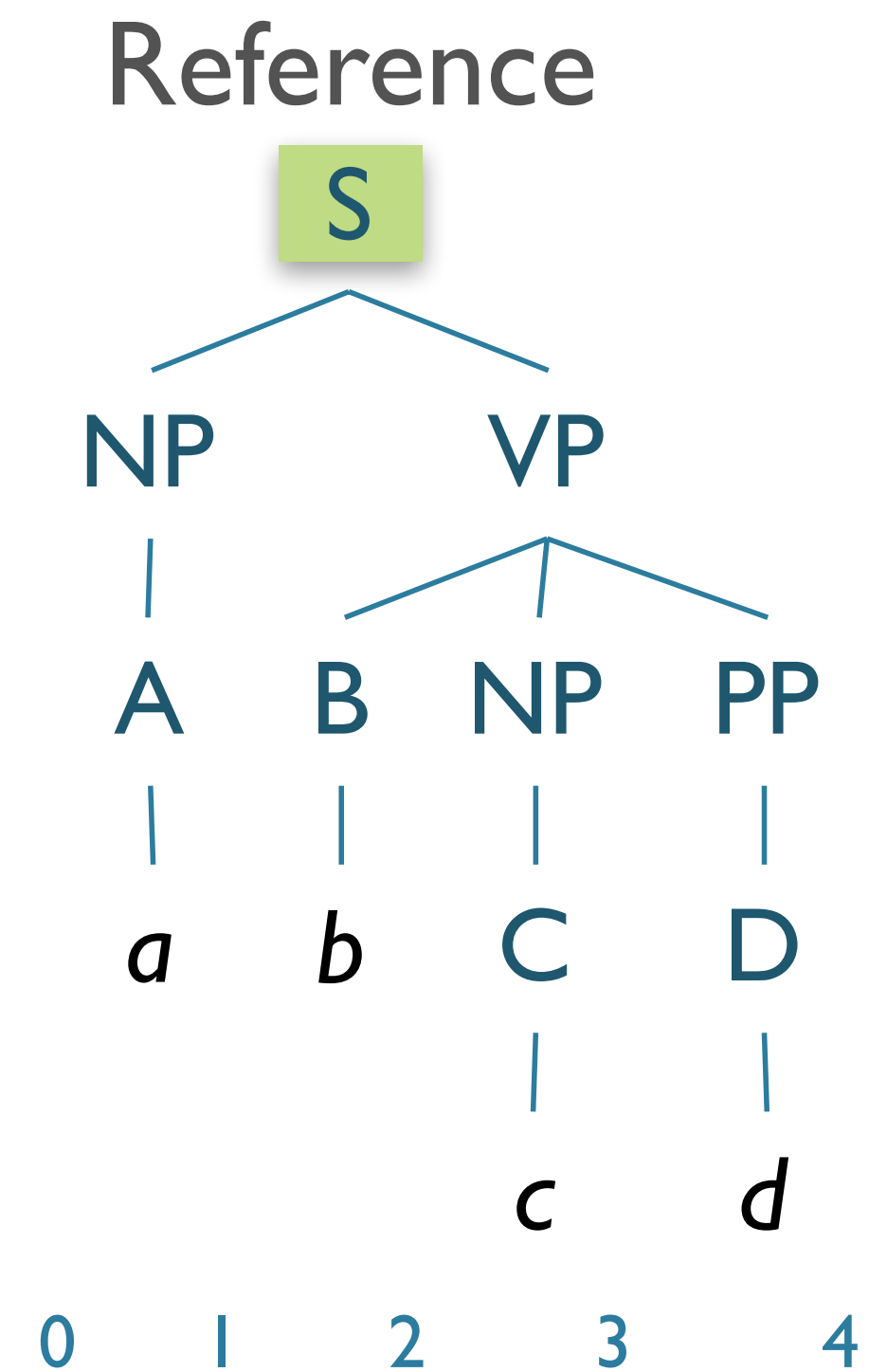
- $F_\beta$  -measure is then: 
$$F_\beta = (1 + \beta^2) \cdot \frac{P \cdot R}{\beta^2 \cdot P + R}$$

- With F1-measure as 
$$F_1 = \frac{2PR}{P + R}$$

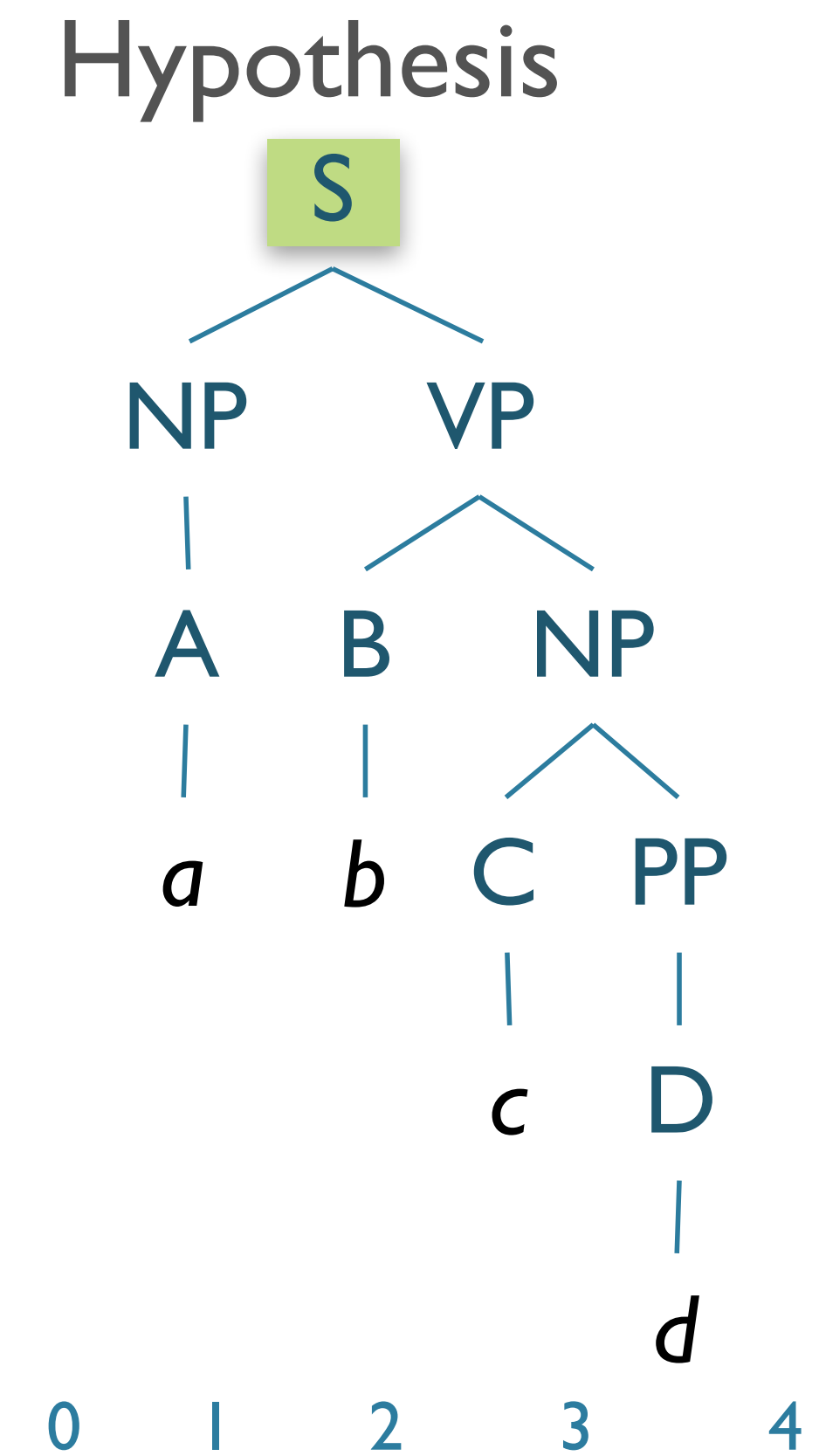
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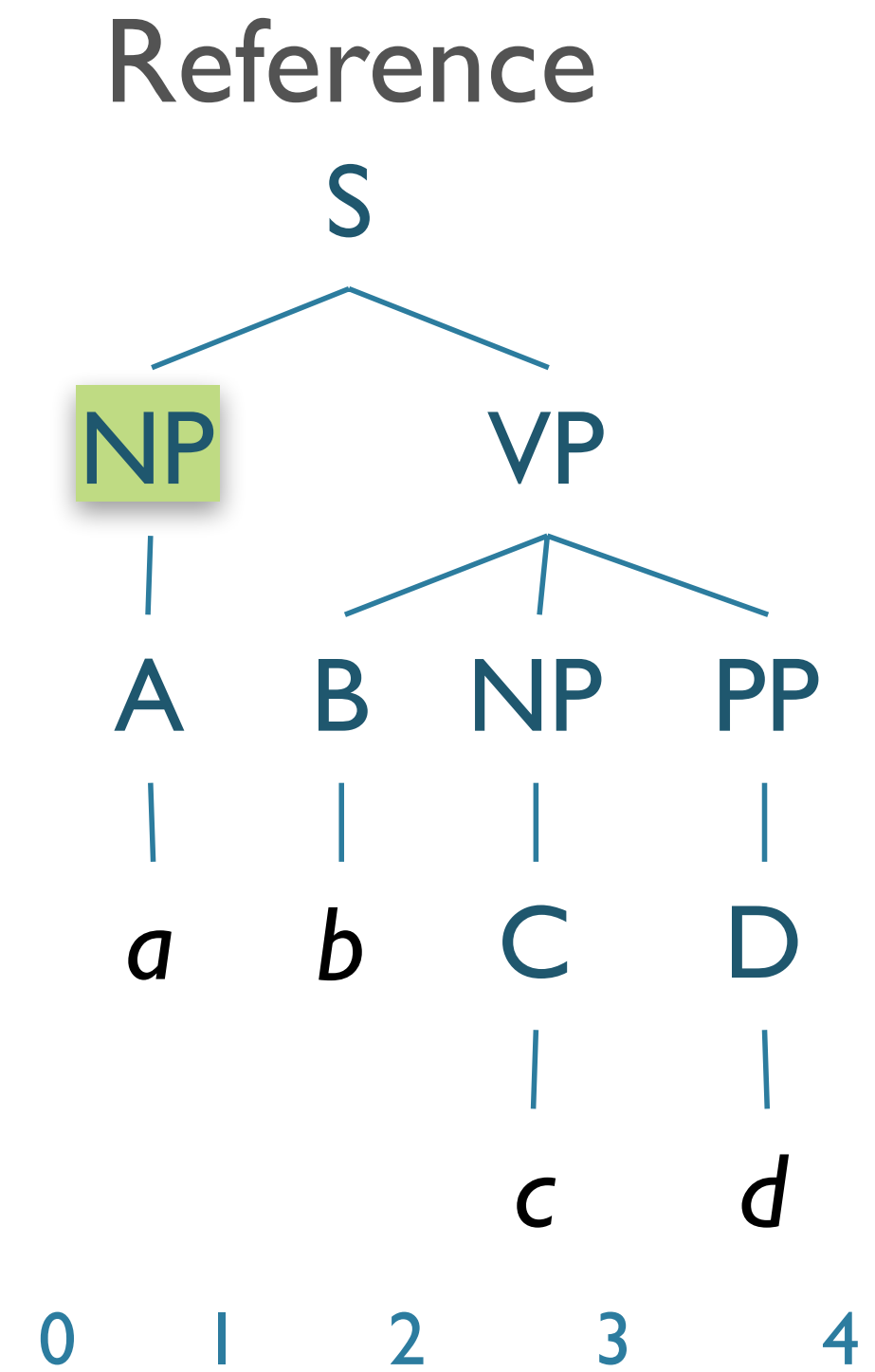
S(0,4)



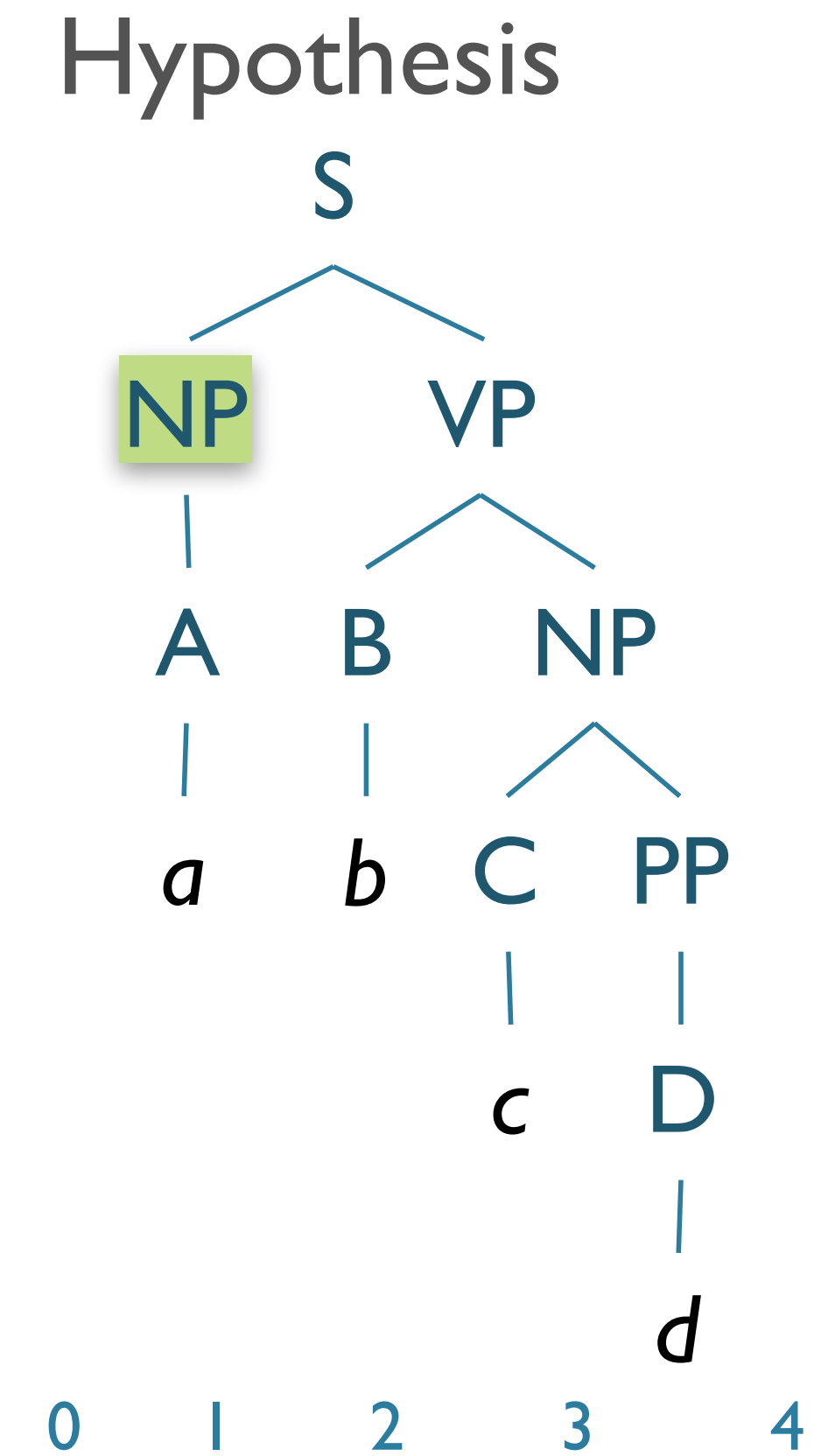
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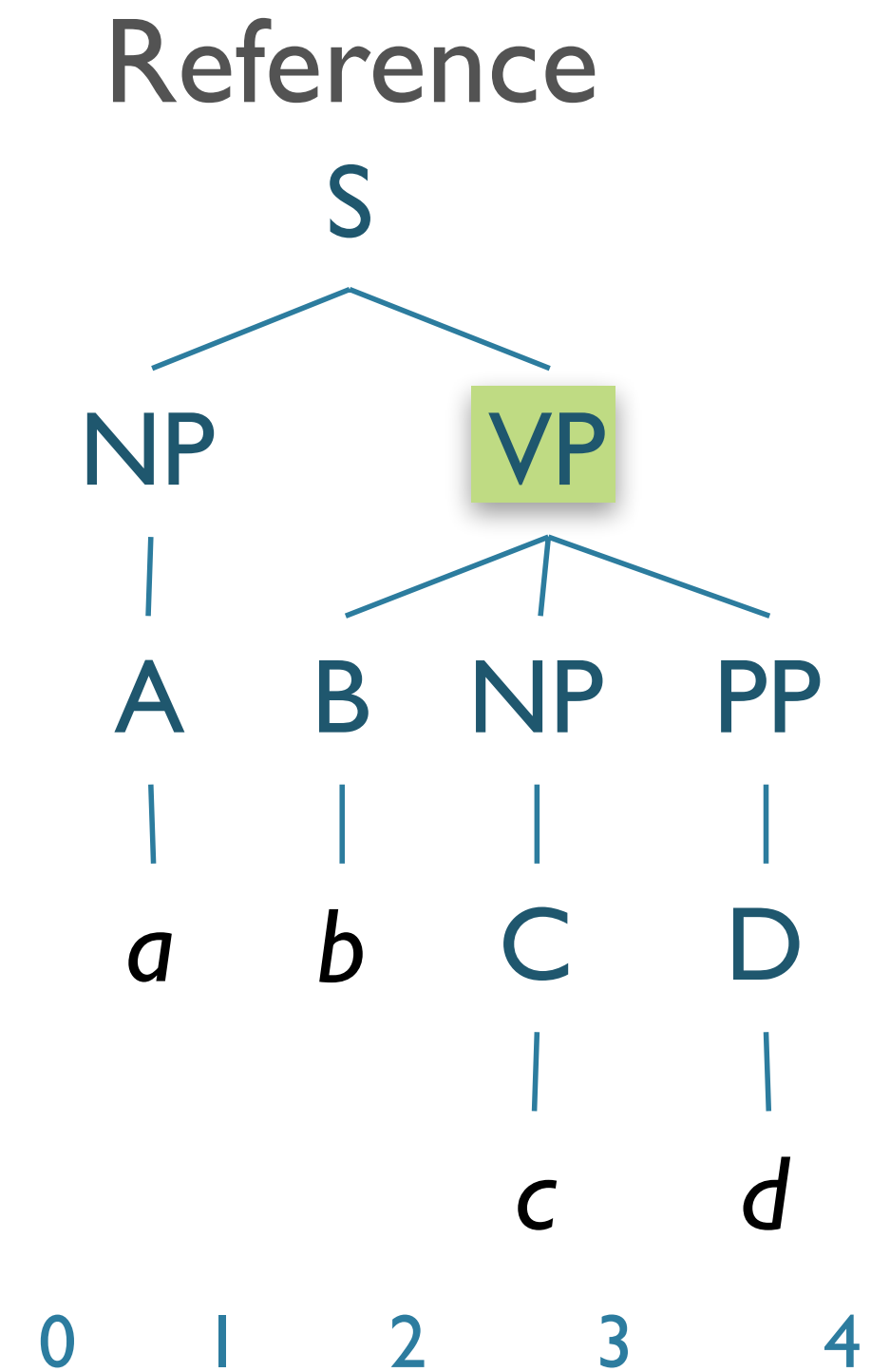


