

# Probabilistic Parsing: Issues & Improvement

LING 571 — Deep Processing Techniques for NLP

October 14, 2019

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# Announcements

- HW2 grades posted (mean 87)
- Reference code available in
  - `/dropbox/19-20/571/hw2/reference_code`
- NB: not needed for HW3; you can assume that all grammars are already in CNF

# Homework Tips

- Use `nltk.load` for reading grammars; will save you and TA time and headaches!
- Run your code on patas to produce the output you submit in TAR file
  - Some discrepancies found that seem due to different environment
- `readme.{txt|pdf}`: this should NOT be inside your TAR file, but a separate upload on Canvas

# Notes on HW #3

- Python's `range` has many use cases by manipulating start/end, and step
  - `range(n)` is equivalent to `range(0, n, 1)`
- Reminder: the `rhs=` argument in NLTK's `grammar.productions()` method only matches the *first* symbol, not an entire string
  - You'll want to implement an efficient look-up based on RHS
- HW3: compare your output to running HW1 parser on the same grammar/sentences [order of output in ambiguous sentences could differ]

# Indigenous Peoples' Day

- Seattle/Sealth
- For those of you taking 550:
  - The Lushootseed spelling [IPA] of Chief Seattle/Sealth:
    - siʔaɫ ['siʔaɫ]
  - Duwamish — Dx<sup>w</sup>dəwʔabš [dx<sup>w</sup>dəwʔabʃ]
- IPA resources:
  - [https://en.wikipedia.org/wiki/International\\_Phonetic\\_Alphabet](https://en.wikipedia.org/wiki/International_Phonetic_Alphabet)
  - <http://web.mit.edu/6.mitx/www/24.900%20IPA/IPAapp.html>



# Indigenous Peoples' Day

- Studying non-English languages gives more holistic insight for NLP tasks
  - Many interesting phenomena in non-Indo-European languages
- [Lushootseed](#) exhibits debatable distinction between verbs and nouns [link to Glottolog page for more references]
  - **?uǰ<sup>w</sup>** ti **sbiaw**  
**goes** that-which **is-a-coyote**  
"The/a coyote goes"
  - **sbiaw** ti **?uǰ<sup>w</sup>**  
**is-a-coyote** that-which **goes**  
"The one who goes is a coyote"
- (Translation distinction provided for clarity — semantically equivalent)
- Lillooet Salish quantification has repercussions for e.g. English ([Matthewson 2001](#))

via [Beck, 2013](#)

# Indigenous Peoples' Day

- UW American Indian Studies Courses
  - (Sometimes including language courses, e.g. Southern Lushootseed)
- At the new Burke Museum on campus:
  - <https://www.burkemuseum.org/calendar/indigenous-peoples-day>

# PCFG Induction



# Learning Probabilities

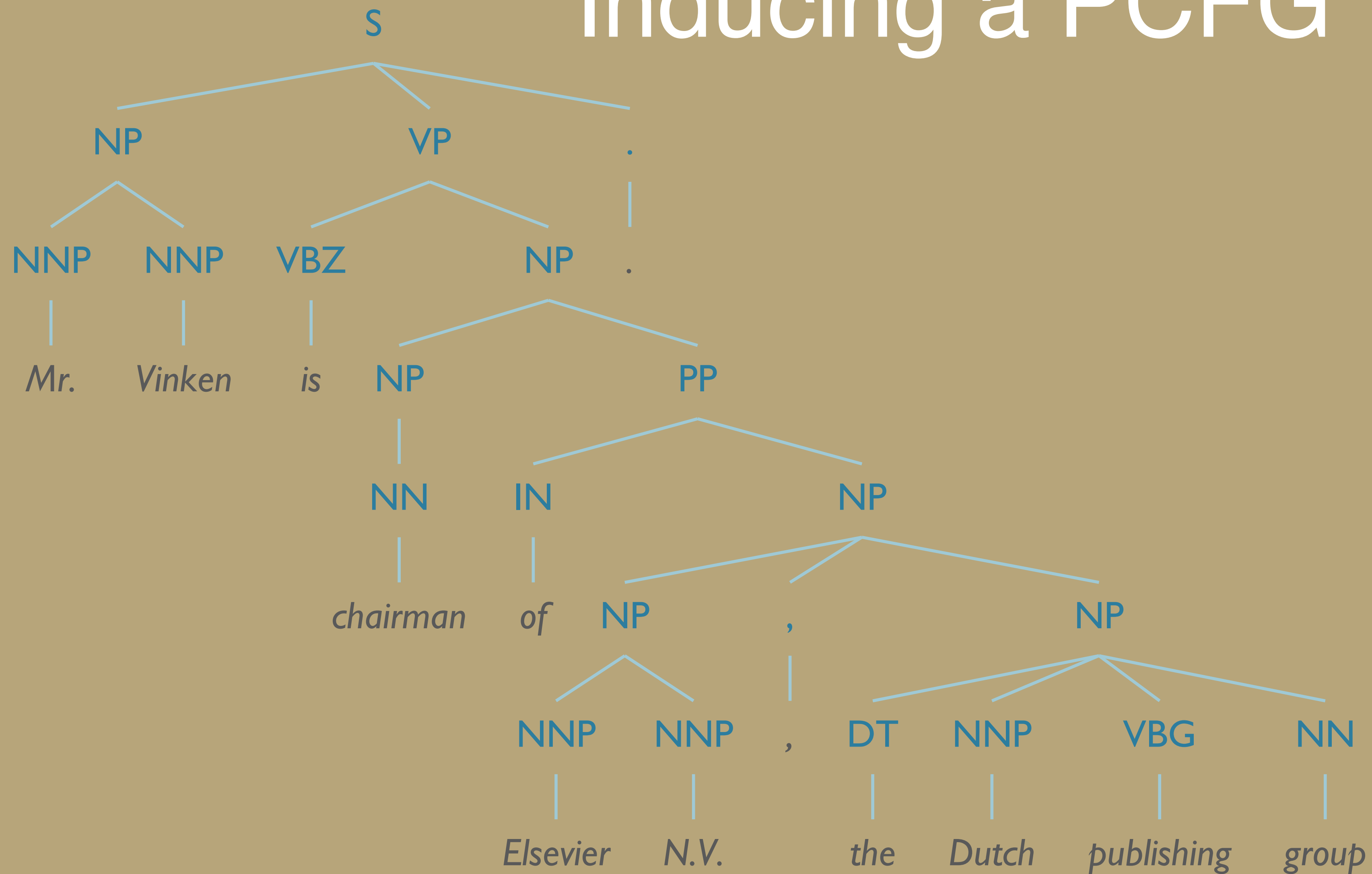
- Simplest way:
  - Use treebank of parsed sentences
  - To compute probability of a rule, count:
    - Number of times a nonterminal is expanded:
    - Number of times a nonterminal is expanded by a given rule:

$$\frac{\sum_{\gamma} \text{Count}(\alpha \rightarrow \gamma)}{\text{Count}(\alpha \rightarrow \beta)}$$

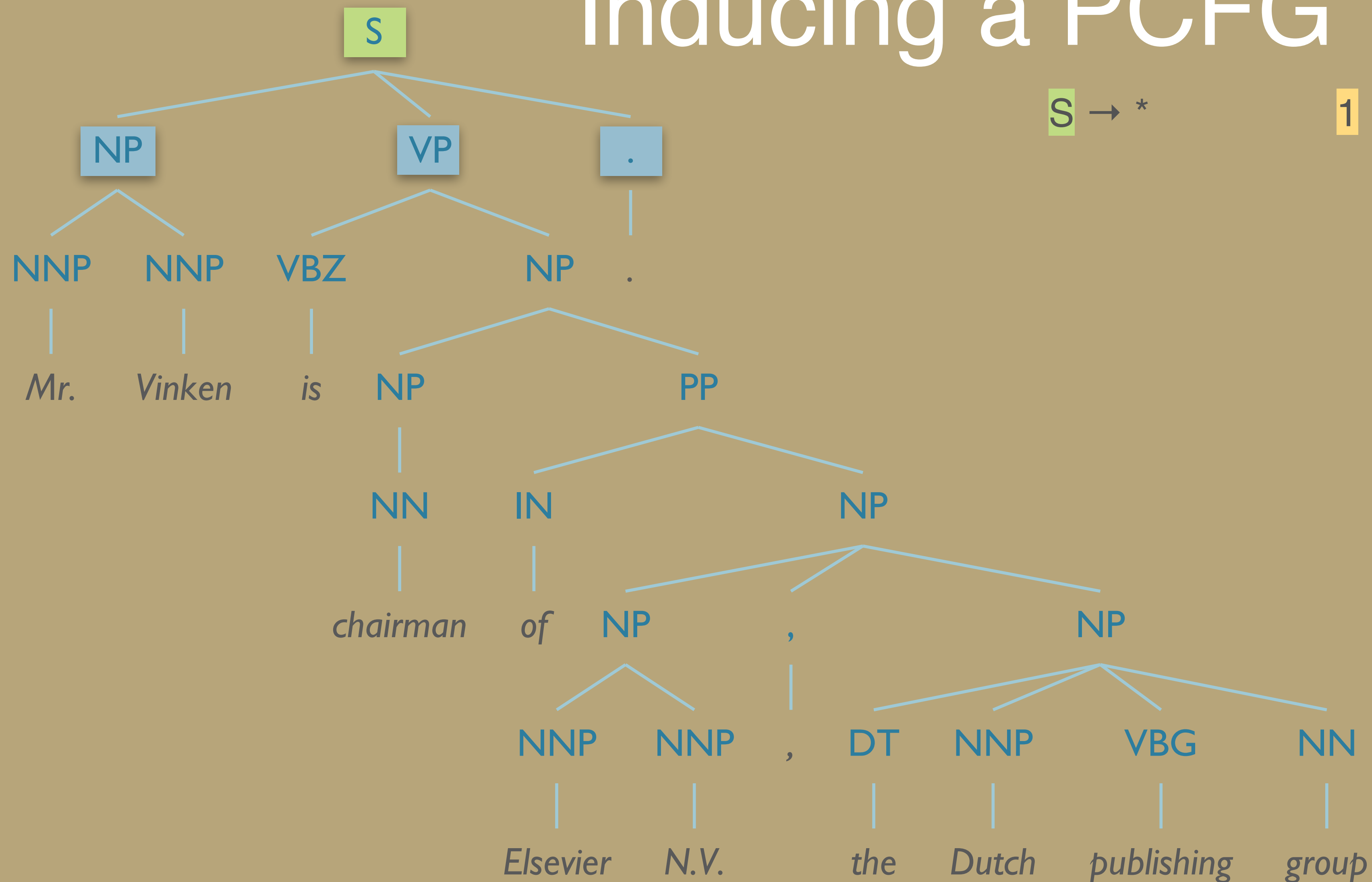
$$P(\alpha \rightarrow \beta \mid \alpha) = \frac{\text{Count}(\alpha \rightarrow \beta)}{\sum_{\gamma} \text{Count}(\alpha \rightarrow \gamma)} = \frac{\text{Count}(\alpha \rightarrow \beta)}{\text{Count}(\alpha)}$$

- Alternative: Learn probabilities by re-estimating
  - (Later)