S(0,4)  
NP(0,1)

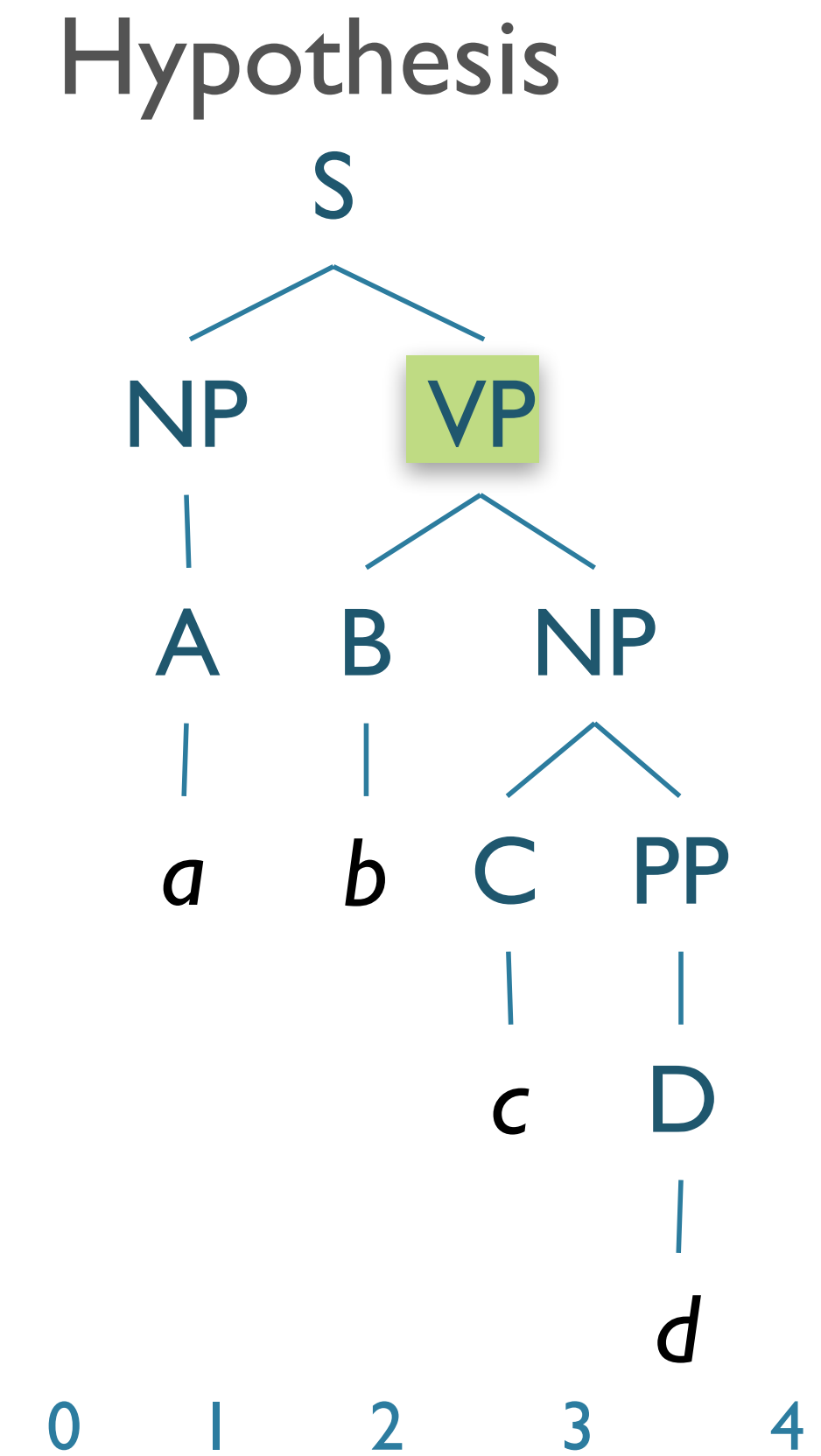


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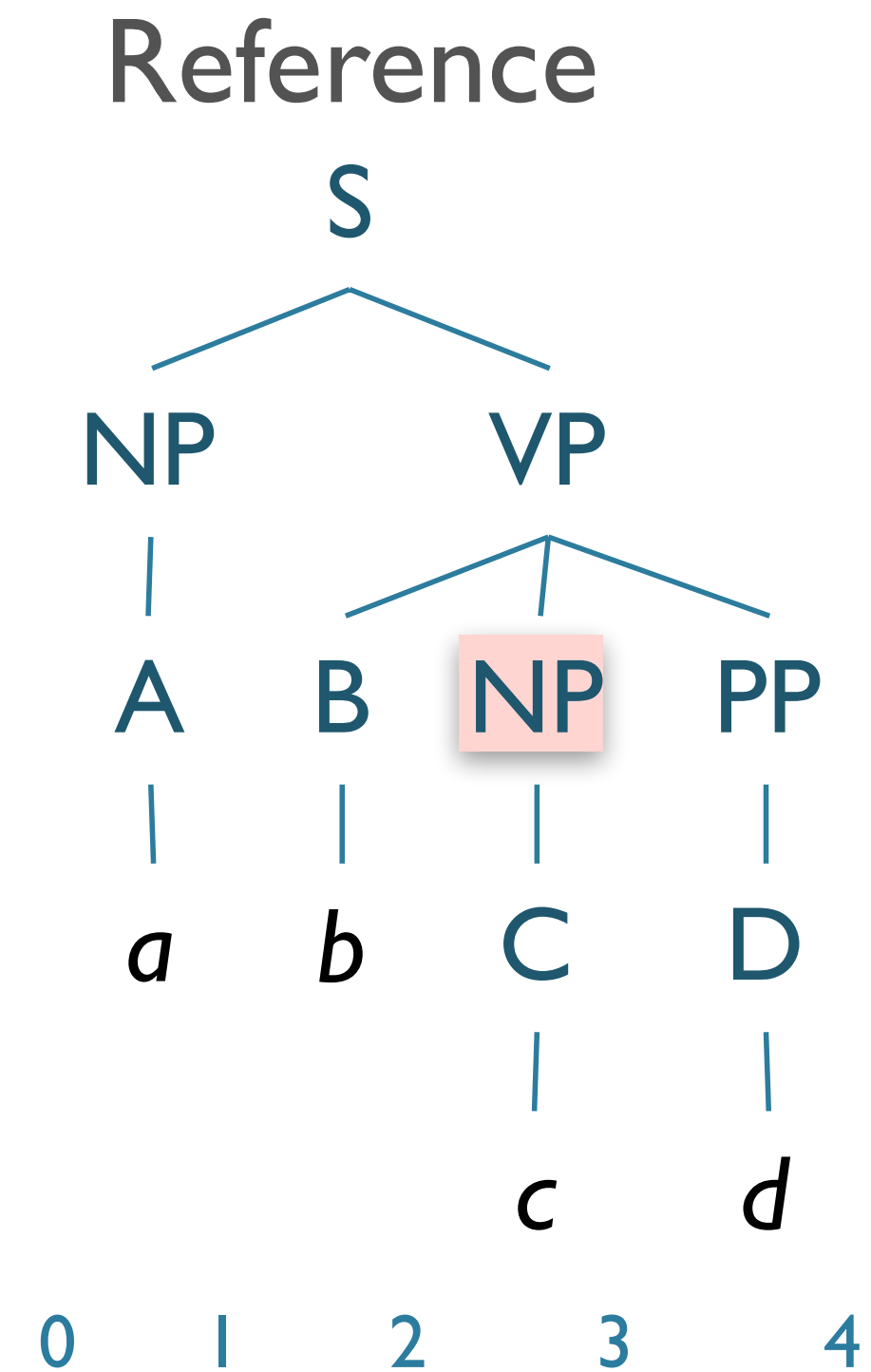


S(0,4)  
NP(0,1)  
VP(1,4)

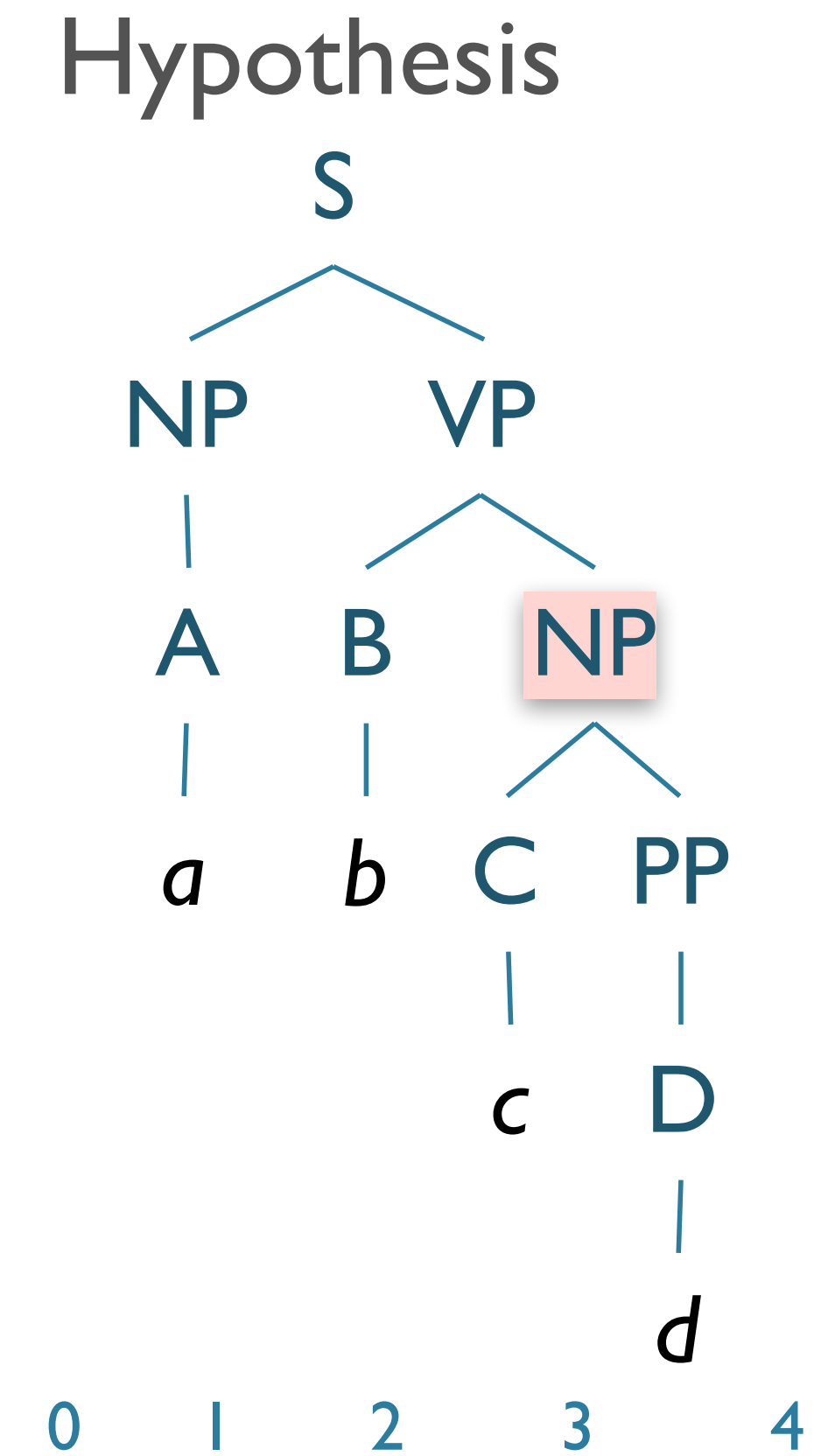


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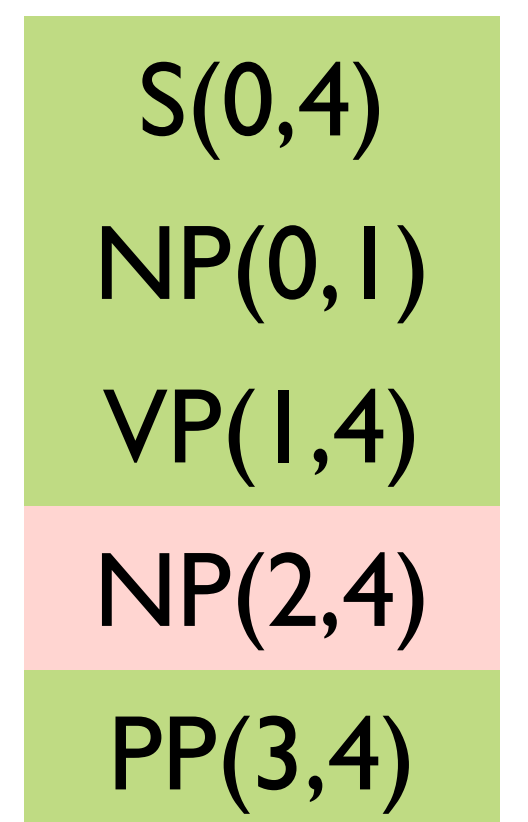
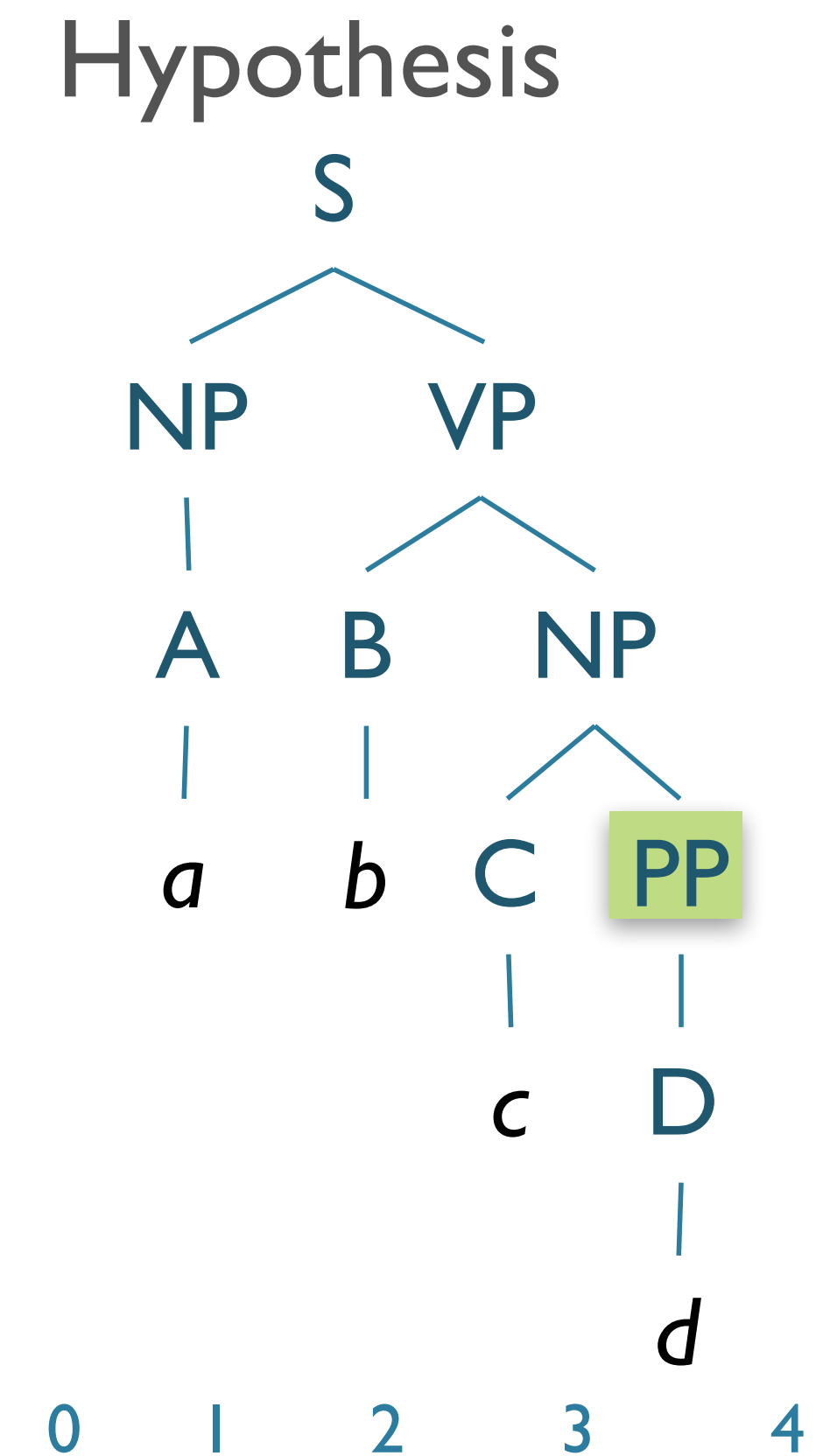
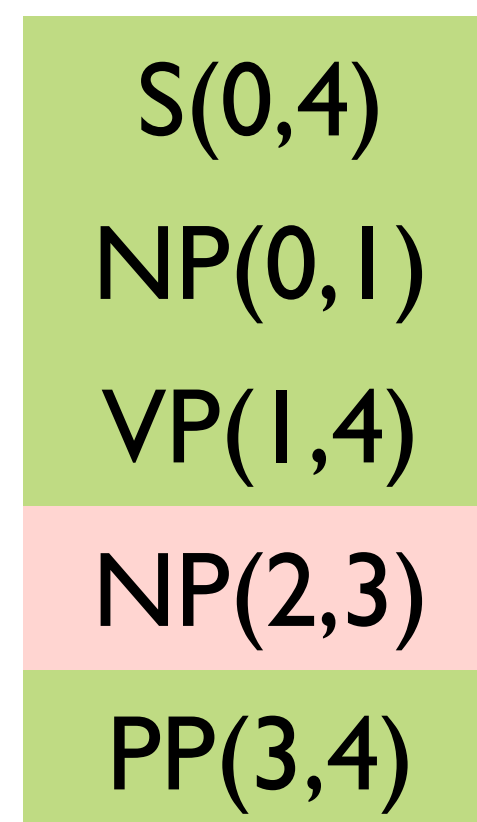
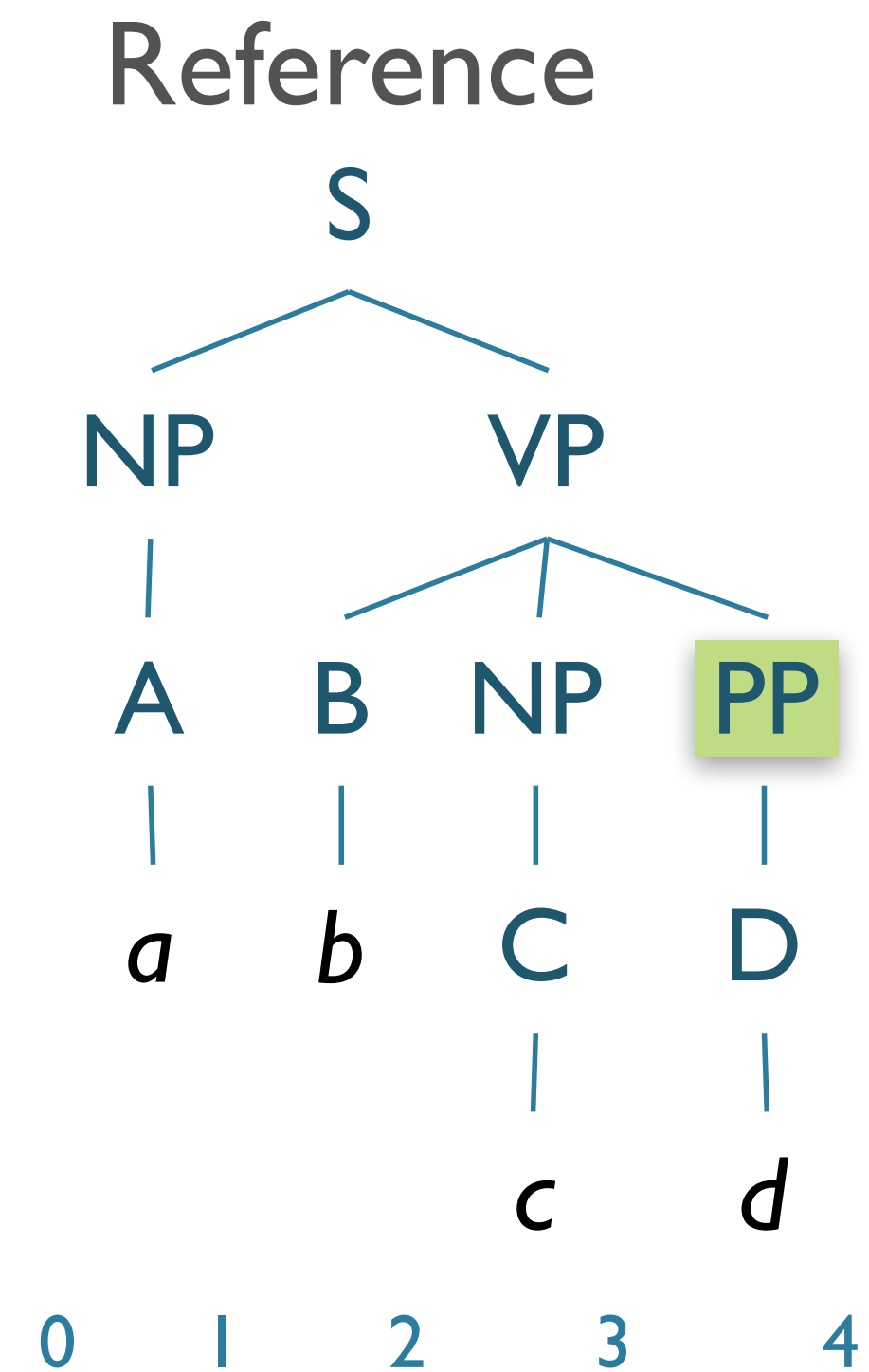


S(0,4)  
NP(0,1)  
VP(1,4)  
NP(2,3)

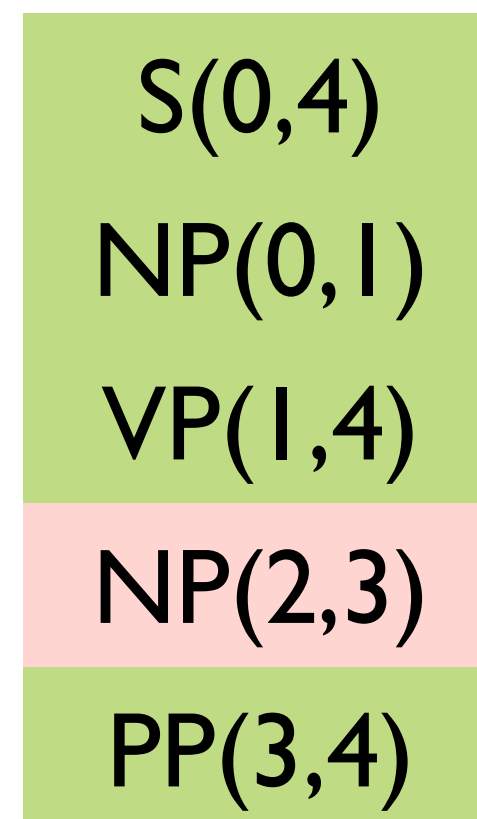
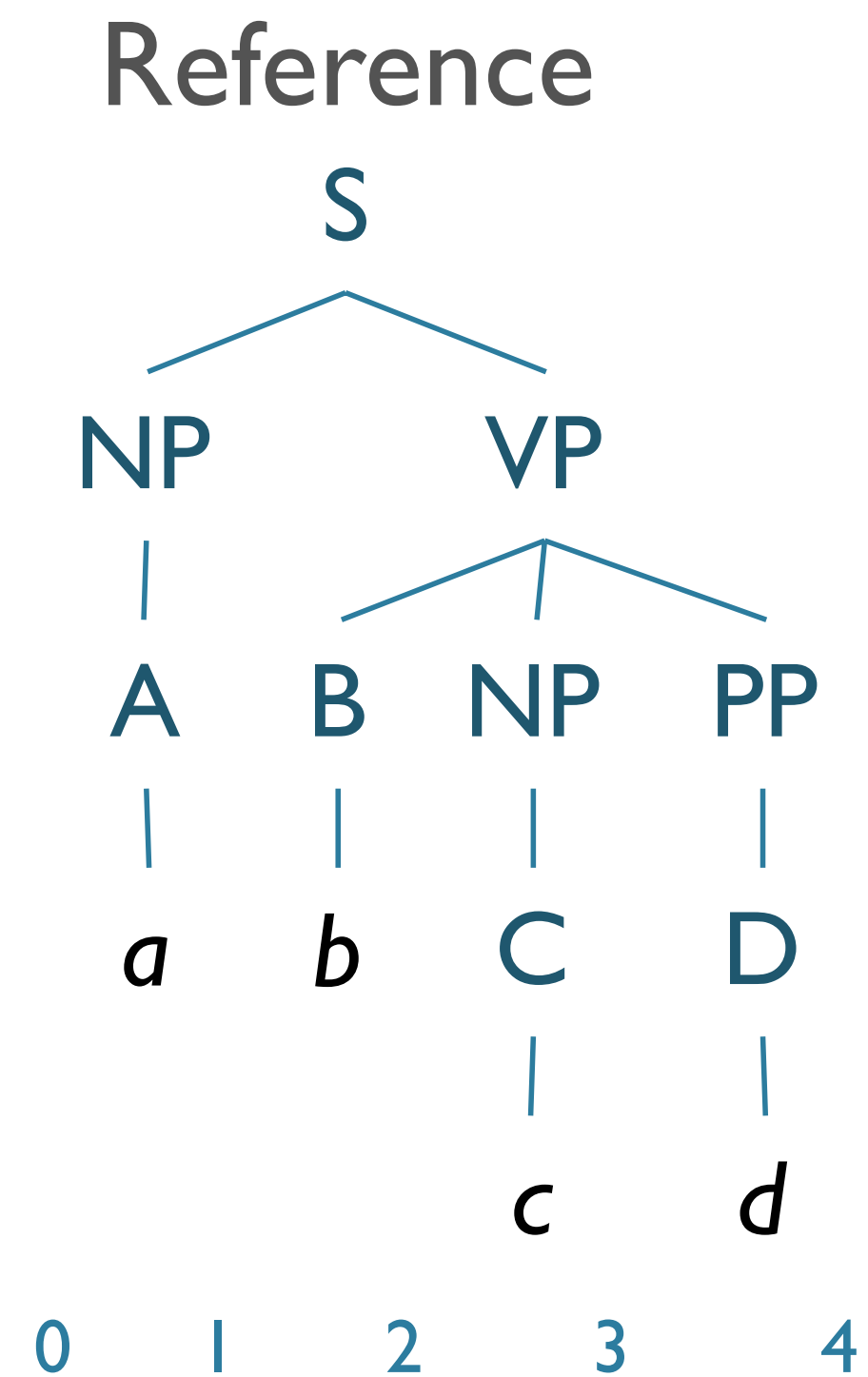


S(0,4)  
NP(0,1)  
VP(1,4)  
NP(2,4)

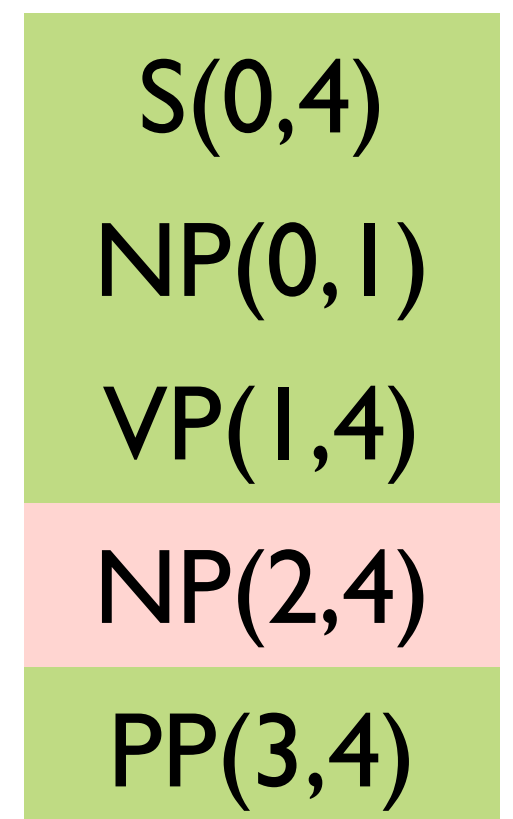
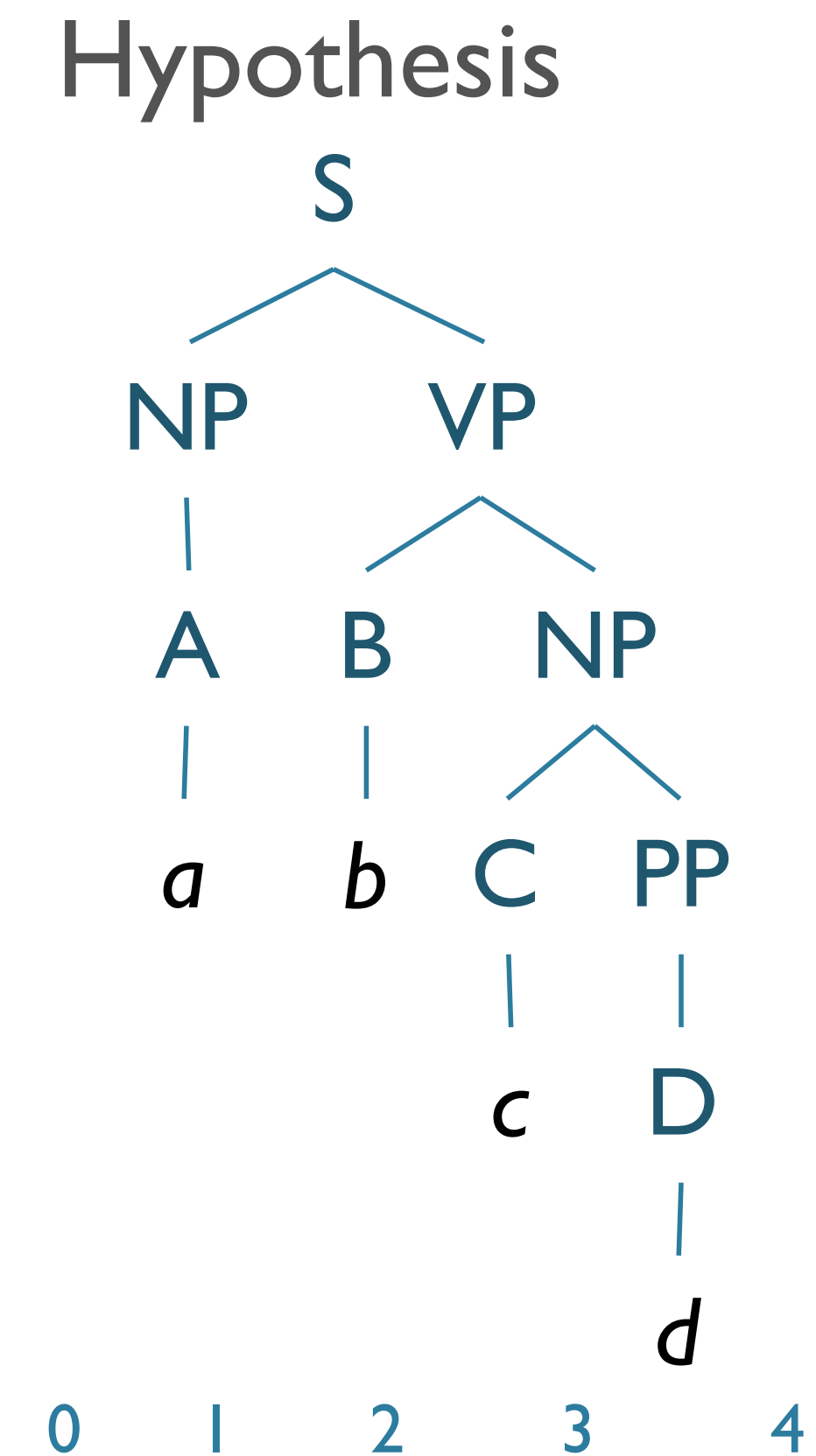
# Evaluation: Example