# Inducing a PCFG



# Inducing a PCFG

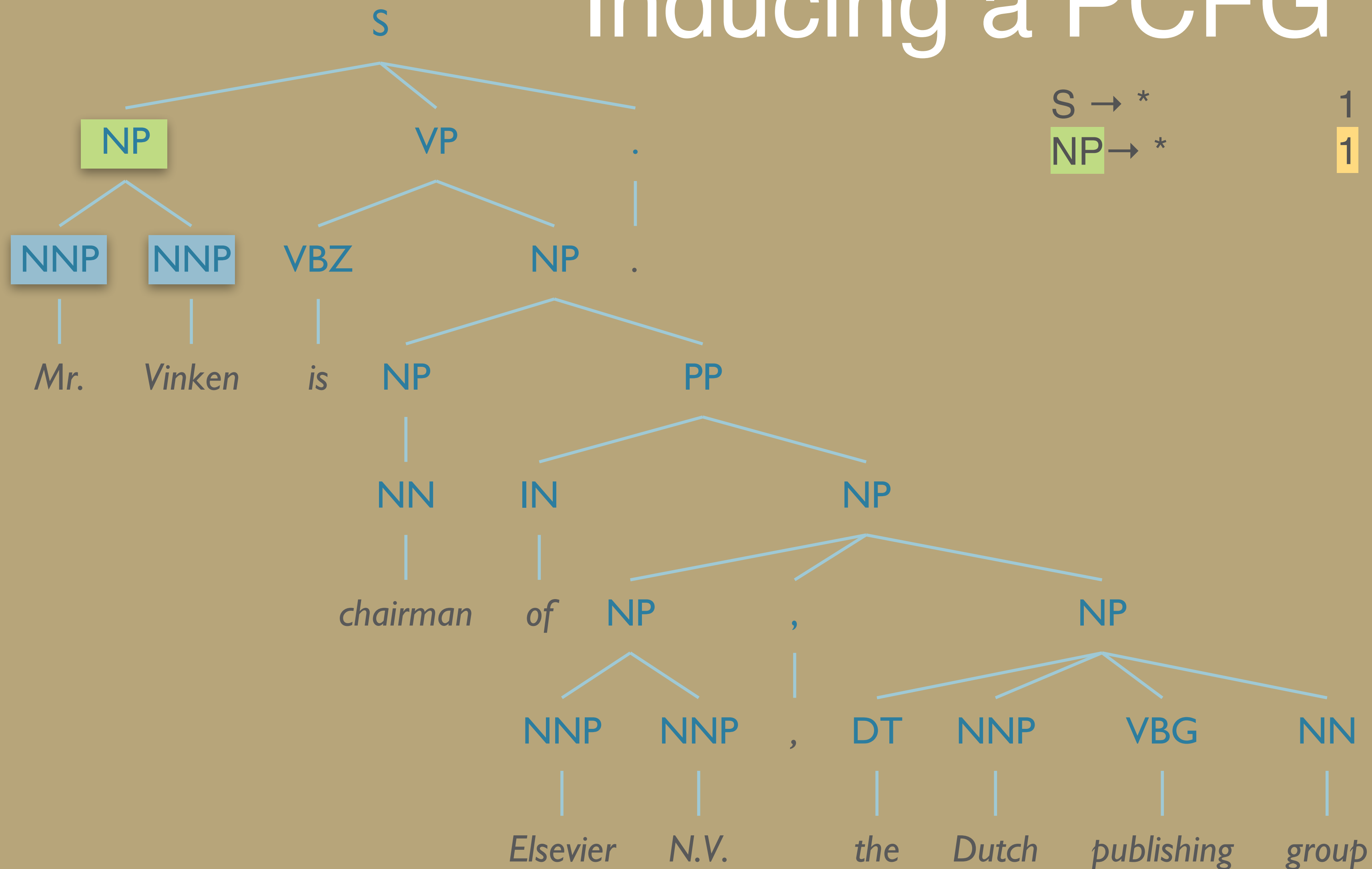


$S \rightarrow *$

1  $S \rightarrow \text{NPVP}.$

1

# Inducing a PCFG

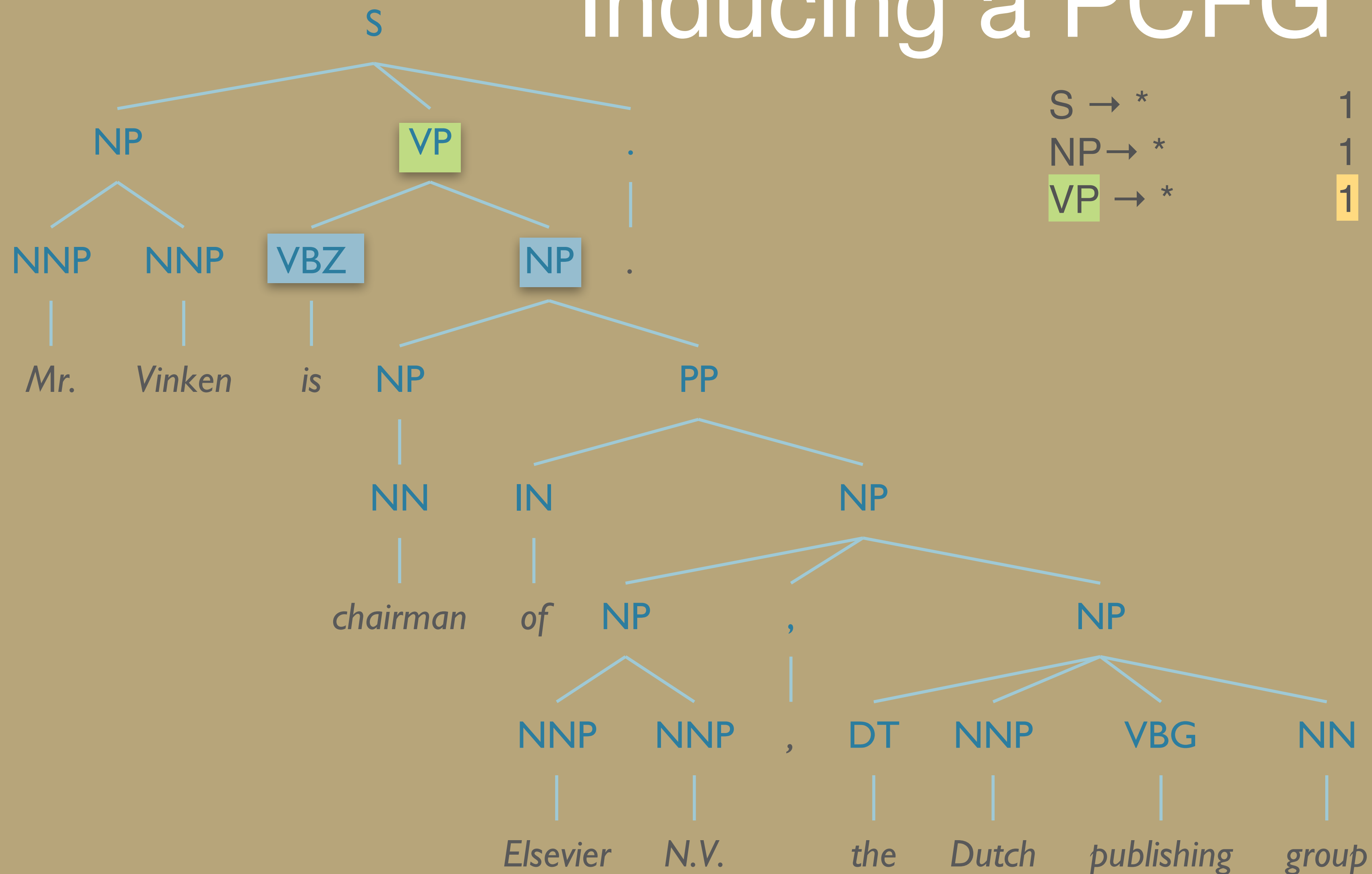


$S \rightarrow *$   
 $NP \rightarrow *$

1  $S \rightarrow NP VP .$   
 1  $NP \rightarrow NNP NNP$

1  
 1

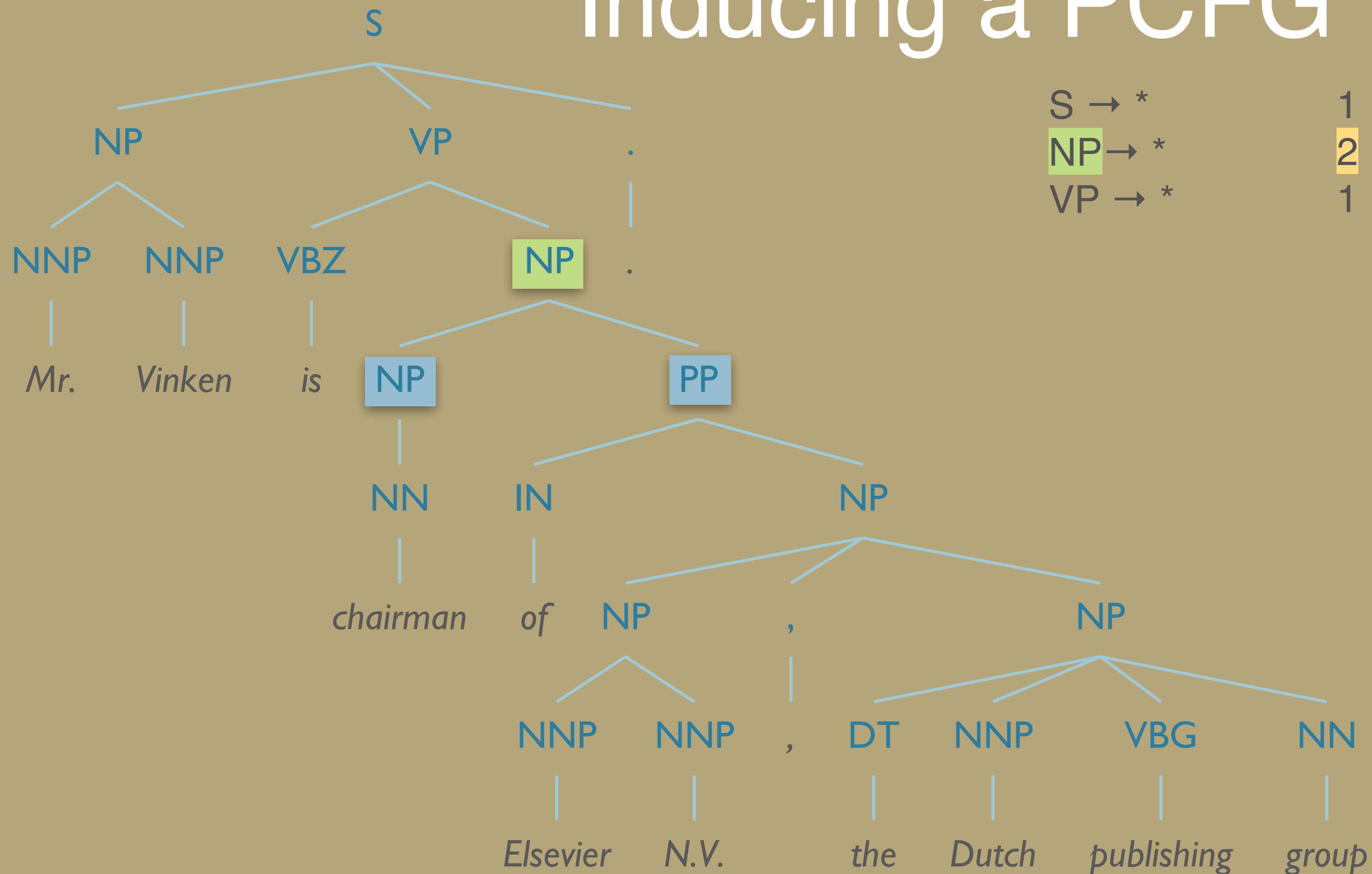
# Inducing a PCFG



$S \rightarrow *$   
 $NP \rightarrow *$   
 $VP \rightarrow *$

1	$S \rightarrow NP VP .$	1
1	$NP \rightarrow NNP NNP$	1
1	$VP \rightarrow VBZ NP$	1

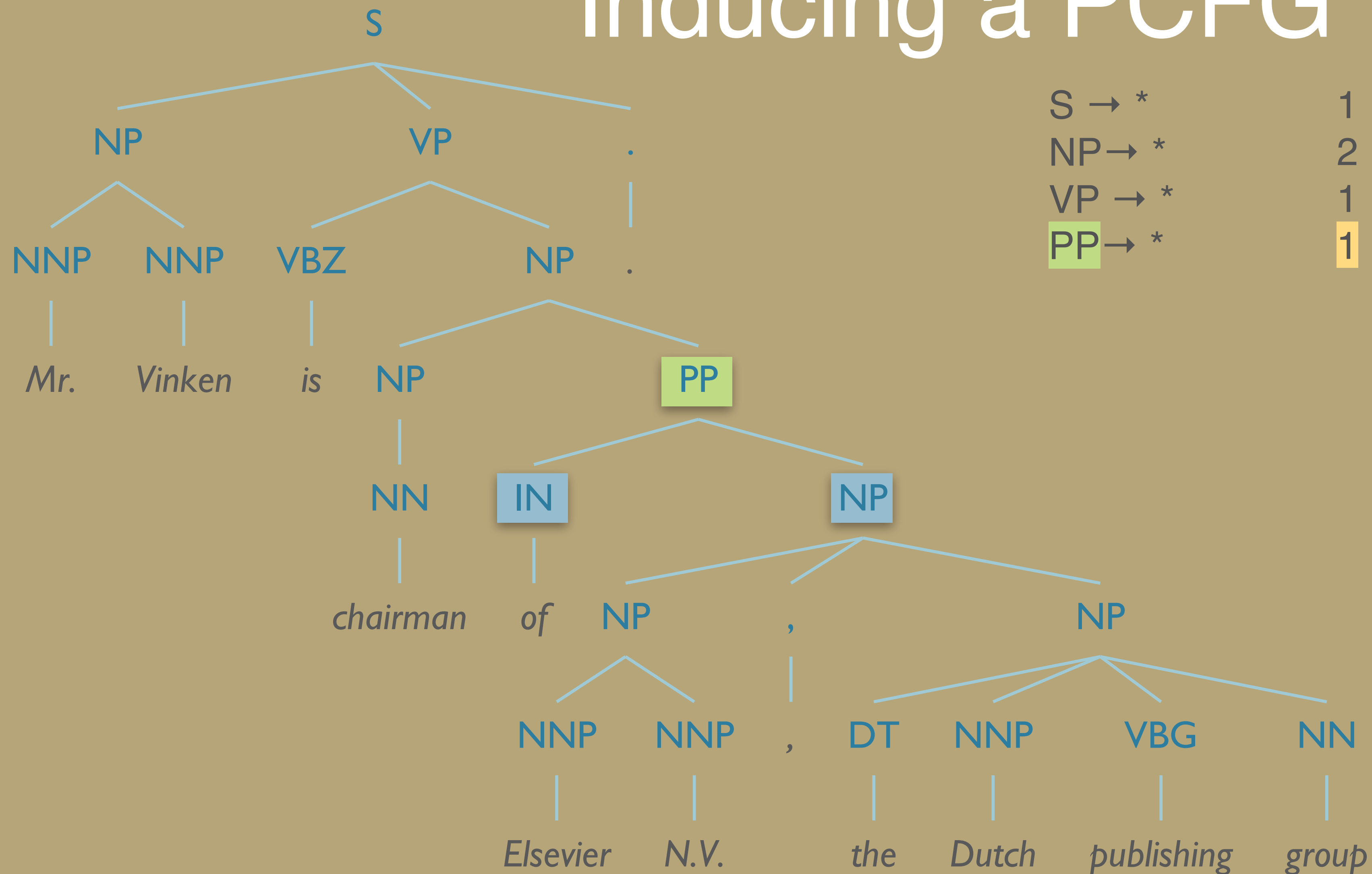
# Inducing a PCFG



$S \rightarrow *$   
 $NP \rightarrow *$   
 $VP \rightarrow *$

1	$S \rightarrow NP VP .$	1
2	$NP \rightarrow NNP NNP$	1
1	$VP \rightarrow VBZ NP$	1
	$NP \rightarrow NP PP$	1

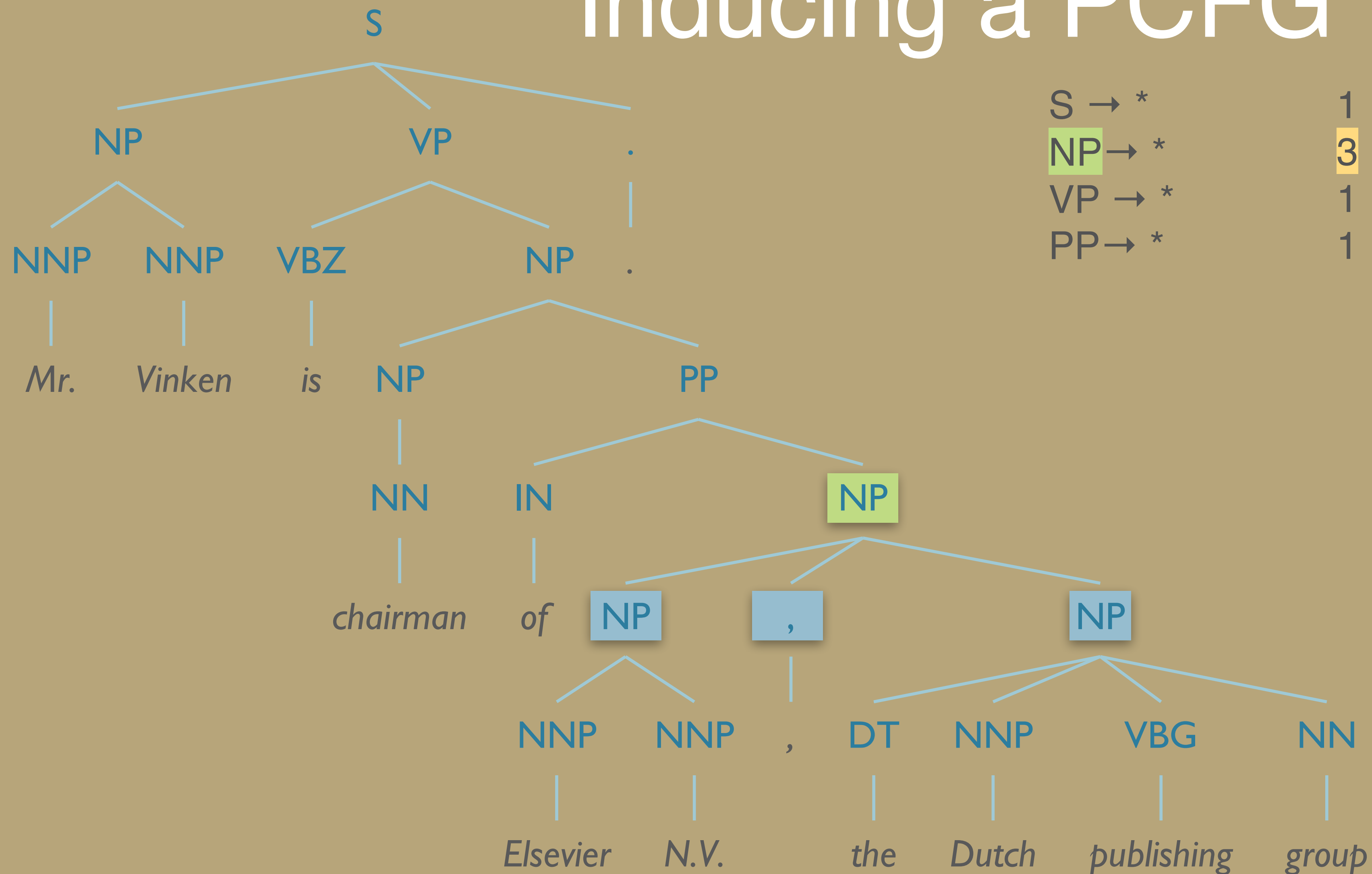
# Inducing a PCFG



$S \rightarrow *$   
 $NP \rightarrow *$   
 $VP \rightarrow *$   
 $PP \rightarrow *$

1	$S \rightarrow NP VP .$	1
2	$NP \rightarrow NNP NNP$	1
1	$VP \rightarrow VBZ NP$	1
1	$NP \rightarrow NP PP$	1
	$PP \rightarrow IN NP$	1

# Inducing a PCFG

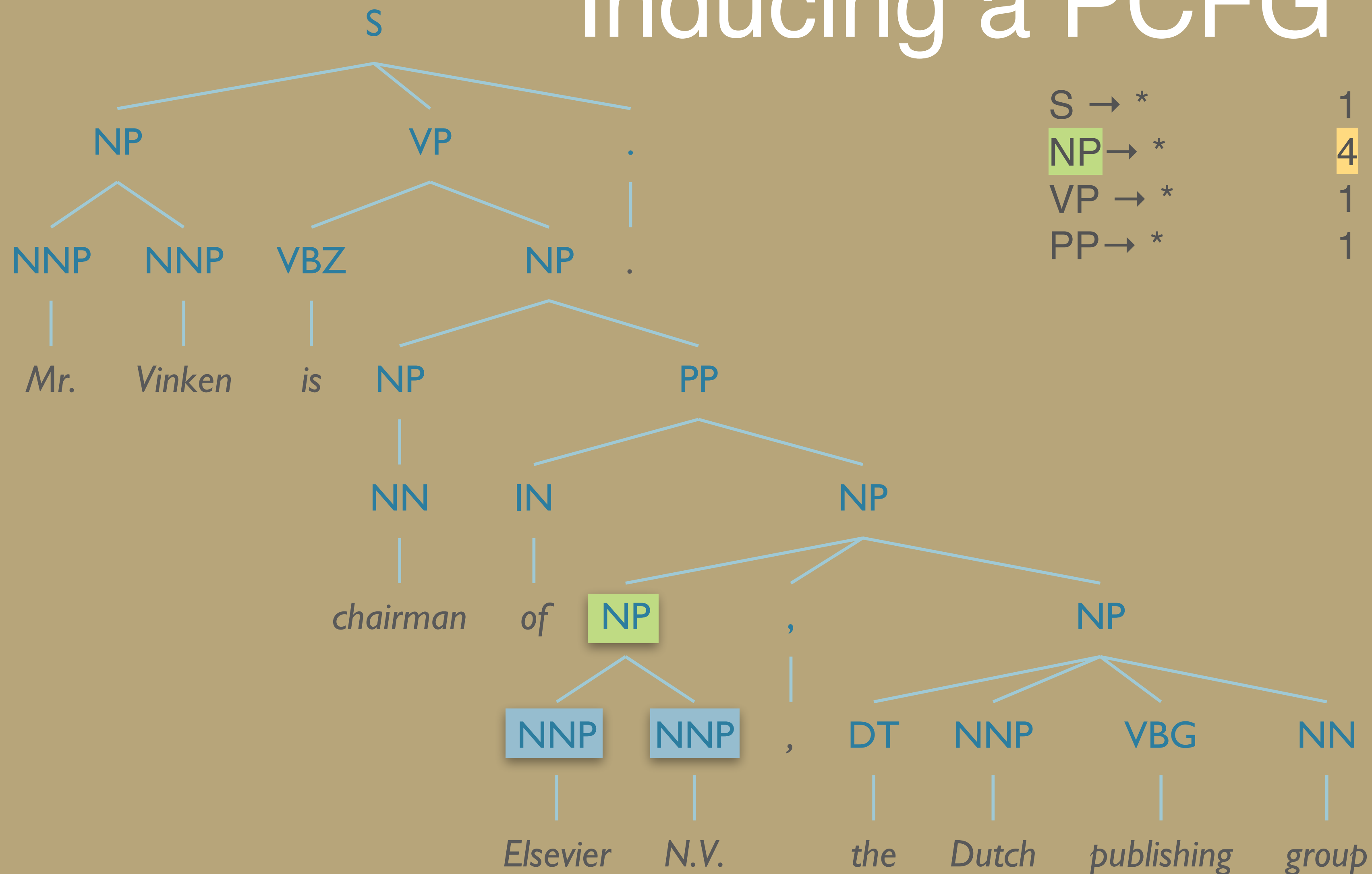


$S \rightarrow *$   
 $\text{NP} \rightarrow *$   
 $\text{VP} \rightarrow *$   
 $\text{PP} \rightarrow *$

1	$S \rightarrow \text{NP VP} .$	1
3	$\text{NP} \rightarrow \text{NNP NNP}$	1
1	$\text{VP} \rightarrow \text{VBZ NP}$	1
1	$\text{NP} \rightarrow \text{NP PP}$	1
	$\text{PP} \rightarrow \text{IN NP}$	1
	$\text{NP} \rightarrow \text{NP , NP}$	1



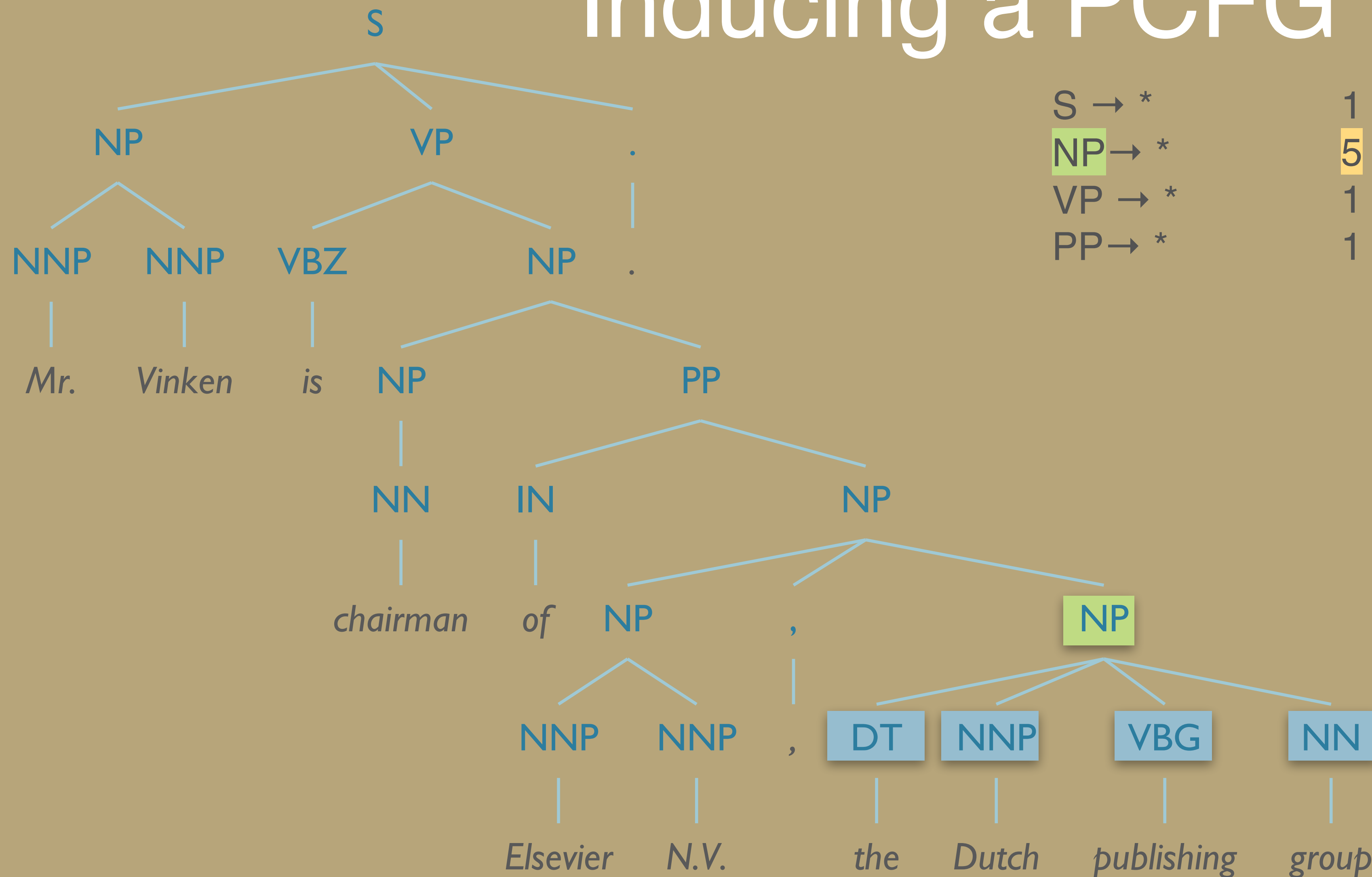
# Inducing a PCFG



$S \rightarrow *$   
 $NP \rightarrow *$   
 $VP \rightarrow *$   
 $PP \rightarrow *$