# Evaluation: Example

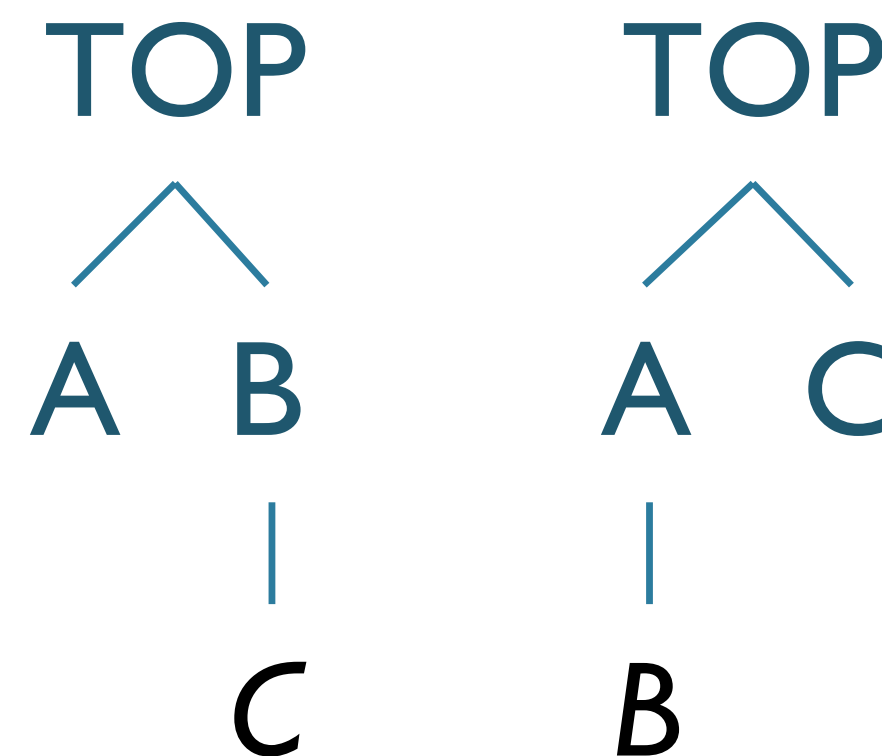


|                  |     |
|------------------|-----|
| LP:              | 4/5 |
| LR:              | 4/5 |
| F <sub>1</sub> : | 4/5 |



# Parser Evaluation

- Crossing Brackets:
  - # of constituents where produced parse has bracketings that overlap for the siblings:
  - ((A B) C) — { (0,2), (2,3) }  
and hyp. has  
(A (B C)) — { (0,1), (1, 3) }



```
/* crossing is counted based on the brackets */
/* in test rather than gold file (by Mike) */
for(j=0;j<bn2;j++){
  for(i=0;i<bn1;i++){
    if(bracket1[i].result != 5 &&
       bracket2[j].result != 5 &&
       ((bracket1[i].start < bracket2[j].start &&
         bracket1[i].end > bracket2[j].start &&
         bracket1[i].end < bracket2[j].end) ||
        (bracket1[i].start > bracket2[j].start &&
         bracket1[i].start < bracket2[j].end &&
         bracket1[i].end > bracket2[j].end))){
```

from evalb.c

# State-of-the-Art Parsing

- Parsers trained/tested on Wall Street Journal PTB
  - LR: 90%+;
  - LP: 90%+;
  - Crossing brackets: 1%
- Standard implementation of Parseval:
  - **evalb**

# Evaluation Issues

- Only evaluating constituency
- There are other grammar formalisms:
  - LFG (Constraint-based)
  - Dependency Structure
- **Extrinsic** evaluation
  - How well does getting the correct parse match the semantics, etc?



# Earley Parsing

# Earley vs. CKY

- CKY doesn't capture full original structure
  - Can back-convert binarization, terminal conversion
  - Unit non-terminals require change in CKY

# Earley vs. CKY

- CKY doesn't capture full original structure
  - Can back-convert binarization, terminal conversion
  - Unit non-terminals require change in CKY
- Earley algorithm
  - Supports parsing efficiently with arbitrary grammars
  - Top-down search
  - Dynamic programming
    - Tabulated partial solutions
  - Some bottom-up constraints

# Earley Algorithm

- Another dynamic programming solution
  - Partial parses stored in “chart”
  - Compactly encodes ambiguity
  - $O(N^3)$
- Chart entries contain:
  - Subtree for a single grammar rule
  - Progress in completing subtree
  - Position of subtree w.r.t. input

# Earley Algorithm

- First, left-to-right pass fills out a chart with  $N+1$  states
  - Chart entries — sit between words in the input string
  - Keep track of states of the parse at those positions
  - For each word position, chart contains set of states representing all partial parse trees generate so far
  - e.g. `chart[0]` contains all partial parse trees generated at the beginning of sentence

# Chart Entries

- Three types of constituents:
  - Predicted constituents
  - In-progress constituents
  - Completed constituents

# Parse Progress

- Represented by Dotted Rules
  - Position of • indicates type of constituent
- $_0$  Book  $_1$  that  $_2$  flight  $_3$ 
  - $S \rightarrow \cdot VP$  [0,0] (predicted)
  - $NP \rightarrow Det \cdot Nom$  [1,2] (in progress)
  - $VP \rightarrow V NP \cdot$  [0,3] (completed)
- [x,y] tells us what portion of the input is spanned so far by rule
- Each state  $s_i$ :  $\langle dotted\ rule \rangle, [\langle back\ pointer \rangle, \langle current\ position \rangle]$

$_0$  Book  $_1$  that  $_2$  flight  $_3$

- $S \rightarrow \cdot VP, [0,0]$ 
  - First 0 means S constituent begins at the start of input
  - Second 0 means the dot is here too
  - So, this is a top-down prediction

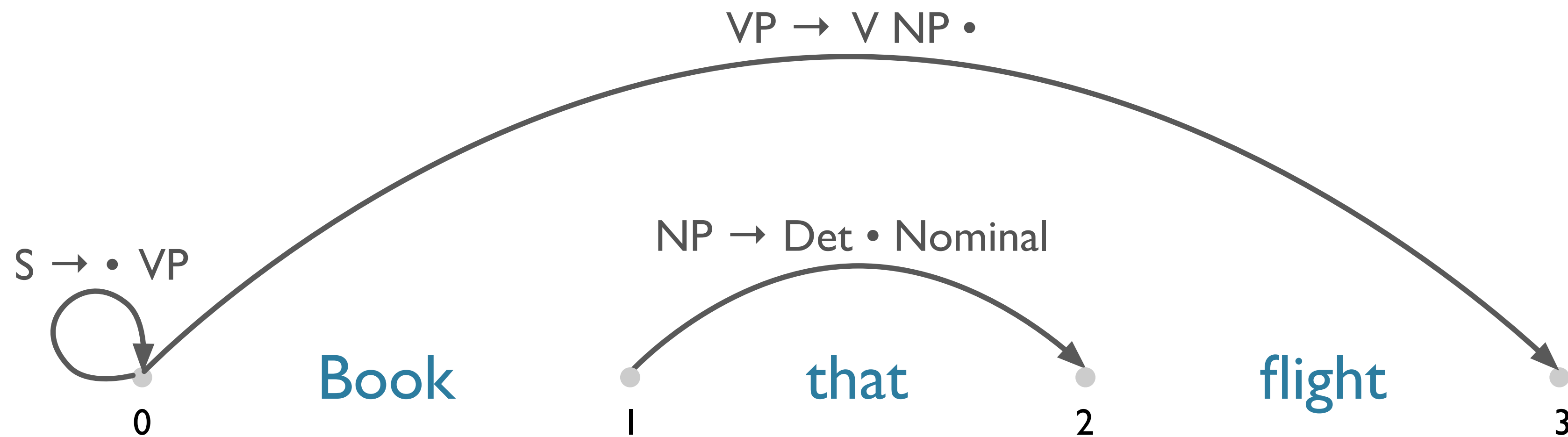


<sub>0</sub> Book <sub>1</sub> that <sub>2</sub> flight <sub>3</sub>

- $S \rightarrow \cdot VP, [0,0]$ 
  - First 0 means S constituent begins at the start of input
  - Second 0 means the dot is here too
  - So, this is a top-down prediction
- $NP \rightarrow Det \cdot Nom, [1,2]$ 
  - the NP begins at position 1
  - the dot is at position 2
  - so, Det has been successfully parsed
  - Nom predicted next

# $_0$ Book $_1$ that $_2$ flight $_3$ (continued)

- $V \rightarrow V NP \cdot [0,3]$
- Successful VP parse of entire input



# Successful Parse

- Final answer found by looking at last entry in chart
- If entry resembles  $S \rightarrow \alpha \cdot [0,N]$  then input parsed successfully
- Chart will also contain record of all possible parses of input string, given the grammar

# Parsing Procedure for the Earley Algorithm

- Move through each set of states in order, applying one of three operations:
  - **predictor**: add predictions to the chart
  - **scanner**: read input and add corresponding state to chart
  - **completer**: move dot to right when new constituent found
- Results (new states) added to current or next set of states in chart
- No backtracking and no states removed: keep complete history of parse

# Earley Algorithm

```
function EARLEY-PARSE(words, grammar) returns chart
  ENQUEUE( $(\gamma \rightarrow \bullet S, [0,0])$ , chart[0])
  for  $i \leftarrow$  from 0 to LENGTH(words) do
    for each state in chart[i] do
      if INCOMPLETE?(state) and
        NEXT-CAT(state) is not a part of speech then
        PREDICTOR(state)
      elseif INCOMPLETE?(state) and
        NEXT-CAT(state) is a part of speech then
        SCANNER(state)
      else
        COMPLETER(state)
      end
    end
  end
  return(chart)
```

# Earley Algorithm

```
procedure PREDICTOR(( $A \rightarrow a \bullet B \beta$ ,  $[i,j]$ ))  
  for each ( $B \rightarrow \gamma$ ) in GRAMMAR-RULES-FOR( $B, grammar$ ) do  
    ENQUEUE(( $B \rightarrow \bullet \gamma$ ,  $[j,j]$ ),  $chart[j]$ )  
  end
```

```
procedure SCANNER(( $A \rightarrow a \bullet B \beta$ ,  $[i,j]$ ))  
  if  $B \in \text{PARTS-OF-SPEECH}(word[j])$  then  
    ENQUEUE(( $B \rightarrow word[j] \bullet$ ,  $[j,j+1]$ ),  $chart[j+1]$ )
```

```
procedure COMPLETER(( $B \rightarrow \gamma \bullet$ ,  $[j,k]$ ))  
  for each ( $A \rightarrow a \bullet B \beta$ ,  $[i,j]$ ) in  $chart[j]$  do  
    ENQUEUE(( $A \rightarrow a B \bullet \beta$ ,  $[i,k]$ ),  $chart[k]$ )  
  end
```

# 3 Main Subroutines of Earley

- Predictor
  - Adds predictions into the chart
- Scanner
  - Reads the input words and enters states representing those words into the chart
- Completer
  - Moves the dot to the right when new constituents are found

# Predictor

- Intuition:
  - Create new state for top-down prediction of new phrase
- Applied when non part-of-speech non-terminals are to the right of a dot:
  - $S \rightarrow \cdot VP$  [0,0]
- Adds new states to *current* chart
  - One new state for each expansion of the non-terminal in the grammar
    - $VP \rightarrow \cdot V$  [0,0]
    - $VP \rightarrow \cdot V NP$  [0,0]



# Chart[0]

|     |                                    |       |                   |
|-----|------------------------------------|-------|-------------------|
| S0  | $\gamma \rightarrow \cdot S$       | [0,0] | Dummy start state |
| S1  | $S \rightarrow \cdot NP VP$        | [0,0] | Predictor         |
| S2  | $S \rightarrow \cdot Aux NP VP$    | [0,0] | Predictor         |
| S3  | $S \rightarrow \cdot VP$           | [0,0] | Predictor         |
| S4  | $NP \rightarrow \cdot Pronoun$     | [0,0] | Predictor         |
| S5  | $NP \rightarrow \cdot Proper-Noun$ | [0,0] | Predictor         |
| S6  | $NP \rightarrow \cdot Det Nominal$ | [0,0] | Predictor         |
| S7  | $VP \rightarrow \cdot Verb$        | [0,0] | Predictor         |
| S8  | $VP \rightarrow \cdot Verb NP$     | [0,0] | Predictor         |
| S9  | $VP \rightarrow \cdot Verb NP PP$  | [0,0] | Predictor         |
| S10 | $VP \rightarrow \cdot Verb PP$     | [0,0] | Predictor         |
| S11 | $VP \rightarrow \cdot VP PP$       | [0,0] | Predictor         |

# Chart[1]

|     |                                    |       |           |
|-----|------------------------------------|-------|-----------|
| S12 | $Verb \rightarrow book \cdot$      | [0,1] | Scanner   |
| S13 | $VP \rightarrow Verb \cdot$        | [0,1] | Completer |
| S14 | $VP \rightarrow Verb \cdot NP$     | [0,1] | Completer |
| S15 | $VP \rightarrow Verb \cdot NP PP$  | [0,1] | Completer |
| S16 | $VP \rightarrow Verb \cdot PP$     | [0,1] | Completer |
| S17 | $S \rightarrow VP \cdot$           | [0,1] | Completer |
| S18 | $VP \rightarrow VP \cdot PP$       | [0,1] | Completer |
| S19 | $NP \rightarrow \cdot Pronoun$     | [1,1] | Predictor |
| S20 | $NP \rightarrow \cdot Proper-Noun$ | [1,1] | Predictor |
| S21 | $NP \rightarrow \cdot Det Nominal$ | [1,1] | Predictor |
| S22 | $PP \rightarrow \cdot Prep NP$     | [1,1] | Predictor |

# *Book that flight*

S0:  $\gamma \rightarrow \bullet S[0,0]$

$\gamma$   
|  
 $\bullet S$

# *Book that flight*

S0:  $\gamma \rightarrow \cdot S [0,0]$

S3:  $S \rightarrow \cdot VP [0,0]$

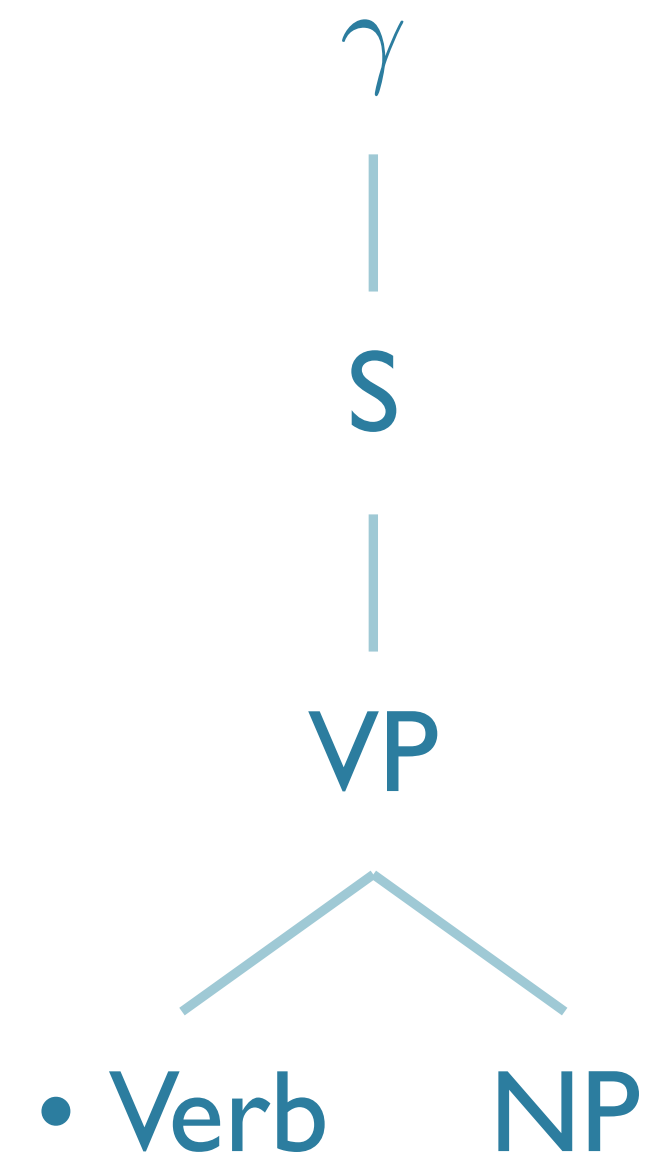
$\gamma$   
|  
S  
|  
 $\bullet VP$

# *Book that flight*

S0:  $\gamma \rightarrow \cdot S [0,0]$

S3:  $S \rightarrow \cdot VP [0,0]$

S8:  $VP \rightarrow \cdot Verb NP [0,0]$



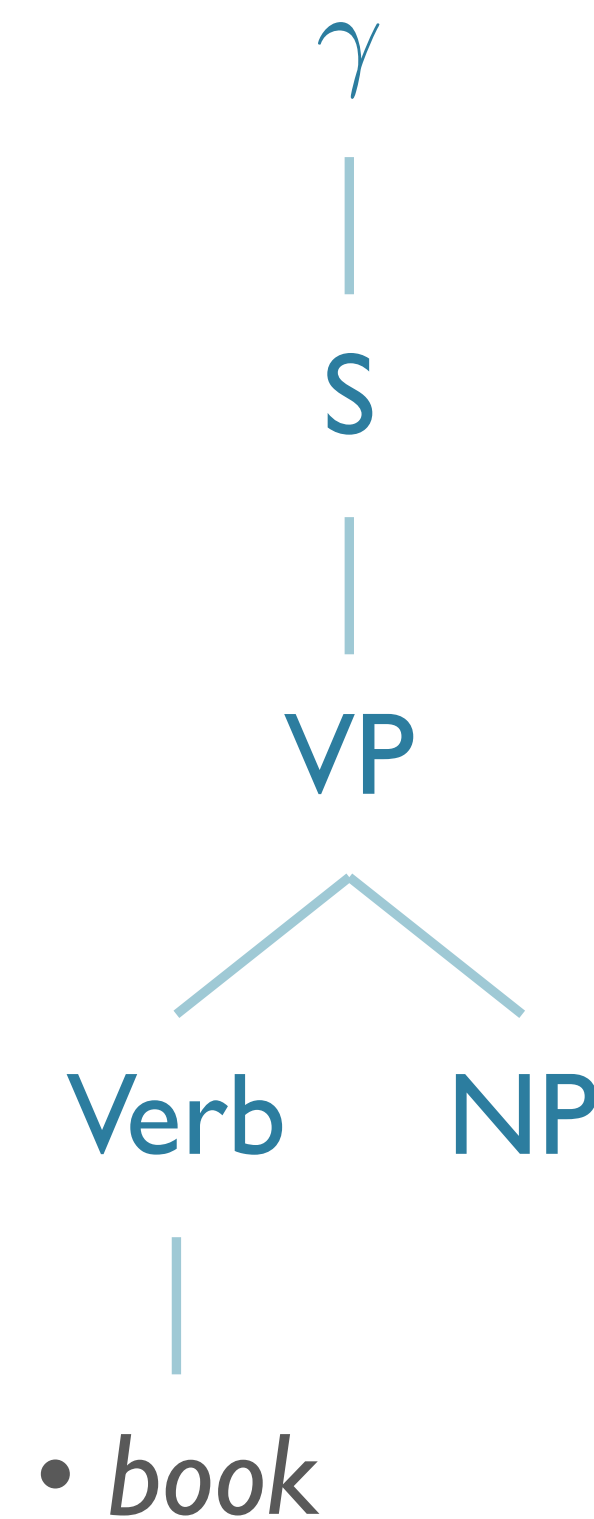
# *Book that flight*

S0:  $\gamma \rightarrow \cdot S$  [0,0]

S3:  $S \rightarrow \cdot VP$  [0,0]

S8:  $VP \rightarrow \cdot Verb\ NP$  [0,0]

S12:  $Verb \rightarrow \cdot book$  [0,0]



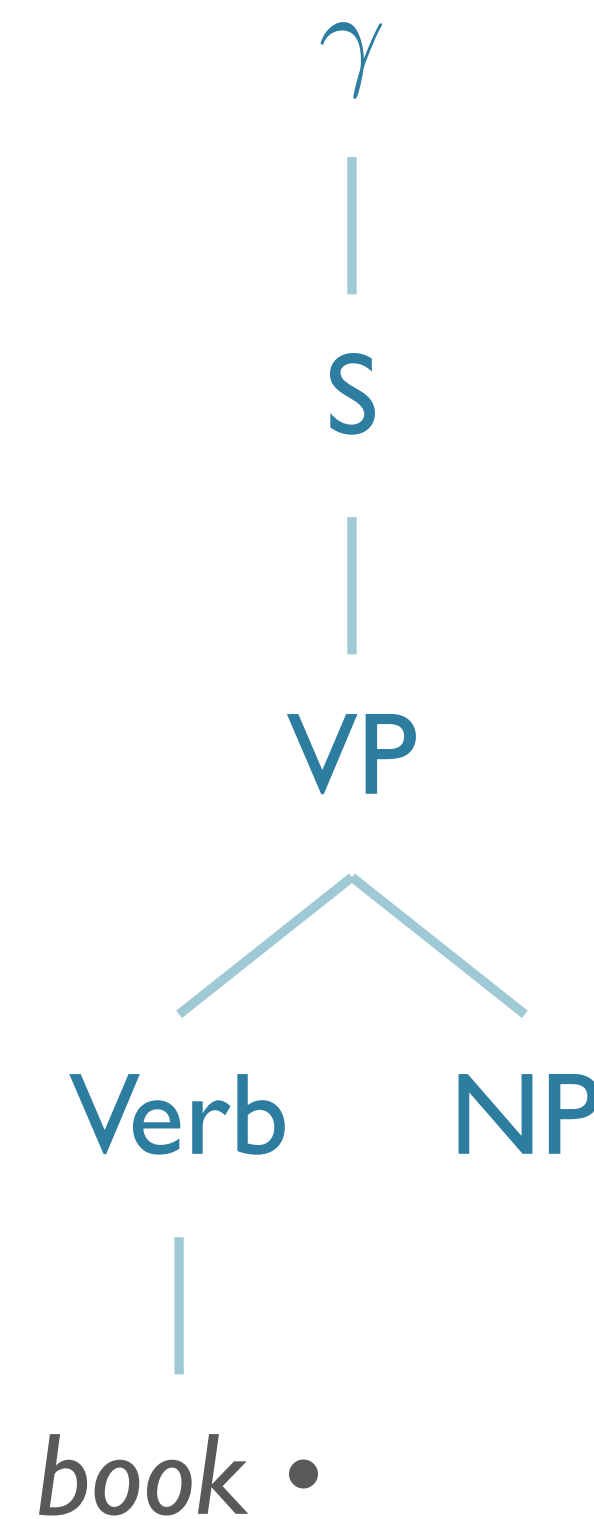
# *Book that flight*

S0:  $\gamma \rightarrow \cdot S$  [0,0]

S3:  $S \rightarrow \cdot VP$  [0,0]

S8:  $VP \rightarrow \cdot Verb NP$  [0,0]

S12:  $Verb \rightarrow book \cdot$  [0,1]

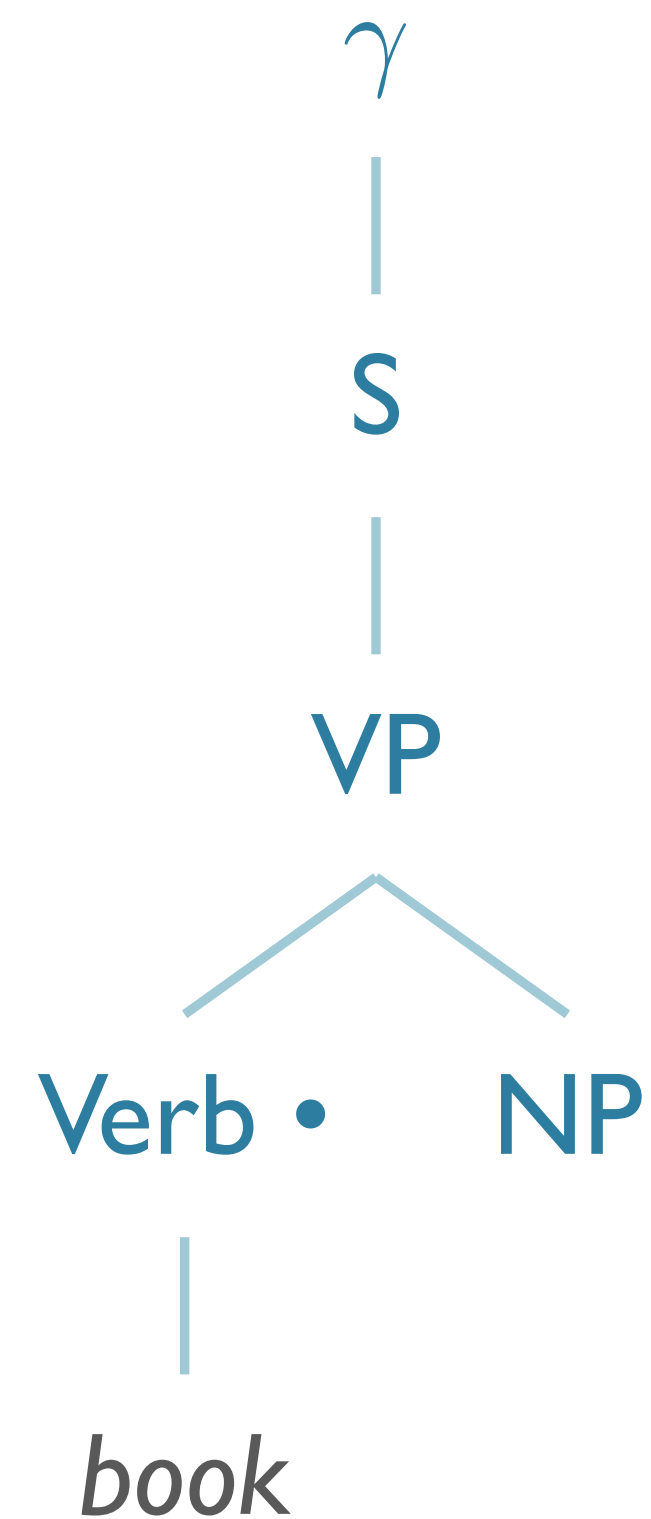


# *Book that flight*

S0:  $\gamma \rightarrow \cdot S$  [0,0]

S3:  $S \rightarrow \cdot VP$  [0,0]

S8:  $VP \rightarrow Verb \cdot NP$  [0,1]



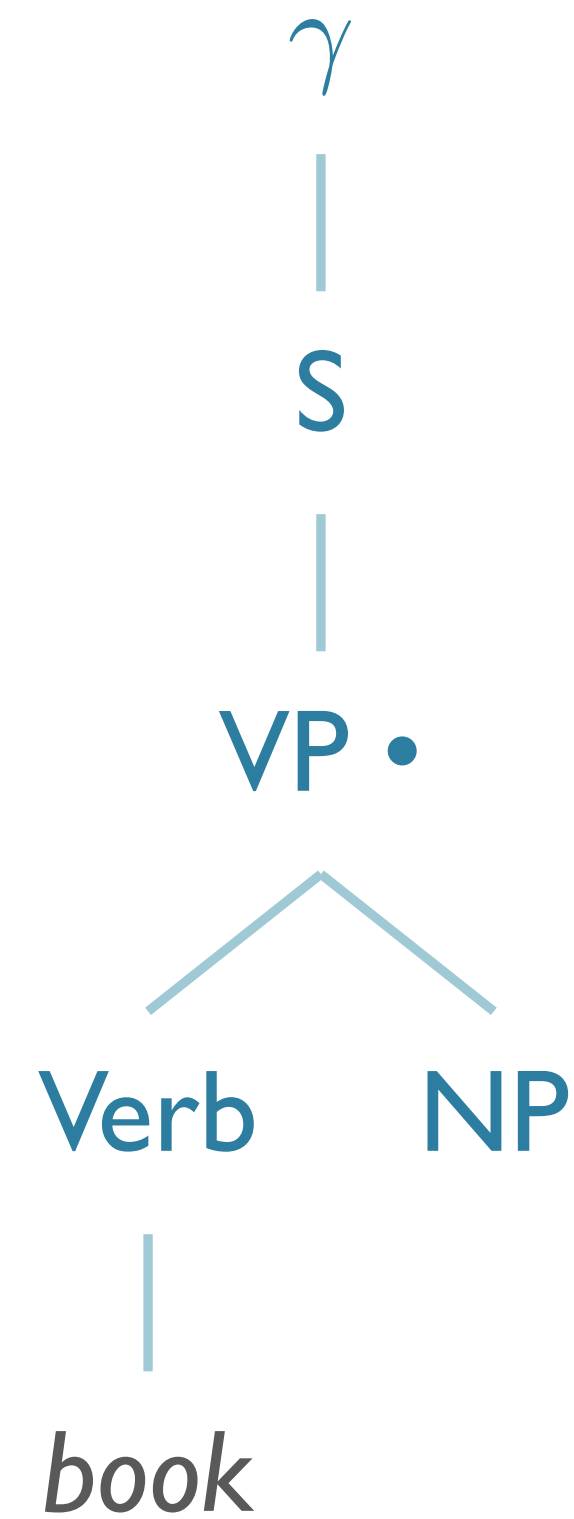


# *Book that flight*

S0:  $\gamma \rightarrow \cdot S$  [0,0]

S3:  $S \rightarrow VP \cdot$  [0,1]

S8:  $VP \rightarrow Verb \cdot NP$  [0,1]



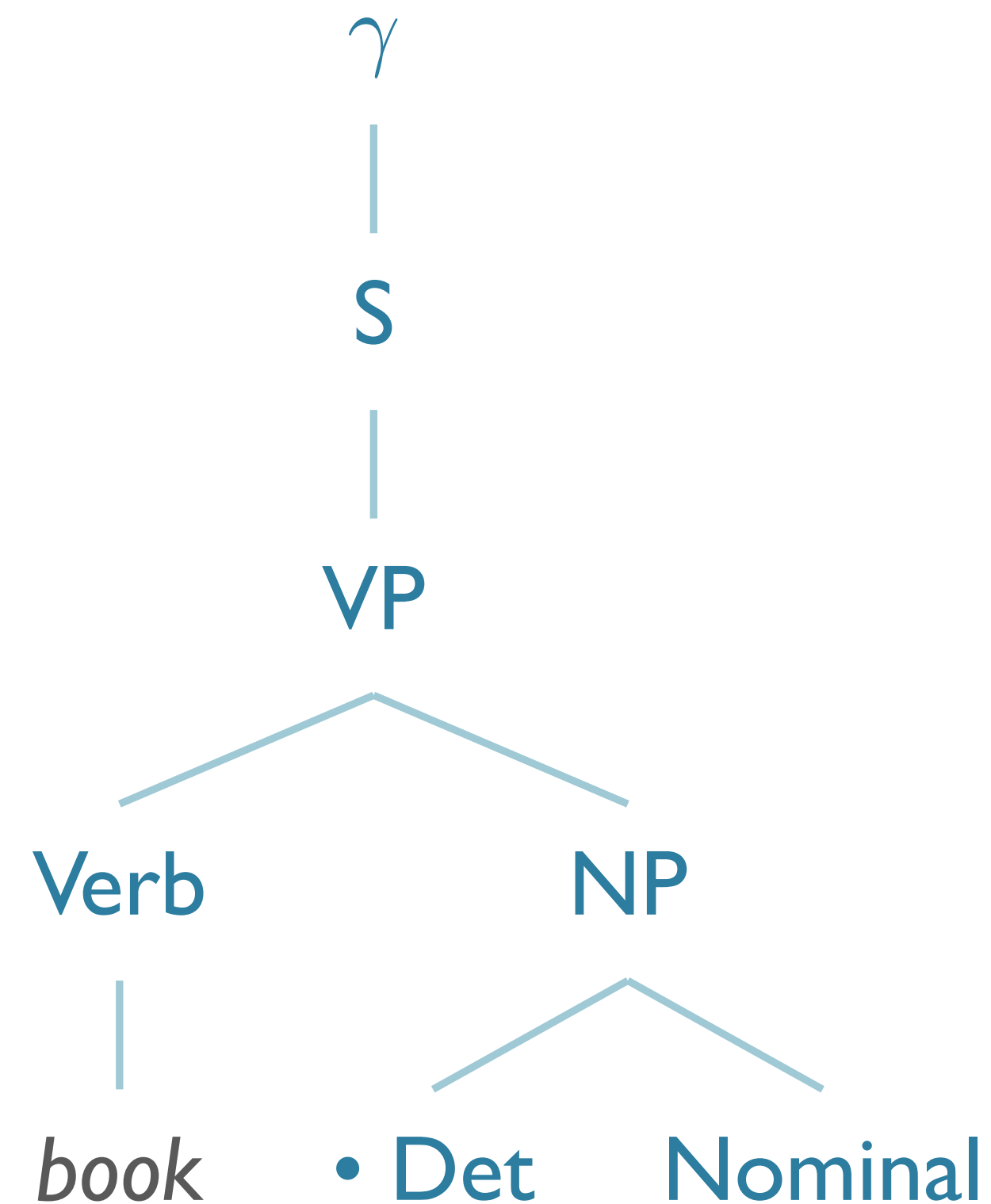
# *Book that flight*

S0:  $\gamma \rightarrow \cdot S$  [0,0]