1	$S \rightarrow NP VP .$	1
4	$NP \rightarrow NNP NNP$	2
1	$VP \rightarrow VBZ NP$	1
1	$NP \rightarrow NP PP$	1
	$PP \rightarrow IN NP$	1
	$NP \rightarrow NP , NP$	1

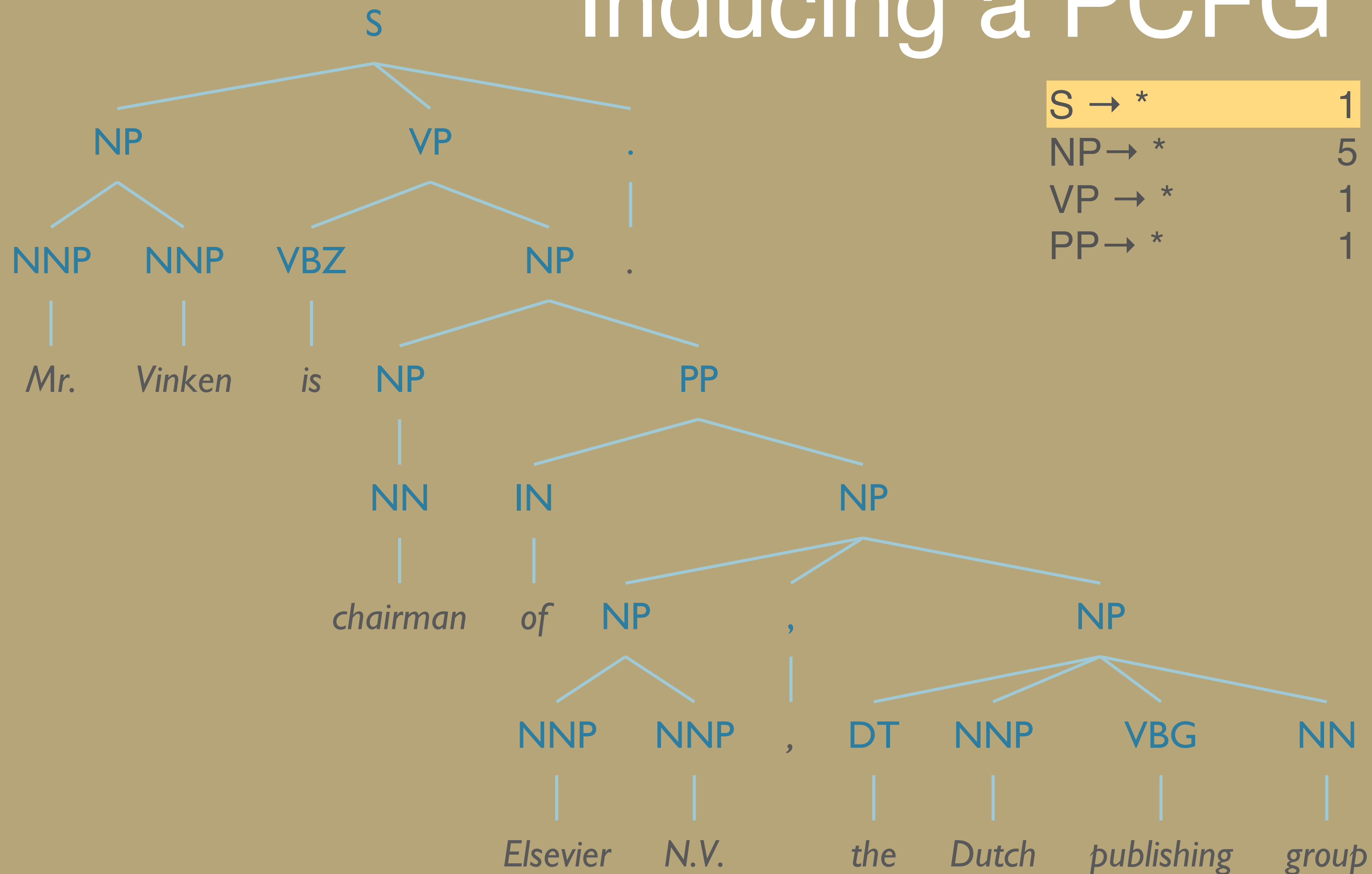
# Inducing a PCFG



$S \rightarrow *$   
 $NP \rightarrow *$   
 $VP \rightarrow *$   
 $PP \rightarrow *$

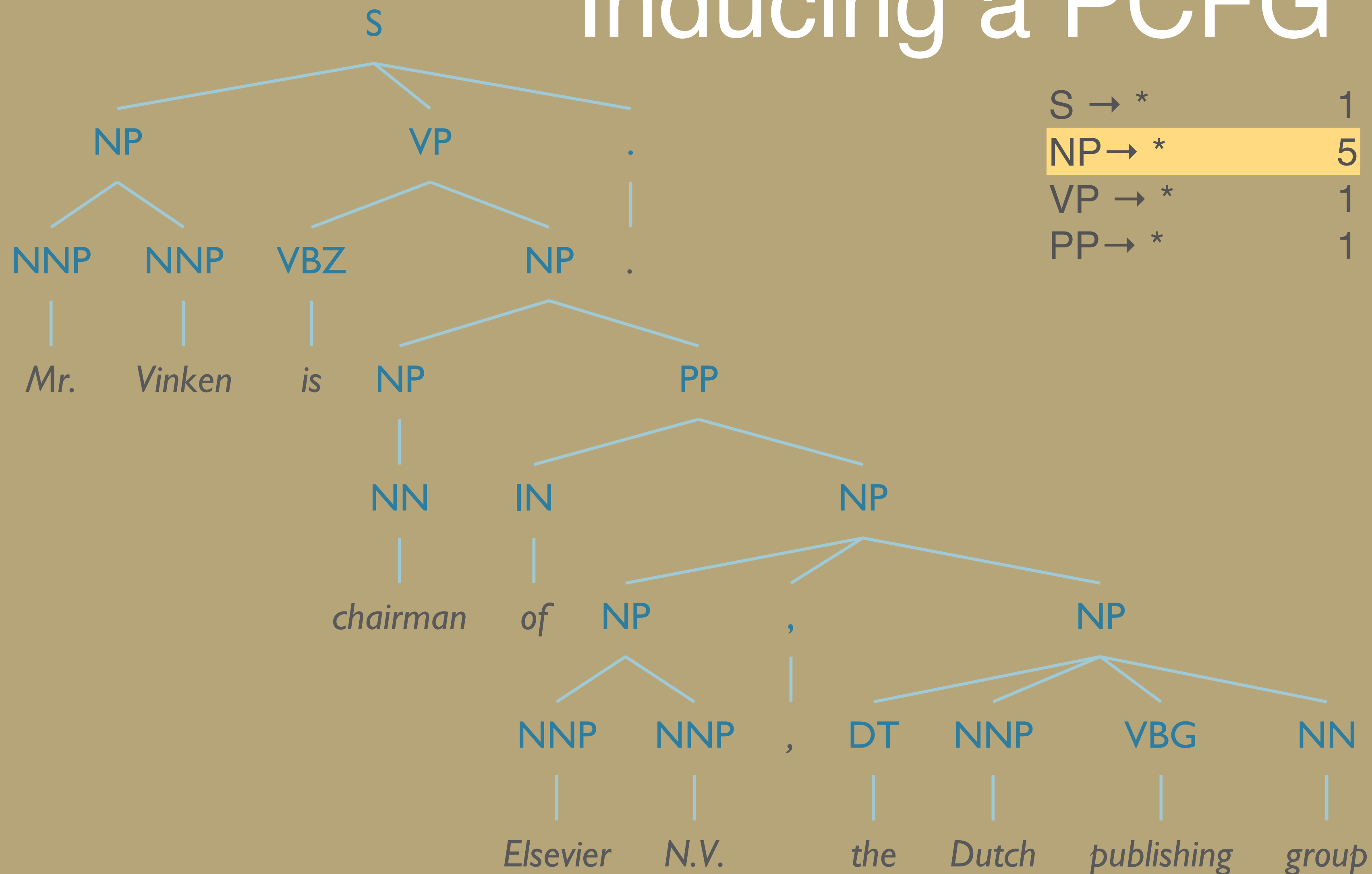
1	$S \rightarrow NP VP .$	1
5	$NP \rightarrow NNP NNP$	2
1	$VP \rightarrow VBZ NP$	1
1	$NP \rightarrow NP PP$	1
	$PP \rightarrow IN NP$	1
	$NP \rightarrow NP , NP$	1
	$NP \rightarrow DT NNP VBG$	1
	$NN$	

# Inducing a PCFG



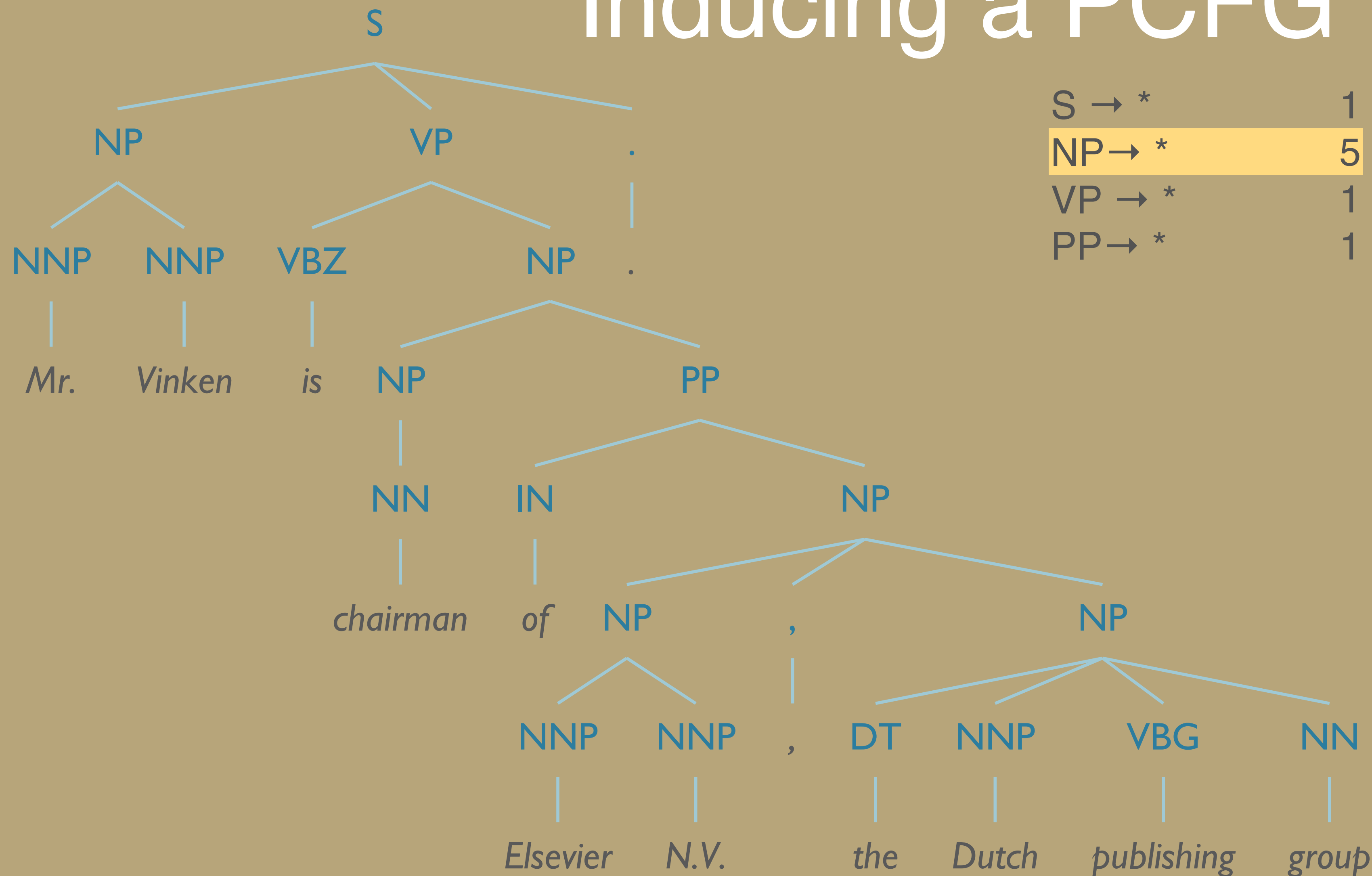
<b>S → *</b>	<b>1</b>	<b>S → NP VP .</b>	<b>1</b>
NP → *	5	NP → NNP NNP	2
VP → *	1	VP → VBZ NP	1
PP → *	1	NP → NP PP	1
		PP → IN NP	1
		NP → NP , NP	1
		NP → DT NNP VBG NN	1

# Inducing a PCFG



$S \rightarrow *$	1	$S \rightarrow NP VP .$	1
$NP \rightarrow *$	5	$NP \rightarrow NNP NNP$	2/5
$VP \rightarrow *$	1	$VP \rightarrow VBZ NP$	1
$PP \rightarrow *$	1	$NP \rightarrow NP PP$	1/5
		$PP \rightarrow IN NP$	1
		$NP \rightarrow NP , NP$	1/5
		$NP \rightarrow DT NNP VBG$	1/5
		$NN$	

# Inducing a PCFG



$S \rightarrow *$	1	$S \rightarrow NP VP .$	1
$NP \rightarrow *$	5	$NP \rightarrow NNP NNP$	0.4
$VP \rightarrow *$	1	$VP \rightarrow VBZ NP$	1
$PP \rightarrow *$	1	$NP \rightarrow NP PP$	0.2
		$PP \rightarrow IN NP$	1
		$NP \rightarrow NP , NP$	0.2
		$NP \rightarrow DT NNP VBG$	0.2
		$NN$	0.2

# Problems with PCFGs

# Problems with PCFGs

- Independence Assumption
  - Assume that rule probabilities are independent
- Lack of Lexical Conditioning
  - Lexical items should influence the choice of analysis

# Issues with PCFGs: Independence Assumption

- *Context Free*  $\Rightarrow$  *Independence Assumption*
  - Rule expansion is context-independent
  - Allows us to multiply probabilities
- If we have two rules:
  - $NP \rightarrow DT\ NN$  [0.28]
  - $NP \rightarrow PRP$  [0.25]
- What does this new data tell us?
  - $NP \rightarrow DT\ NN$  [0.09 if  $NP_{\Theta=subject}$  else 0.66]
  - $NP \rightarrow PRP$  [0.91 if  $NP_{\Theta=subject}$  else 0.34]

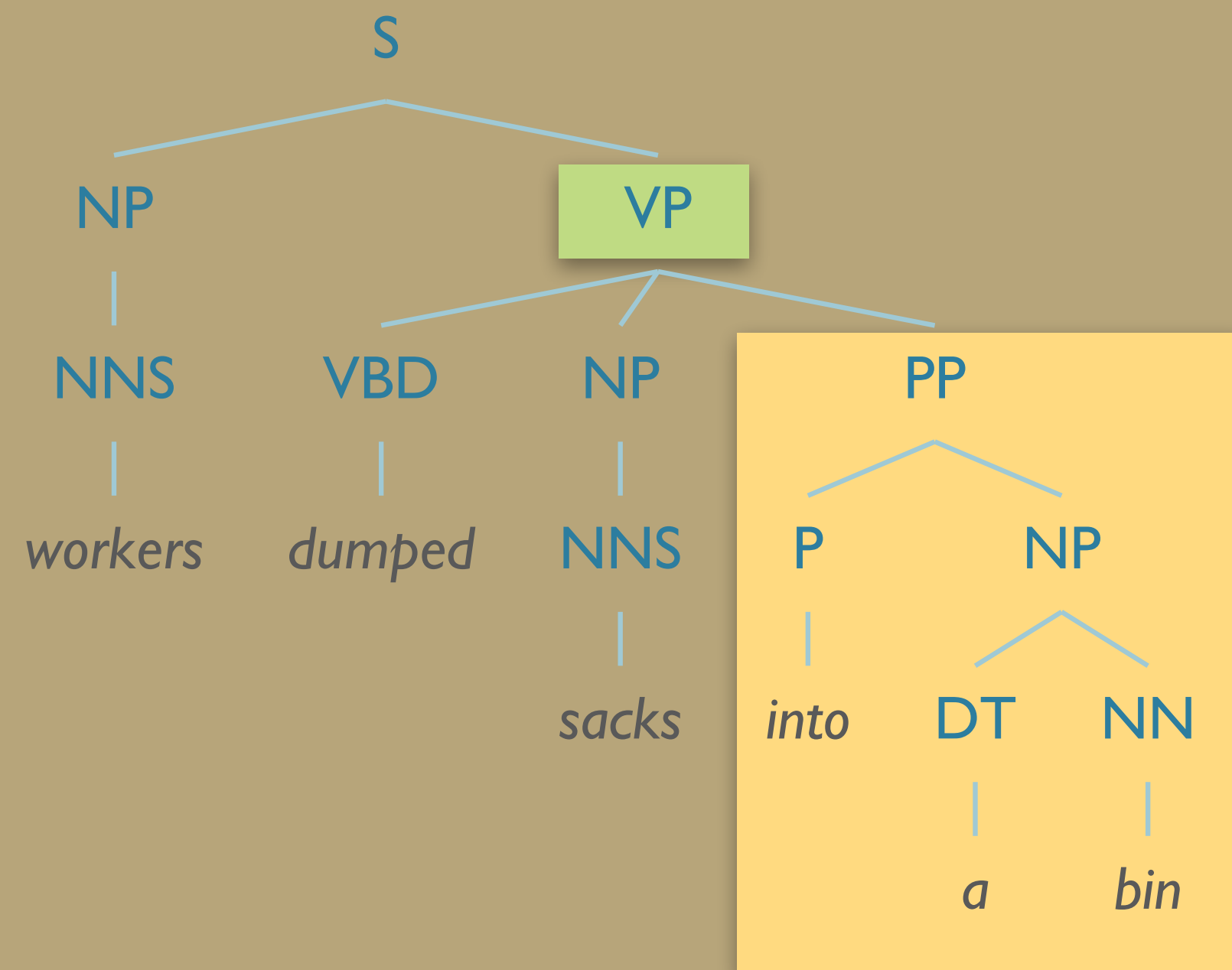
Semantic Role of **NPs** in Switchboard Corpus

	<b>Pronominal</b>	<b>Non-Pronominal</b>
Subject	91%	9%
Object	34%	66%

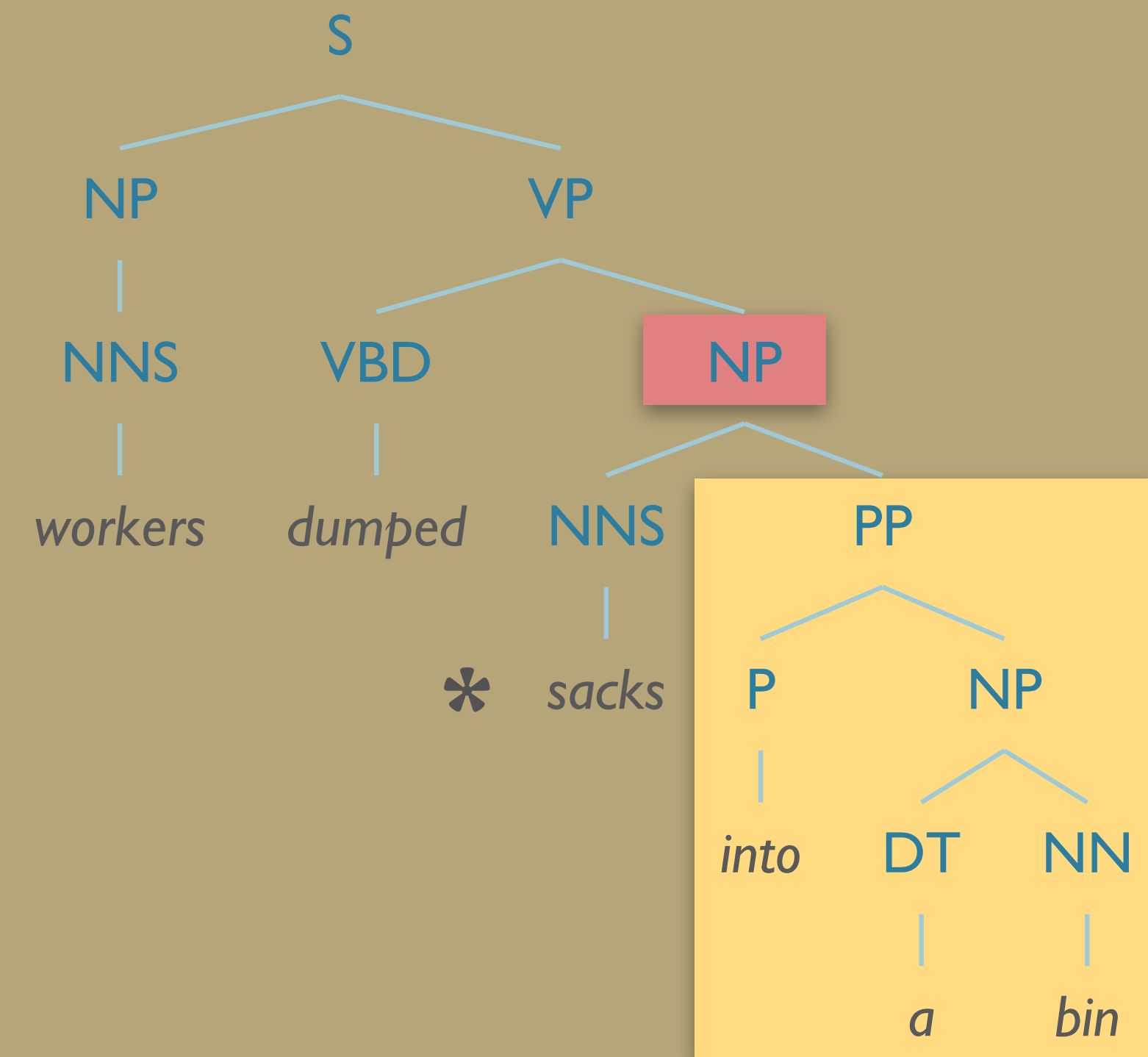
...Can try **parent annotation**



# Issues with PCFGs: Lexical Conditioning

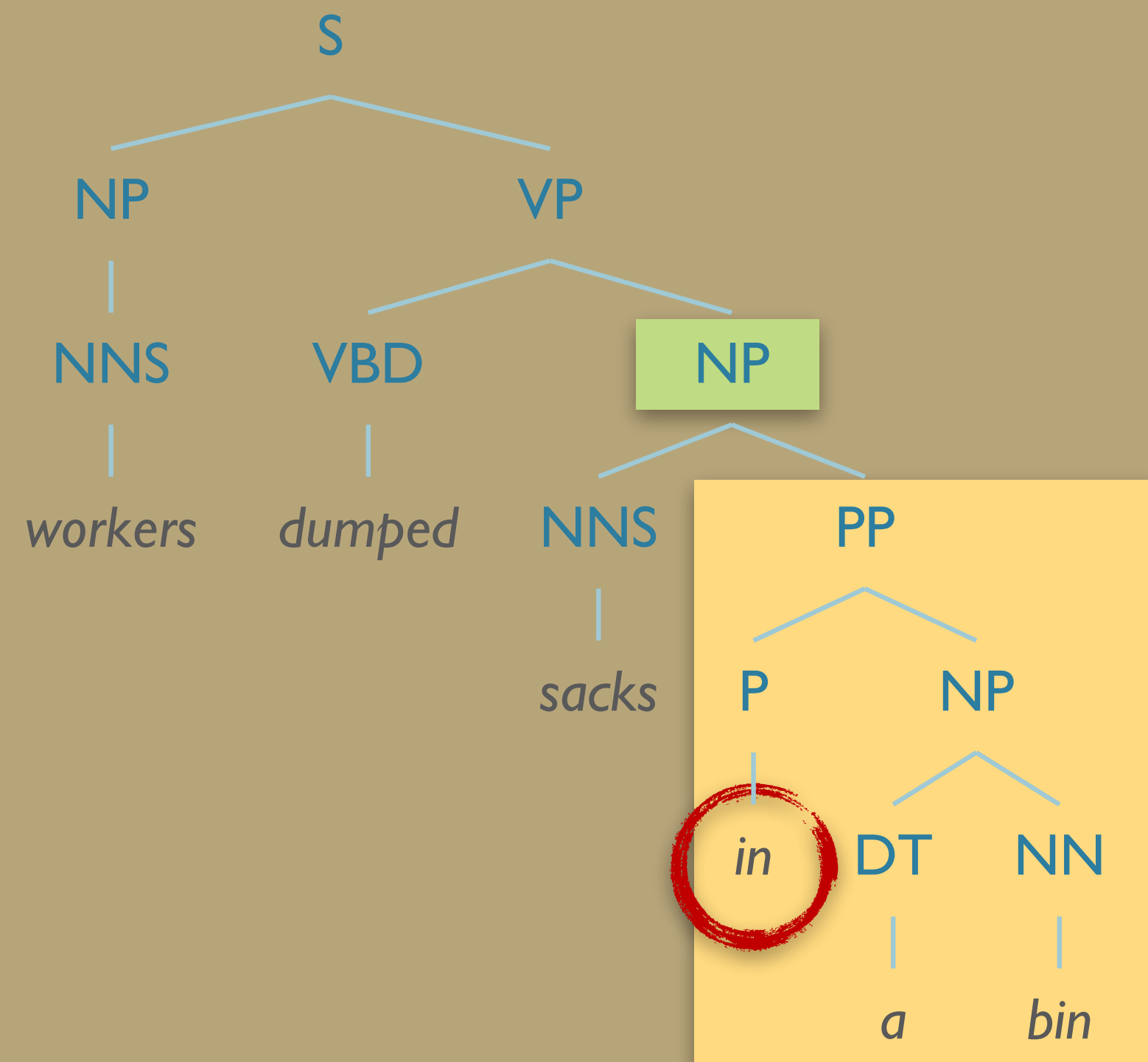


("into a bin" = location of sacks after dumping)  
**OK!**

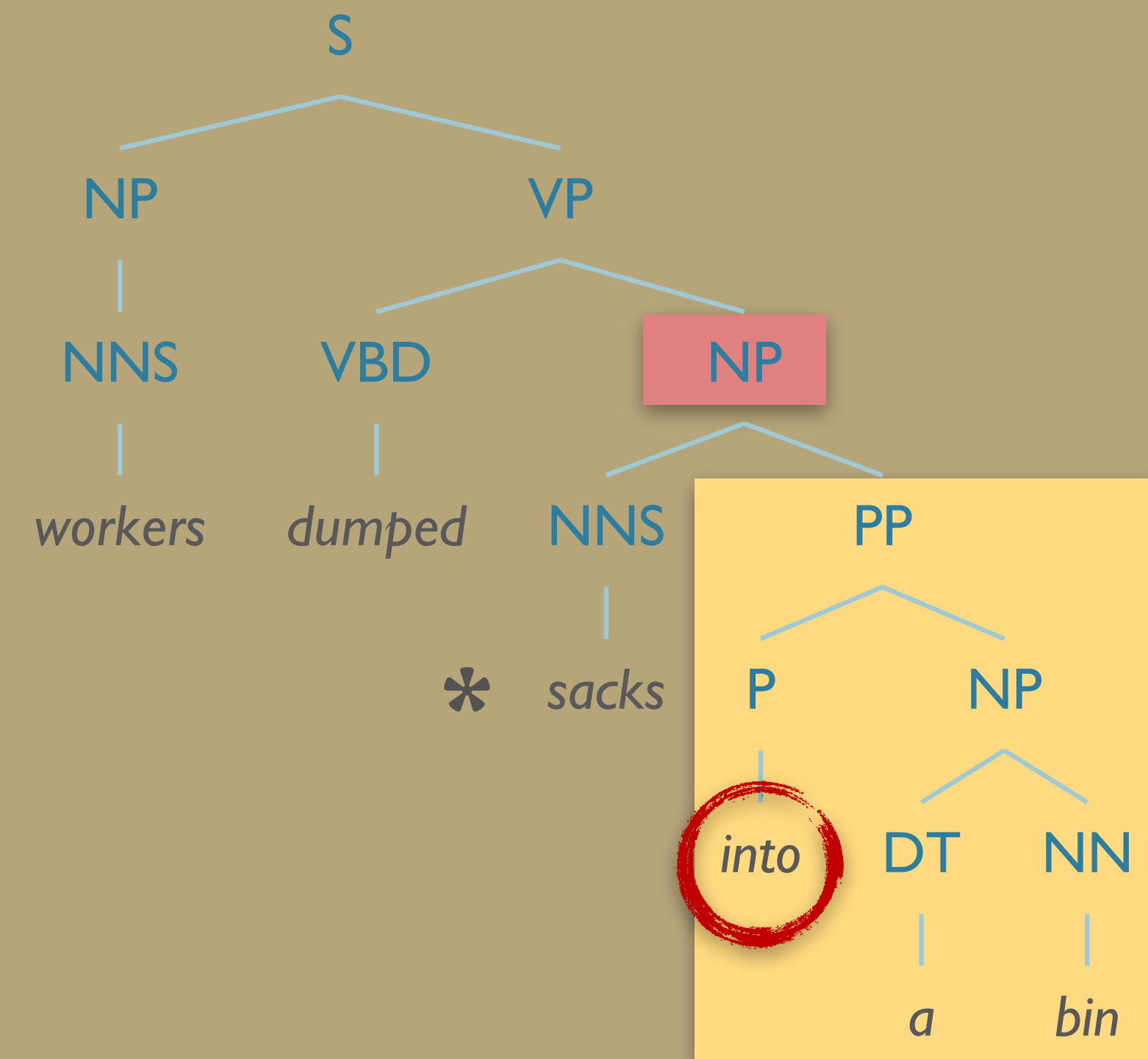


("into a bin" = \*the sacks which were located *in PP*)  
**not OK**

# Issues with PCFGs: Lexical Conditioning



(“**in** a bin” = location of sacks **before** dumping)  
**OK!**

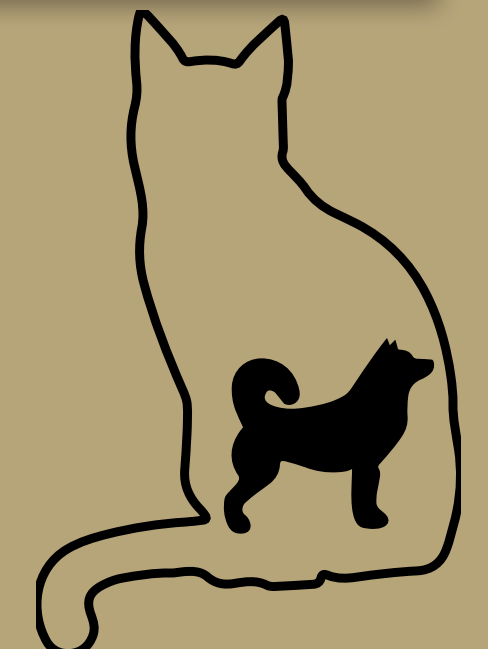
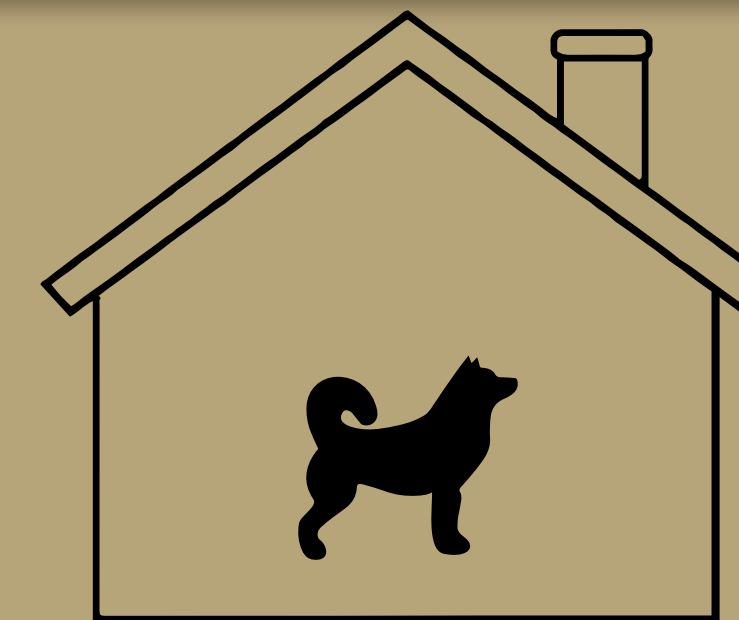
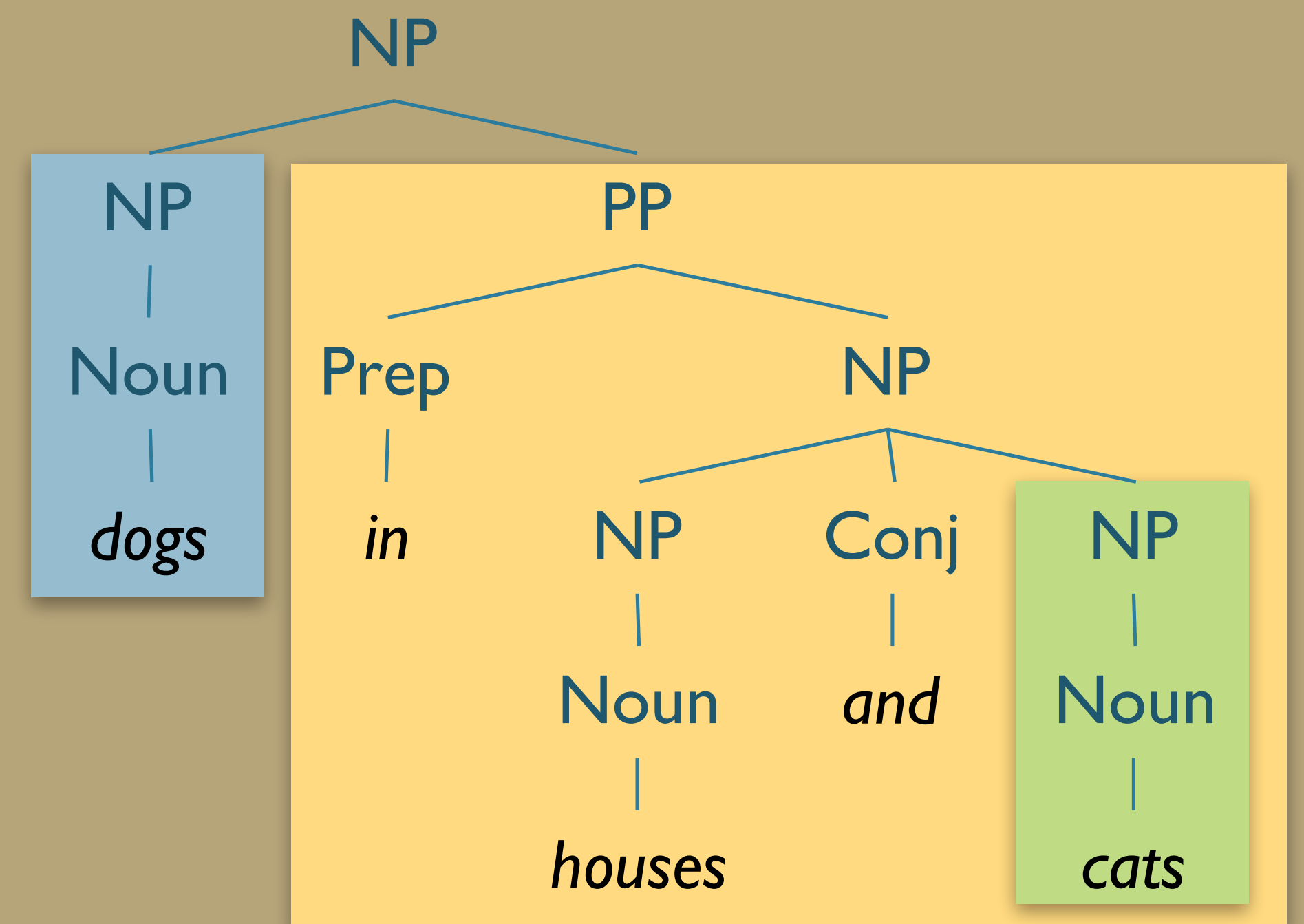
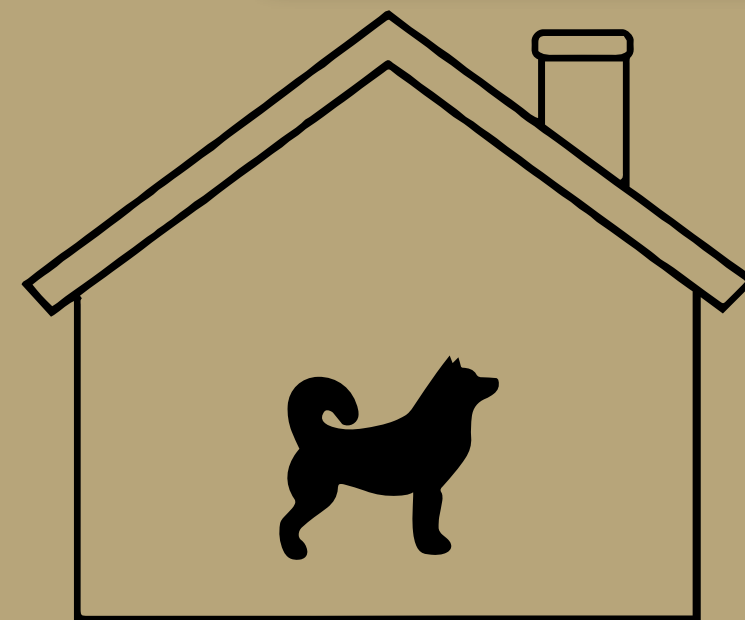
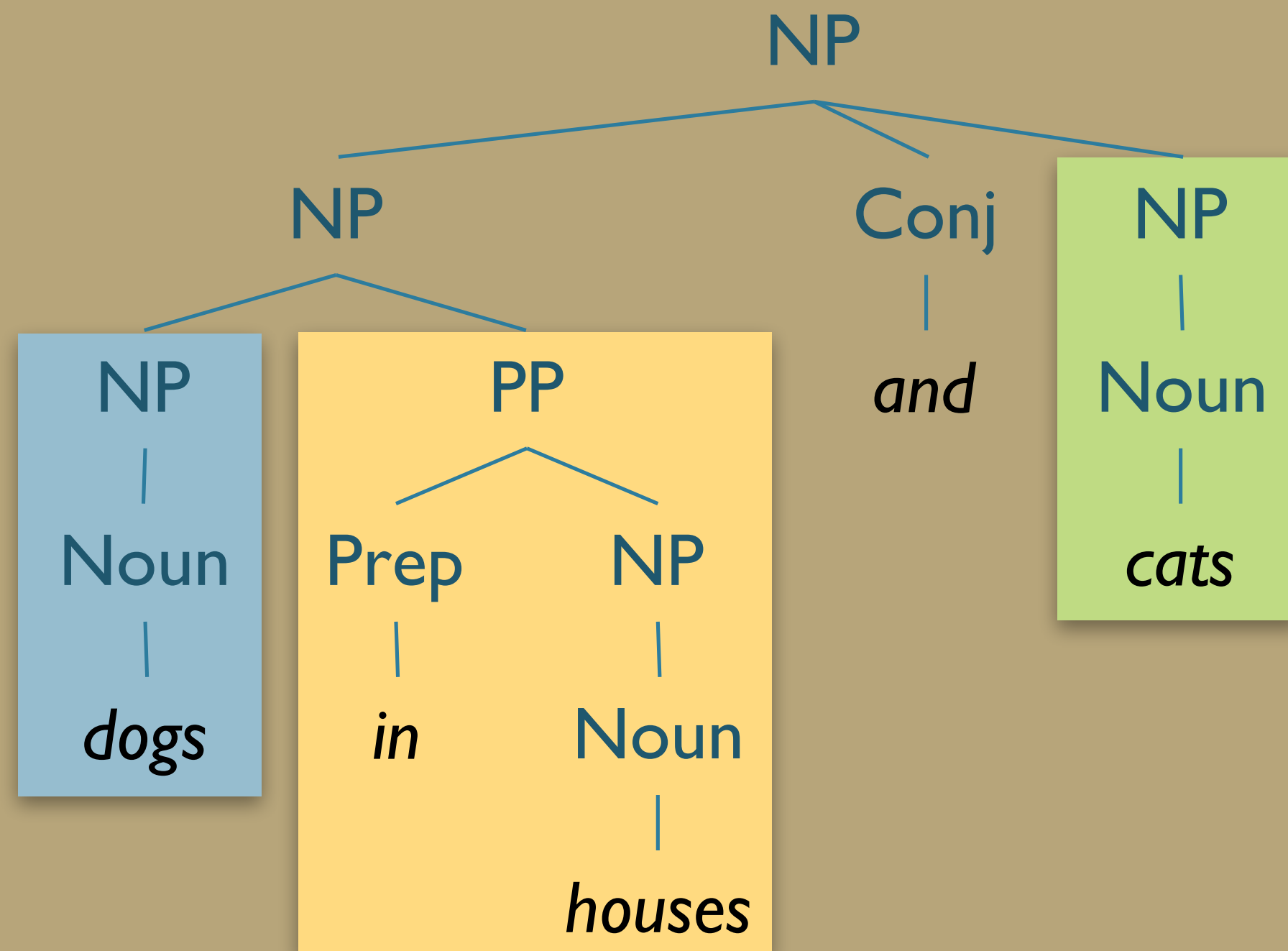