S3:  $S \rightarrow VP \cdot$  [0,1]

S8:  $VP \rightarrow Verb \cdot NP$  [0,1]

S21:  $NP \rightarrow \cdot Det\ Nominal$  [1,1]



# *Book that flight*

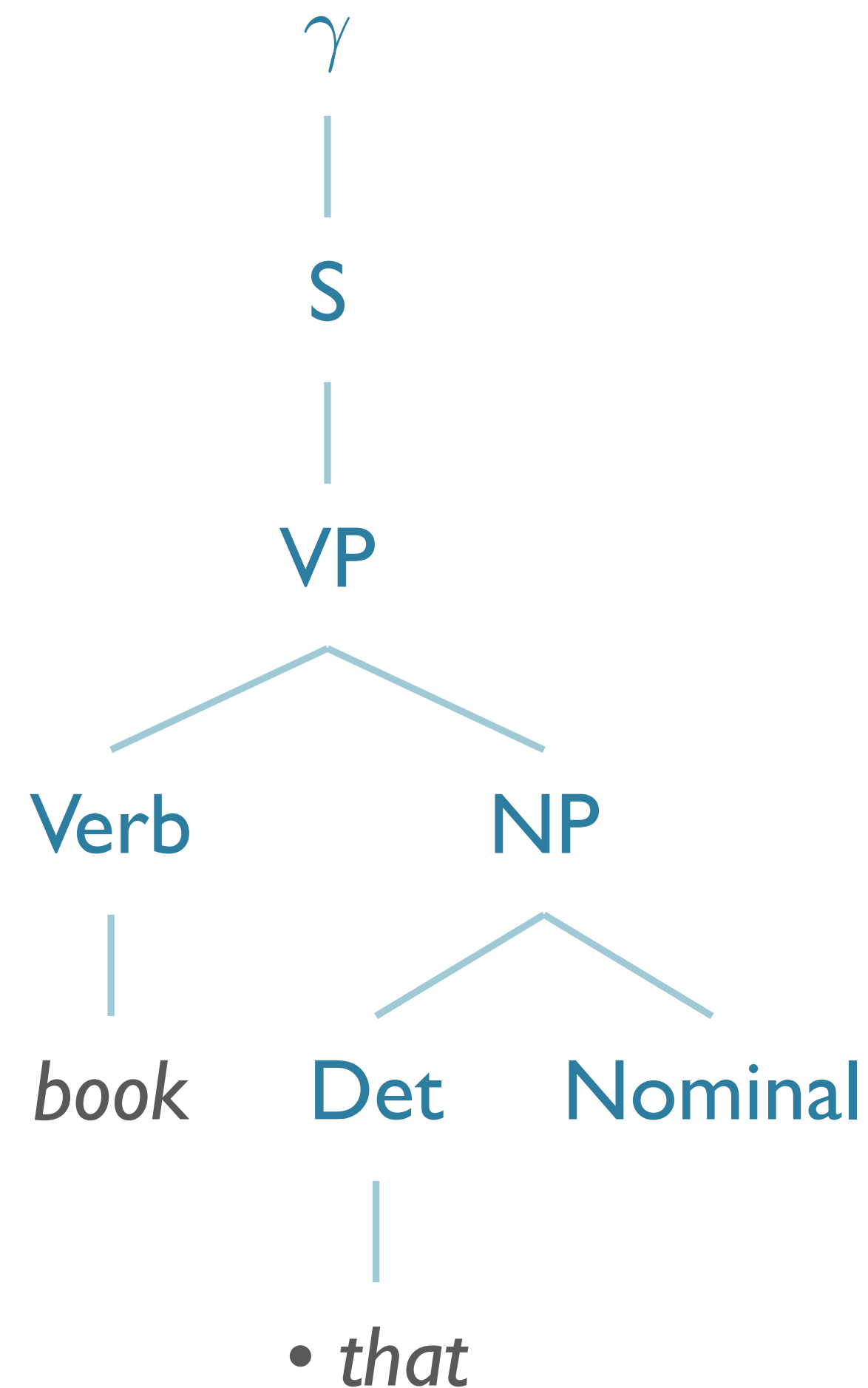
S0:  $\gamma \rightarrow \cdot S$  [0,0]

S3:  $S \rightarrow VP \cdot$  [0,1]

S8:  $VP \rightarrow Verb \cdot NP$  [0,1]

S21:  $NP \rightarrow \cdot Det\ Nominal$  [1,1]

S23:  $Det \rightarrow \cdot \text{"that"}$  [1,1]



# *Book that flight*

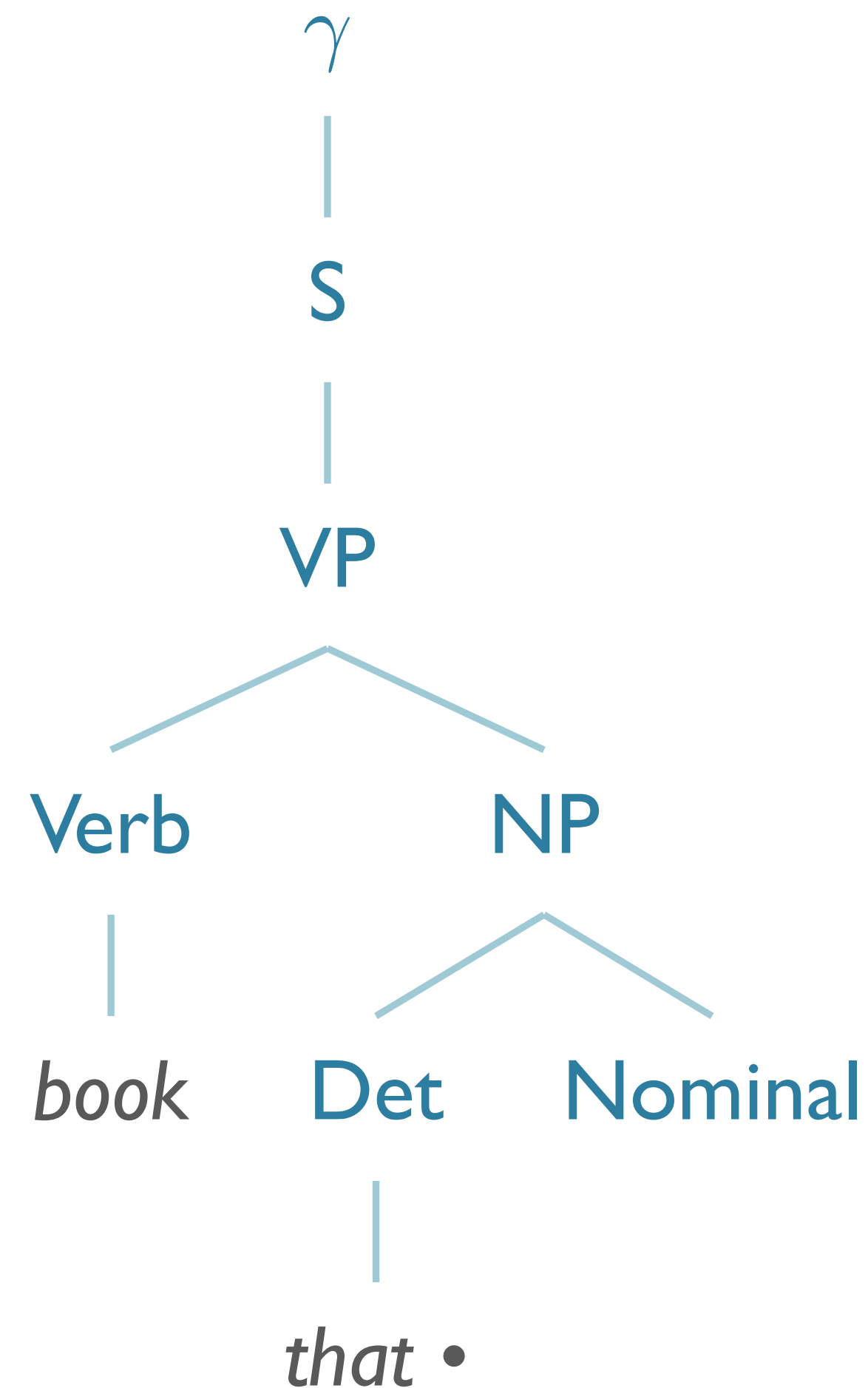
S0:  $\gamma \rightarrow \cdot S$  [0,0]

S3:  $S \rightarrow VP \cdot$  [0,1]

S8:  $VP \rightarrow Verb \cdot NP$  [0,1]

S21:  $NP \rightarrow \cdot Det\ Nominal$  [1,1]

S23:  $Det \rightarrow \textit{“that”} \cdot$  [1,2]



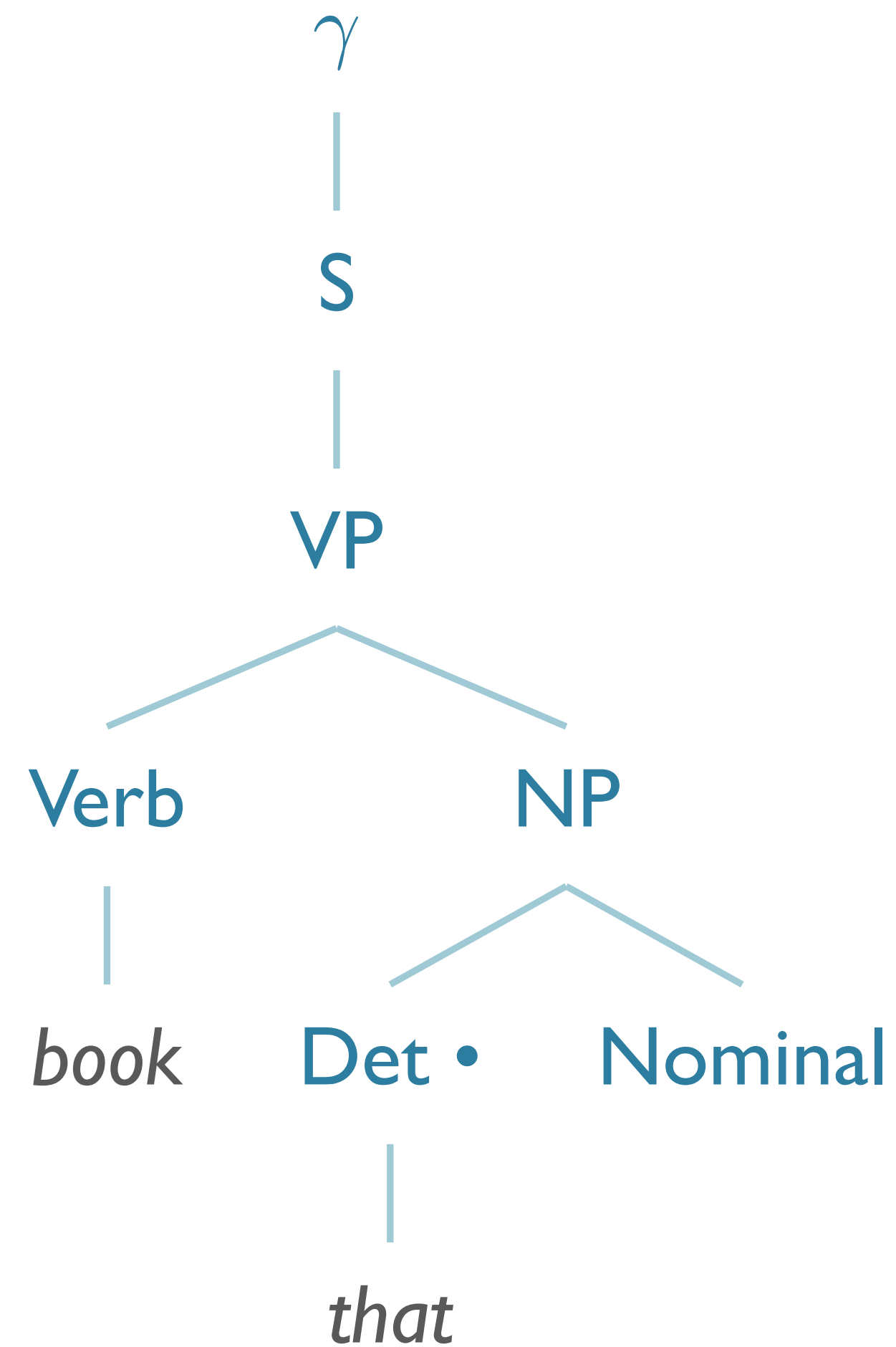
# *Book that flight*

S0:  $\gamma \rightarrow \cdot S$  [0,0]

S3:  $S \rightarrow VP \cdot$  [0,1]

S8:  $VP \rightarrow Verb \cdot NP$  [0,1]

S21:  $NP \rightarrow Det \cdot Nominal$  [1,2]



# *Book that flight*

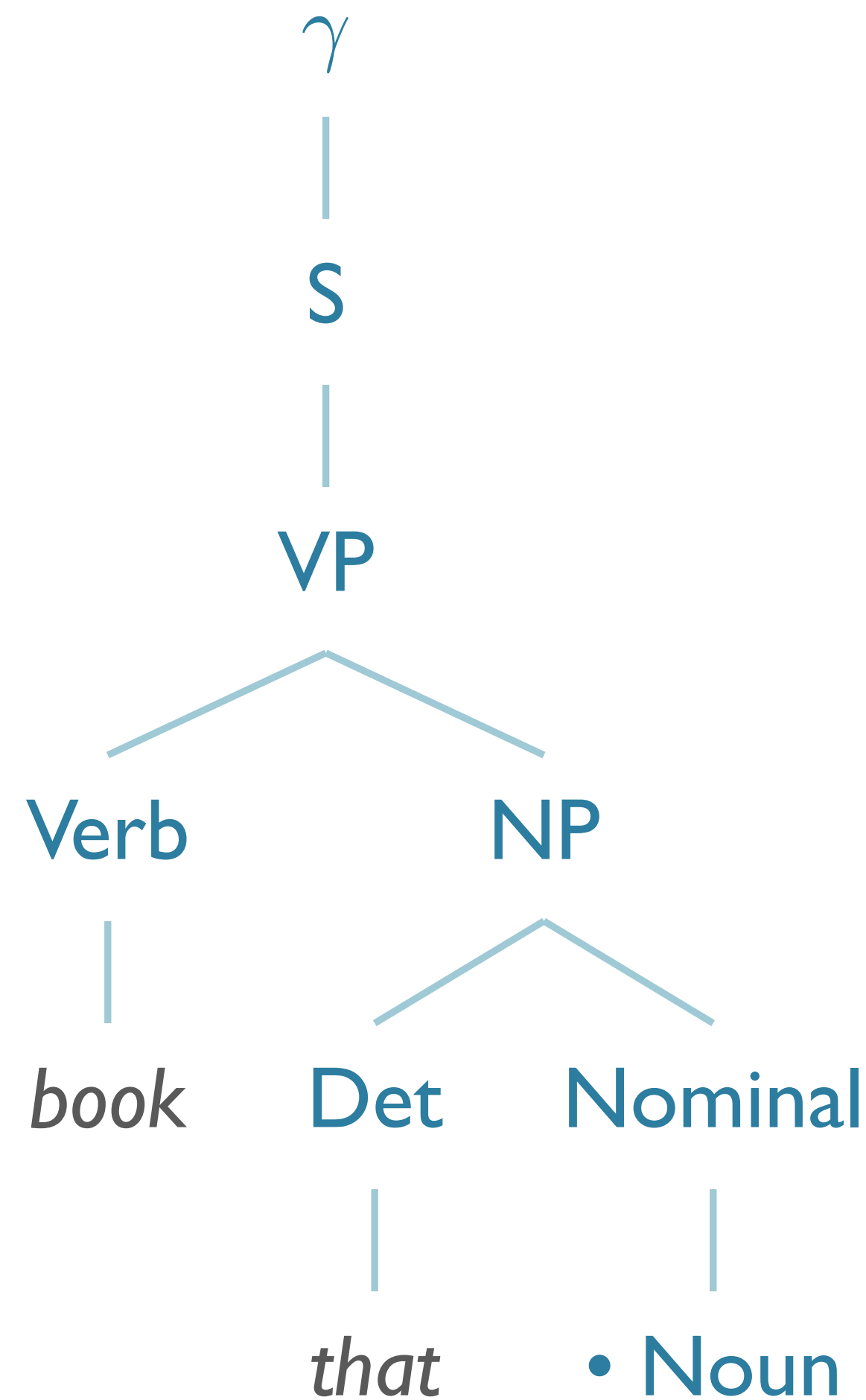
S0:  $\gamma \rightarrow \cdot S$  [0,0]

S3:  $S \rightarrow VP \cdot$  [0,1]

S8:  $VP \rightarrow Verb \cdot NP$  [0,1]

S21:  $NP \rightarrow Det \cdot Nominal$  [1,2]

S25:  $Nominal \rightarrow \cdot Noun$  [2,2]



# *Book that flight*

S0:  $\gamma \rightarrow \cdot S$  [0,0]

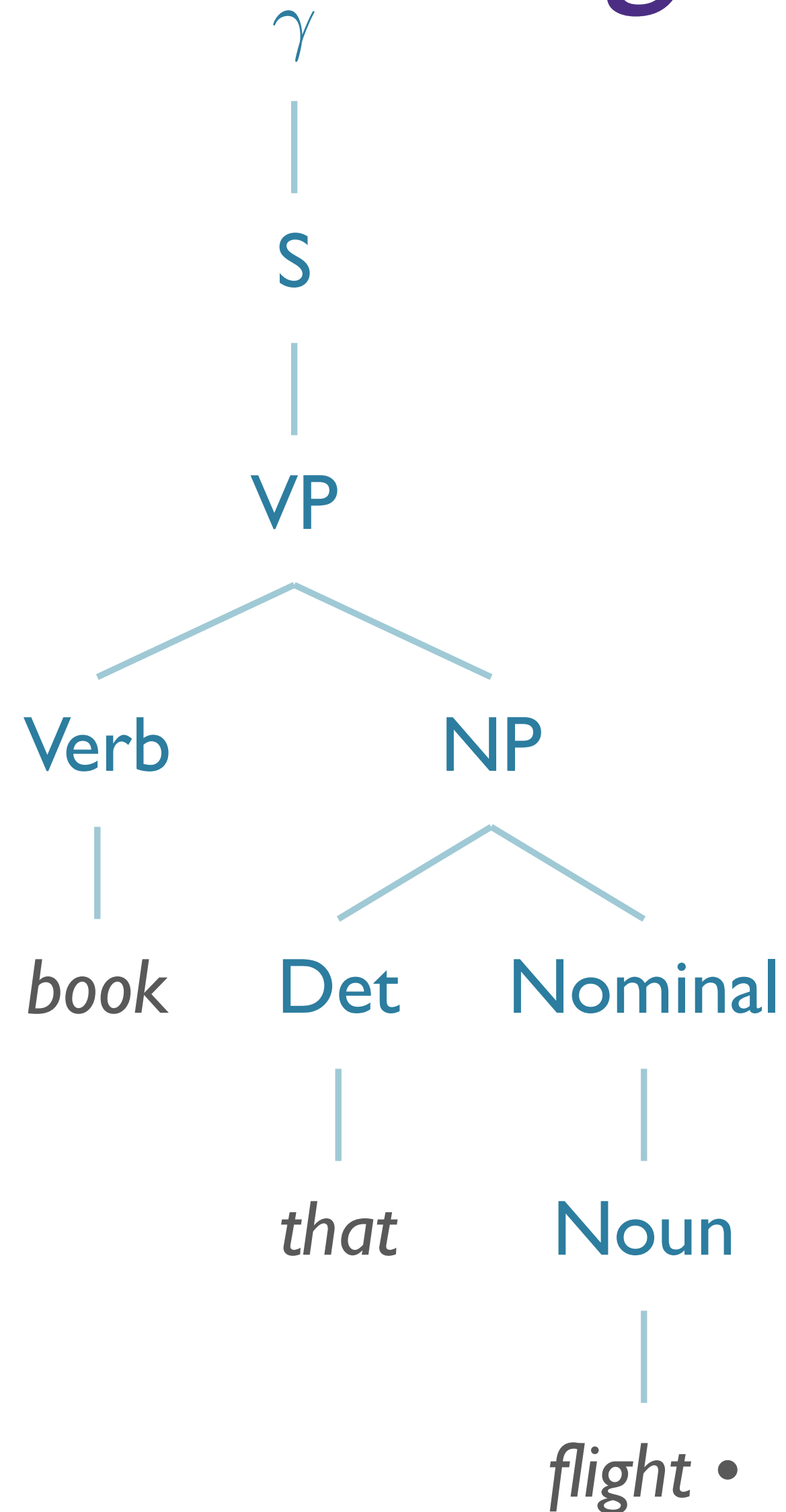
S3:  $S \rightarrow VP \cdot$  [0,1]

S8:  $VP \rightarrow Verb \cdot NP$  [0,1]

S21:  $NP \rightarrow Det \cdot Nominal$  [1,2]

S25:  $Nominal \rightarrow \cdot Noun$  [2,2]

S28:  $Noun \rightarrow \text{"flight"} \cdot$  [2,3]



# *Book that flight*

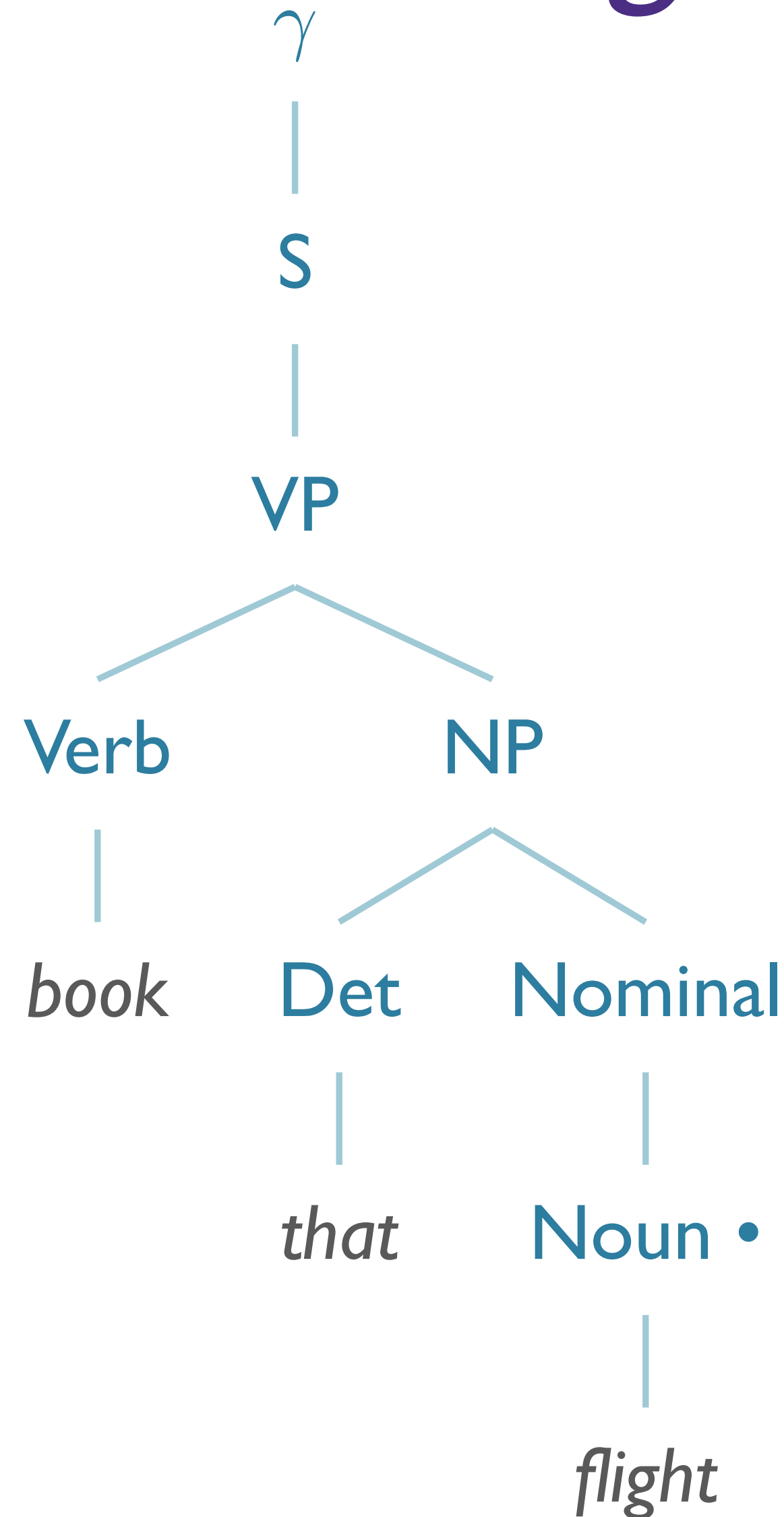
S0:  $\gamma \rightarrow \cdot S$  [0,0]

S3:  $S \rightarrow VP \cdot$  [0,1]

S8:  $VP \rightarrow Verb \cdot NP$  [0,1]

S21:  $NP \rightarrow Det \cdot Nominal$  [1,2]

S25:  $Nominal \rightarrow Noun \cdot$  [2,3]





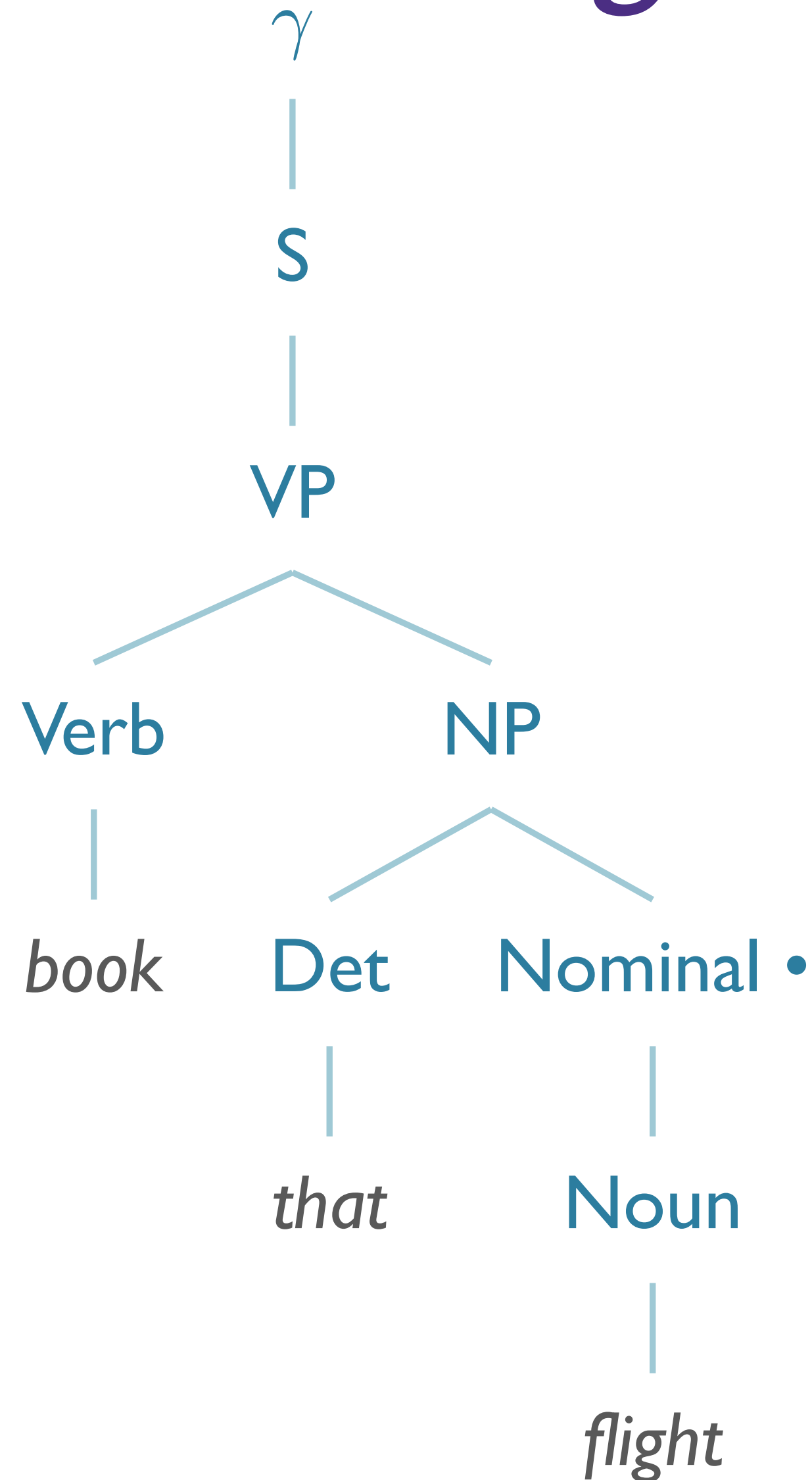
# *Book that flight*

S0:  $\gamma \rightarrow \cdot S$  [0,0]

S3:  $S \rightarrow VP \cdot$  [0,1]

S8:  $VP \rightarrow Verb \cdot NP$  [0,1]

S21:  $NP \rightarrow Det\ Nominal \cdot$  [1,3]

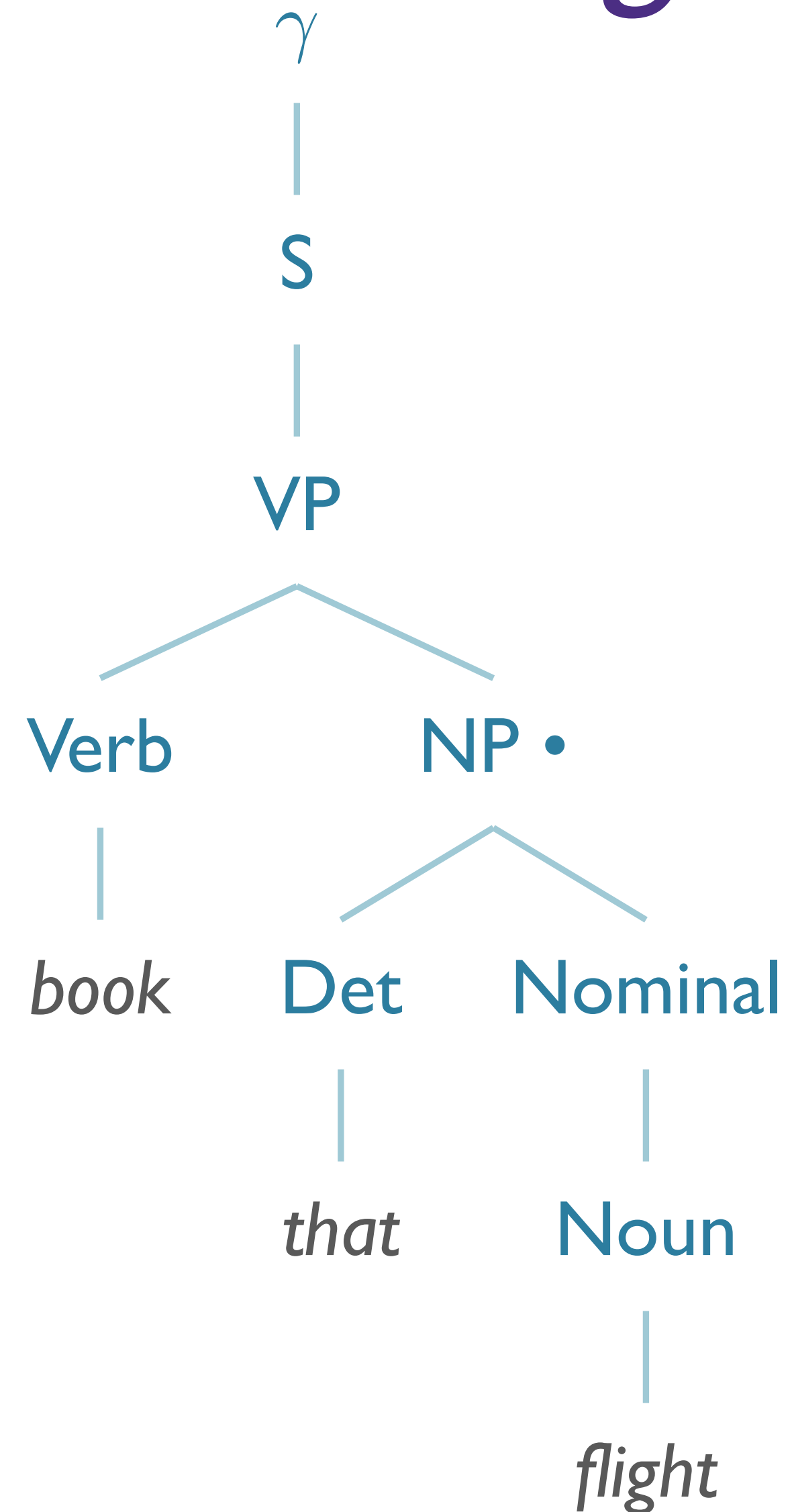


# *Book that flight*

S0:  $\gamma \rightarrow \cdot S$  [0,0]