(“**into** a bin” = \*the sacks which were located **in PP**)  
**not OK**

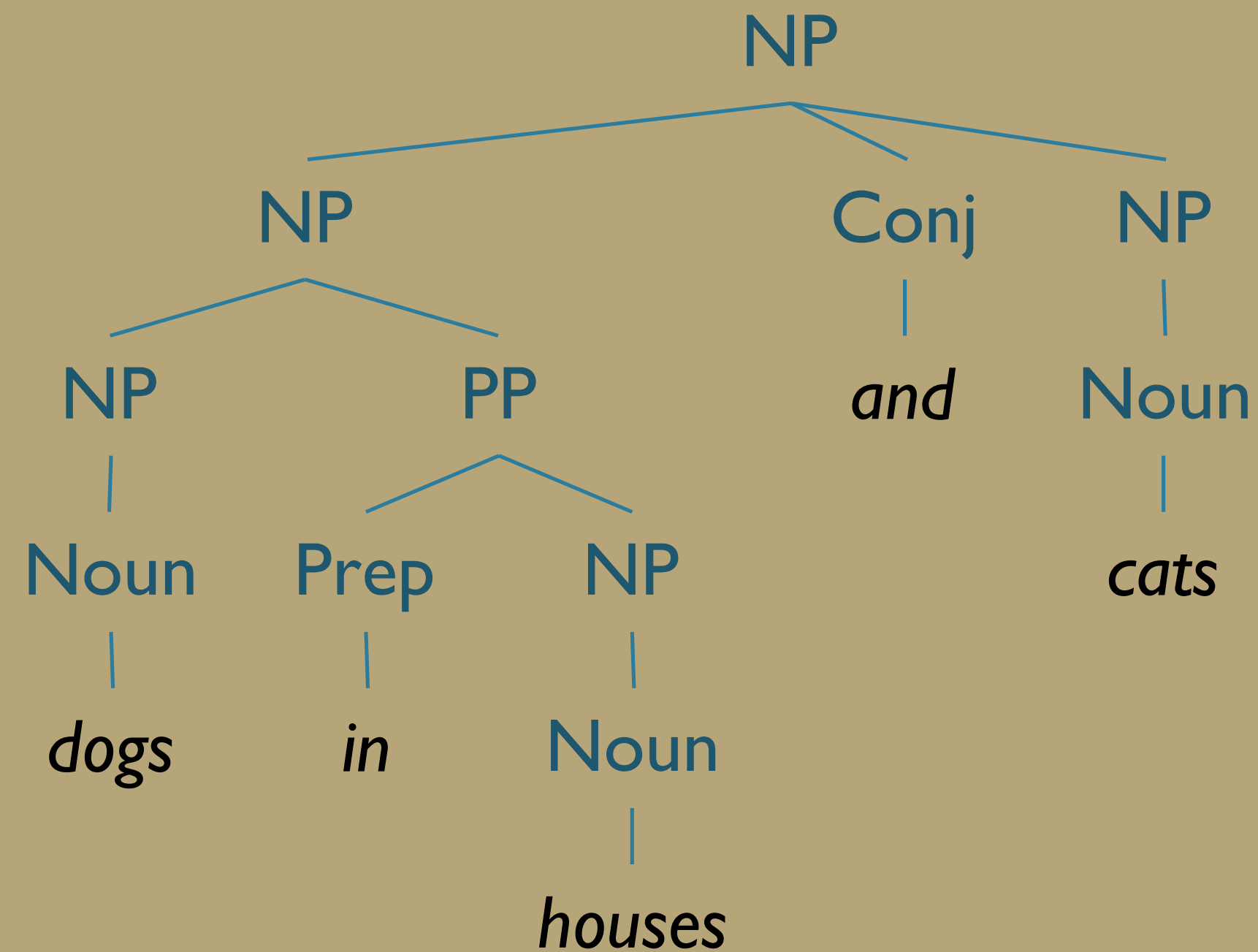
# Issues with PCFGs: Lexical Conditioning

- *workers dumped sacks into a bin*
  - *into* should **prefer** modifying *dumped*
  - *into* should **disprefer** modifying *sacks*
- *fishermen caught tons of herring*
  - *of* should **prefer** modifying *tons*
  - *of* should **disprefer** modifying *caught*

# Issues with PCFGs: Coordination Ambiguity

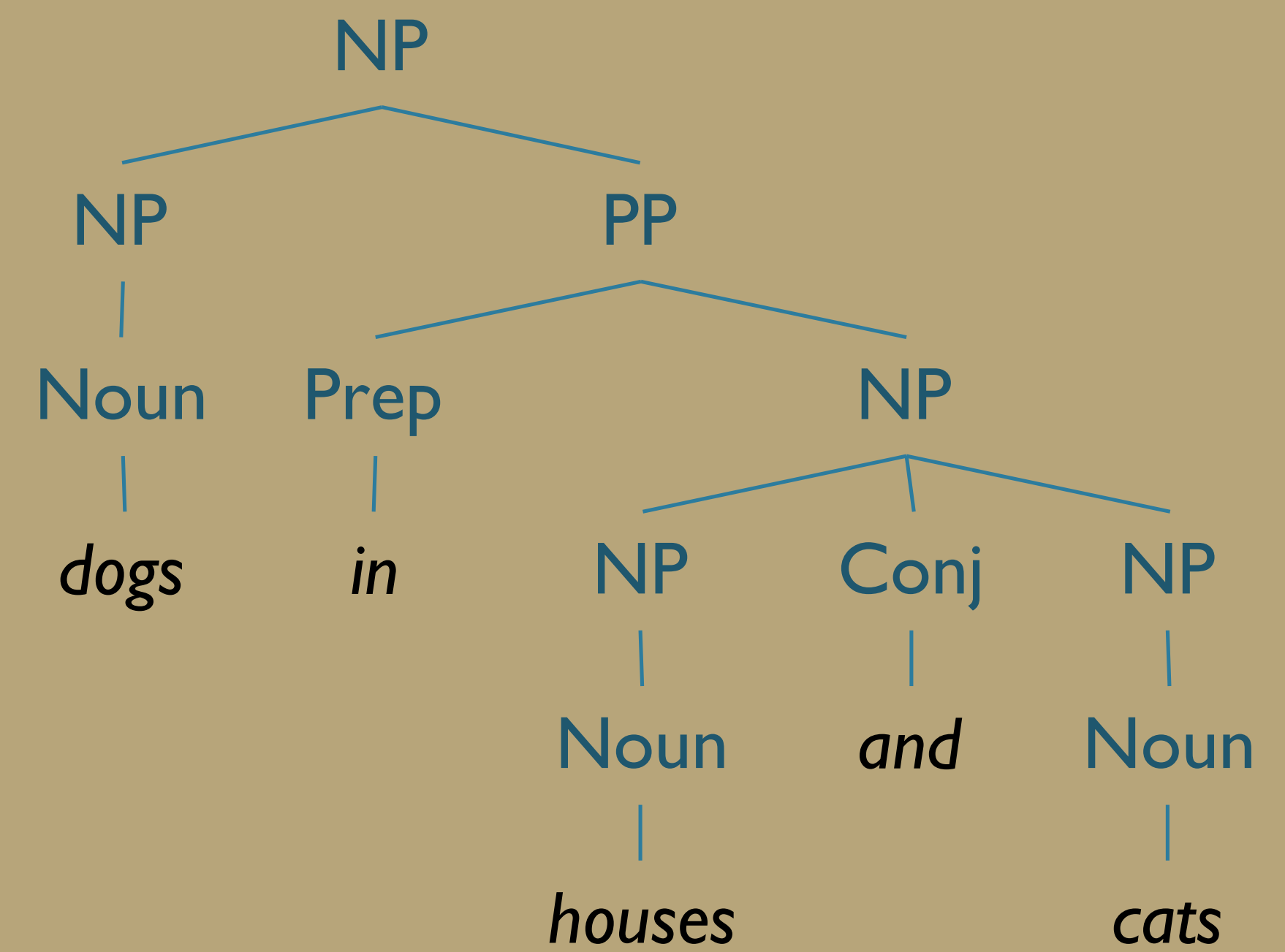


# Issues with PCFGs: Coordination Ambiguity



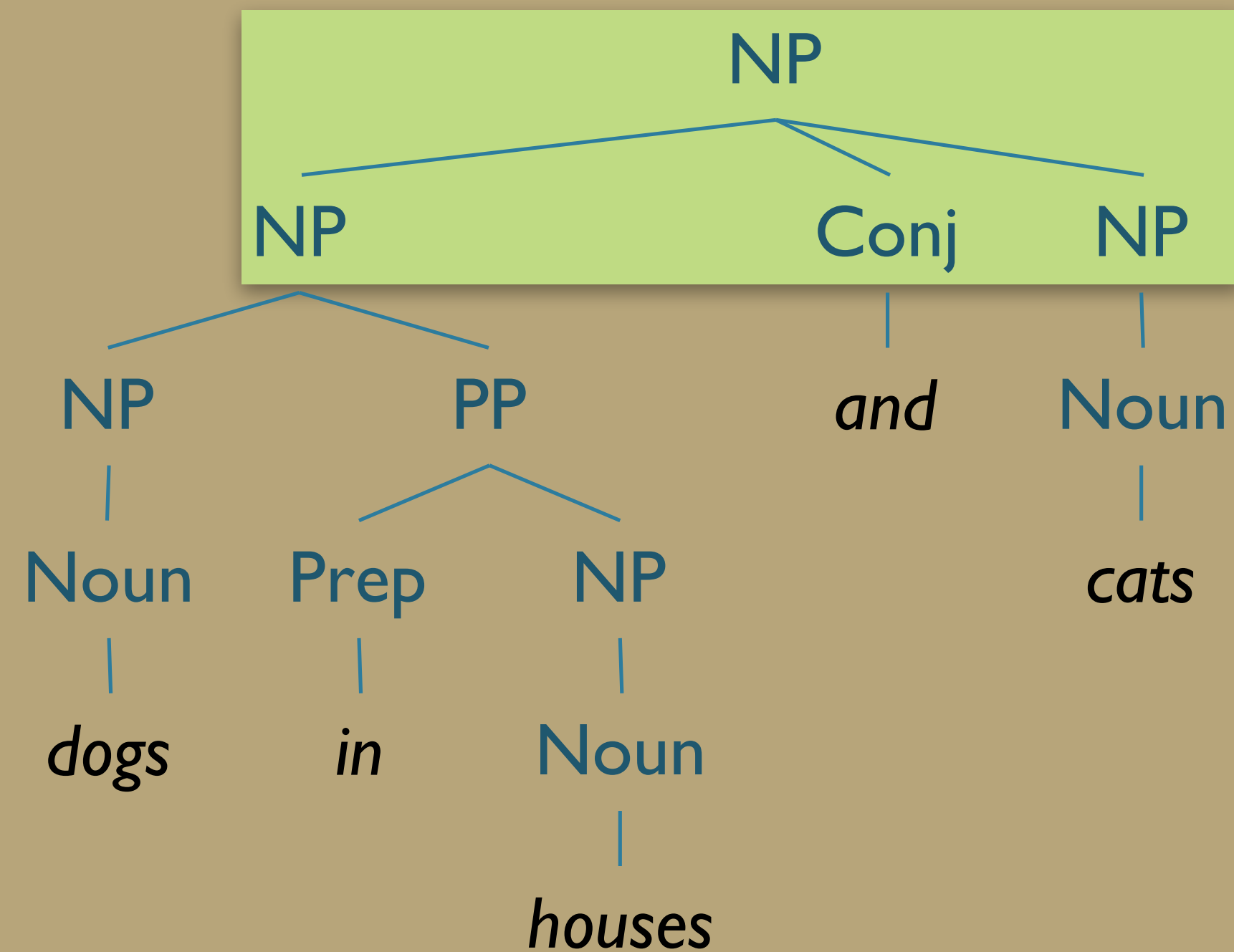
NP → NP Conj NP  
 NP → NP PP  
 Noun → "dogs"  
 PP → Prep NP  
 Prep → "in"  
 NP → Noun  
 Noun → "houses"  
 Conj → "and"  
 NP → Noun  
 Noun → "cats"

*Same Rules!*



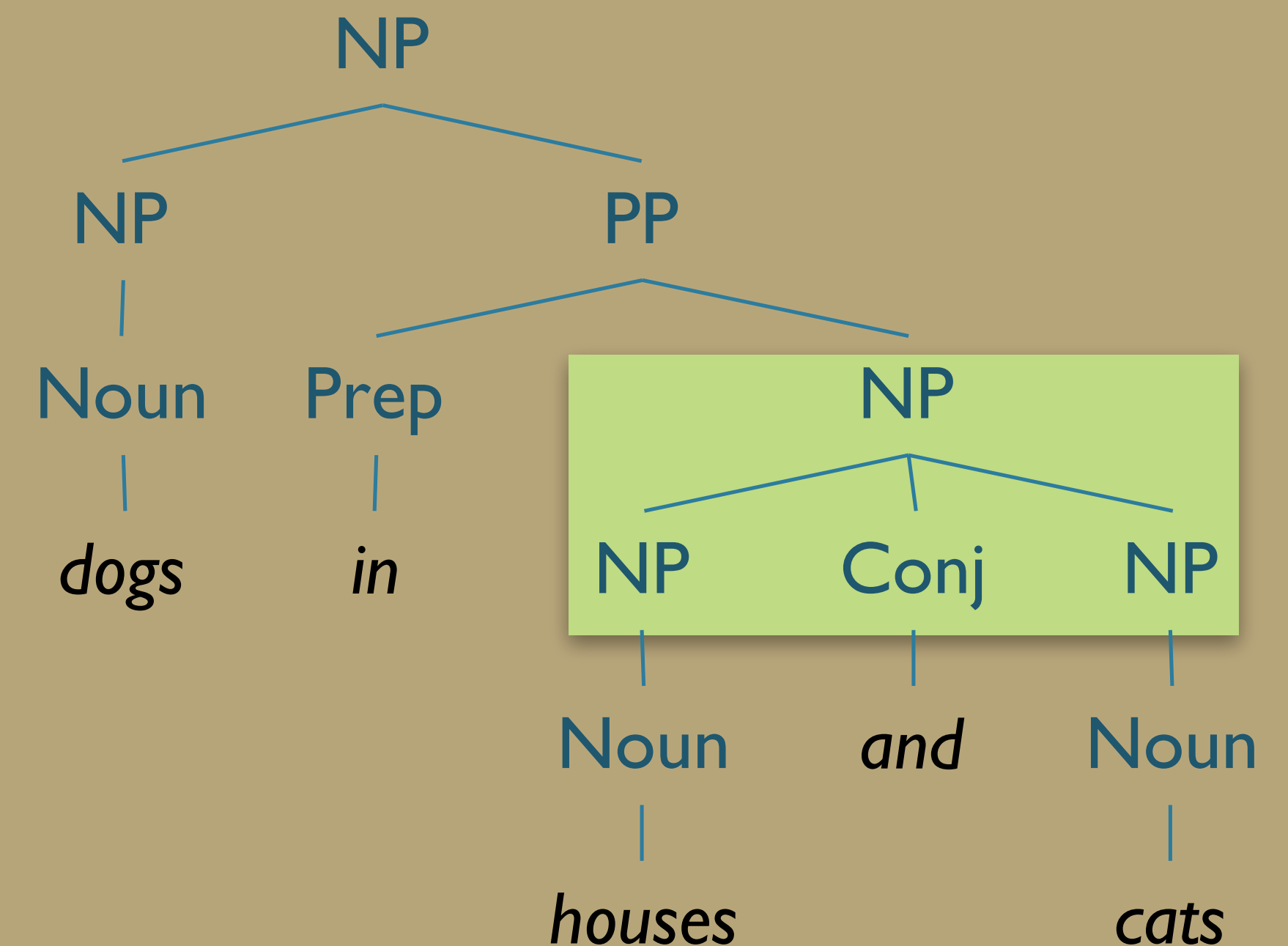
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# Issues with PCFGs: Coordination Ambiguity



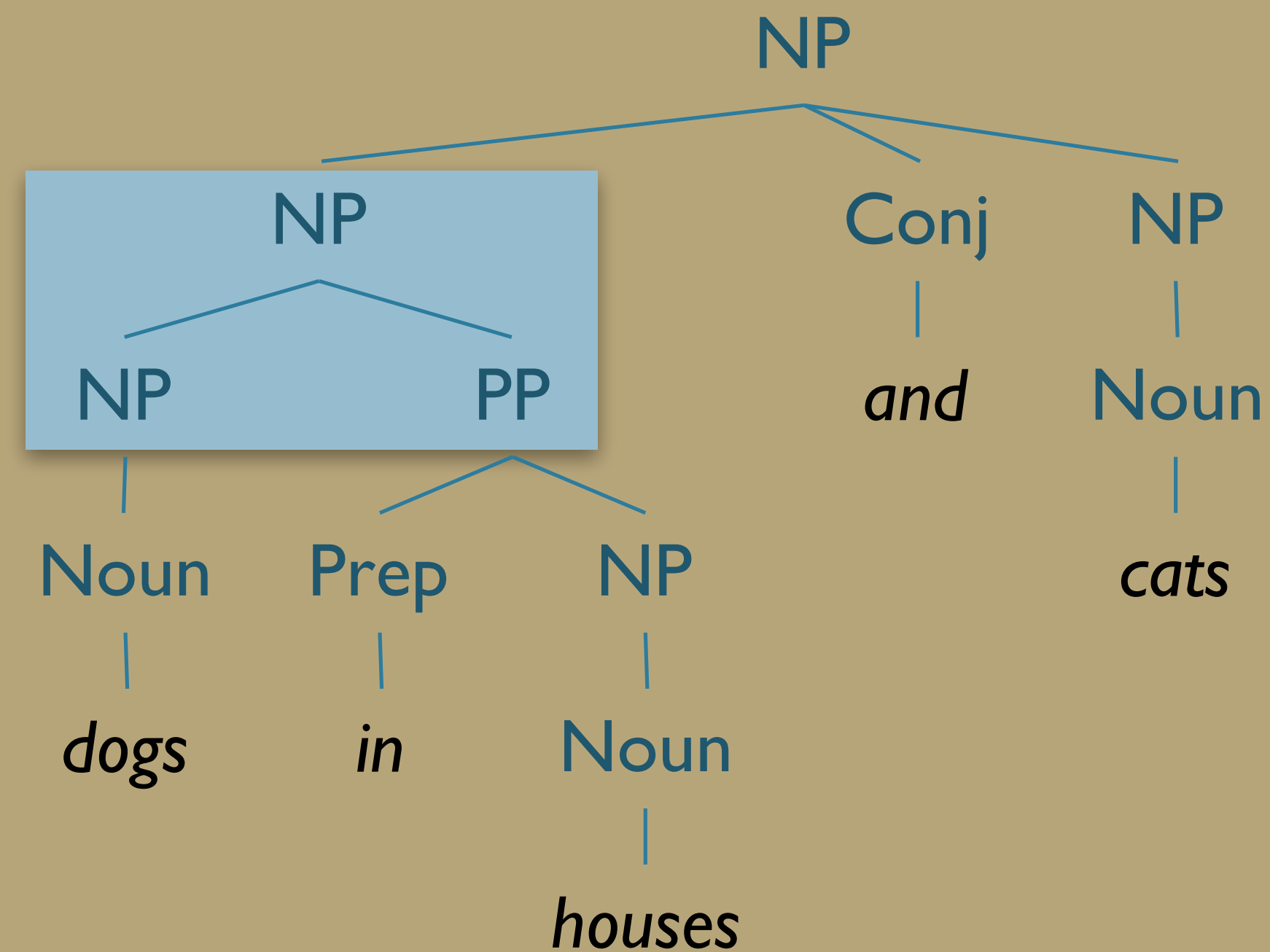
$NP \rightarrow NP \text{ Conj } NP$   
 $NP \rightarrow NP \text{ PP}$   
 $Noun \rightarrow \text{"dogs"}$   
 $PP \rightarrow Prep \text{ NP}$   
 $Prep \rightarrow \text{"in"}$   
 $NP \rightarrow Noun$   
 $Noun \rightarrow \text{"houses"}$   
 $Conj \rightarrow \text{"and"}$   
 $NP \rightarrow Noun$   
 $Noun \rightarrow \text{"cats"}$

*Same Rules!*



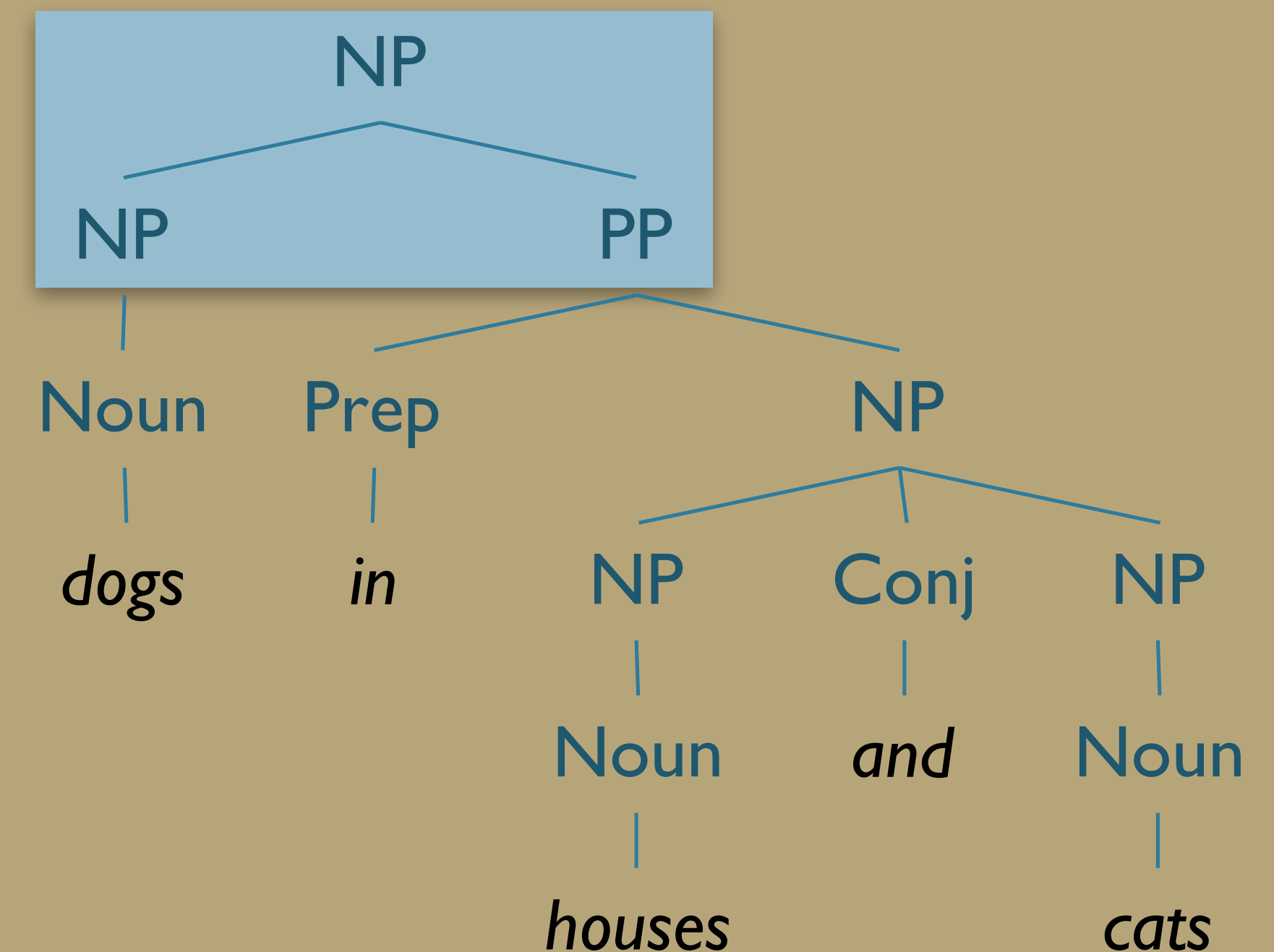
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 $Noun \rightarrow \text{"dogs"}$   
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 $NP \rightarrow Noun$   
 $Noun \rightarrow \text{"houses"}$   
 $Conj \rightarrow \text{"and"}$   
 $NP \rightarrow Noun$   
 $Noun \rightarrow \text{"cats"}$

# Issues with PCFGs: Coordination Ambiguity



NP → NP Conj NP  
NP → NP PP  
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PP → Prep NP  
Prep → “in”  
NP → Noun  
Noun → “houses”  
Conj → “and”  
NP → Noun  
Noun → “cats”

## Same Rules!



NP → NP PP  
Noun → “dogs”  
PP → Prep NP  
Prep → “in”  
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NP → Noun  
Noun → “houses”  
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# Improving PCFGs

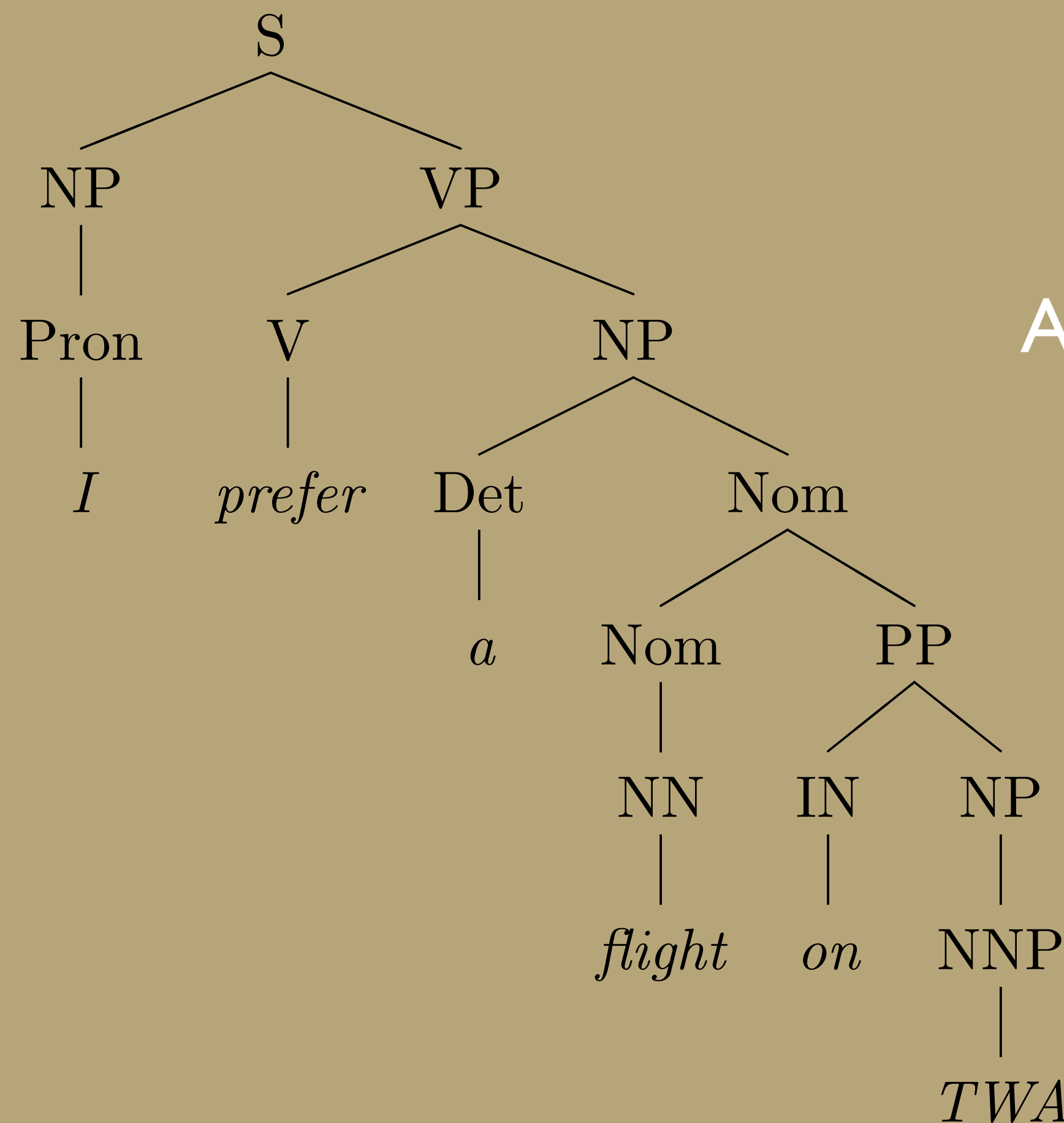


# Improving PCFGs

- **Parent Annotation**
- Lexicalization
- Markovization
- Reranking

# Improving PCFGs: Parent Annotation

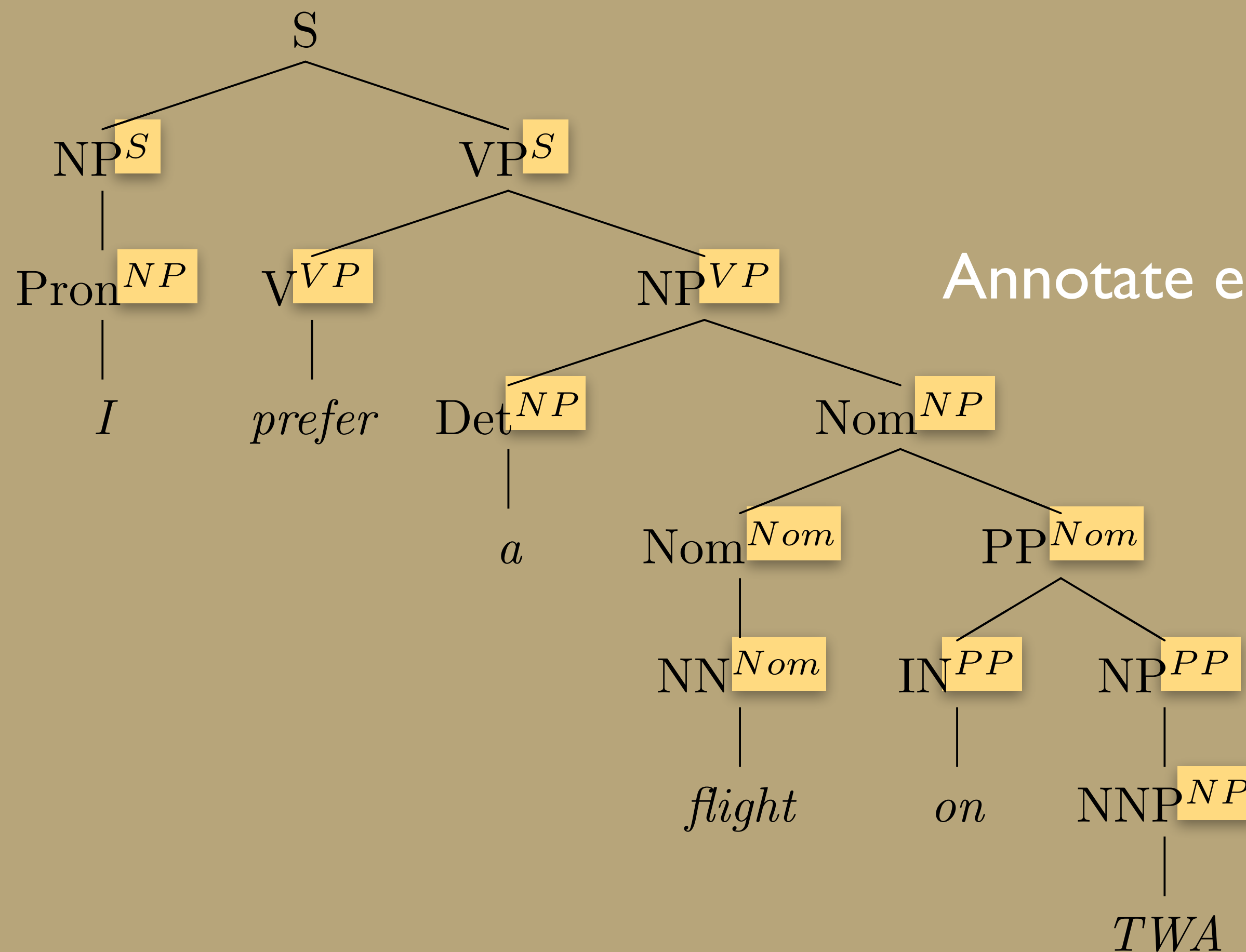
- To handle the  $NP \rightarrow PRP$  **[0.91 if  $NP_{\Theta=subject}$  else 0.34]**



Annotate each node with its parent

# Improving PCFGs: Parent Annotation

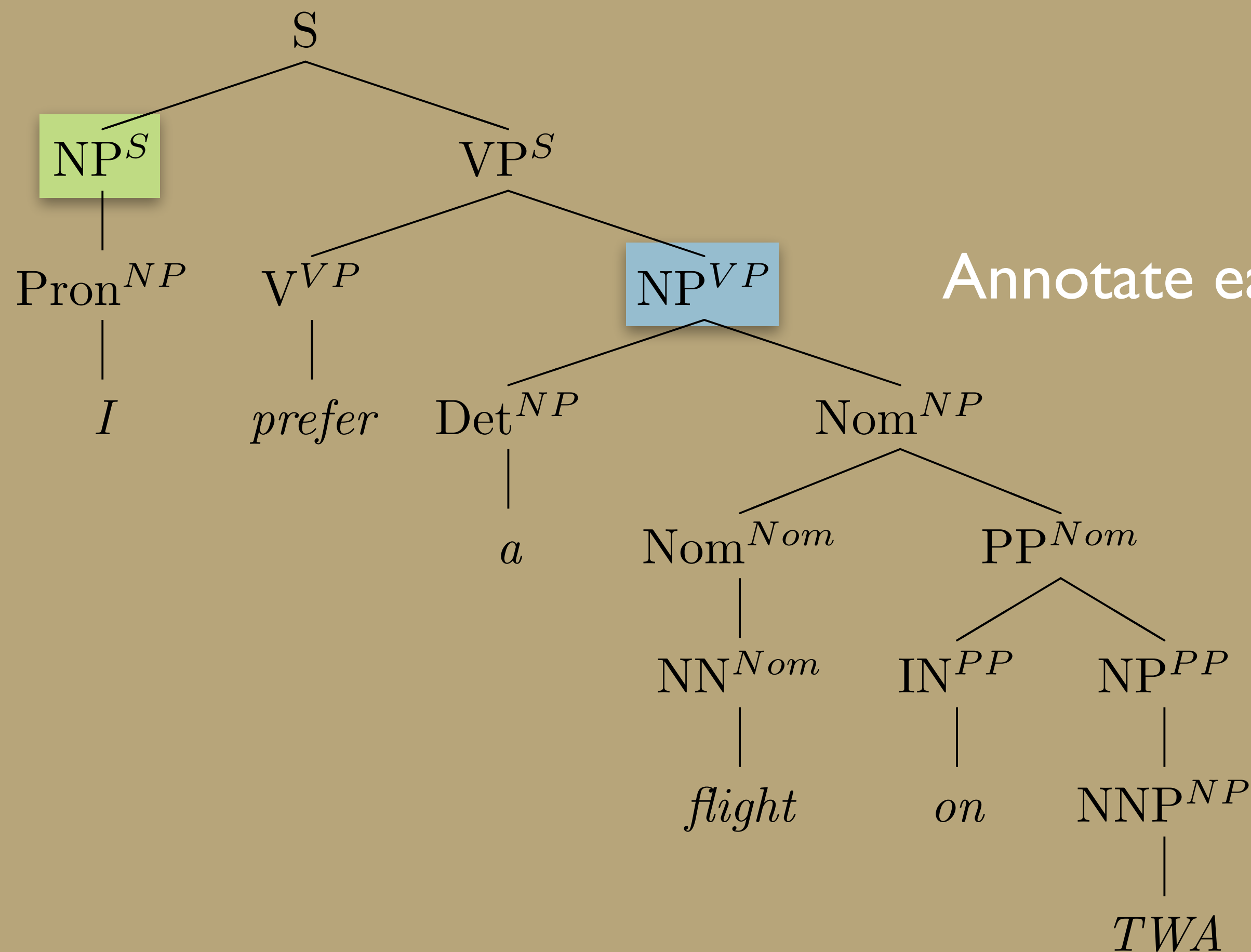
- To handle the  $NP \rightarrow PRP$  [0.91 if  $NP_{\Theta=subject}$  else 0.34]



Annotate each node with its parent

# Improving PCFGs: Parent Annotation

- To handle the  $NP \rightarrow PRP$  [0.91 if  $NP_{\Theta=subject}$  else 0.34]



# Improving PCFGs: Parent Annotation

- Advantages:
  - Captures structural dependencies in grammar
- Disadvantages:
  - Explodes number of rules in grammar
    - Same problem with subcategorization
  - Results in sparsity problems
- Strategies to find an optimal number of splits
  - [Petrov et al \(2006\)](#)

# Improving PCFGs

- Parent Annotation
- **Lexicalization**
- Markovization
- Reranking

# Improving PCFGs: Lexical “Heads”

- Remember back to syntax intro (Lecture #1)
  - Phrases are “headed” by key words
    - **VP** are headed by **V**
    - **NP** by **NN, NNS, PRON**
    - **PP** by **PREP**
- We can take advantage of this in our grammar!