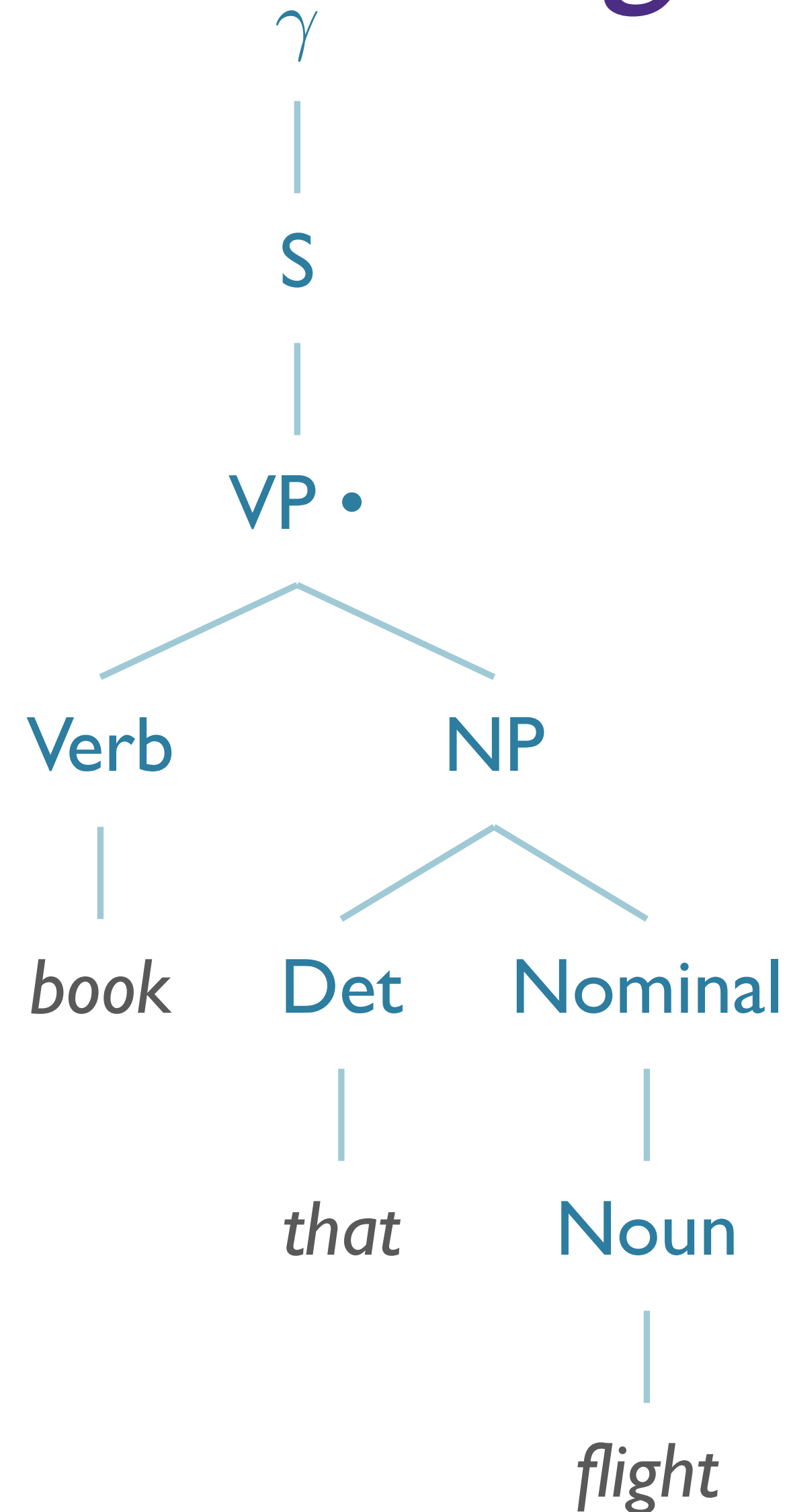
S3:  $S \rightarrow VP \cdot$  [0,1]

S8:  $VP \rightarrow Verb NP \cdot$  [0,3]



# *Book that flight*

S0:  $\gamma \rightarrow \cdot S [0,0]$   
S3:  $S \rightarrow VP \cdot [0,3]$



# What About Dead Ends?

# Book that flight

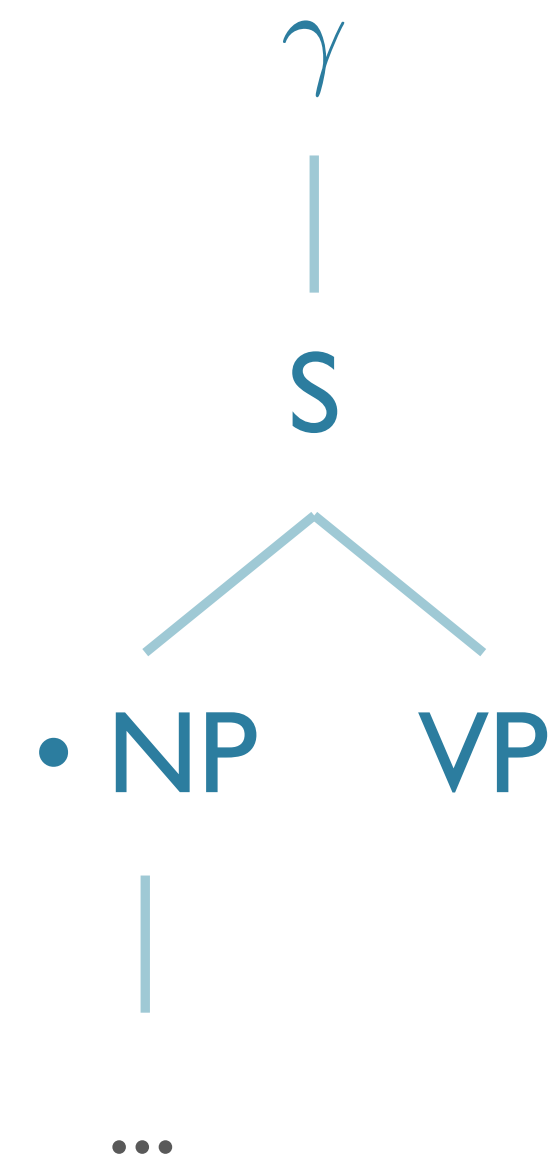
S0:  $\gamma \rightarrow \cdot S$  [0,0]

S1:  $S \rightarrow \cdot NP VP$  [0,0]

$NP \rightarrow \cdot Pronoun$

$NP \rightarrow \cdot Proper-Noun$

$NP \rightarrow \cdot Det Nominal$



*book*

# Book that flight

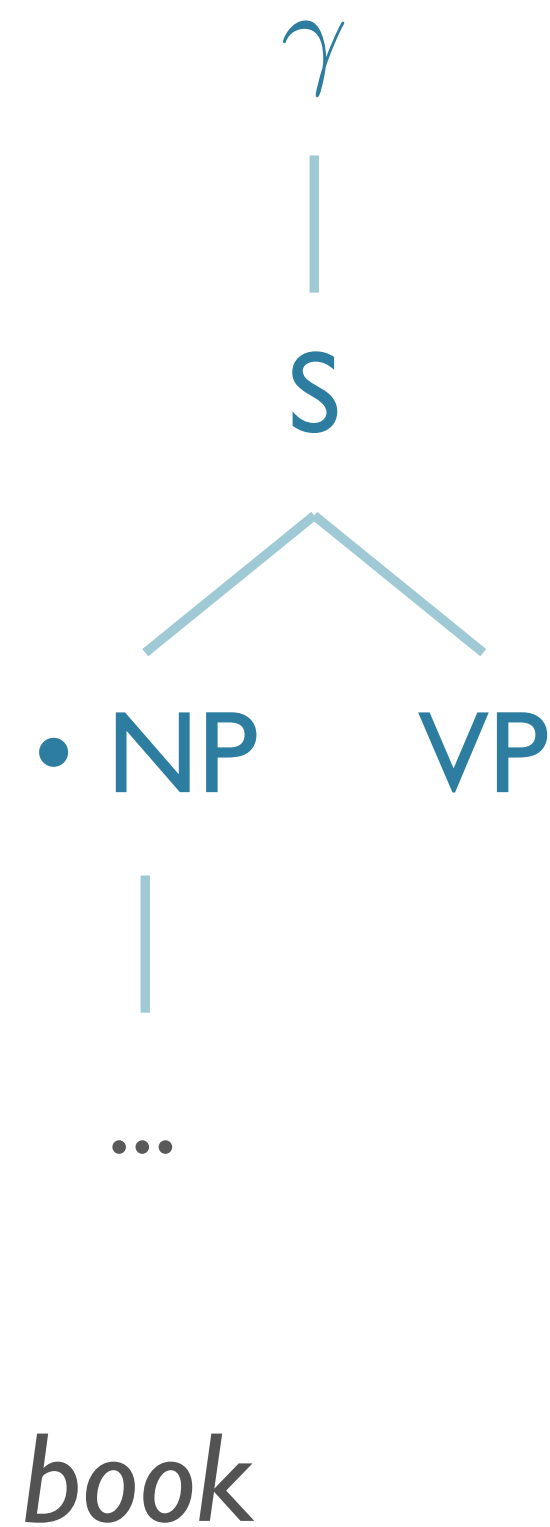
S0:  $\gamma \rightarrow \cdot S$  [0,0]

S1:  $S \rightarrow \cdot NP VP$  [0,0]

~~$NP \rightarrow \cdot$  Pronoun~~

~~$NP \rightarrow \cdot$  Proper-Noun~~

~~$NP \rightarrow \cdot$  Det Nominal~~



# What About Recursion?

# What about recursion?



# What about recursion?

- We now have a top-down parser in hand. Does it enter infinite loops on rules like  $S \rightarrow S \text{ 'and' } S$ ?

# What about recursion?

- We now have a top-down parser in hand. Does it enter infinite loops on rules like  $S \rightarrow S \text{ 'and' } S$ ?
- No!

```
procedure ENQUEUE(state, chart-entry)  
  if state is not already in chart-entry then  
    PUSH(state, chart-entry)  
  end
```

# What about recursion?

- We now have a top-down parser in hand. Does it enter infinite loops on rules like  $S \rightarrow S \text{ 'and' } S$ ?
- No!

```
procedure ENQUEUE(state, chart-entry)  
  if state is not already in chart-entry then  
    PUSH(state, chart-entry)  
  end
```

**Exercise:** parse 'table and chair' using the very simple grammar  
 $\text{Nom} \rightarrow \text{Nom 'and' Nom} \mid \text{'table'} \mid \text{'chair'}$

# HW #3

# CKY Parsing: Goals

- Complete implementation of CKY parser
- Implement dynamic programming approach
- Incorporate/follow backpointers to recover parse

# Implementation

- Build full parser
- Can use any language, per course policies
- You may use existing data structures for rules, trees
  - e.g. NLTK has nice **tree** data structure
  - CKY algorithm must be your own
- Dynamic programming table filling crucial!
- Will use smaller grammar (similar to HW #1)
- Back to ATIS for HW #4

# Implementation

- For CKY Implementation:
  - NLTK's **CFG.productions()** method:
    - optional `rhs=` argument *only looks at first token of RHS*

# Notes

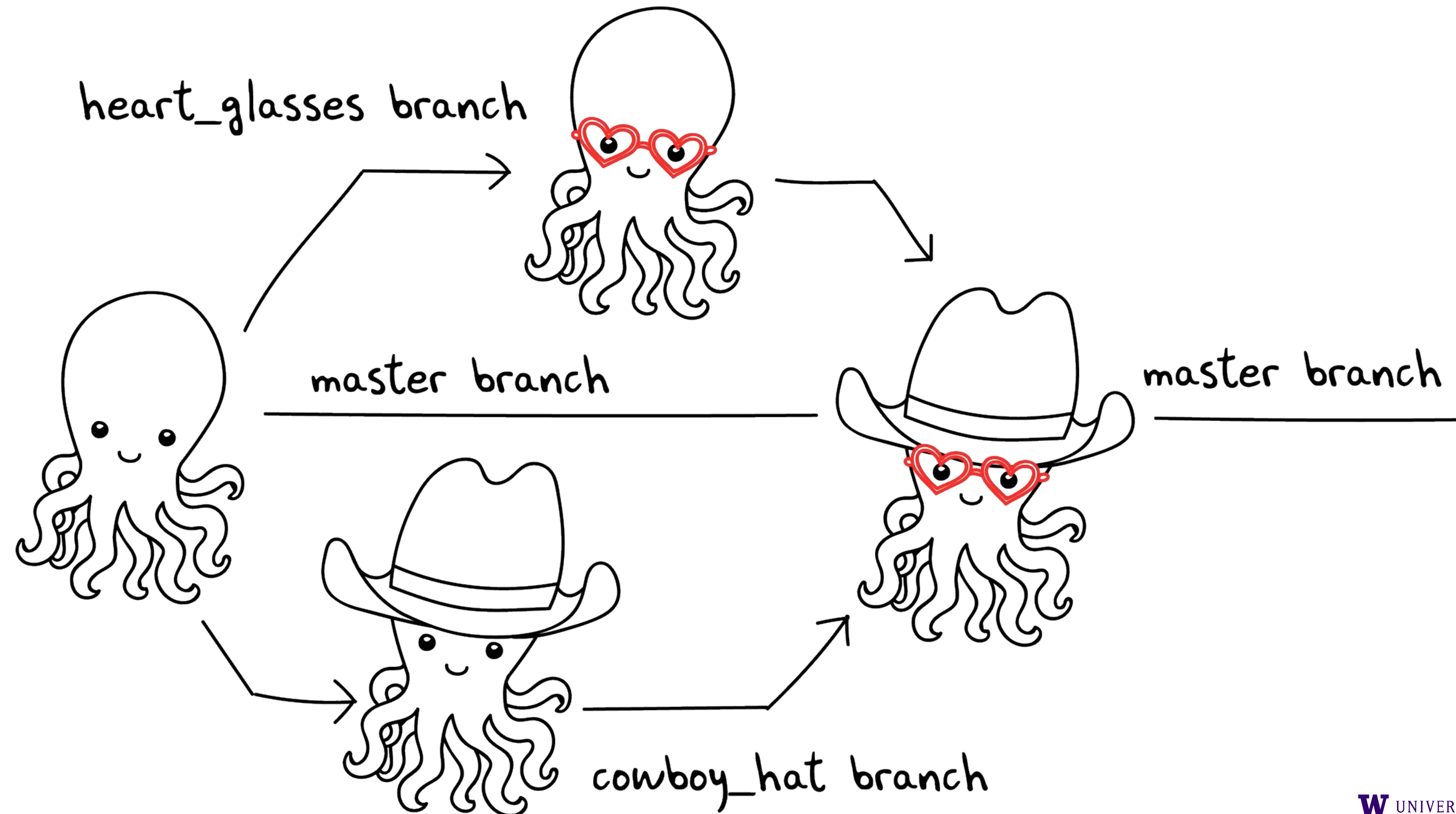
- Teams:
  - You may work in teams of two on this assignment
- Test grammar
  - Pre-converted to CNF
  - Start symbol: **TOP**
  - Parse should span input and be rooted at: **TOP**



# Some Collaboration Basics

# Git Branches

- Good for semi-isolating your development code from the shared, reviewed code



# Recommended Git Flow

- Initialize a git repository, with a `master` branch
  - (Create initial checkin, if necessary)
- Create a new branch, maybe “`adding_rule_objects`”
- Make regular checkins on your branch (like saving)
- Switch to `master` branch, and “pull”
- Merge your branch to master
- ...rinse & repeat
- If using GitHub (or GitLab, etc): **MUST BE PRIVATE REPO!**

# Communication: Check-ins

- For check-ins, three main points:
  - What have you been working on?
  - What do you plan to work on next?
  - Is there anything “blocking” you?
- In industry, these brief check-ins among small teams are often done daily

# Project Planning: Kanban Boards

- Before you start working:
  - Write out tasks on sticky notes.
  - Place in three columns:
    - To-Do
    - Doing
    - Done
  - As you work, you can move them from column to column
  - Add tasks as new issues come up
- [trello.com](https://trello.com) – has free online implementation of Kanban Boards