# Improving PCFGs: Lexical Dependencies

- As we've seen, some rules should be conditioned on certain words
- **Proposal:** annotate nonterminals with lexical head

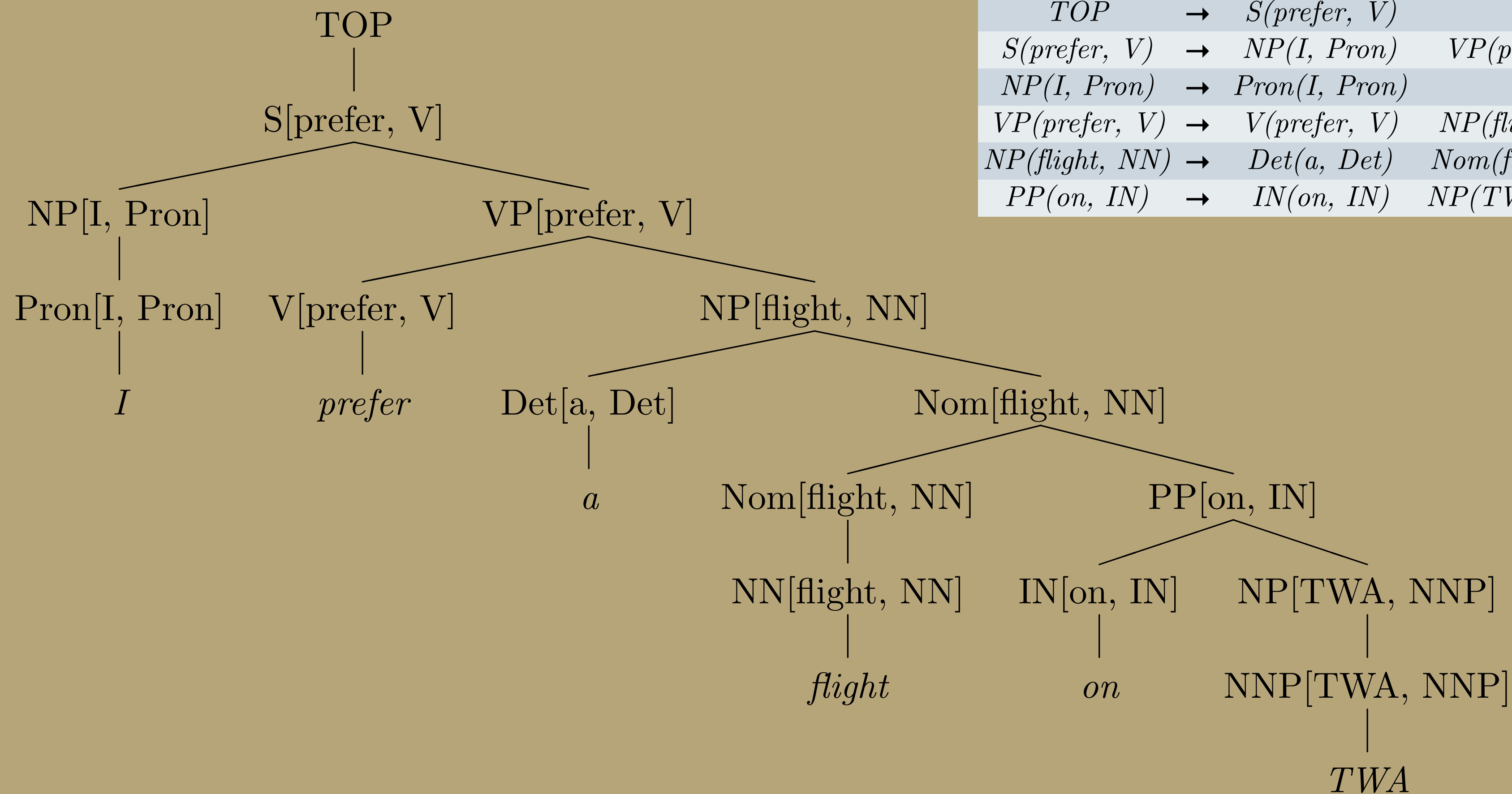
$$VP \rightarrow VBD\ NP\ PP$$
$$VP(dumped) \rightarrow VBD(dumped)\ NP(sacks)\ PP(into)$$

- **Additionally:** annotate with lexical head + POS

$$VP(dumped, VBD) \rightarrow VBD(dumped, VBD)\ NP(sacks, NNS)\ PP(into, IN)$$



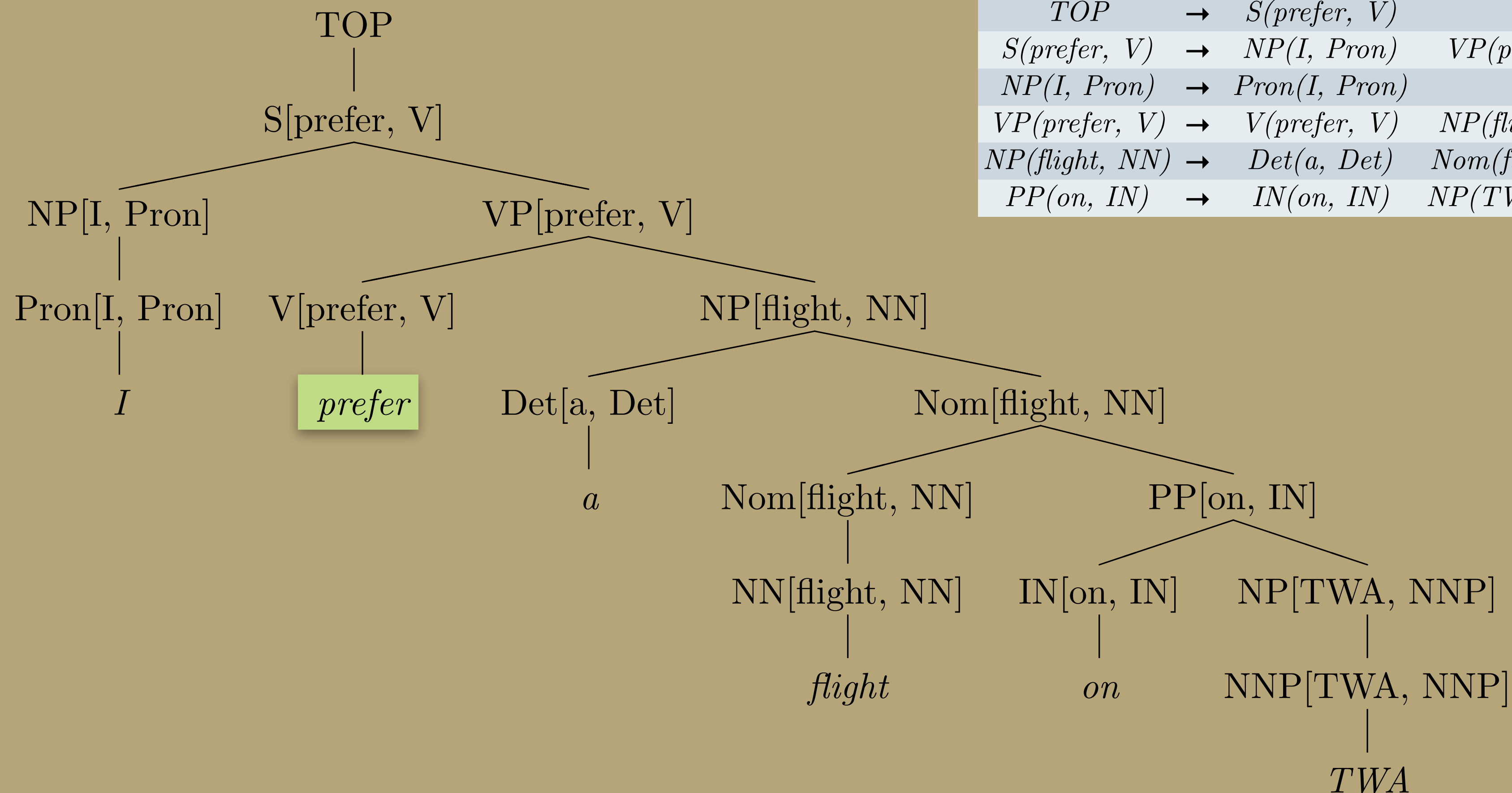
# Lexicalized Parse Tree



Internal Rules		
$TOP$	$\rightarrow$	$S(prefer, V)$
$S(prefer, V)$	$\rightarrow$	$NP(I, Pron) \quad VP(prefer, V)$
$NP(I, Pron)$	$\rightarrow$	$Pron(I, Pron)$
$VP(prefer, V)$	$\rightarrow$	$V(prefer, V) \quad NP(flight, NN)$
$NP(flight, NN)$	$\rightarrow$	$Det(a, Det) \quad Nom(flight, NN)$
$PP(on, IN)$	$\rightarrow$	$IN(on, IN) \quad NP(TWA, NNP)$

Lexical Rules		
$Pron(I, Pron)$	$\rightarrow$	$I$
$V(prefer, V)$	$\rightarrow$	$prefer$
$Det(a, Det)$	$\rightarrow$	$a$
$NN(flight, NN)$	$\rightarrow$	$flight$
$IN(on, IN)$	$\rightarrow$	$on$
$NNP(TWA, NNP)$	$\rightarrow$	$TWA$

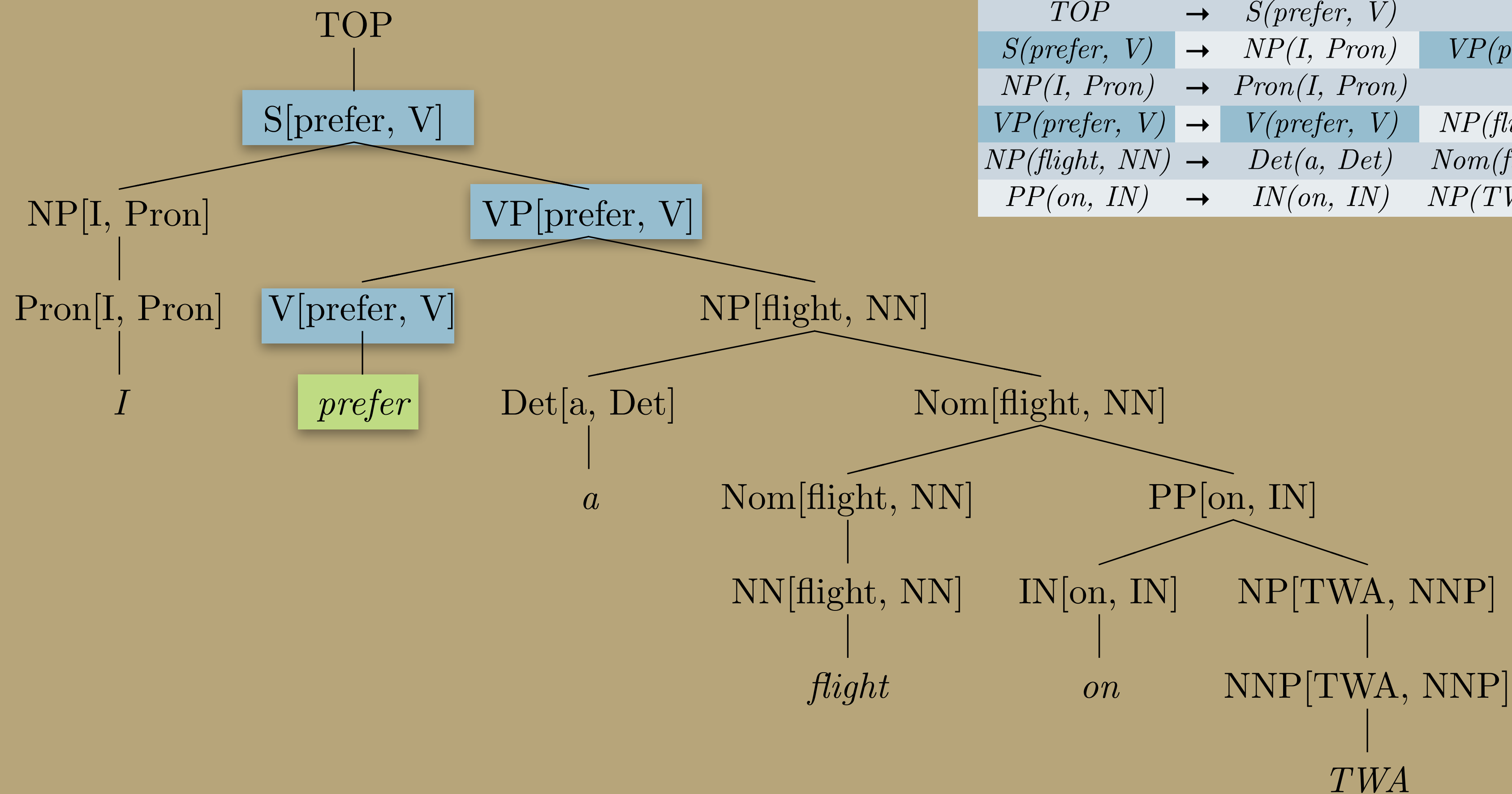
# Lexicalized Parse Tree



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Lexical Rules		
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$V(prefer, V)$	$\rightarrow$	$prefer$
$Det(a, Det)$	$\rightarrow$	$a$
$NN(flight, NN)$	$\rightarrow$	$flight$
$IN(on, IN)$	$\rightarrow$	$on$
$NNP(TWA, NNP)$	$\rightarrow$	$TWA$

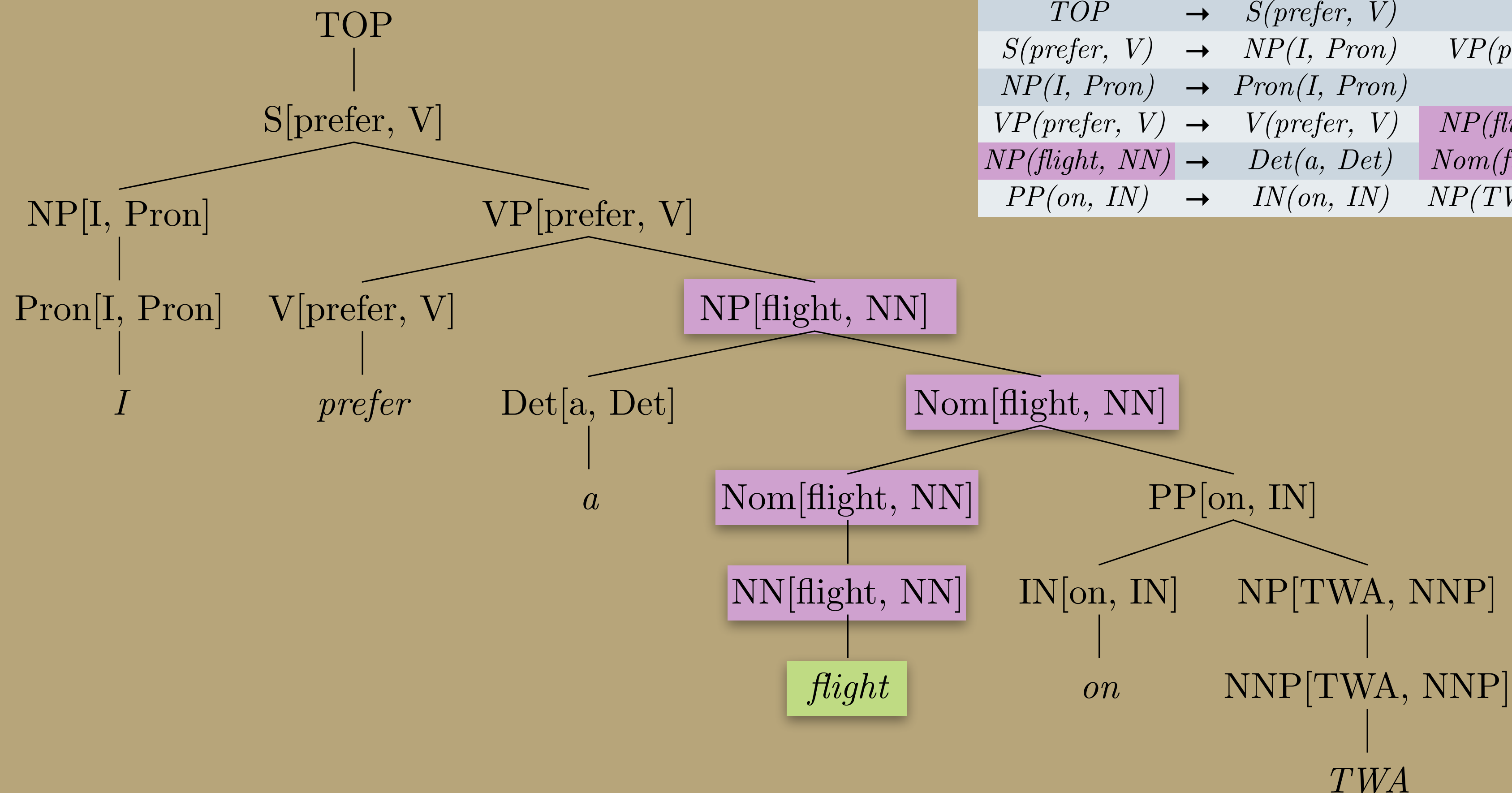
# Lexicalized Parse Tree



Internal Rules		
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Lexical Rules		
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# Lexicalized Parse Tree

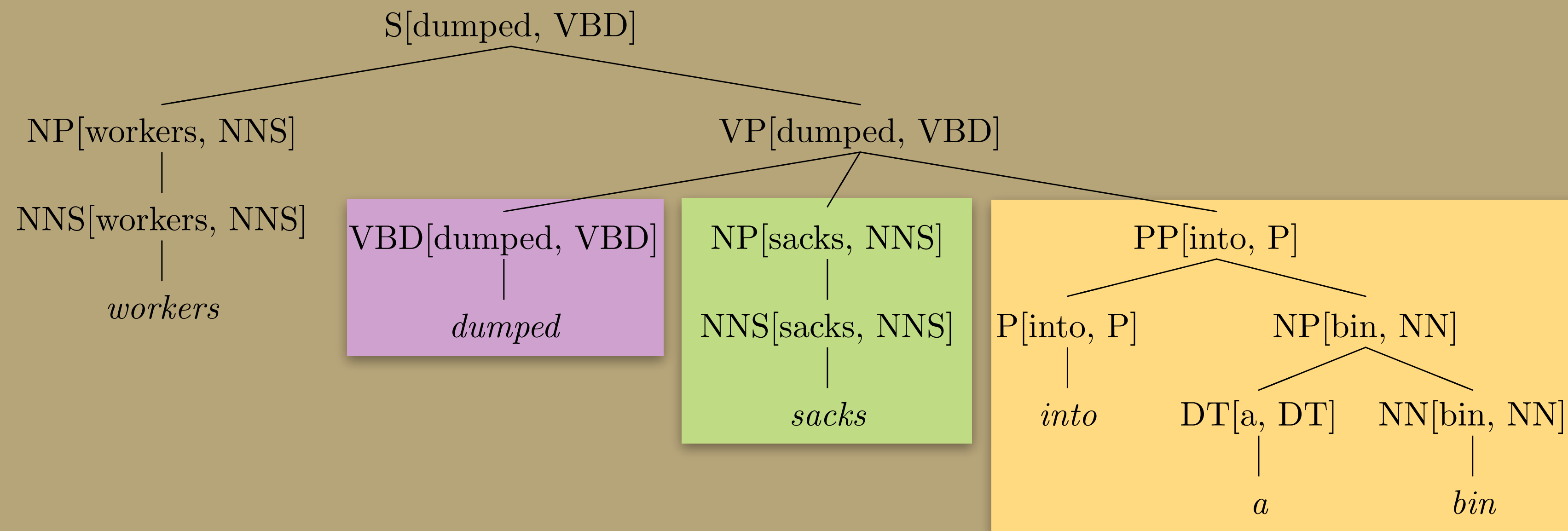


Internal Rules		
$TOP$	$\rightarrow$	$S(prefer, V)$
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Lexical Rules		
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$Det(a, Det)$	$\rightarrow$	$a$
$NN(flight, NN)$	$\rightarrow$	$flight$
$IN(on, IN)$	$\rightarrow$	$on$
$NNP(TWA, NNP)$	$\rightarrow$	$TWA$

# Improving PCFGs: Lexical Dependencies

- Upshot: heads propagate up tree:
  - $VP \rightarrow VBD(dumped, VBD) NP(sacks, NNS) PP(into, P)$  ✓
  - $NP \rightarrow NNS(sacks, NNS) PP(into, P)$  ✗



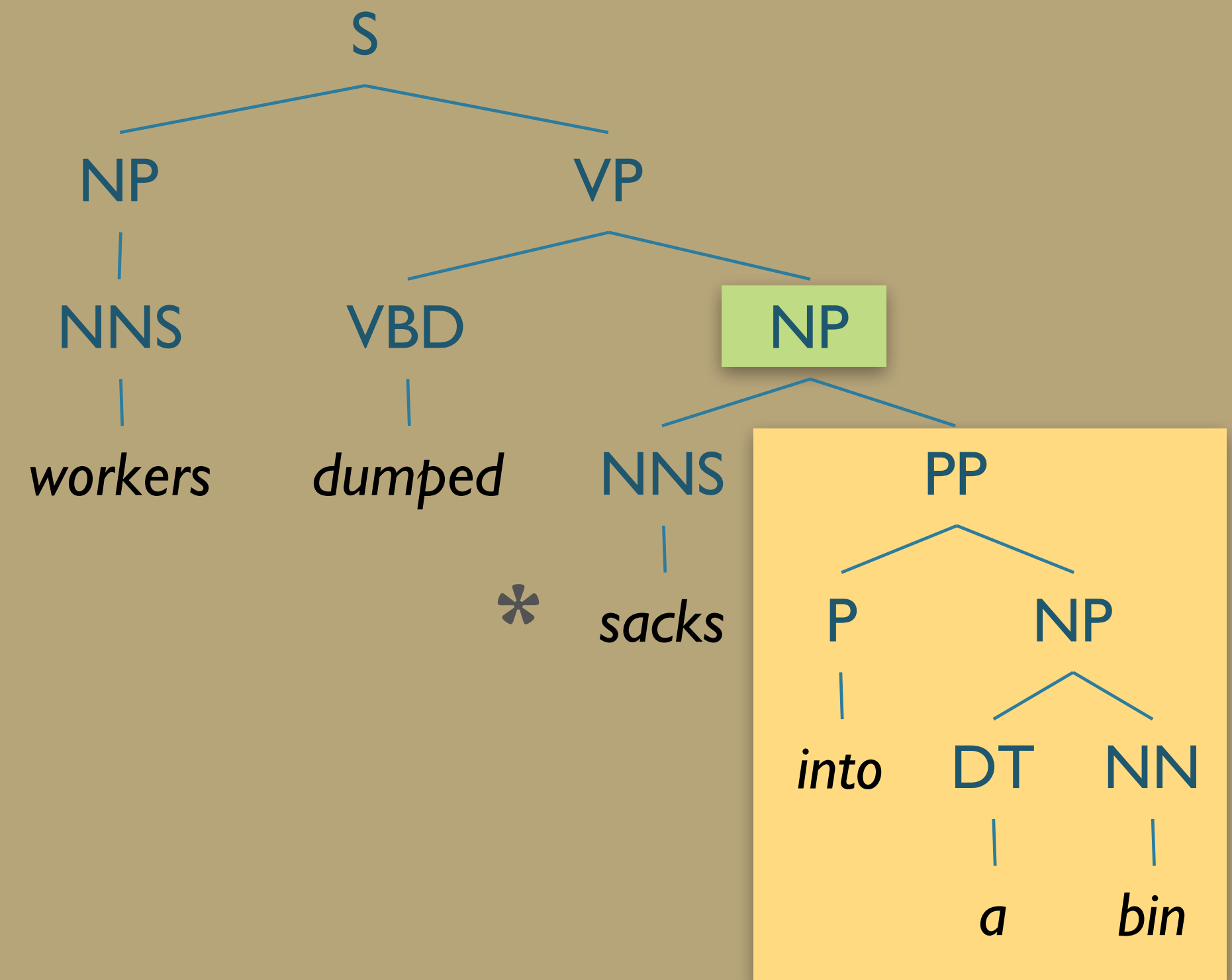
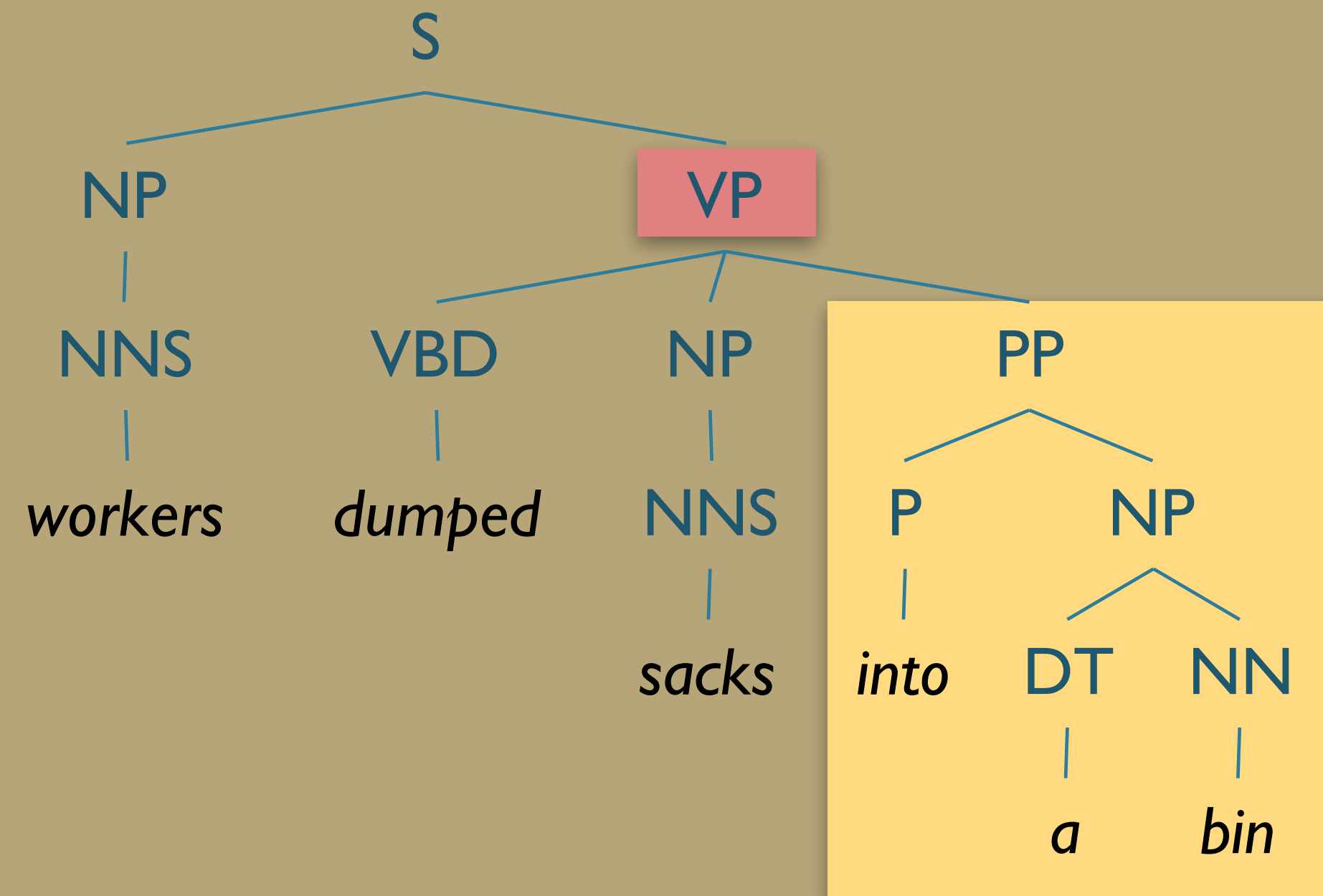
# Improving PCFGs: Lexical Dependencies

- Downside:
  - Rules far too specialized — will be sparse
- Solution:
  - Assume *conditional* independence
  - Create more rules

# Improving PCFGs: Collins Parser

- Proposal:
  - *LHS* → *LeftOfHead* ... *Head* ... *RightOfHead*
  - Instead of calculating  $P(\text{EntireRule})$ , which is sparse:
  - Calculate:
    - Probability that *LHS* has nonterminal phrase *H* given head-word *hw*...
    - × Probability of modifiers to the **left** given head-word *hw*...
    - × Probability of modifiers to the **right** given head-word *hw*...

# Collins Parser Example





# Collins Parser Example

$$P(VP \rightarrow VBD \ NP \ PP \mid VP, \textit{dumped})$$

$$\begin{aligned} &= \frac{\text{Count}(VP(\textit{dumped}) \rightarrow VBD \ NP \ PP)}{\sum_{\beta} \text{Count}(VP(\textit{dumped}) \rightarrow \beta)} \\ &= \frac{6}{9} = 0.67 \end{aligned}$$

$$P(VP \rightarrow VBD \ NP \mid VP, \textit{dumped})$$

$$\begin{aligned} &= \frac{\text{Count}(VP(\textit{dumped}) \rightarrow VBD \ NP)}{\sum_{\beta} \text{Count}(VP(\textit{dumped}) \rightarrow \beta)} \\ &= \frac{1}{9} = 0.11 \end{aligned}$$

$$P_R(\textit{into} \mid PP, \textit{dumped})$$

$$\begin{aligned} &= \frac{\text{Count}(X(\textit{dumped}) \rightarrow \dots PP(\textit{into}) \dots)}{\sum_{\beta} \text{Count}(X(\textit{dumped}) \rightarrow \dots PP \dots)} \\ &= \frac{2}{9} = 0.22 \end{aligned}$$

$$P_R(\textit{into} \mid PP, \textit{sacks})$$

$$\begin{aligned} &= \frac{\text{Count}(X(\textit{sacks}) \rightarrow \dots PP(\textit{into}) \dots)}{\sum_{\beta} \text{Count}(X(\textit{sacks}) \rightarrow \dots PP \dots)} \\ &= \frac{0}{0} \end{aligned}$$

# Improving PCFGs

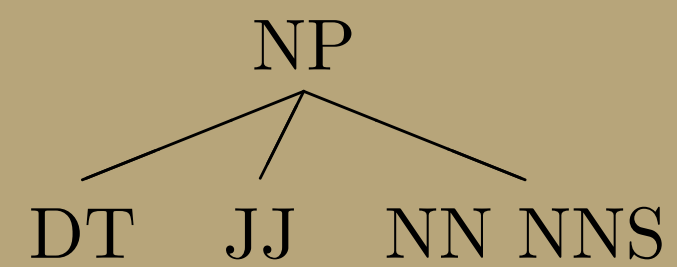
- Parent Annotation
- Lexicalization
- **Markovization**
- Reranking

# CNF Factorization & Markovization

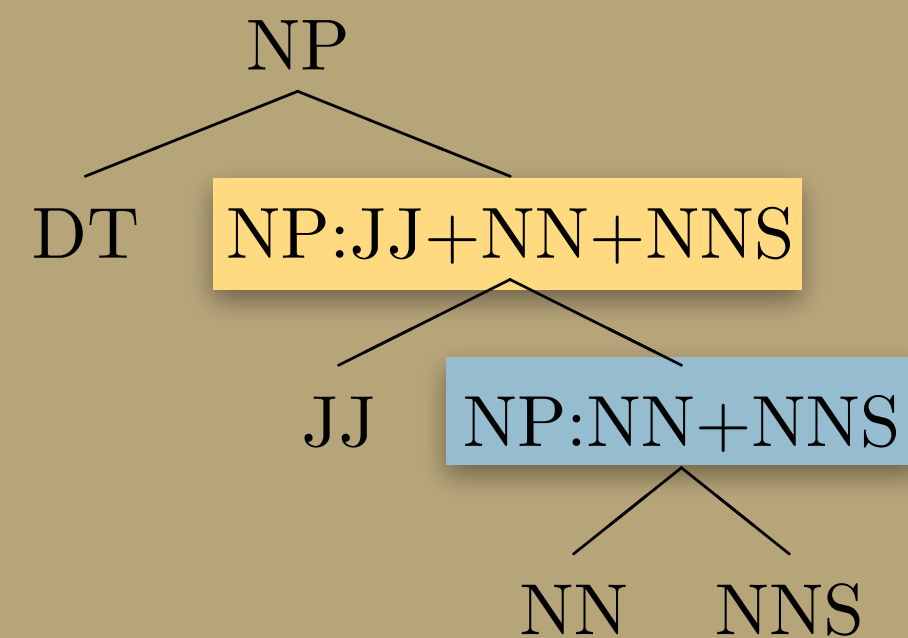
- CNF Factorization:
  - Converts n-ary branching to binary branching
  - Can maintain information about original structure
    - Neighborhood history and parent

# Different Markov Orders

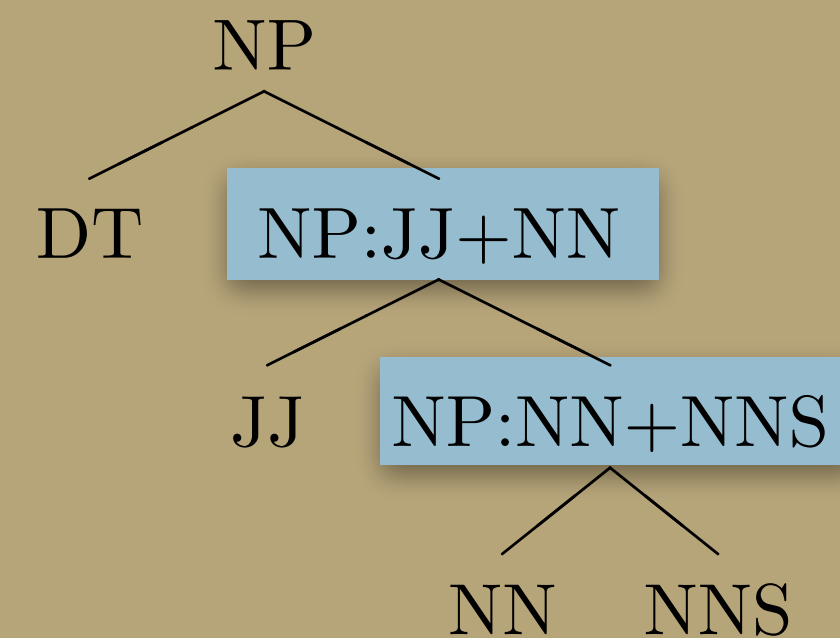
Original



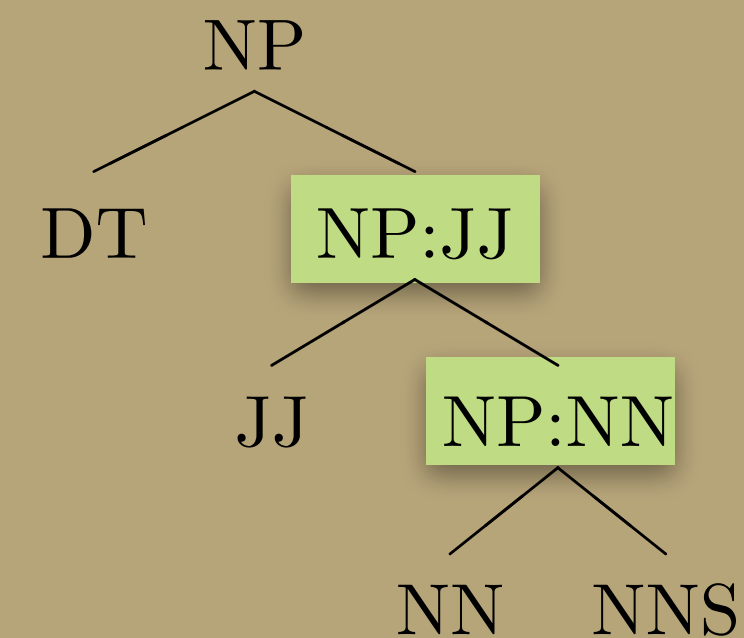
Order 3



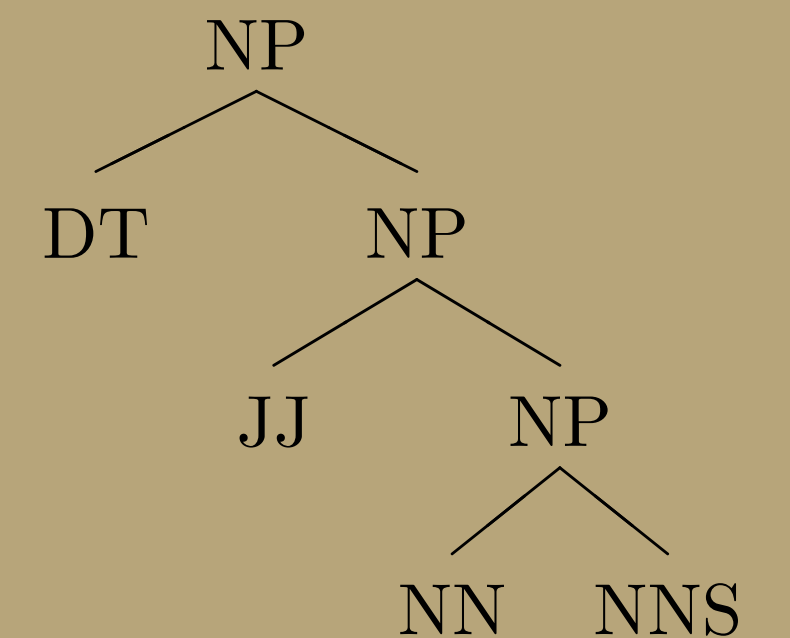
Order 2



Order 1



Order 0



# Markovization and Costs

PCFG	Time(s)	Words/s	V	P	LR	LP	F <sub>1</sub>
Right-factored	4848	6.7	10105	23220	69.2	73.8	71.5
Right-factored, Markov order-2	1302	24.9	2492	11659	68.8	73.8	71.3
Right-factored, Markov order-1	445	72.7	564	6354	68.0	730	70.5
Right-factored, Markov order-0	206	157.1	99	3803	61.2	65.5	63.3
Parent-annotated, Right-factored, Markov order-2	7510	4.3	5876	22444	76.2	78.3	77.2

from [Mohri & Roark 2006](#)

# Improving PCFGs

- Parent Annotation
- Lexicalization
- Markovization
- **Reranking**

# Reranking

- Issue: Locality
  - PCFG probabilities associated with rewrite rules
  - Context-free grammars are, well, context-free
  - Previous approaches create new rules to incorporate context
- Need approach that incorporates broader, global info

# Discriminative Parse Reranking

- General approach:
  - Parse using (L)PCFG
  - Obtain top-N parses
  - Re-rank top-N using better features
- Use discriminative model (e.g. MaxEnt) to rerank with features:
  - right-branching vs. left-branching
  - speaker identity
  - conjunctive parallelism
  - fragment frequency
  - ...



# Reranking Effectiveness

- How can reranking improve?
- Results from [Collins and Koo \(2005\)](#), with 50-best

System	Accuracy
Baseline	0.897
Oracle	0.968
Discriminative	0.917

- “Oracle” is to automatically choose the correct parse if in N-best

# Improving PCFGs: Tradeoffs

- **Pros:**
  - Increased accuracy/specificity
  - e.g. Lexicalization, Parent annotation, Markovization, etc
- **Cons:**
  - Explode grammar size
  - Increased processing time
  - Increased data requirements
- *How can we balance?*

# Improving PCFGs: Efficiency

- **Beam thresholding**
- Heuristic Filtering

# Efficiency

- PCKY is  $|G| \cdot n^3$ 
  - Grammar can be huge
  - Grammar can be extremely ambiguous
  - Hundreds of analyses not unusual
- ...but only care about best parses
- Can we use this to improve efficiency?

# Beam Thresholding

- Inspired by Beam Search
- Assume low probability parses unlikely to yield high probability overall
  - Keep only top k most probable partial parses
  - Retain only k choices per cell
    - For large grammars, maybe 50-100
    - For small grammars, 5 or 10

# Heuristic Filtering

- **Intuition:** Some rules/partial parses unlikely to create best parse
- **Proposal:** Don't store these in table.
- **Exclude:**
  - Low frequency: (singletons)
  - Low probability: constituents  $X$  s.t.  $P(X) < 10^{-200}$
  - Low relative probability:
    - Exclude  $X$  if there exists  $Y$  s.t.  $P(Y) > 100 \times P(X)$